## Chapter 11 Generalized Van Cittert - Zernike theorem for partial coherence

We now extend the analysis to partially coherent sources. We assume:

- There is a small but nonzero coherence area on the source.

- The coherence factor of the source depends *only* on the coordinate differences.

- The source size and spatial structure in the source is large compared to the coherence area.

Under these assumptions, the source mutual intensity is:

where 
$$\bar{\xi} = \frac{\xi_1 + \xi_2}{2}$$
  $\bar{\eta} = \frac{\eta_1 + \eta_2}{2}$  (11.1)

We now use the general propagation law for mutual intensity, with paraxial conditions

$$\mathcal{J}(x_1, y_1; x_2, y_2) = \frac{1}{(\bar{\lambda}z)^2} \int_{-\infty}^{\infty} \int \int J(\xi_1, \eta_1; \xi_2, \eta_2) \exp\left[-j\frac{2\pi}{\bar{\lambda}}(r_2 - r_1)\right] d\xi_1 d\eta_1 d\xi_2 d\eta_2$$
(11.2)



previously,  $(\xi_1, \eta_1)(\xi_2, \eta_2)$ points merged due to an idealized incoherent  $\delta$ -function approximation.

Now, with a little algebra, we can write

$$r_2 - r_1 \cong \frac{1}{z} [\bar{x}\Delta x + \bar{y}\Delta y + \bar{\xi}\Delta\xi + \bar{\eta}\Delta\eta - \Delta x\bar{\xi} - \bar{x}\Delta\xi - \Delta y\bar{\eta} - \bar{y}\Delta\eta]$$
(11.3)

A small coherence area means  $\tilde{\mu}$  is only appreciable for small  $\Delta\xi,\Delta\eta$ . We can drop the  $\Delta\eta,\Delta\xi$  terms for

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$$z > 4 \frac{\xi \Delta \xi}{\bar{x}} \qquad z > 4 \frac{\bar{\eta} \Delta \eta}{\bar{\lambda}}$$

We can then write

$$J(x_{1}, y_{1}; x_{2}, y_{2}) = \frac{e^{-j\Psi}}{(\bar{\lambda}z)^{2}} \int_{-\infty}^{\infty} \int I(\bar{\xi}, \bar{\eta}) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(\Delta x\bar{\xi} + \Delta y\bar{\eta})\right] d\bar{\xi} d\bar{\eta}$$
(11.4)  
 
$$\times \int_{-\infty}^{\infty} \mu(\Delta\xi, \Delta\eta) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(\bar{x}\Delta\xi + \bar{y}\Delta\eta)\right] d\Delta\xi d\Delta\eta$$
(11.5)

same as before. The second integral depends on  $\bar{x}$ ,  $\bar{y}$ 

$$\kappa(\bar{x},\bar{y}) = \int_{-\infty}^{\infty} \mu(\Delta\xi,\Delta\eta) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(\bar{x}\Delta\xi+\bar{y}\Delta\eta)\right] d\Delta\xi \, d\Delta\eta$$
(11.6)

This is just the Fourier Transform of  $\mu$ . It gives the coarse variation of the average intensity.

$$J(x_1, y_1; x_2, y_2) = \frac{\kappa(\bar{x}, \bar{y})}{(\bar{\lambda}z)^2} e^{-j\psi} \int_{-\infty}^{\infty} I(\bar{\xi}, \bar{\eta}) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(\Delta x\bar{\xi} + \Delta y\bar{\eta})\right] d\bar{\xi} d\bar{\eta}$$
(11.7)

This looks just like the incoherent case, except that  $\kappa$  varies in the (x, y) plane.

Since  $\mu$  is narrow,  $\kappa$  is broad. The integral is narrow in  $\Delta x$ ,  $\Delta y$ . When  $\Delta x = \Delta y = 0$  we recover the diffraction pattern described by  $\kappa$ .

In the far-field:

- The source size in the  $(\xi, \eta)$  plane determines the coherence area.
- The source coherence area in  $(\Delta \xi, \Delta \eta)$  determines the intensity distribution.

Here, our small coherence area approximation is valid under the condition:  $z > 2\frac{Dd_c}{\overline{\lambda}}$ , where *D* is the dimension of source and  $d_c$  is the dimension of the coherence area of the source. This is just the geometric mean of the far field distances for *D*,  $d_c$ . (Recall that  $z_{\text{far-field}} > \pi \frac{D^2}{\overline{\lambda}}$ .)

## Diffraction revisited for a partially coherent light

What is the diffraction pattern from an aperture when the illumination is partially coherent?



Aperture amplitude transmittance  $P(\xi, \eta)$  (later this will be our pupil function).

Effect of an aperture on mutual intensity:

The effect of an aperture on the field is:

 $\tau_o$ : any possible time delay through diffracting structure

Then

$$J_{t}(\xi_{1}, \eta_{1}; \xi_{2}, \eta_{2}) \equiv \langle U_{t}(\xi_{1}, \eta_{1}; t) U^{*}{}_{t}(\xi_{2}, \eta_{2}; t) \rangle$$

$$= P(\xi_{1}, \eta_{1}) P^{*}(\xi_{2}, \eta_{2}) \langle U_{i}(\xi_{1}, \eta_{1}; t - \tau_{o}) U^{*}{}_{i}(\xi_{2}, \eta_{2}; t - \tau_{o}) \rangle$$
(11.9)

$$J_{t}(\xi_{1}, \eta_{1}; \xi_{2}, \eta_{2}) = P(\xi_{1}, \eta_{1})P^{*}(\xi_{2}, \eta_{2})J_{i}(\xi_{1}, \eta_{1}; \xi_{2}, \eta_{2})$$
(11.10)

Under similar approximations to those used to obtain the general Van Cittert - Zernike theorem:

Thus

$$J_{i}(\xi_{1},\eta_{1};\xi_{2},\eta_{2}) = P(\xi_{1},\eta_{1})P^{*}(\xi_{2},\eta_{2})I(\xi,\bar{\eta})\mu_{i}(\Delta\xi,\Delta\eta)$$
(11.12)

$$= P\left(\bar{\xi} - \frac{\Delta\xi}{2}, \bar{\eta} - \frac{\Delta\eta}{2}\right) P^*\left(\bar{\xi} + \frac{\Delta\xi}{2}, \bar{\eta} + \frac{\Delta\eta}{2}\right) I(\bar{\xi}, \bar{\eta})\tilde{\mu}_i(\Delta\xi, \Delta\eta) \quad (11.13)$$

This depends on both  $(\xi, \overline{\eta})$  and  $(\Delta \xi, \Delta \eta)$  variables. It is not a simple product separation.

Using the general Van Cittert - Zernike in the paraxial approximation:

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$$J(x_1, y_1; x_2, y_2) = \frac{e^{-j\Psi}}{(\bar{\lambda}z)^2} \int_{-\infty}^{\infty} \iint d\Delta\xi d\Delta\eta d\bar{\xi} d\bar{\eta} P \left(\bar{\xi} - \frac{\Delta\bar{\xi}}{2}, \bar{\eta} - \frac{\Delta\bar{\eta}}{2}\right) P^* \left(\bar{\xi} + \frac{\Delta\bar{\xi}}{2}, \bar{\eta} + \frac{\Delta\bar{\eta}}{2}\right) \times I(\bar{\xi}, \bar{\eta}) \mu(\Delta\xi, \Delta\eta) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(\Delta x\bar{\xi} + \Delta y\bar{\eta} + \bar{x}\Delta\xi + \bar{y}\Delta\eta)\right]$$
(11.14)

To get the intensity of the diffraction pattern, we set  $x_1 = x_2$ ,  $y_1 = y_2$ .

$$\Delta x \to 0$$
  $\Delta y \to 0$   $\psi \to 0$ 

$$I(x,y) = \frac{1}{(\bar{\lambda}z)^2} \int_{-\infty}^{\infty} \int P(\Delta\xi,\Delta\eta) \tilde{\mu}_i(\Delta\xi,\Delta\eta) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(x\Delta\xi+y\Delta\eta)\right] d\Delta\xi d\Delta\eta \qquad (11.16)$$

where

$$P(\Delta\xi,\Delta\eta) = \int_{-\infty}^{\infty} \int I(\bar{\xi},\bar{\eta}) P\left(\bar{\xi}-\frac{\Delta\xi}{2},\bar{\eta}-\frac{\Delta\eta}{2}\right) P^*\left(\bar{\xi}+\frac{\Delta\xi}{2},\bar{\eta}+\frac{\Delta\eta}{2}\right) d\bar{\xi}d\bar{\eta}$$
(11.17)

If  $I(\bar{\xi}, \bar{\eta})$  is constant over the aperture, then *P* is just the autocorrelation function of the complex pupil function.

The intensity distribution is a Fourier Transform of  $P(\Delta\xi, \Delta\eta)\mu_i(\Delta\xi, \Delta\eta)$ . Note the valid range on z is  $z > 2\frac{Dd_c}{\overline{\lambda}}$ , assuming that  $d_c < D$ . However, if  $d_c > D$  (as with coherent illumination), then

$$z > 2\frac{D^2}{\bar{\lambda}}$$
 (same as Fraunhofer)

## Interpretation

Check the coherent limit. We should get the Fraunhofer formula. Full coherence:  $\mu = 1$ . Then



checks

Now let's check for nearly incoherent illumination, where the coherence area << aperture area  $(d_c \ll D)$ . Then  $\mu(\Delta\xi, \Delta\eta)$  is sharply peaked near the origin.

Near  $(\Delta \xi, \Delta \eta) = (0, 0)$ , *P* has its maximum value of  $I_o A$ .

$$I(x, y) \cong \frac{I_o A}{\left(\bar{\lambda}z\right)^2} \int_{-\infty}^{\infty} \int \mu_i(\Delta\xi, \Delta\eta) \exp\left[j\frac{2\pi}{\bar{\lambda}z}(\Delta\xi x + \Delta\eta y)\right] d\Delta\xi d\Delta\eta$$
(11.20)

The intensity of the diffraction pattern is a Fourier Transform of the mutual coherence function.

- Since  $d_c \ll D$ , the light diffracts more rapidly, with a larger diffraction angle than for coherent illumination

- In fact, the divergence is controlled only by  $d_c$  in this limit. The aperture in this case has a negligible effect!

- Recall the result from the Van Cittert - Zernike theorem. The coherence area of diffracted light in a far-field is related to the Fourier Transform of the <u>aperture</u>.



Now we consider the intermediate case: partially coherent illumination

Both *P* and  $\mu$  play a role in determining the shape of I(x, y). I(x, y) is determined by the convolution of the transforms of *P* and  $\mu$ .

$$I(x, y) = \frac{I_o}{(\bar{\lambda}z)^2} F[P \cdot \mu] = \frac{I_o}{(\bar{\lambda}z)^2} F[P] \otimes F[\mu]$$
(11.21)

Thus, the Fraunhofer diffraction pattern is convolved with  $F[\mu]$ .

As the coherence area is reduced, the diffraction pattern gets smoothed and broadened out.

