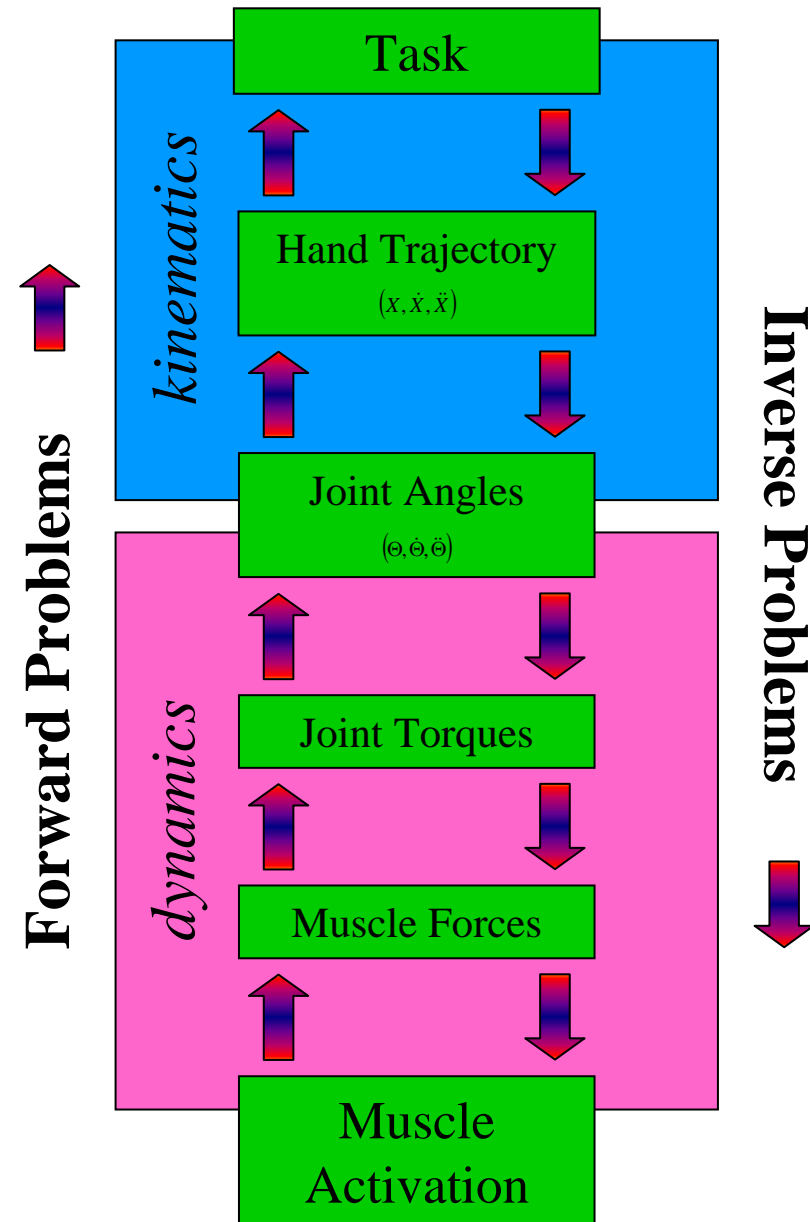


# Lecture Outline

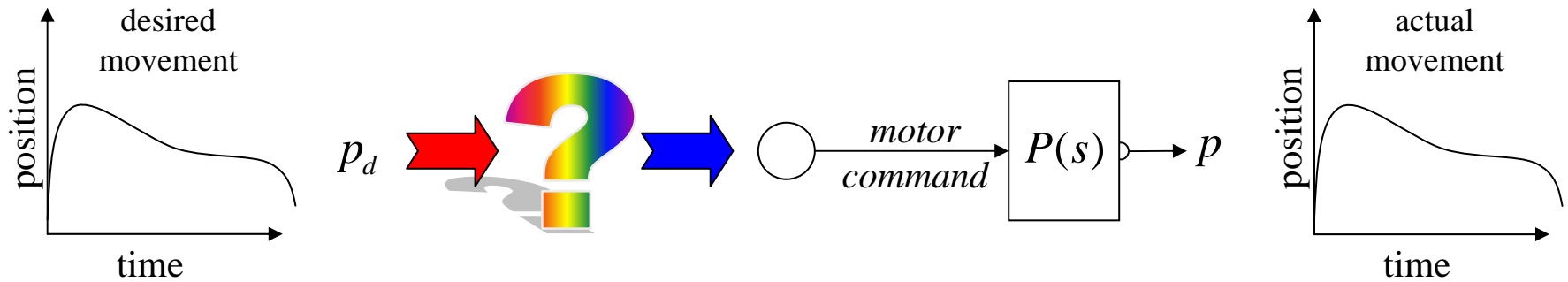
How does the human nervous system generate a movement of the hand?

- Basic Control Theory
  - Engineering for Neuroscientists*
  - **Feedforward and Feedback Control**
- Elements of the human motor system
  - Neurophysiology for Engineers*
  - **Actuators, Sensors, Circuits**
- Models of Human Motor Control
  - **Theories, History, Experimental Evidence**
- Consequences for Neuro-Robotics
  - Brain-machine interfaces

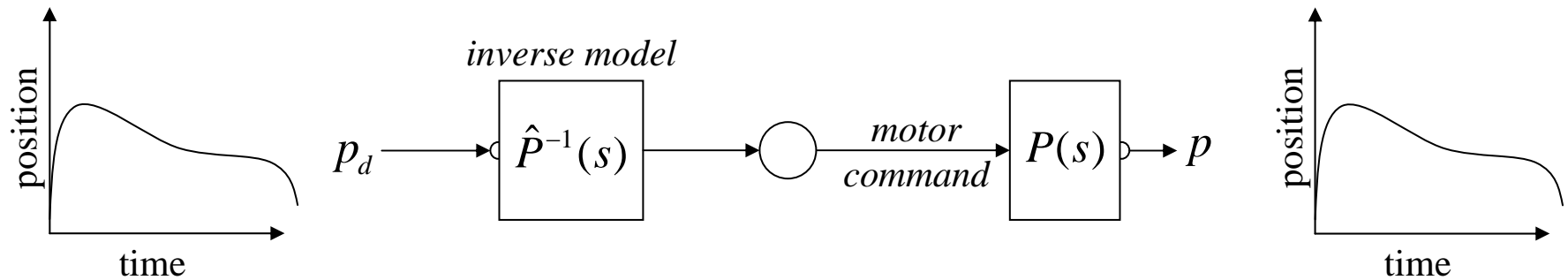


Source: J. McIntyre

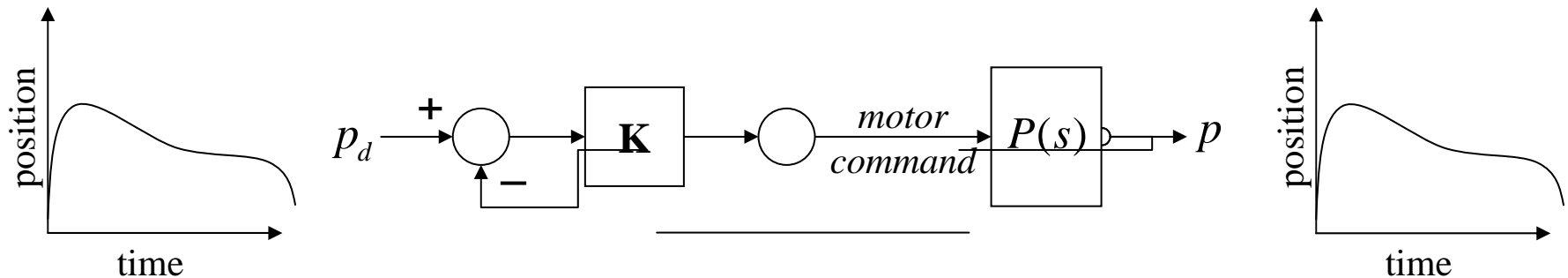
# Feedforward versus Feedback Control



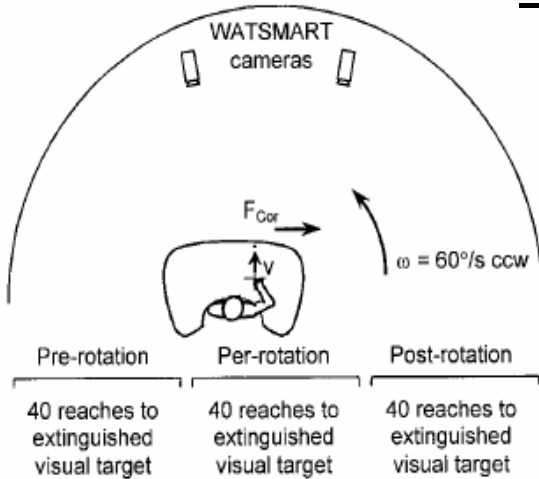
**Feedforward Control:** compute control based on knowledge of physics



**Feedback Control:** generate commands based on error signals



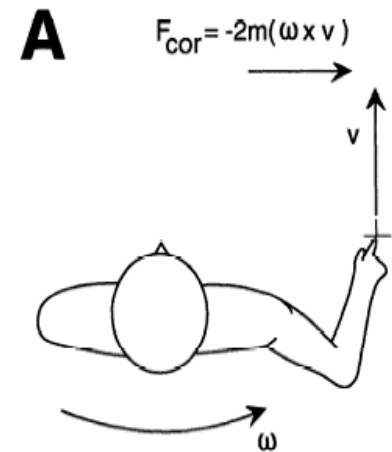
# A Key Experiment



- Subjects seated at the center of circular room.
- The entire room spins continuously at  $60^\circ/\text{s}$ .
- The vestibular system is sensitive to changes in angular velocity.
- After a few seconds, the subject has **no perception that the room is turning**.

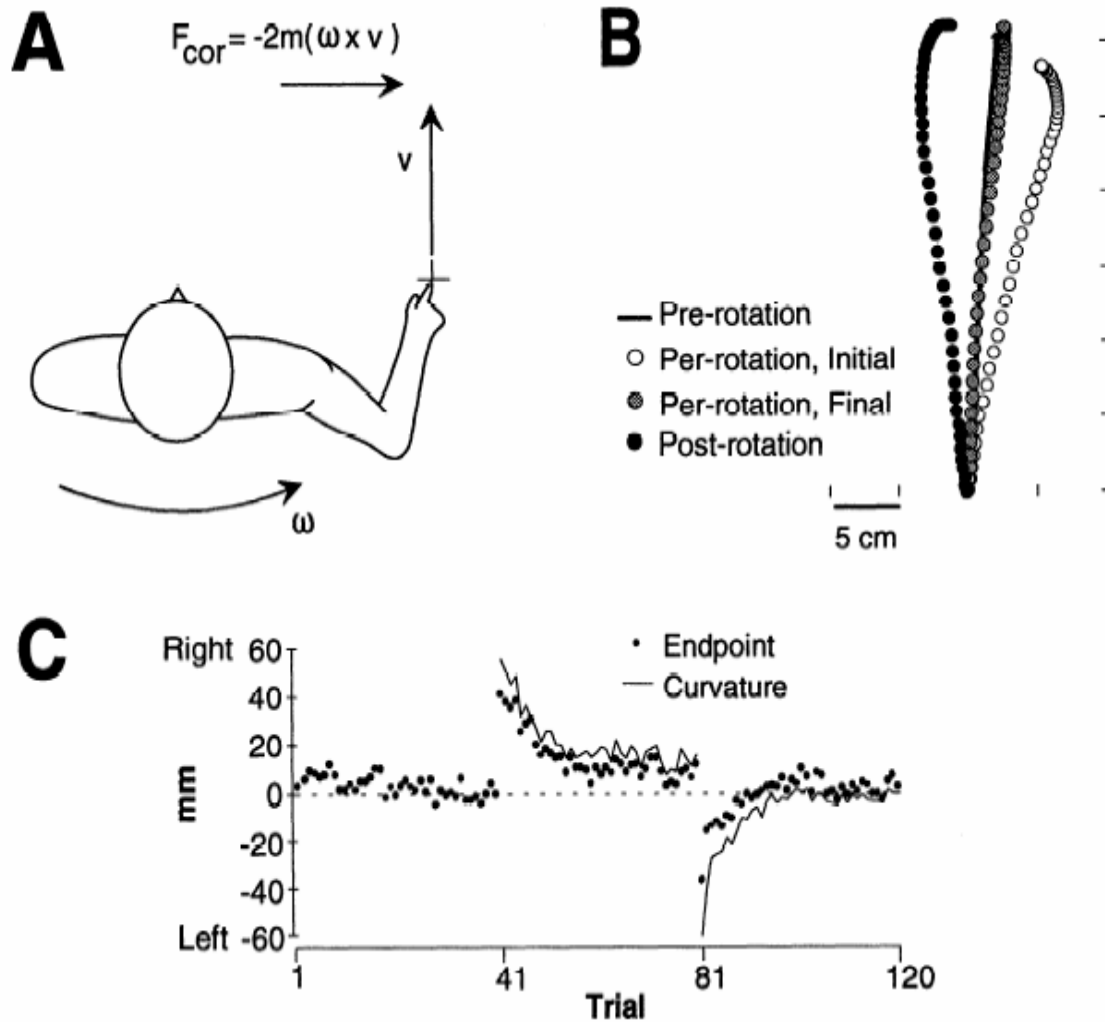
P Dizio and J Lackner *J. Neurophysiol.* 1994.

- Subjects perform a reaching movement toward a target located straight ahead.
- The interaction of the hand linear velocity and the rotation of the room results in a Coriolis force.
- The **Coriolis force is perpendicular to the hand velocity and proportional in amplitude**.



no velocity = no Coriolis Force

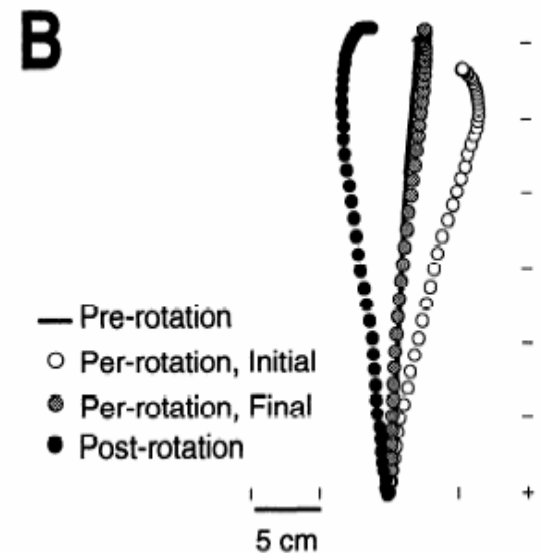
# Results



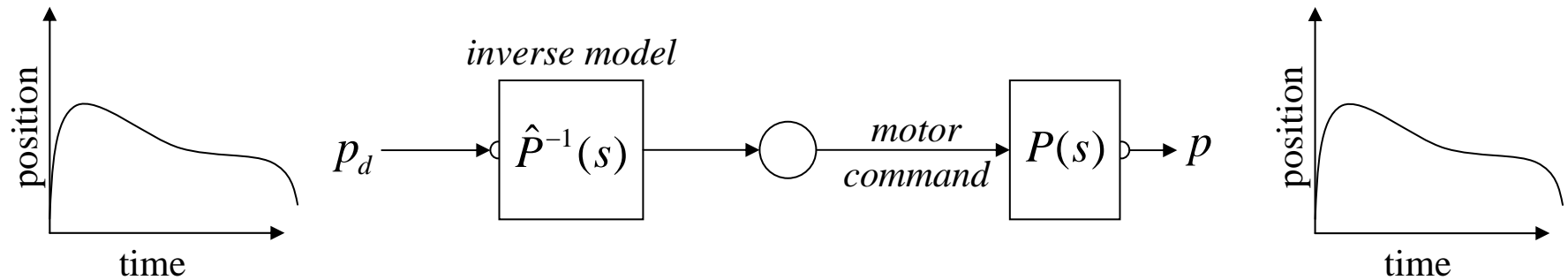
Question: Is this evidence for feedforward or feedback control of movement?

Answer: YES!

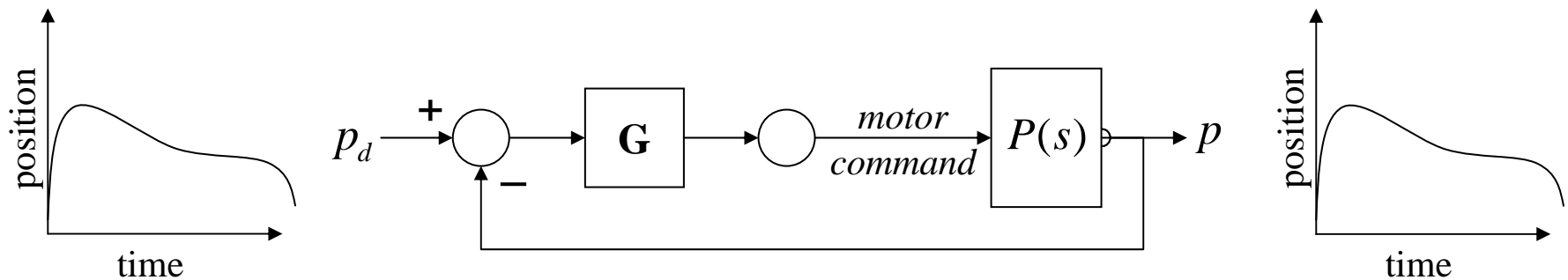
- Feedback
  - Correction of hand trajectory toward the target.
- Feedforward
  - Learning
  - After-effect



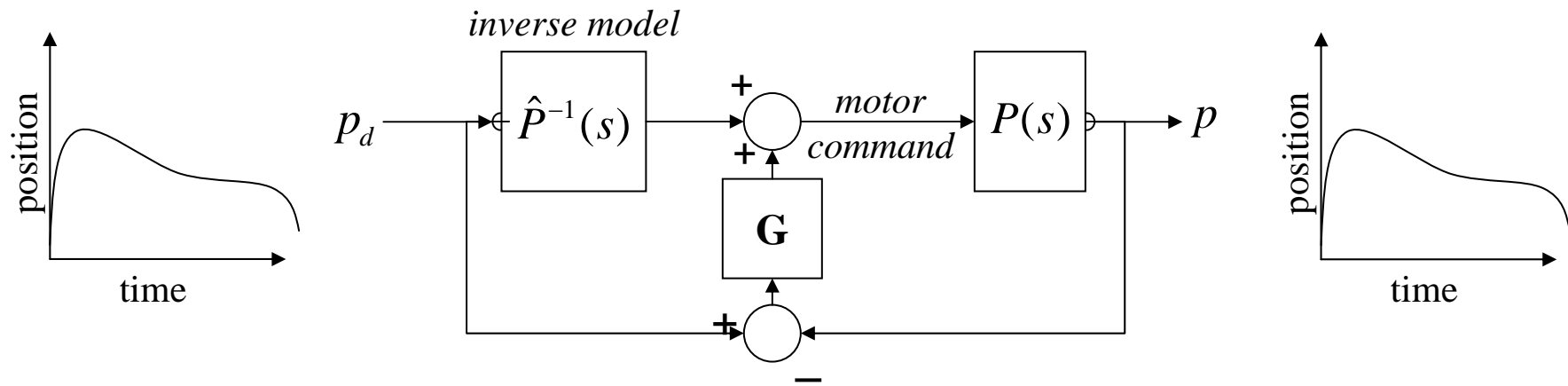
**Feedforward Control:** compute control based on knowledge of physics



**Feedback Control:** generate commands based on error signals



**Combined:** compute feedforward, correct with feedback



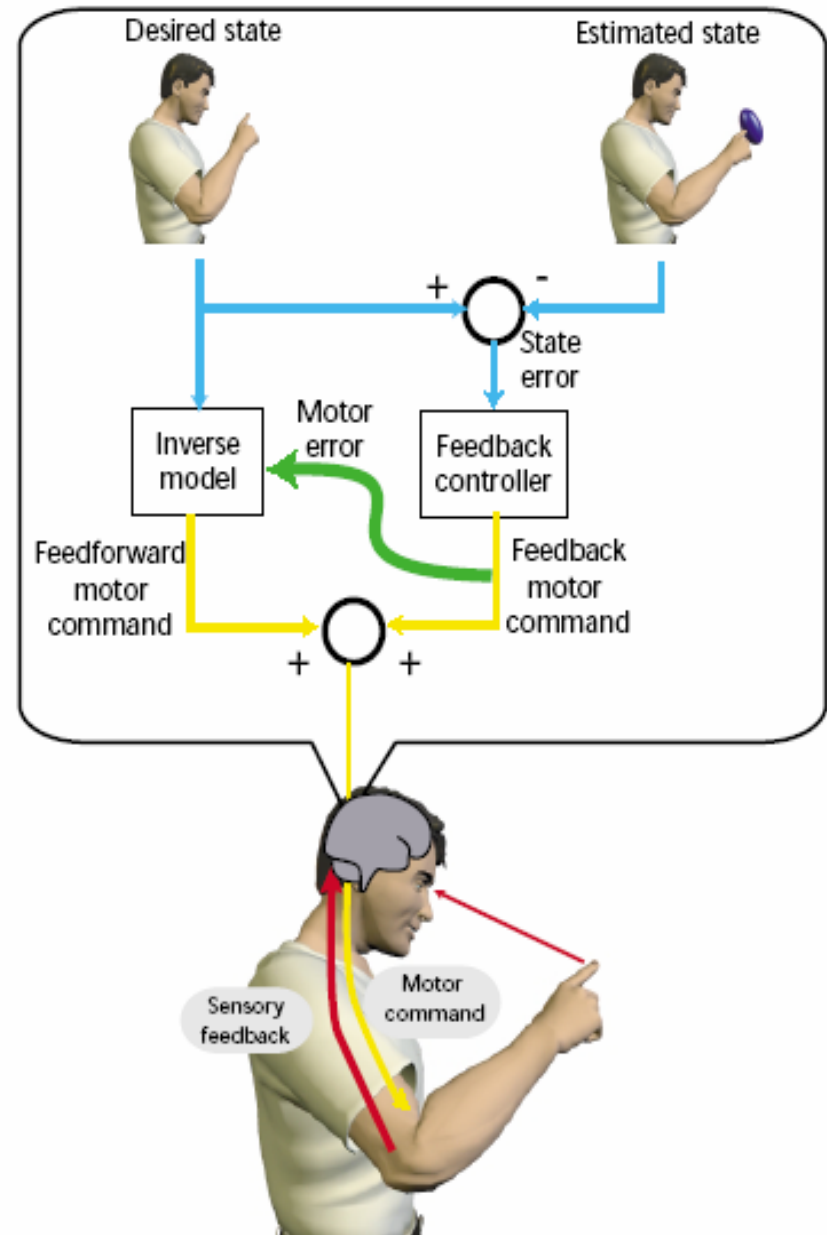
# Where do **Inverse Models** come from?

## Hypothesis: Feedback tunes Inverse Model

Kawato, M., Furawaka, K. & Suzuki, R.  
*A. Biol. Cybern.* **56**, 1–17 (1987).

Kawato, M. & Gomi, H. *Trends  
Neurosci.* **15**, 445–453 (1992).

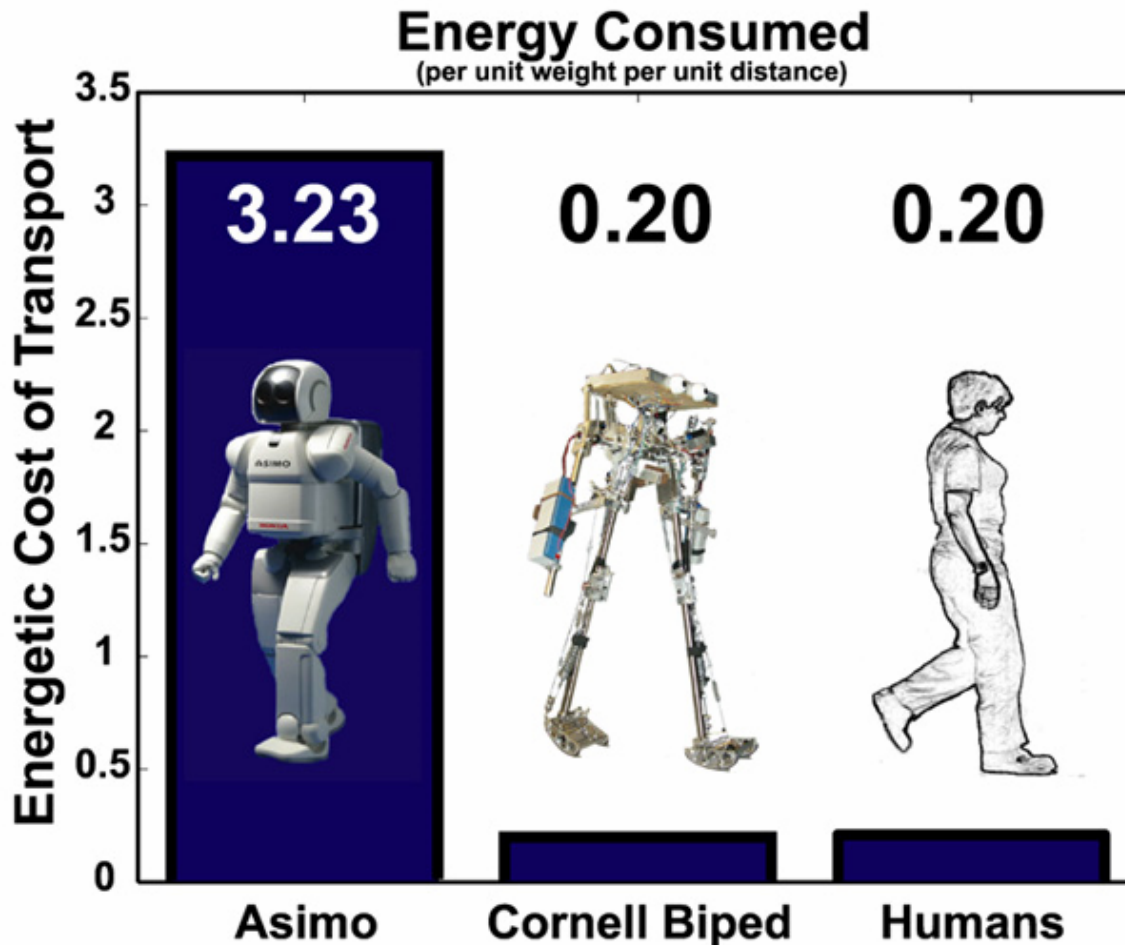
see: Wolpert, D and Ghahramani, Z. *Nat.  
Neurosci. Suppl.* 3, 1212–1217 (2000).



Exploiting the physics (revisited)



- Exploiting the physics (revisited)
  - Example: **Passive walkers**
    - Strong coupling between body architecture and control
    - [http://www.sciencemag.org/feature/misc/hp\\_jumps/robots/cornell.html](http://www.sciencemag.org/feature/misc/hp_jumps/robots/cornell.html)

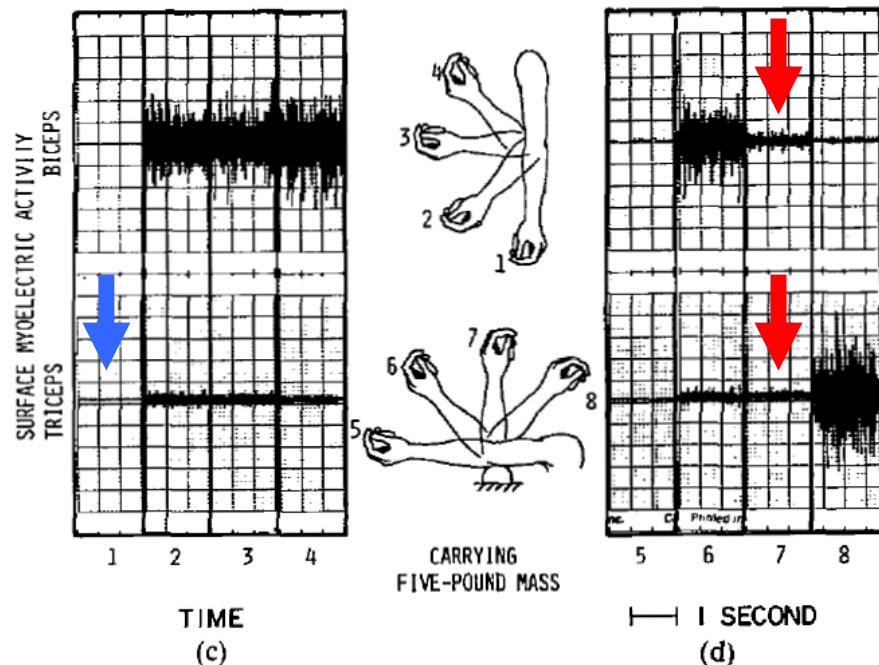
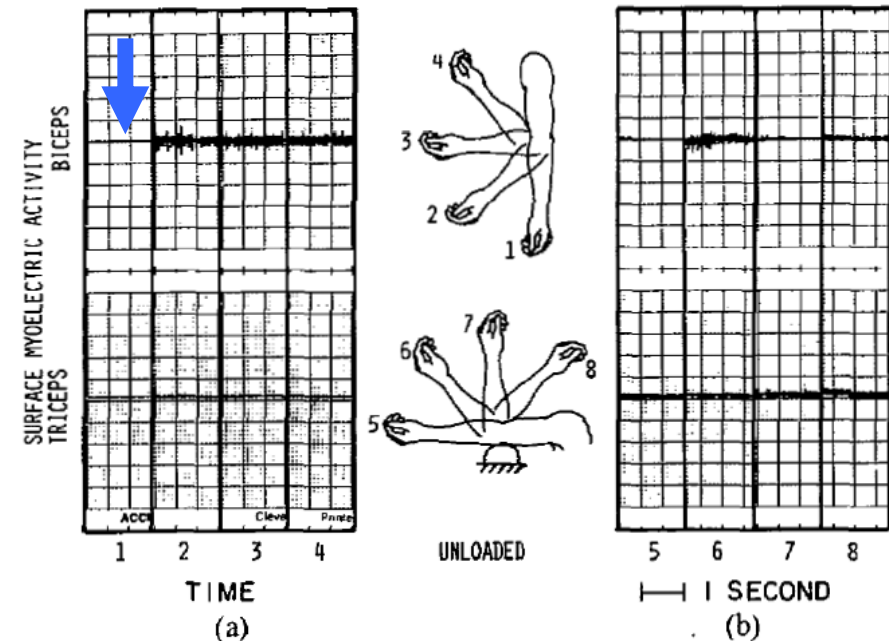


# Impedance control

- The **mechanical impedance** of the neuromuscular system determines the **reaction forces on the hand in response to perturbations from the manipulated object**
- **Q. Does the CNS modulate impedance?**
  - Fact: CNS is capable of varying the total stiffness and viscosity about a joint...

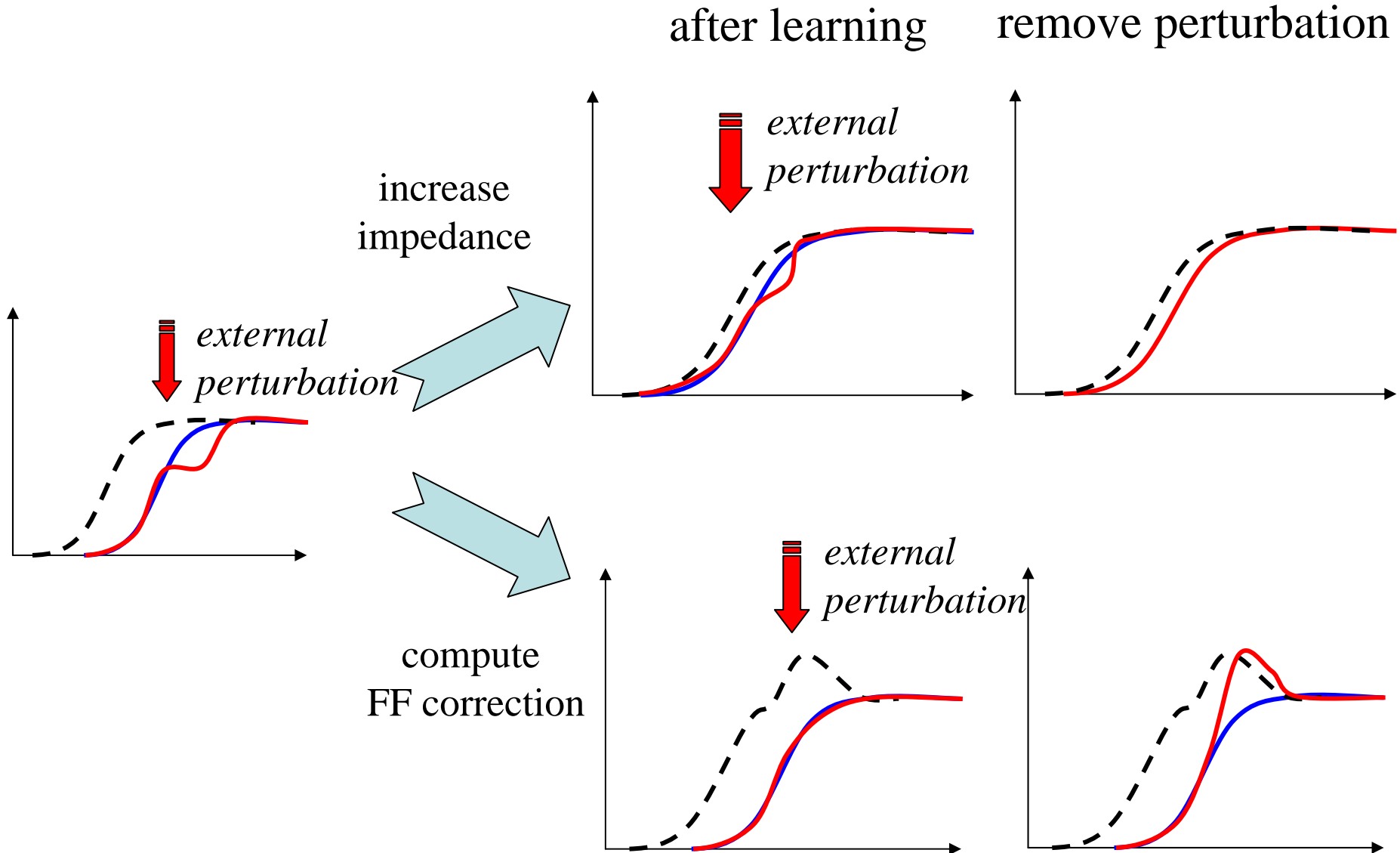
# Impedance control

- Coactivation of antagonist muscles is frequently observed under normal physiological conditions
- Simultaneous activation of antagonists does not contribute to the useful work output of muscles (work = energy transferred by a force)
- Yet it costs input metabolic energy!
  - Question: What is the purpose?



- Hogan's postulate: CNS adaptively tunes the parameters of controlled system by **antagonist coactivation**
- Z control strategies
  - **Feedback**
    - + computationally cheap
    - - limited bandwidth and delay problems
  - **Feedforward**
    - + no bandwidth and delay limits
    - - metabolically expensive

# Which mechanism for disturbance compensation?

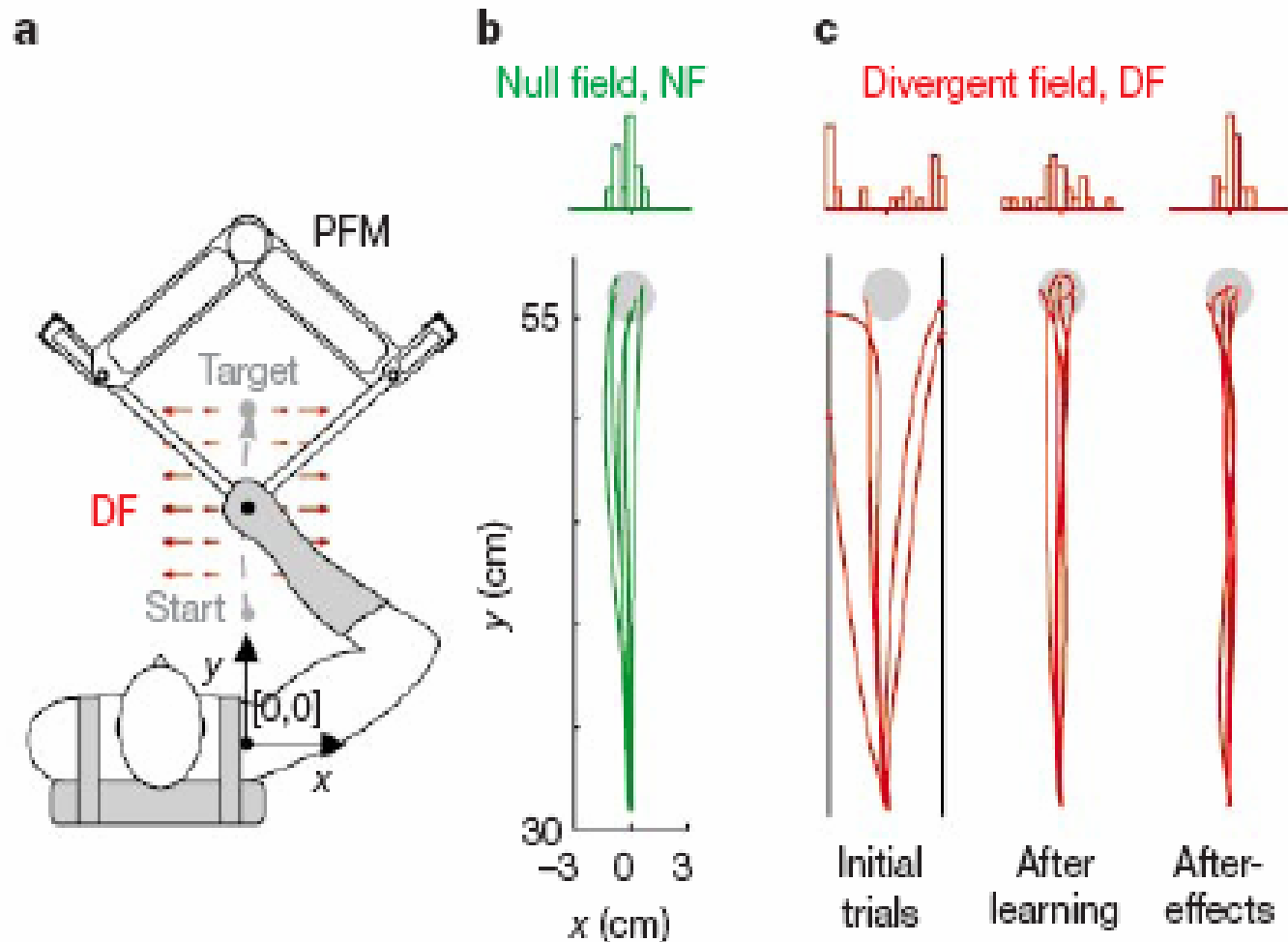


# How do we succeed in performing mechanically **unstable** tasks?

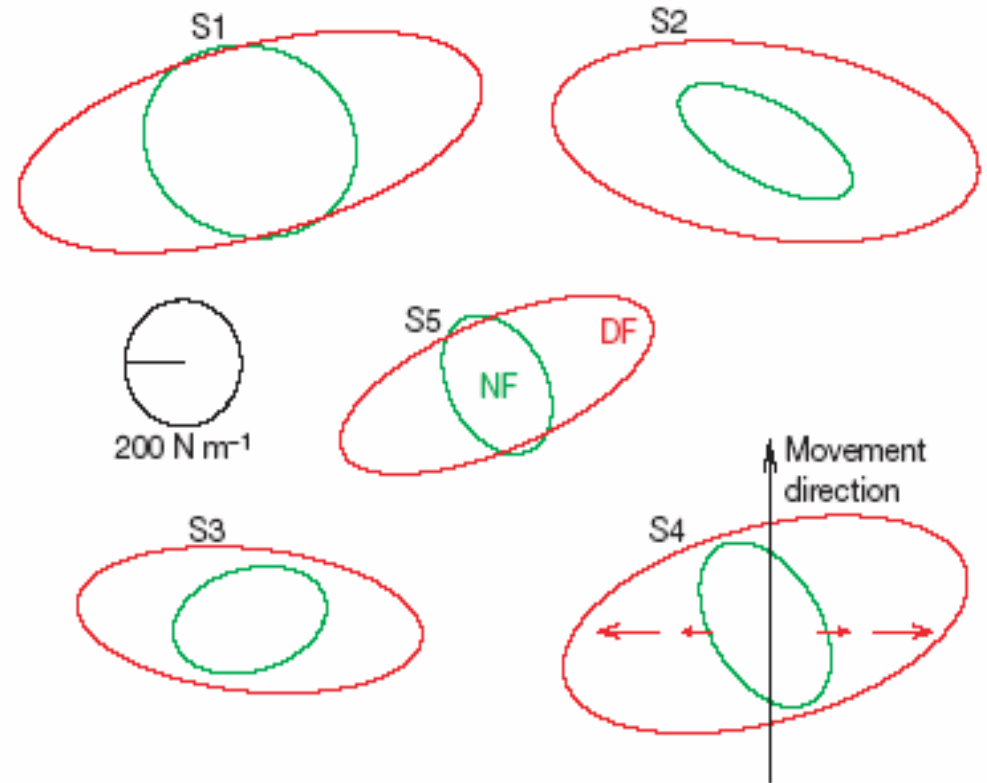
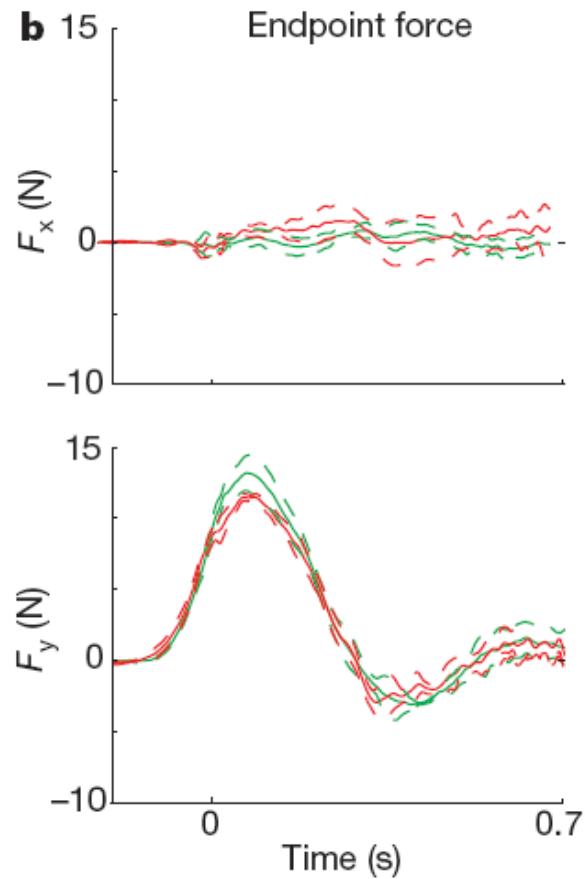


**H.** Impedance can be voluntarily modified independently of the force applied by the hand

# Lab version: Divergent Force Field

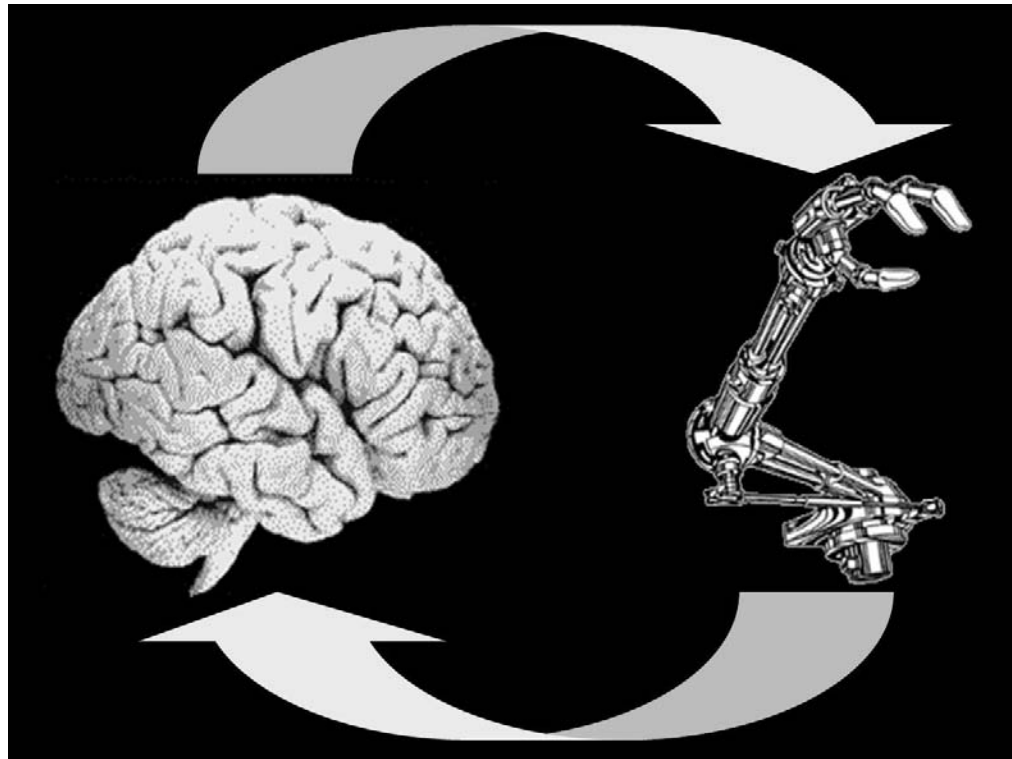


# Adaptation of Hand Impedance





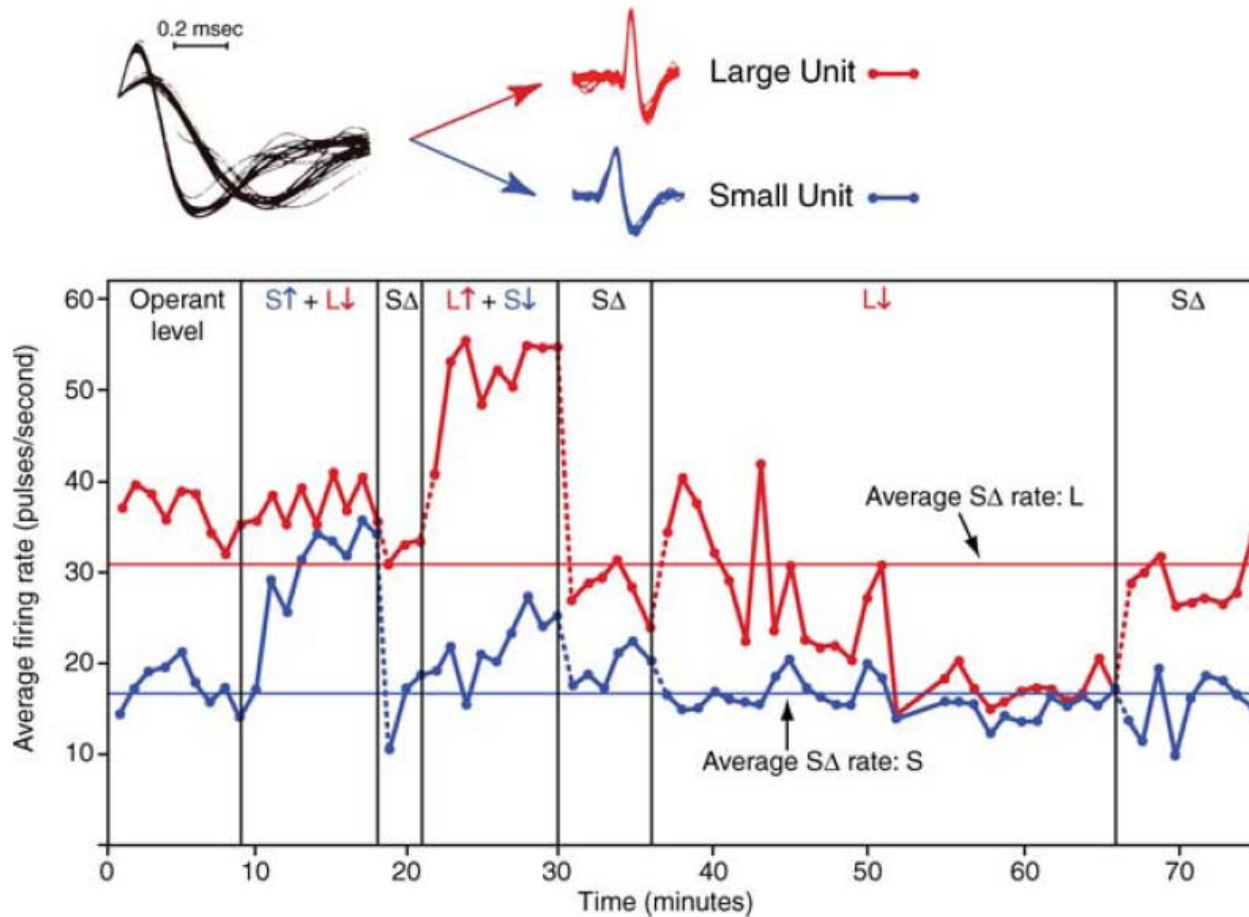
# Brain Machine Interfaces



# Key facts in BMI history

- Studies from Fetz and colleagues in the 70s demonstrated the concept of **biofeedback**.

# Volitional control of neural activity




**Eb Fetz** (U. Washington)

Fetz and Baker, **1973**



# Key facts in BMI history

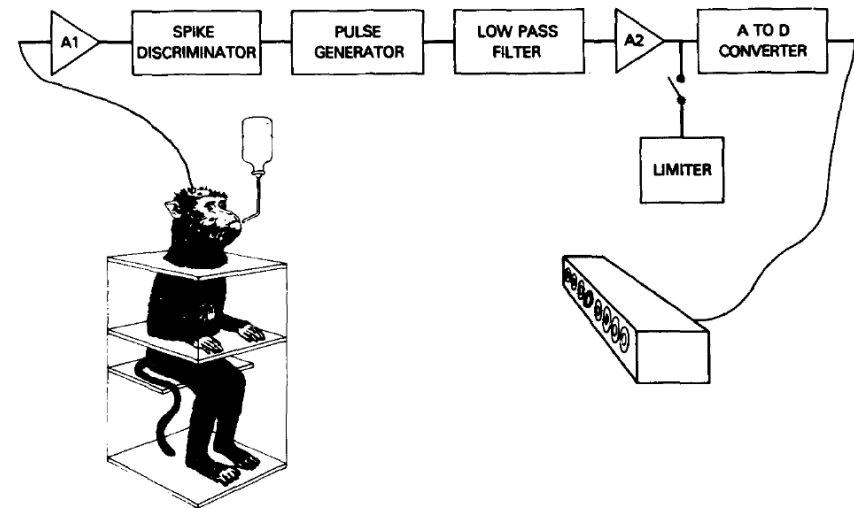
  
*Annals of Biomedical Engineering*, Vol. 8, pp. 339-349, 1980  
Printed in the USA.

0090-6964/80/040339-11 \$02.00/0  
1981 Pergamon Press Ltd.

## **SINGLE NEURON RECORDING FROM MOTOR CORTEX AS A POSSIBLE SOURCE OF SIGNALS FOR CONTROL OF EXTERNAL DEVICES**

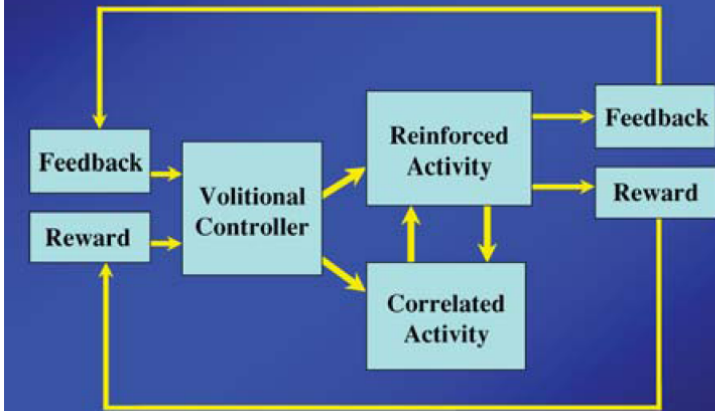
Edward M. Schmidt

Laboratory of Neural Control  
National Institute of Neurological and Communicative Disorders and Stroke  
National Institutes of Health  
Bethesda, Maryland

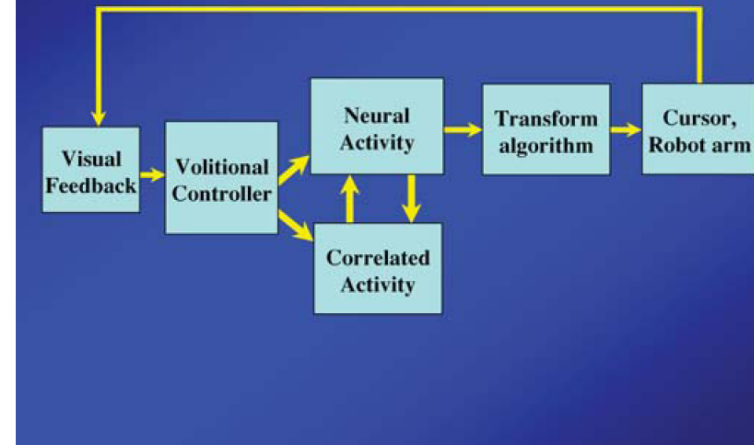


# Volitional control of neural activity

Basic biofeedback paradigm



Basic BCI/BMI paradigm

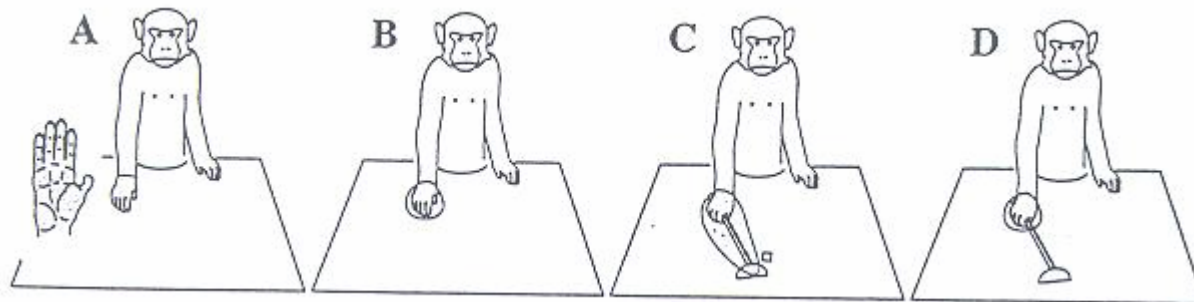


# Evidence of volitional activation associated with behavior

- **During control of movement**
  - Movement preparation (Wise *et al.* 1983; Kurata & Wise, 1988; Alexander & Crutcher, 1990; Riehle & Requin, 1995; Crutcher *et al.* 2004).
  - Execution of voluntary movements (Evarts, Mountcastle, Georgopoulos and many others)
  - Imagined movements (Jeannerod, 1995; Roth *et al.* 1996; Jeannerod & Frak, 1999; Niyazov *et al.* 2005)

# Key facts in BMI history

- Studies from Fetz and colleagues in the 70s demonstrated the concept of biofeedback.
- More recently, Iriki and others showed how body schema extends along a reaching tool after long term usage.



# Key facts in BMI history

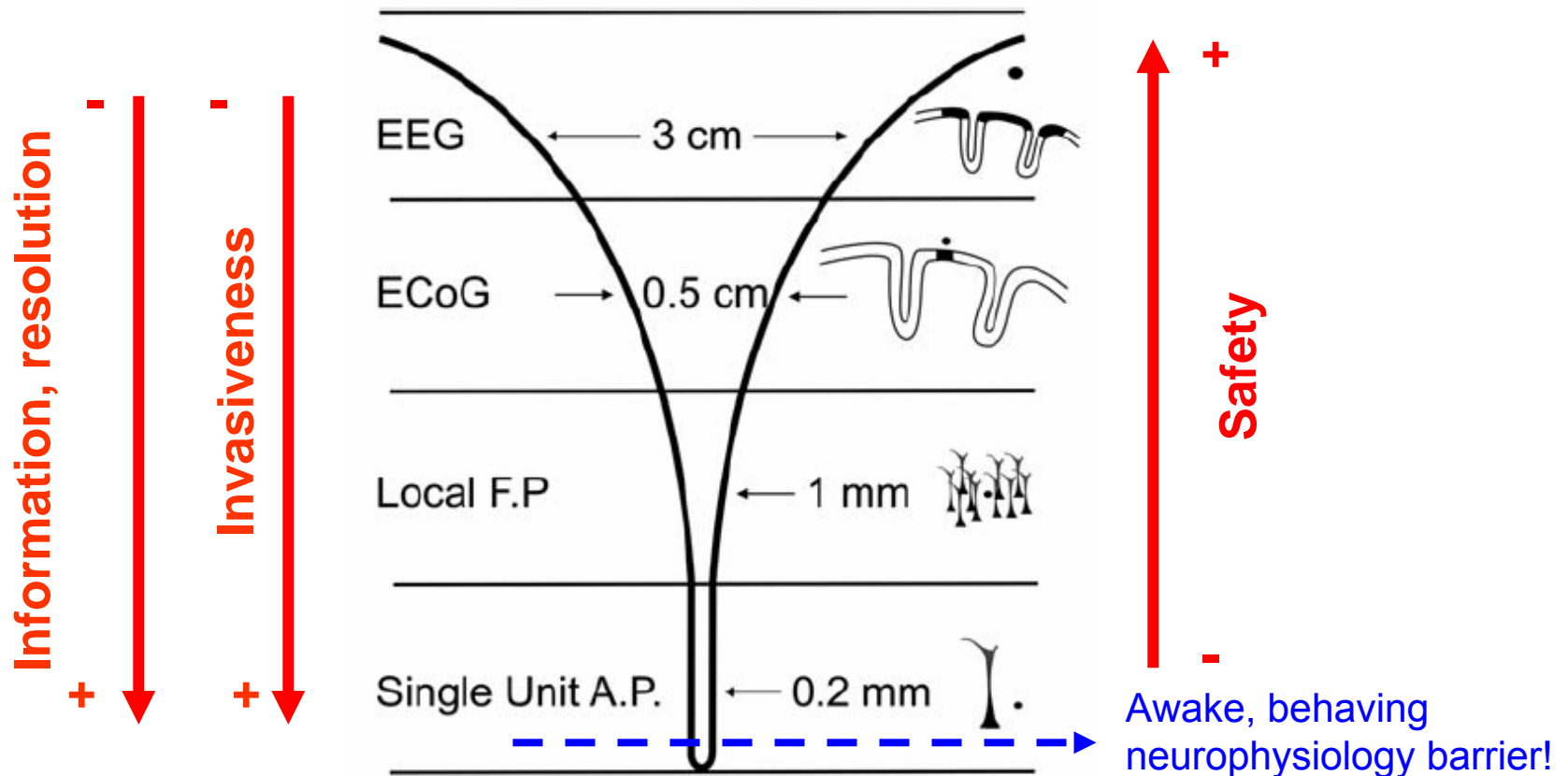
- Studies from Fetz and colleagues in the 70s demonstrated the concept of **biofeedback**.
- More recently, Iriki and others showed how **body schema extends** along a reaching **tool** after long term **usage**.
- Recent fMRI studies indicate that **cortical areas** involved in motor planning and execution **remain active in paralyzed patients** years after spinal cord injuries.

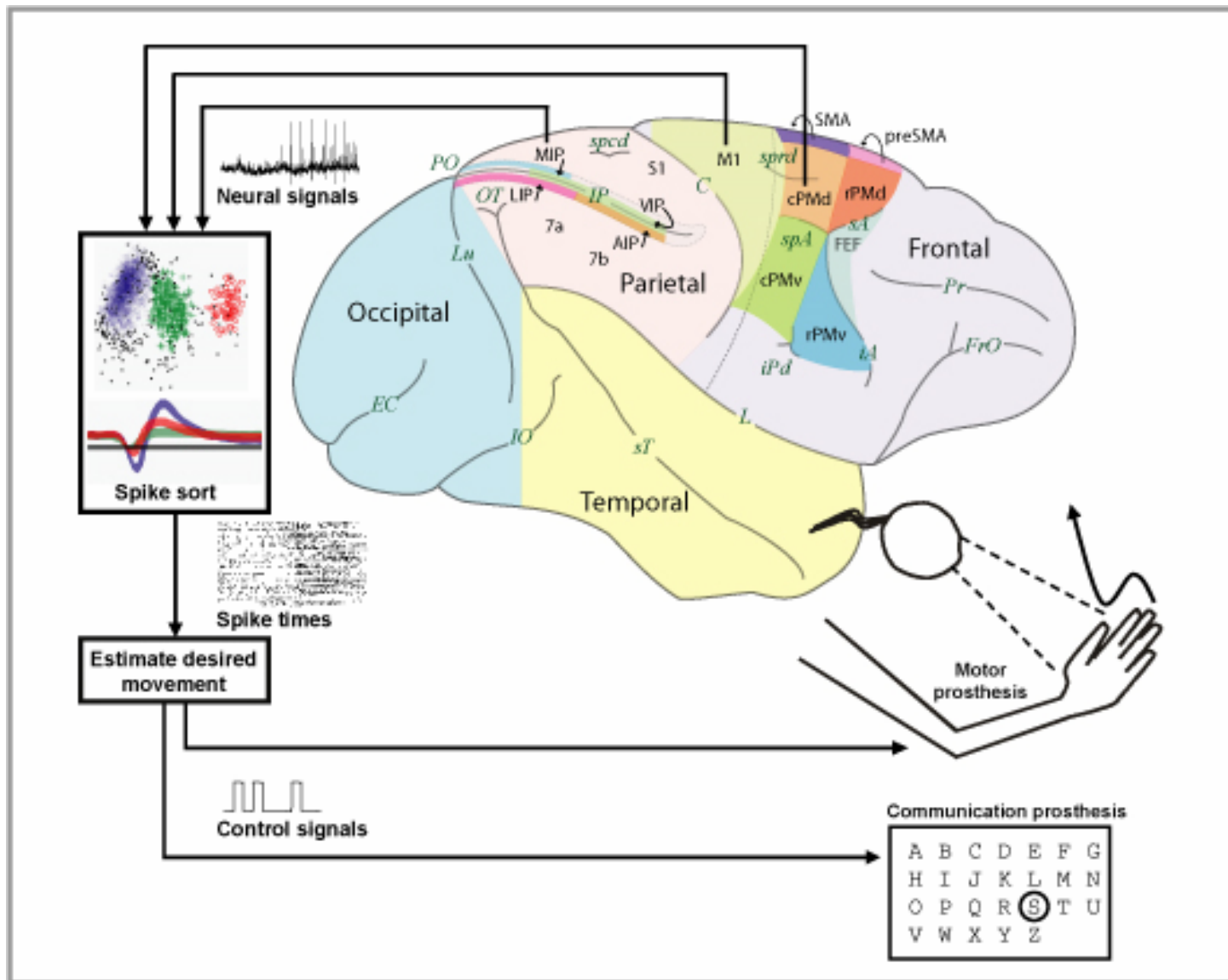


# BMI classification

- Based on the approach used
  - Non-invasive
    - EEG, e.g. cursor and wheelchair control
    - PET/MRI/MEG are **not portable** and very expensive
  - Invasive
    - Chronic microelectrode arrays
- Based on the flow of information
  - Encoding (sensory prosthesis)
    - e.g. cochlear implant, artificial retina...
  - Decoding (motor prosthesis)
    - e.g. cursor control, robot reaching and grasping...

# Recorded neural activity: spatial domains

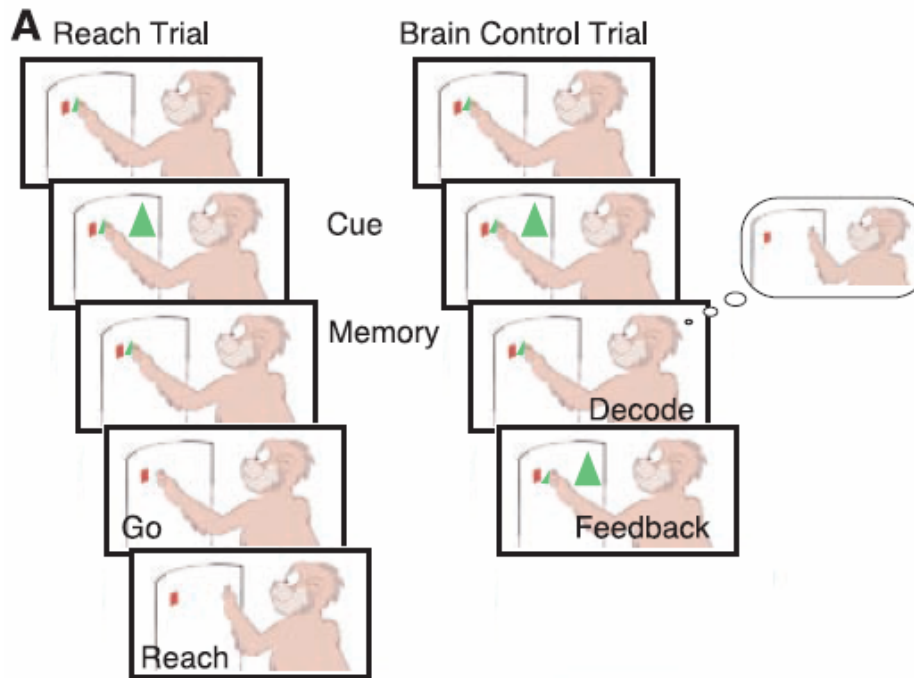




Source: Shenoy's lab

# Cognitive prosthesis

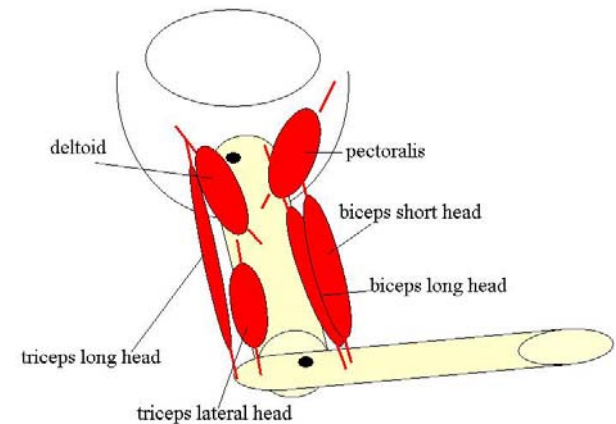
## Musallam et al., 2004



- Example of discrete control BMI
- Simultaneous decoding of goal of movement + **expected value** signals (e.g. juice reward)
- Subjects became proficient through training (BMI induced cortical plasticity)

# Motor prostheses

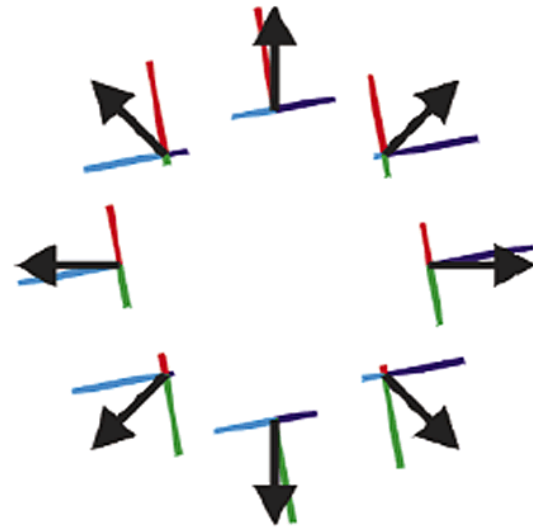
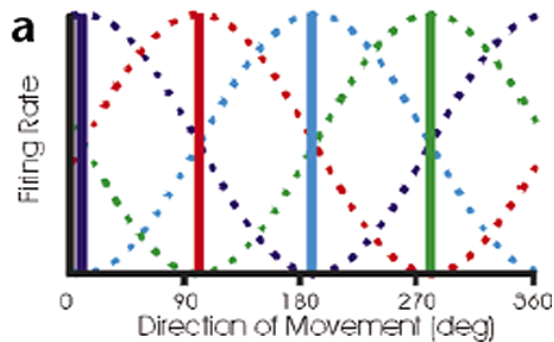
- Previous BMI work has relied on predictions of the end effector (hand) position and velocity.
- Is this because M1 encodes high-level parameters of hand movement ?
  - Big debate in neuroscience!



# One motor cortex, two different views

## 1. Georgopoulos

- Neuronal population vector
- M1 correlates with high-level parameters of hand movement



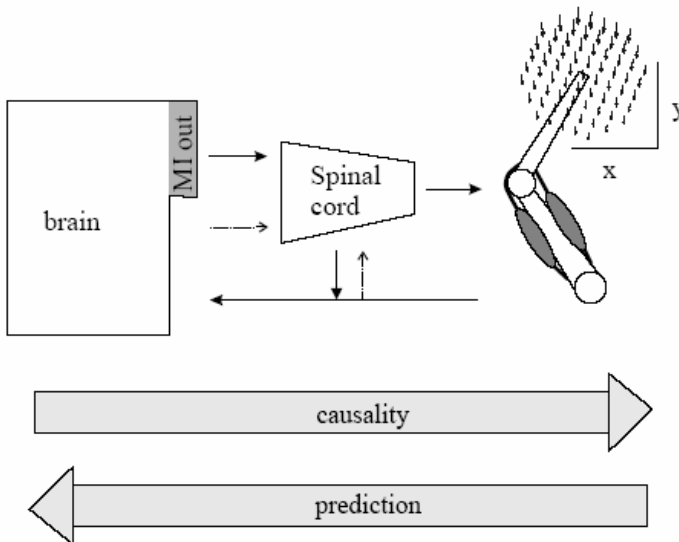
# One motor cortex, two different views

## 2. Todorov

### Direct **cortical control of muscle activation** in voluntary arm movements: a model

Emanuel Todorov

*Gatsby Computational Neuroscience Unit, University College London, 17 Queen Square London WC1N 3 AR, UK  
Correspondence should be directed to E.T. (emo@gatsby.ucl.ac.uk)*

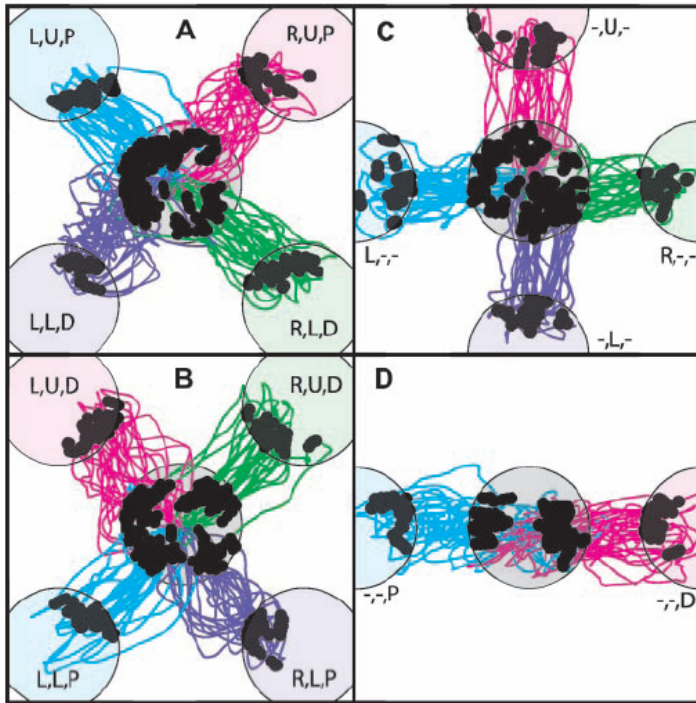


**Causal flow from the MI output through spinal processing, muscle force production and multijoint mechanics to endpoint force.**

Predictions about MI activity are obtained by 'inverting' that causal flow.

# Motor prosthesis

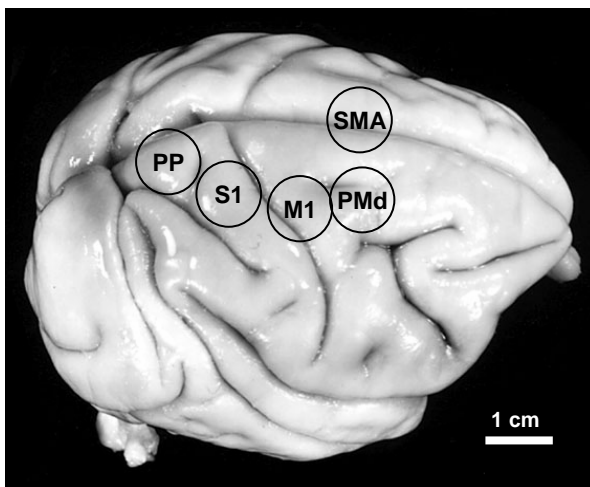
## Taylor et al., 2002



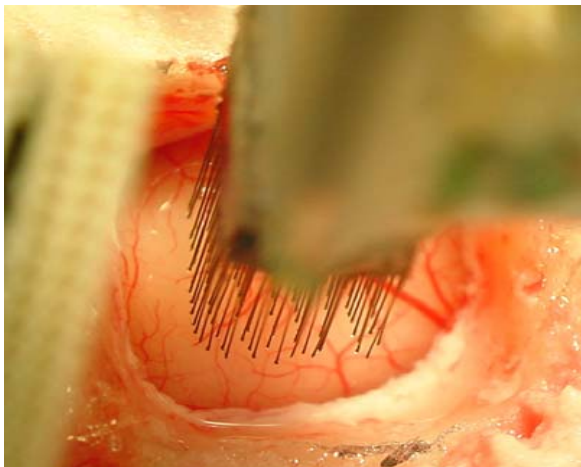
- Example of continuous control BMI with per-event movement (to be discussed later in the course)
- 3D reaching movements
- Evidence of cell tuning changes in brain control movements and improvement with training (BMI induced cortical plasticity)



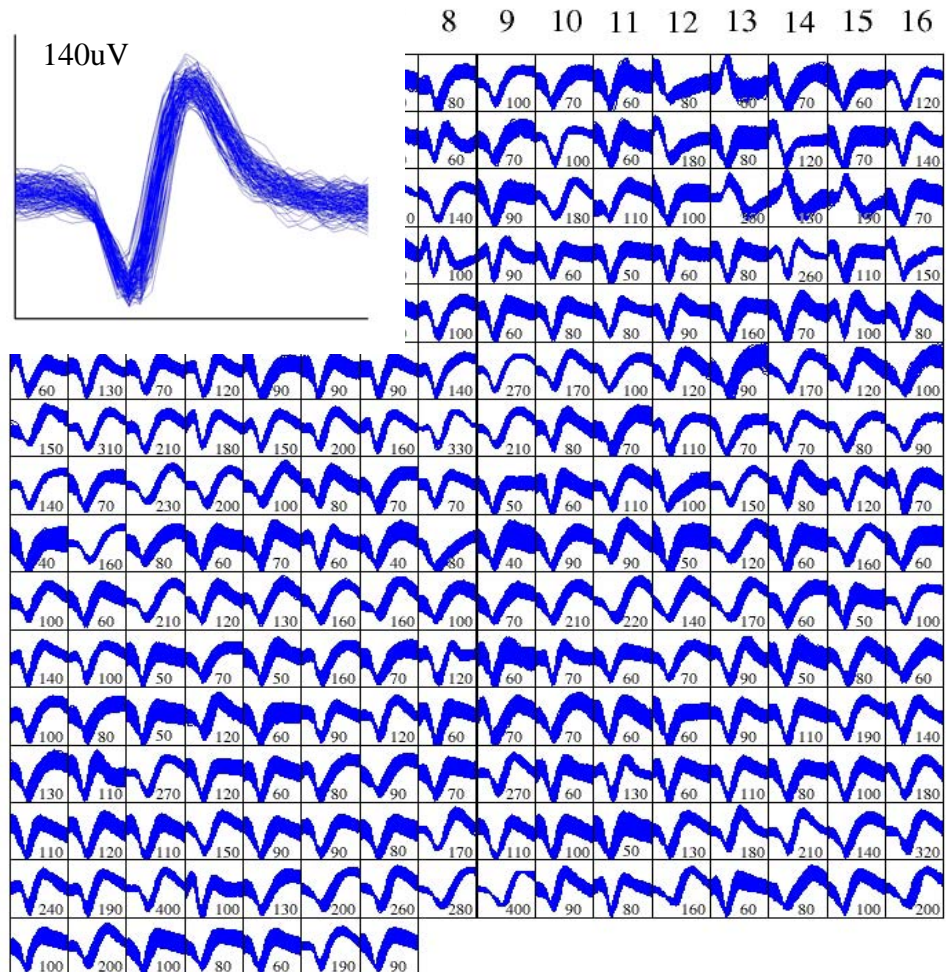
# CHRONIC, MULTISITE, MULTIELECTRODE RECORDINGS



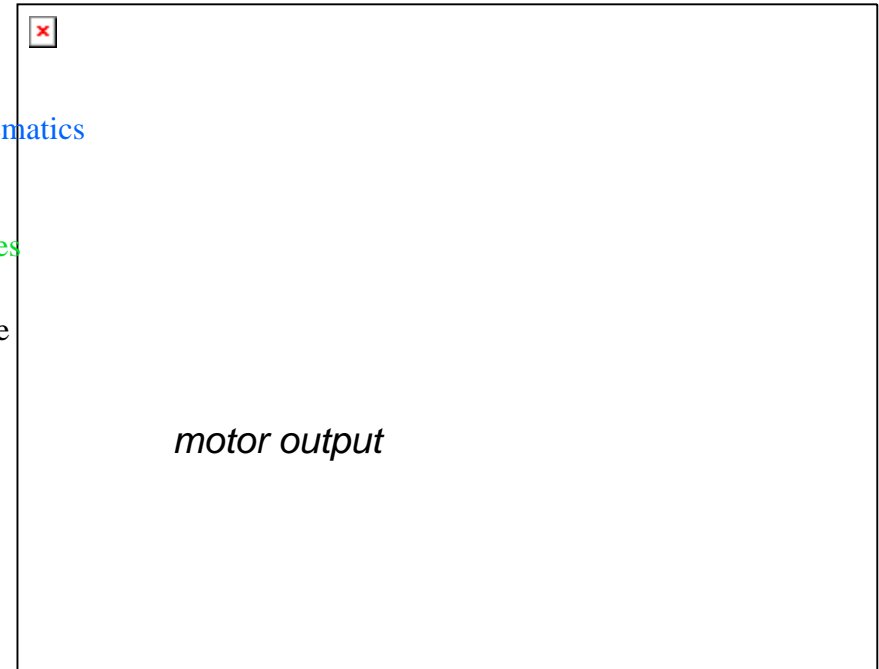
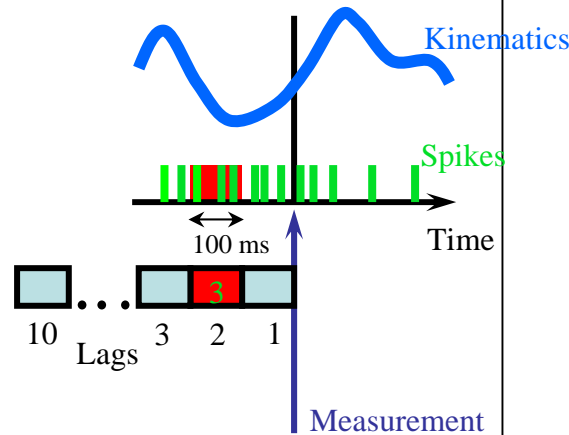
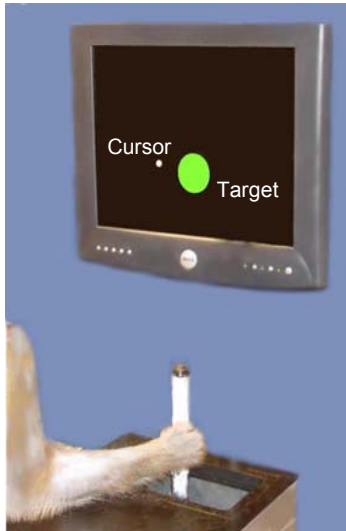
- **SMA**: supplementary motor area
- **PMd**: dorsal premotor cortex
- **M1**: primary motor cortex
- **S1**: primary somatosensory cortex
- **PP**: posterior parietal cortex (MIP)



- **Materials**: Tungsten, polyimide insulation, gold plated tip.
- **Separation**: 250-800 $\mu$ m
- **Diameter**: 35-50 $\mu$ m
- **Impedance**: 0.2-1 MegOhm @ 1kHz, 5nA



# BMI MODELING: DECODING MOTOR OUTPUT FROM SPIKE TRAINS



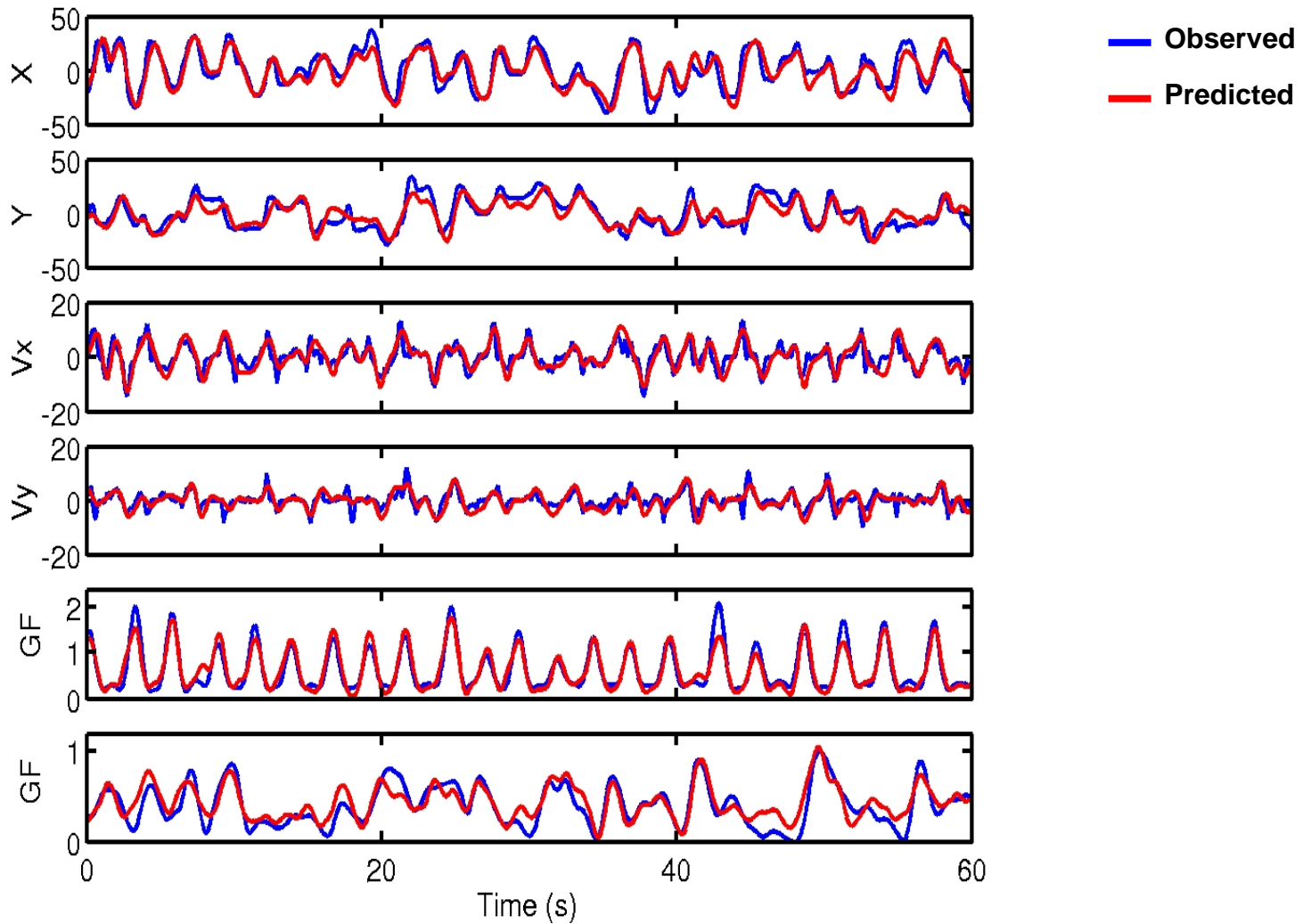
## Linear regression model

$$\mathbf{y}(t) = \mathbf{b} + \sum_{u=-m}^n \mathbf{a}(u) \mathbf{x}(t-u) + \varepsilon(t)$$

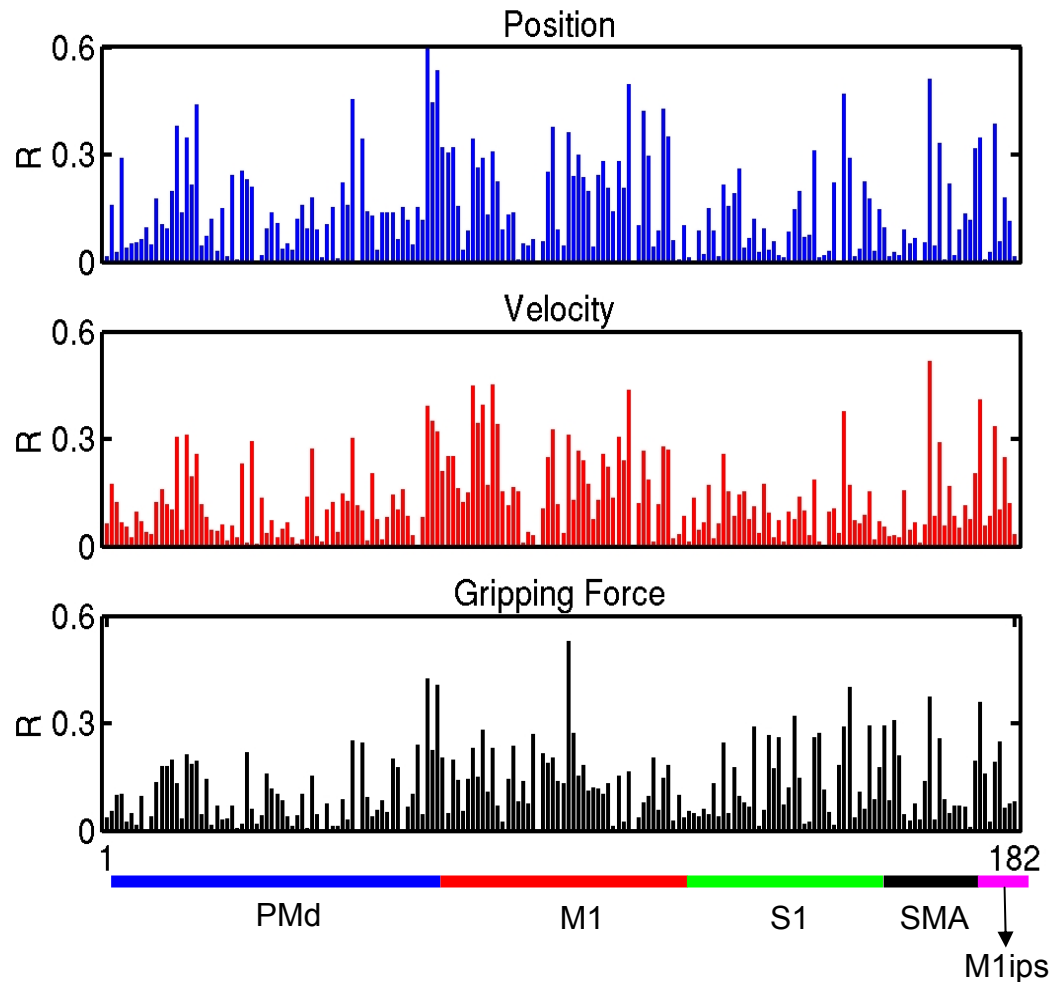
$$\mathbf{Y} = \mathbf{X}\mathbf{A}, \quad \mathbf{A} = \text{inv}(\mathbf{X}^T \mathbf{X}) \mathbf{X}^T \mathbf{Y}$$

where  $\mathbf{b}$  are the Y-intercepts,  $\mathbf{a}$  is a set of weights required for the fitting as function of time lag  $u$ , and  $\varepsilon(t)$  are the residual errors.

# PREDICTIONS OF POSITION, VELOCITY, & GRIPPING FORCE

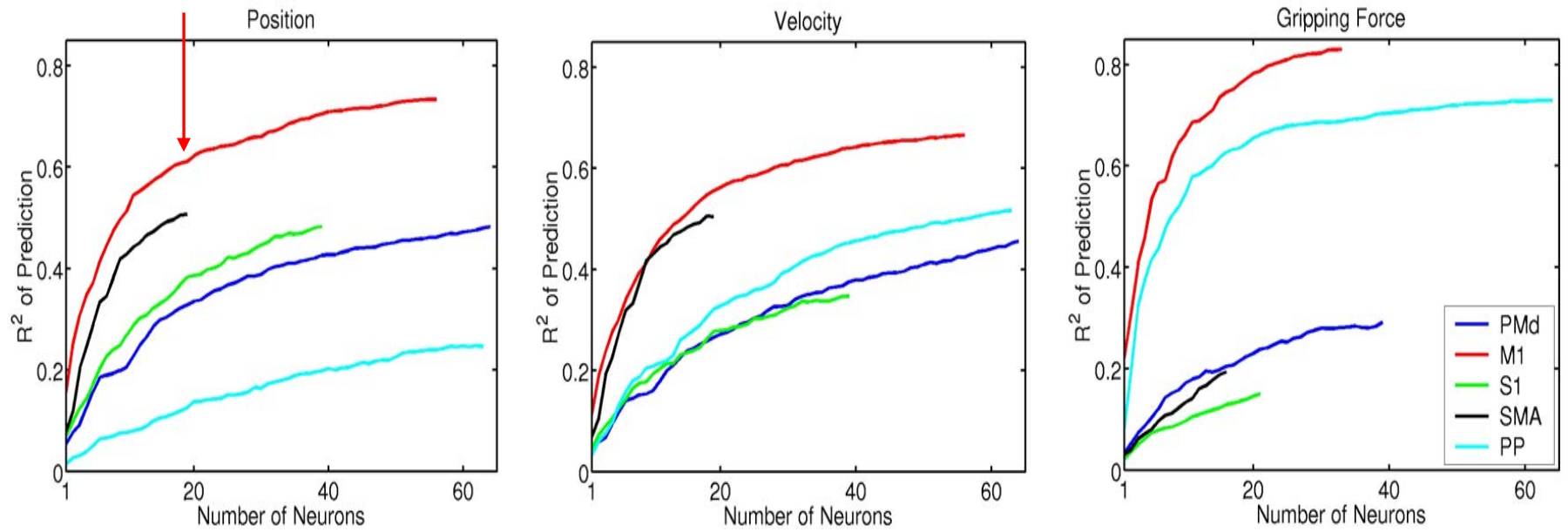


# CONTRIBUTION OF INDIVIDUAL NEURONS TO MODEL PREDICTIONS

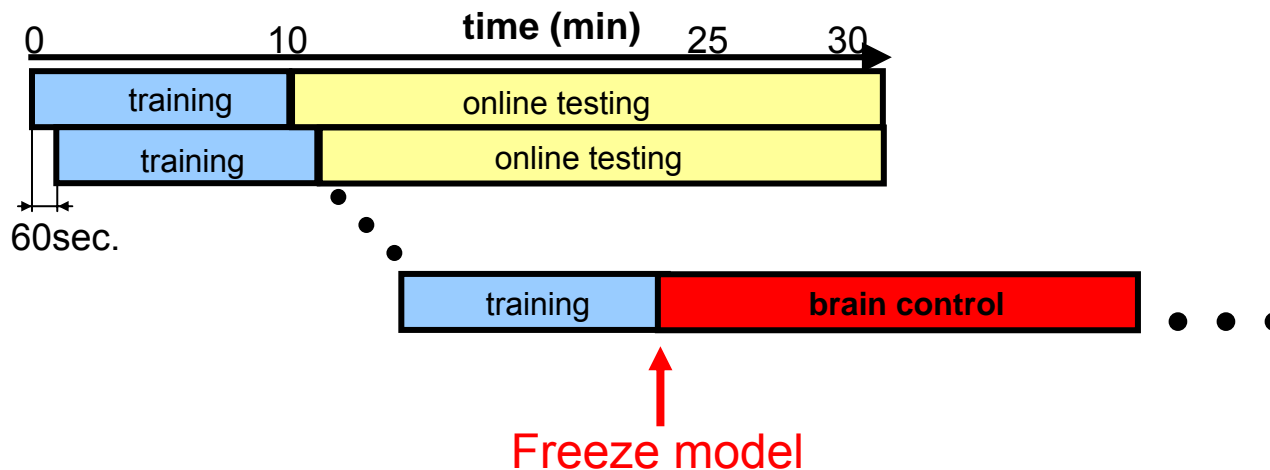
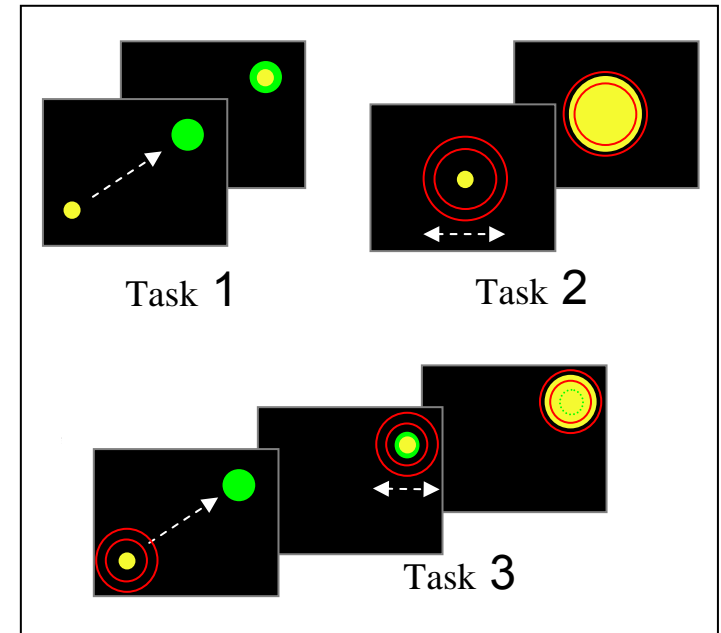
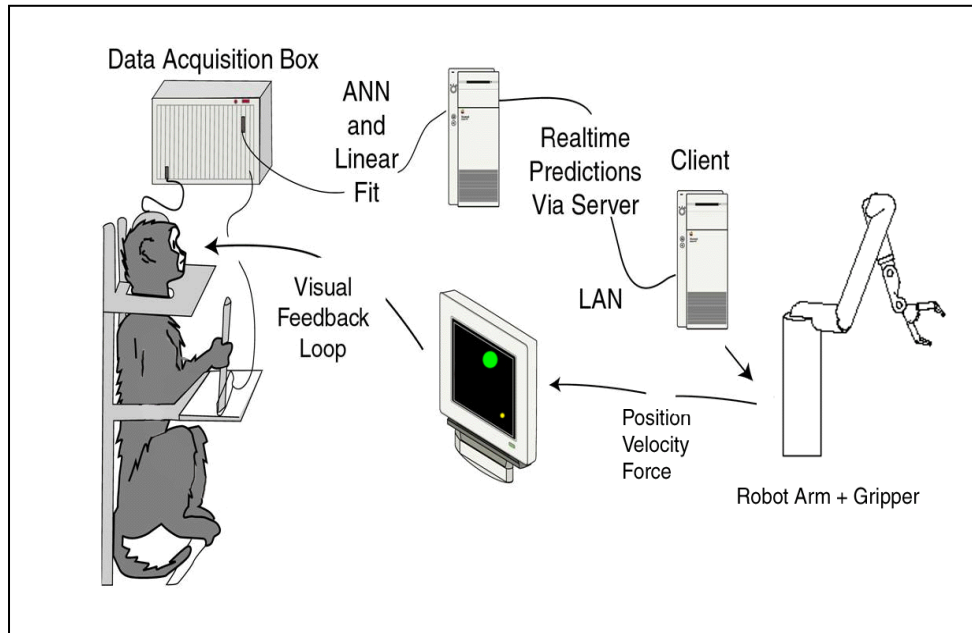


- Information distributed across fronto-parietal cortical areas
- Single neurons contribute to multiple motor parameters

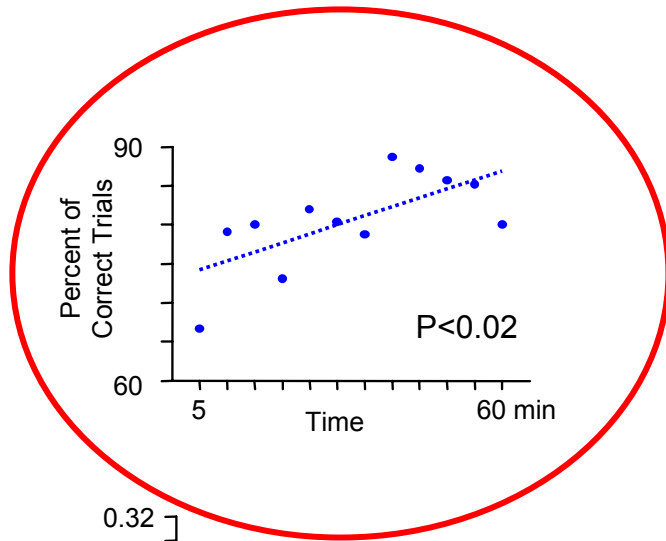
# CONTRIBUTION OF DIFFERENT CORTICAL AREAS



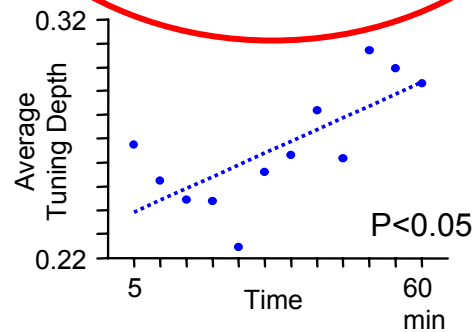
# EXPERIMENTAL SETUP & BEHAVIORAL TASKS



# PERFORMANCE IMPROVEMENT WITHIN SESSION



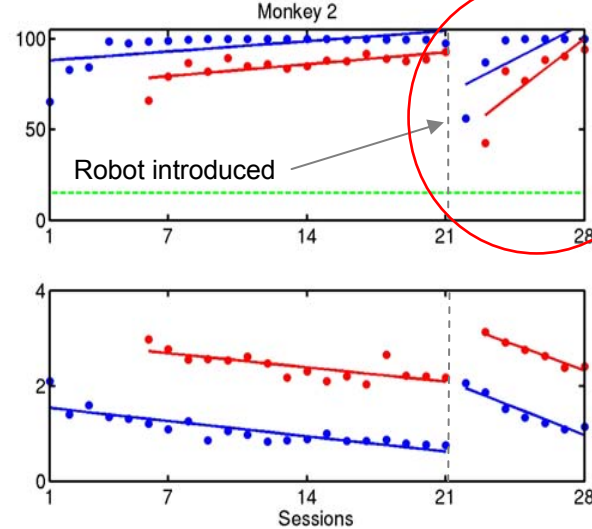
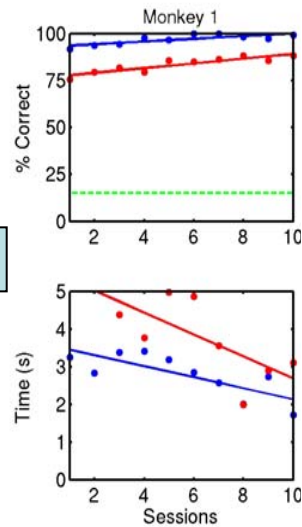
→ Biofeedback works!





# CHANGES IN PERFORMANCE WITH LEARNING

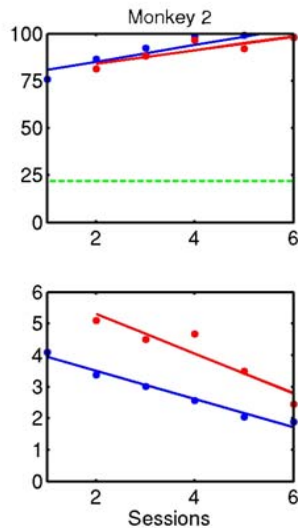
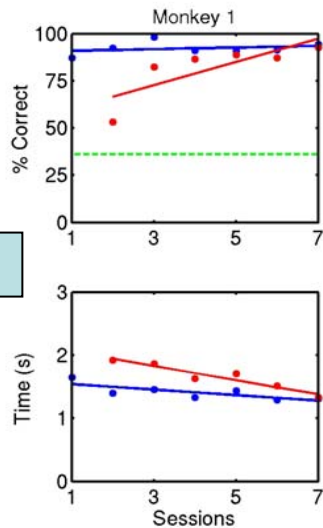
TASK 1



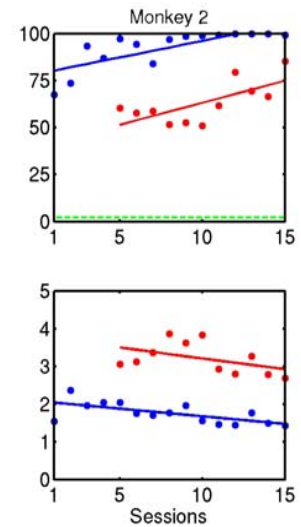
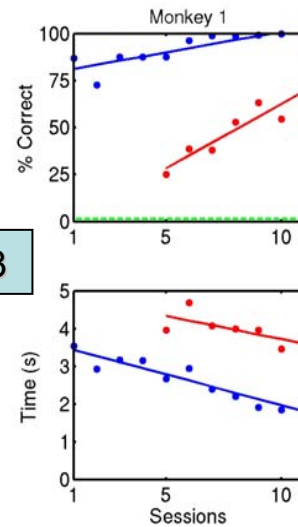
Rapid adaptation

- Pole Control
- Brain Control
- Chance level

TASK 2



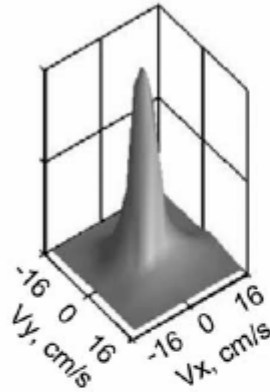
TASK 3



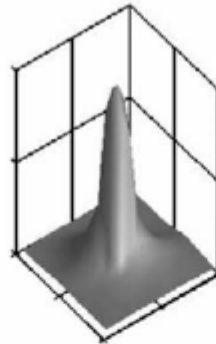


Monkey 1

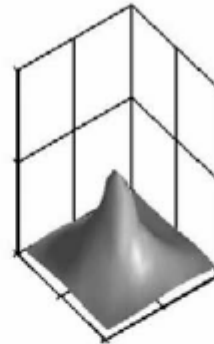
**A** Pole Control, Hand



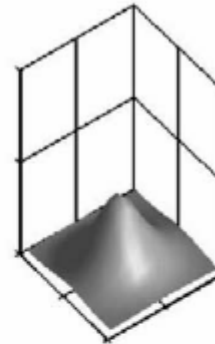
**B** BCWH, Hand



**C** BCWH, Robot

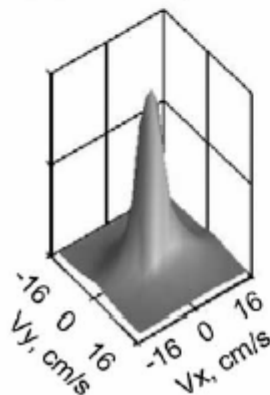


**D** BCWO, Robot

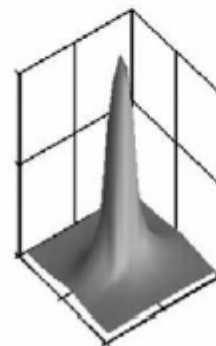


Monkey 2

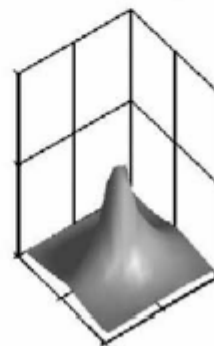
**E** Pole Control, Hand



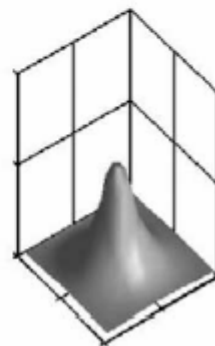
**F** BCWH, Hand



**G** BCWH, Robot



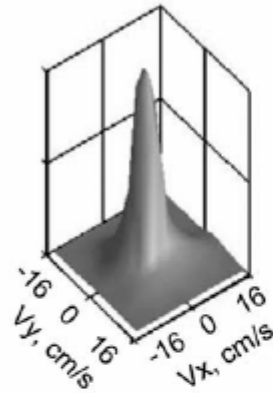
**H** BCWO, Robot



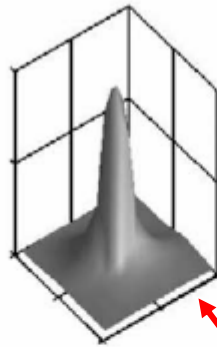
**Q.** What can be learned from the monkeys' behavior?

Monkey 1

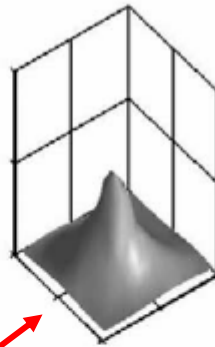
**A** Pole Control, Hand



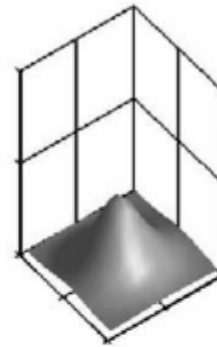
**B** BCWH, Hand



**C** BCWH, Robot

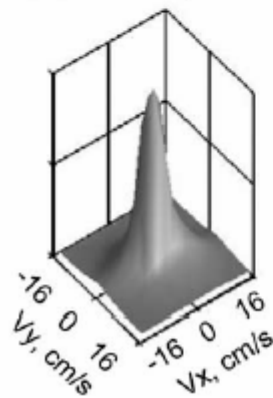


**D** BCWO, Robot

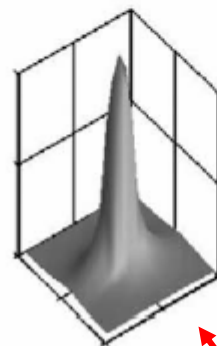


Monkey 2

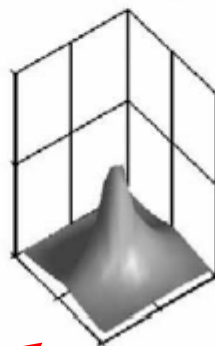
**E** Pole Control, Hand



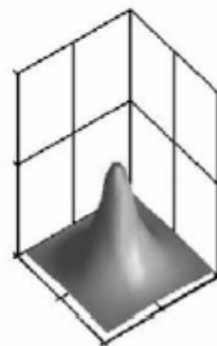
**F** BCWH, Hand



**G** BCWH, Robot

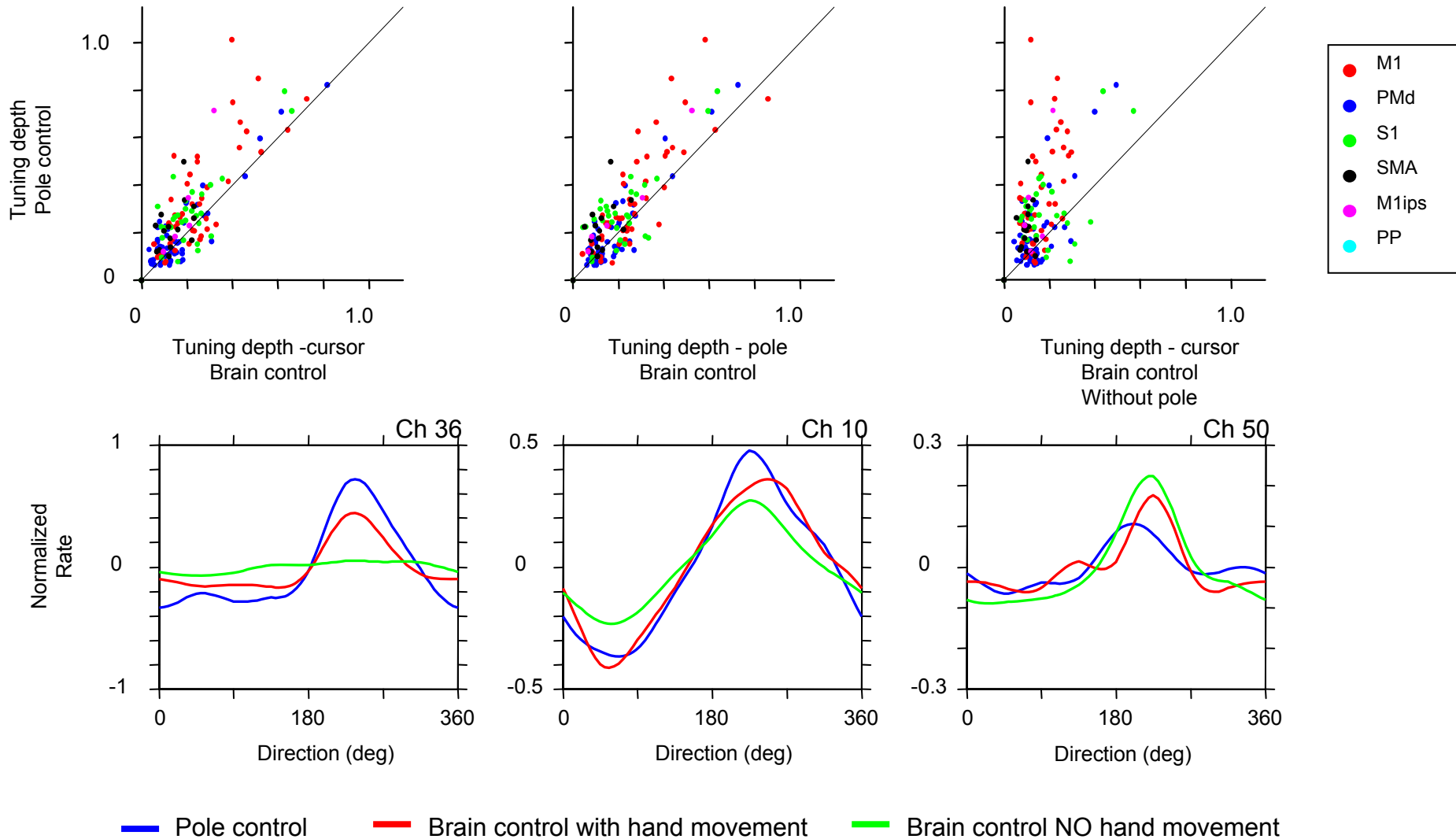


**H** BCWO, Robot



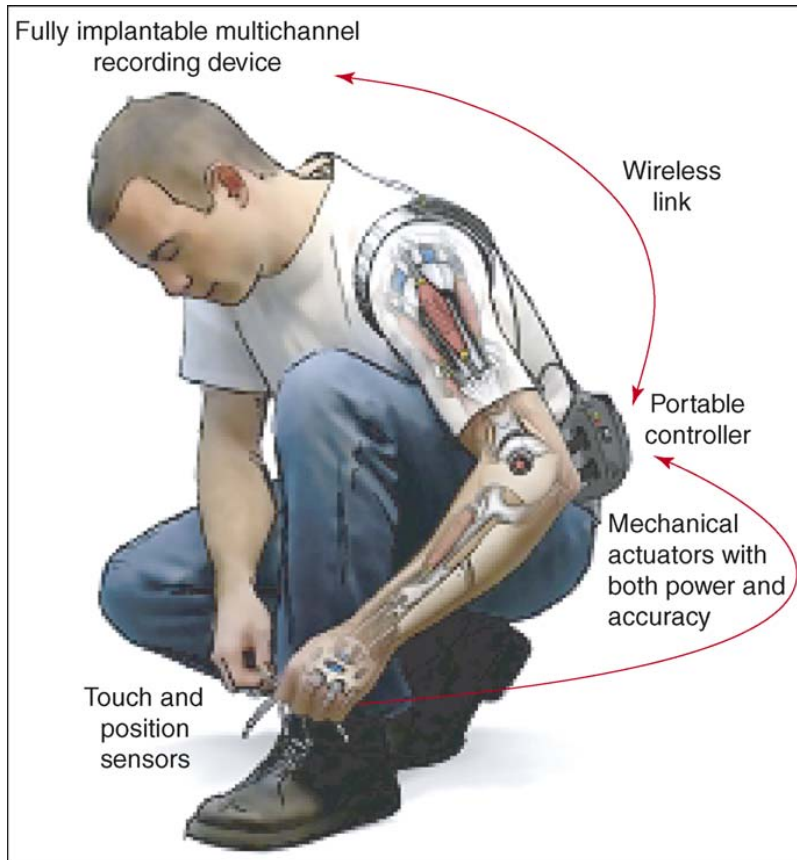
Reduced frequency of low velocities may reflect **BMI** difficulty for posture control

# CHANGES IN DIRECTIONAL TUNING



# DISCUSSION

## ULTIMATE GOAL



So what do we need to get here?

Trends in Neurosc. (Lebedev & Nicolelis, 2006)

# Discussion

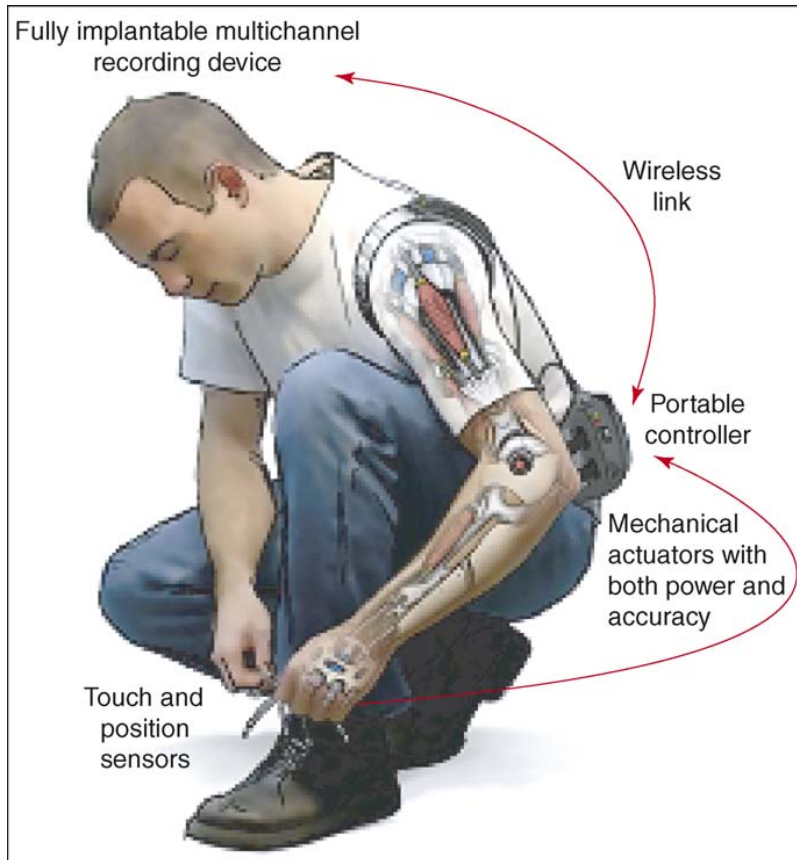
- Why do we need **dynamics** in BMIs?
  - Isn't kinematics enough?
    - i.e. taking advantage of motors, power, etc to resist perturbations (e.g. by increasing  $Z$ )

# Discussion

- Why do we need **dynamics** in BMIs?
  - Isn't kinematics enough?
    - i.e. taking advantage of motors, power, etc to resist perturbations (e.g. by increasing  $Z$ )
- Problem! → unstable tasks, tasks that will require low impedance...
  - How does the robot know **how** the user wants to interact with the object/environment?
    - We need impedance control!

# DISCUSSION

## ULTIMATE GOAL



Trends in Neurosc. (Lebedev & Nicolelis, 2006)

