

# CS263–Spring 2008

## Topic 2: Type Theory

### Section 6.1: Type Semantics II

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#### A set-theoretic interpretation of types (Review)

##### ■ Type constructs

Given types  $\mathcal{A}$  and  $\mathcal{B}$ , the type  $(\mathcal{A} \rightarrow \mathcal{B})$  is the *PER* defined as follows:

$U(\mathcal{A} \rightarrow \mathcal{B})V$  if, and only if, *whenever*  $X \mathcal{A} Y$  holds, *then*  $U[X] \mathcal{B} V[Y]$  holds.

The definition of  $(\mathcal{A} \rightarrow \mathcal{B})$  is one way of making new types out of old, and there are a *multitude* of other ways of doing so. In this section we present five important ones.

The first is by *intersection*.

$U(\mathcal{A} \cap \mathcal{B})V$  if, and only if, both  $U \mathcal{A} V$  and  $U \mathcal{B} V$ .

**Theorem.** If  $\mathcal{A}$  and  $\mathcal{B}$  are *PERs*, then so is  $\mathcal{A} \cap \mathcal{B}$ .

In fact, the intersection of *any* family of *PERs* is again a *PER*, as is easily proved.

It is not the case that the *union* of *PERs* is necessarily a *PER*. The example of the two *Pers*  $\{\{0\}, \{1\}\} \times \{\{0\}, \{1\}\}$  and  $\{\{1\}, \{2\}\} \times \{\{1\}, \{2\}\}$  show this. (Why?) What is needed is to *add* something to a union.

$$U(\mathcal{A} \uplus \mathcal{B})V \text{ if, and only if, } \exists Z \in \mathbb{P}, n \in \mathbb{N}. \text{ such that } Z[0] = U \text{ and } Z[n] = V \text{ and} \\ \forall i < n. \text{ either } Z[i] \mathcal{A} Z[i+1] \text{ or } Z[i] \mathcal{B} Z[i+1].$$

**Theorem.** If  $\mathcal{A}$  and  $\mathcal{B}$  are *PERs*, then so is  $\mathcal{A} \uplus \mathcal{B}$ .

We could say that  $\mathcal{A} \uplus \mathcal{B}$  is the *PER* that is *generated by* the union  $\mathcal{A} \cup \mathcal{B}$ . It is also the *least PER* containing the union. (Why?)

There are situations when the union of *PERs* is again a *PER*, however. We formulate two.

**Theorem.** If two *PERs*  $\mathcal{A}$  and  $\mathcal{B}$  are such that  $\mathcal{A} \cap \mathcal{B} = \emptyset$ , then  $\mathcal{A} \cup \mathcal{B}$  is again a *PER*.

**Theorem.** If a sequence of *PERs* is such that  $\mathcal{A}_0 \subseteq \mathcal{A}_1 \subseteq \dots \subseteq \mathcal{A}_i \subseteq \dots$ , then the union  $\bigcup_{i=0}^{\infty} \mathcal{A}_i$  is again a *PER*.

The next definition expands the idea of a *cartesian product* from sets to *PERs*.

$$U(\mathcal{A} \times \mathcal{B})V \text{ if, and only if, both } 1 \text{ st}[U] \mathcal{A} 1 \text{ st}[V] \text{ and } 2 \text{ nd}[U] \mathcal{B} 2 \text{ nd}[V].$$

We need to remember here that under our interpretation of *ordered pairs of sets* in  $\mathbb{P}$ , every set is at the same time a pair:  $U = [1 \text{ st}[U], 2 \text{ nd}[U]]$ . So we could have written this definition equivalently as follows:

$$[U_0, U_1] (\mathcal{A} \times \mathcal{B}) [V_0, V_1], \text{ and only if, both } U_0 \mathcal{A} V_0 \text{ and } U_1 \mathcal{B} V_1.$$

**Theorem.** If  $\mathcal{A}$  and  $\mathcal{B}$  are *PERs*, then so is  $\mathcal{A} \times \mathcal{B}$ .

**Warning.** Do not *confuse*  $\mathcal{A} \times \mathcal{B}$  and  $\mathcal{A} \cap \mathcal{B}$ . (Why?)

The idea of making a *disjoint sum* (sometimes called *coproduct*) is to make *disjoint copies* of  $\mathcal{A}$  and of  $\mathcal{B}$  and *then* take a union.

$$U(\mathcal{A} + \mathcal{B})V \text{ if, and only if, either } 1 \text{ st}[U] = 1 \text{ st}[V] = \mathbf{0} \text{ and } 2 \text{ nd}[U] \mathcal{A} 2 \text{ nd}[V] \text{ or} \\ 1 \text{ st}[U] = 1 \text{ st}[V] = \mathbf{1} \text{ and } 2 \text{ nd}[U] \mathcal{B} 2 \text{ nd}[V]$$

Again, there is another way of writing this definition:

$$[U_0, U_1] (\mathcal{A} + \mathcal{B}) [V_0, V_1] \text{ if, and only if, either } U_0 = V_0 = \mathbf{0} \text{ and } U_1 \mathcal{A} V_1 \text{ or} \\ U_0 = V_0 = \mathbf{1} \text{ and } U_1 \mathcal{B} V_1$$

**Theorem.** If  $\mathcal{A}$  and  $\mathcal{B}$  are *PERs*, then so is  $\mathcal{A} + \mathcal{B}$ .

We can also relate the sum to the product via a *union*:

$$\mathcal{A} + \mathcal{B} = (Id(\{0\}) \times \mathcal{A}) \cup (Id(\{1\}) \times \mathcal{B})$$

Note also these equations :

$$\mathbf{Corollary.} \quad Id(\{0\}) \times \mathcal{A} = \mathcal{A} + \emptyset \text{ and } Id(\{1\}) \times \mathcal{B} = \emptyset + \mathcal{B}.$$

The last construct to be defined now is called *lifting*.

$$\mathcal{A}_\perp = (\mathcal{A} + \emptyset) \cup \perp$$

The need for this construct will be clear later in discussing *partial functions*.

There are many, many *relationships* between types that can be explained in terms of mappings. That will be the subject of the *next lecture*.

## Relating types

### ■ Isomorphism

Many types represent the *same structure*. For example, types such as  $Id(\{n\})$  and  $Id(\{m\})$  are both types of a *single, isolated element*, and it makes little difference whether we call it  $n = 0$  or  $m = 1001$  or some other integer. The two types give us the same kind of structure and are *isomorphic*. Similarly,  $Id(\{0, 1\})$  and  $Id(\{13, 666\})$  are isomorphic.

Here is the formal definition:

Two types  $\mathcal{A}$  and  $\mathcal{B}$  are said to be *isomorphic* provided that there are  $U$  and  $V$  with  $U : \mathcal{A} \rightarrow \mathcal{B}$  and  $V : \mathcal{B} \rightarrow \mathcal{A}$  such that

$$V \circ U(\mathcal{A} \rightarrow \mathcal{A}) \lambda X.X \text{ and } U \circ V(\mathcal{B} \rightarrow \mathcal{B}) \lambda X.X.$$

We notate this relationship as  $\mathcal{A} \cong \mathcal{B}$ .

**Note.** A stronger condition is being *recursively isomorphic*. This adds the extra condition that both  $U$  and  $V$  are in  $\mathbb{R}\mathbb{E}$ . We shall find many examples where this stronger relationship holds because so many basic operators are *computable*.

The conditions on  $U$  and  $V$  for giving an isomorphism could also have been stated as:

$$\forall X : \mathcal{A} . V[U[X]] \mathcal{A} X \text{ and } \forall Y : \mathcal{B} . U[V[Y]] \mathcal{B} Y.$$

(Why?)

**Theorem.** Isomorphism (and, likewise, recursive isomorphism) is an *equivalence relation* among types.

**Proof.** (1) Inasmuch as  $\lambda X.X (\mathcal{A} \rightarrow \mathcal{A}) \lambda X.X$ , then  $\mathcal{A} \cong \mathcal{A}$  follows.

(2) If we have  $\mathcal{A} \cong \mathcal{B}$  using  $U$  and  $V$  as in the definition, then we have  $\mathcal{B} \cong \mathcal{A}$  using  $V$  and  $U$ .

(3) Suppose  $\mathcal{A} \cong \mathcal{B}$  using  $U$  and  $V$ , and  $\mathcal{B} \cong \mathcal{C}$  using  $S$  and  $T$ . Then we can show that  $\mathcal{A} \cong \mathcal{C}$  by using  $S \circ U$  and  $V \circ T$ . (Why?) **Q.E.D.**

**Note.** In formulating these conditions, some authors prefer the more algebraic notation using these abbreviations:  $\mathbf{1} = \lambda X.X$  and  $\mathbf{1}_{\mathcal{A}} = \lambda X : \mathcal{A}. X$ .

**Theorem.** For  $A \in \mathbb{P}$  and  $\mathcal{A} \subseteq \mathbb{P}$ , we have  $\perp \cong Id(\{A\}) \cong \mathcal{A} \times \mathcal{A} \cong (\mathcal{A} \times \mathcal{A}) \rightarrow (\mathcal{A} \times \mathcal{A})$ .

We also note that isomorphisms *compose* under *some of* our type constructs:

**Theorem.** For *PERs*  $\mathcal{A}_0 \cong \mathcal{A}_1$  and  $\mathcal{B}_0 \cong \mathcal{B}_1$ , we have

$$\mathcal{A}_0 \rightarrow \mathcal{B}_0 \cong \mathcal{A}_1 \rightarrow \mathcal{B}_1,$$

$$\mathcal{A}_0 \times \mathcal{B}_0 \cong \mathcal{A}_1 \times \mathcal{B}_1,$$

$$\mathcal{A}_0 + \mathcal{B}_0 \cong \mathcal{A}_1 + \mathcal{B}_1, \text{ and}$$

$$\mathcal{A}_{0\perp} \cong \mathcal{A}_{1\perp}.$$

**Question.** What about  $\cap$  and  $\uplus$ ?

### ■ Order-theoretic properties

**Note.** On pp. 116-118 of "*An Introduction to Lambda Calculi for Computer Scientists*" by Chris Hankin, a number of properties of *intersection types* are mentioned. We shall now verify the corresponding properties using our definitions.

Clearly  $\subseteq$  is a partial ordering, and we have no need of discussing the obvious properties of that relation in connection with  $\cap$ . There are also similar properties for  $\uplus$ .

Keep in mind that we also have two *extreme types*  $\emptyset$  and  $\mathbb{P} = \mathbb{P} \times \mathbb{P}$ , so that for all types  $\mathcal{A}$  we have  $\emptyset \subseteq \mathcal{A} \subseteq \mathbb{P}$ . And  $\mathbb{P}$  is a very *silly* type making all things equivalent. Hence, we can say both that  $\mathbb{P} = \mathbb{P} \rightarrow \mathbb{P}$  and  $\mathbb{P} \cong Id(\{\mathbf{0}\})$ . (Why?) Those are the *uninteresting* order-theoretic properties. Here are more interesting ones:

**Theorem.** For types  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  we have

$$(\mathcal{A} \rightarrow \mathcal{C}) \cap (\mathcal{B} \rightarrow \mathcal{C}) \subseteq ((\mathcal{A} \cap \mathcal{B}) \rightarrow \mathcal{C}), \text{ and}$$

$$(\mathcal{A} \rightarrow (\mathcal{B} \cap \mathcal{C})) = (\mathcal{A} \rightarrow \mathcal{B}) \cap (\mathcal{A} \rightarrow \mathcal{C}).$$

The proofs are very easy from the definitions.

**Warning.** The reason that the first relationship is *not* an equality is that we might have  $(\mathcal{A} \cap \mathcal{B}) = \emptyset$  thereby making  $((\mathcal{A} \cap \mathcal{B}) \rightarrow \mathcal{C})$  *too large*!

In the cases of the other constructs, we find some *monotonicity*. But one case is *special*.

**Theorem.** If we have types  $\mathcal{A} \subseteq \mathcal{B}$ , and  $\mathcal{C} \subseteq \mathcal{D}$ , then we have

$$(\mathcal{A} + \mathcal{C}) \subseteq (\mathcal{B} + \mathcal{D}),$$

$$(\mathcal{A} \times \mathcal{C}) \subseteq (\mathcal{B} \times \mathcal{D}),$$

$$\mathcal{A}_{\perp} \subseteq \mathcal{B}_{\perp}, \text{ and}$$

$$(\mathcal{B} \rightarrow \mathcal{C}) \subseteq (\mathcal{A} \rightarrow \mathcal{D}).$$

**Warning.** In the case of the mapping-space construct there is a *reversal* of order. (Why?)

### ■ Sums, products and exponentials

In the case of intersection types we had an *identity* between types. More generally, just *isomorphisms* should be expected. (Why?) We start with *products*.

**Theorem.** For types  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  we have:

$$\perp \times \mathcal{A} \cong \mathcal{A},$$

$$\mathcal{A} \times \mathcal{B} \cong \mathcal{B} \times \mathcal{A}, \text{ and}$$

$$(\mathcal{A} \times \mathcal{B}) \times \mathcal{C} \cong \mathcal{A} \times (\mathcal{B} \times \mathcal{C}).$$

**Note.** In fact, here all the isomorphisms are *recursive isomorphisms*. The proofs are left as an exercise.

**Theorem.** For types  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  we have:

$$(\mathcal{A} \times \mathcal{B}) \rightarrow \mathcal{C} \cong \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}), \text{ and}$$

$$\mathcal{A} \rightarrow (\mathcal{B} \times \mathcal{C}) \cong (\mathcal{A} \rightarrow \mathcal{B}) \times (\mathcal{A} \rightarrow \mathcal{C}).$$

Remember,  $\perp = \text{Id}(\{\emptyset\})$ , and keep in mind that one-element types behave as if they were the product of *no* types at a time.

**Theorem.** For all types  $\mathcal{A}$ , we have

$$\perp \rightarrow \mathcal{A} \cong \mathcal{A}, \text{ and}$$

$$\mathcal{A} \rightarrow \perp \cong \perp.$$

For other finite types, we have "arithmetic" answers. First some definitions:

$$\mathbf{0} \mathcal{A} = \emptyset$$

$$(n + 1) \mathcal{A} = n \mathcal{A} + \mathcal{A}$$

$$\mathcal{A}^0 = \perp$$

$$\mathcal{A}^{n+1} = \mathcal{A}^n \times \mathcal{A}$$

It is also convenient to have an abbreviated notation for some *finite types*.

$$\mathcal{N}_n = \text{Id}(\{0, 1, \dots, n-1\})$$

**Theorem.** For all types  $\mathcal{A}$  and  $n \in \mathbb{N}$ , we have

$$\mathcal{N}_n \times \mathcal{A} \cong n \mathcal{A}, \text{ and}$$

$$\mathcal{N}_n \rightarrow \mathcal{A} \cong \mathcal{A}^n.$$

And, of course our definitions agree with *finite integer arithmetic*.

**Theorem.** For  $n, m \in \mathbb{N}$ , we have

$$\mathcal{N}_n + \mathcal{N}_m \cong \mathcal{N}_{n+m},$$

$$\mathcal{N}_n \times \mathcal{N}_m \cong \mathcal{N}_{nm}, \text{ and}$$

$$\mathcal{N}_n \rightarrow \mathcal{N}_m \cong \mathcal{N}_{m^n}.$$

Turning now to *sums*, there are several general laws.

**Theorem.** For types  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  we have

$$\emptyset + \mathcal{A} \cong \mathcal{A},$$

$$\mathcal{A} + \mathcal{B} \cong \mathcal{B} + \mathcal{A},$$

$$(\mathcal{A} + \mathcal{B}) + \mathcal{C} \cong \mathcal{A} + (\mathcal{B} + \mathcal{C}), \text{ and}$$

$$\mathcal{A} \times (\mathcal{B} + \mathcal{C}) \cong (\mathcal{A} \times \mathcal{B}) + (\mathcal{A} \times \mathcal{C}).$$

**Theorem.** For types  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  we have

$$(\mathcal{A} + \mathcal{B}) \rightarrow \mathcal{C} \cong (\mathcal{A} \rightarrow \mathcal{C}) \times (\mathcal{B} \rightarrow \mathcal{C}).$$

**Note.** It was shown in the book, "*Isomorphisms of types : from  $\lambda$ -calculus to information retrieval and language design*" by Roberto Di Cosmo, that *no other general laws* are to be expected. However, as we shall see, there are *special types* over  $\mathbb{P}$  which *do* satisfy interesting *isomorphism relations*.