CS162 Operating Systems and Systems Programming Lecture 11

Thread Scheduling (con't) Protection: Address Spaces

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Review: Last Time

- Scheduling: selecting a waiting process from the ready queue and allocating the CPU to it
- · FCFS Scheduling:
 - Run threads to completion in order of submission
 - Pros: Simple (+)
 - Cons: Short jobs get stuck behind long ones (-)
- Round-Robin Scheduling:
 - Give each thread a small amount of CPU time when it executes; cycle between all ready threads
 - Pros: Better for short jobs (+)
 - Cons: Poor when jobs are same length (-)

Review: Banker's Algorithm for Preventing Deadlock

- · Banker's algorithm:
 - Allocate resources dynamically
 - » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
 - » Technique: pretend each request is granted. then run deadlock detection algorithm. substituting

([Max_{node}]-[Alloc_{node}] ≤ [Avail]) for ([Request_{node}] ≤ [Avail]) Grant request if result is deadlock free (conservative!)

- » Keeps system in a "SAFE" state, i.e. there exists a sequence {T₁, T₂, ... T_n} with T₁ requesting all remaining resources, finishing, then T₂ requesting all remaining resources, etc.,
- Algorithm allows the sum of maximum resource needs of all current threads to be greater than total resources

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Goals for Today

- · Finish discussion of Scheduling
- Kernel vs User Mode
- What is an Address Space?
- · How is it Implemented?

Note: Some slides and/or pictures in the following are adapted from slides ©2005 Silberschatz, Galvin, and Gagne

FCFS and RR Example with Different Time Quantum

Best FCFS:	P ₂ [8]	P ₄ [24]	P ₁ [53]	P ₃ [68]	
	3 0	3	2	85	153

	Quantum	P_1	P ₂	P ₃	P_4	Average
Wait Time	Best FCFS	32	0	85	8	31 1
	Q = 1	84	22	85	57	62
	Q = 5	82	20	85	58	61 1
	Q = 8	80	8	85	56	57 1
	Q = 10	82	10	85	68	61 1
	Q = 20	72	20	85	88	66 1
	Worst FCFS	68	145	0	121	83 1
Completion Time	Best FCFS	85	8	153	32	69 1
	Q = 1	137	30	153	81	100½
	Q = 5	135	28	153	82	99½
	Q = 8	133	16	153	80	95 1
	Q = 10	135	18	153	92	99 1 /2
	Q = 20	125	28	153	112	104 1 / ₂
	Worst FCFS	121	153	68	145	1213

Discussion

- · SJF/SRTF are the best you can do at minimizing average response time
 - Provably optimal (SJF among non-preemptive, SRTF among preemptive)
 - Since SRTF is always at least as good as SJF, focus on SRTF
- · Comparison of SRTF with FCFS and RR
 - What if all jobs the same length?
 - » SRTF becomes the same as FCFS (i.e. FCFS is best can do if all jobs the same length)
 - What if jobs have varying length?
 - » SRTF (and RR): short jobs not stuck behind long ones

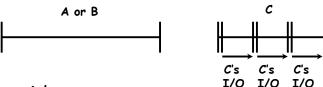
What if we Knew the Future?

- · Could we always mirror best FCFS?
- · Shortest Job First (SJF):
 - Run whatever job has the least amount of computation to do
 - Sometimes called "Shortest Time to Completion First" (STCF)
- Shortest Remaining Time First (SRTF):
 - Preemptive version of SJF: if job arrives and has a shorter time to completion than the remaining time on the current job, immediately preempt CPU
 - Sometimes called "Shortest Remaining Time to Completion First" (SRTCF)
- · These can be applied either to a whole program or the current CPU burst of each program
 - Idea is to get short jobs out of the system
 - Big effect on short jobs, only small effect on long ones
- Result is better average response time
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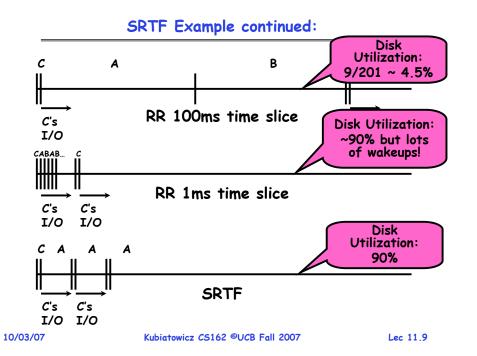
Example to illustrate benefits of SRTF



- · Three jobs:
 - A.B: both CPU bound, run for week C: I/O bound, loop 1ms CPU, 9ms disk I/O
 - If only one at a time, C uses 90% of the disk, A or B could use 100% of the CPU
- · With FIFO:

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- Once A or B get in, keep CPU for two weeks
- What about RR or SRTF?
 - Easier to see with a timeline



SRTF Further discussion

- · Starvation
 - SRTF can lead to starvation if many small jobs!
 - Large jobs never get to run
- · Somehow need to predict future
 - How can we do this?
 - Some systems ask the user
 - » When you submit a job, have to say how long it will take
 - » To stop cheating, system kills job if takes too long
 - But: Even non-malicious users have trouble predicting runtime of their jobs
- · Bottom line, can't really know how long job will take
 - However, can use SRTF as a yardstick for measuring other policies
 - Optimal, so can't do any better
- · SRTF Pros & Cons
 - Optimal (average response time) (+)
 - Hard to predict future (-)
- -Unfair (-)

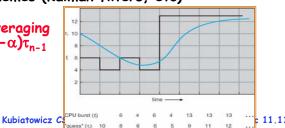
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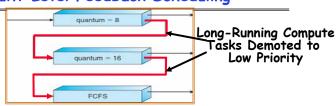
Predicting the Length of the Next CPU Burst

- · Adaptive: Changing policy based on past behavior
 - CPU scheduling, in virtual memory, in file systems, etc
 - Works because programs have predictable behavior
 - » If program was I/O bound in past, likely in future
 - » If computer behavior were random, wouldn't help
- · Example: SRTF with estimated burst length
 - Use an estimator function on previous bursts: Let t_{n-1} , t_{n-2} , t_{n-3} , etc. be previous CPU burst lengths. Estimate next burst τ_n = $f(t_{n-1}, t_{n-2}, t_{n-3}, ...)$
 - Function f could be one of many different time series estimation schemes (Kalman filters, etc)
 - For instance, exponential averaging $\tau_n = \alpha t_{n-1} + (1-\alpha)\tau_{n-1}$ with $(0 < \alpha \le 1)$

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Multi-Level Feedback Scheduling



- · Another method for exploiting past behavior
 - First used in CTSS
 - Multiple queues, each with different priority
 - » Higher priority queues often considered "foreground" tasks
 - Each queue has its own scheduling algorithm
 - » e.g. foreground RR, background FCFS
 - » Sometimes multiple RR priorities with quantum increasing exponentially (highest:1ms, next:2ms, next: 4ms, etc)
- · Adjust each job's priority as follows (details vary)
 - Job starts in highest priority queue
 - If timeout expires, drop one level
- If timeout doesn't expire, push up one level (or to top)

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Scheduling Details

- · Result approximates SRTF:
 - CPU bound jobs drop like a rock
 - Short-running I/O bound jobs stay near top
- · Scheduling must be done between the queues
 - Fixed priority scheduling:
 - » serve all from highest priority, then next priority, etc.
 - Time slice:
 - » each queue gets a certain amount of CPU time
 - » e.g., 70% to highest, 20% next, 10% lowest
- · Countermeasure: user action that can foil intent of the OS designer
 - For multilevel feedback, put in a bunch of meaningless I/O to keep job's priority high
 - Of course, if everyone did this, wouldn't work!
- · Example of Othello program:
 - Playing against competitor, so key was to do computing at higher priority the competitors.

» Put in printf's, ran much faster!

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Administrivia

- · Midterm I coming up in one week from today!:
 - Wednesday, 10/10, 5:30-8:30
 - Should be 2 hour exam with extra time
 - Closed book, one page of hand-written notes (both sides)
- No class on day of Midterm
 - I will post extra office hours for people who have questions about the material (or life, whatever)
- Review Session
 - 7:00pm Sunday 10/7
 - 306 Soda Hall
- Midterm Topics
 - Topics: Everything up to (and including) next Monday (10/8)
 - History, Concurrency, Multithreading, Synchronization, Protection/Address Spaces

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Scheduling Fairness

- What about fairness?
 - Strict fixed-priority scheduling between queues is unfair (run highest, then next, etc):
 - » long running jobs may never get CPU
 - » In Multics, shut down machine, found 10-year-old job
 - Must give long-running jobs a fraction of the CPU even when there are shorter jobs to run
 - Tradeoff: fairness gained by hurting avg response time!
- · How to implement fairness?
 - Could give each queue some fraction of the CPU
 - » What if one long-running job and 100 short-running ones?
 - » Like express lanes in a supermarket—sometimes express lanes get so long, get better service by going into one of the other lines
 - Could increase priority of jobs that don't get service
 - » What is done in UNIX
 - » This is ad hoc—what rate should you increase priorities?
 - » And, as system gets overloaded, no job gets CPU time, so everyone increases in priority⇒Interactive jobs suffer Kubiatowicz CS162 ©UCB Fall 2007 Lec 11.15

Lottery Scheduling

- · Yet another alternative: Lottery Scheduling
 - Give each job some number of lottery tickets - On each time slice, randomly pick a winning ticket

 - On average, CPU time is proportional to number of tickets given to each job
- How to assign tickets?
 - To approximate SRTF, short running jobs get more, long running jobs get fewer
 - To avoid starvation, every job gets at least one ticket (everyone makes progress)
- · Advantage over strict priority scheduling: behaves gracefully as load changes
 - Adding or deleting a job affects all jobs proportionally, independent of how many tickets each job possesses

Lottery Scheduling Example

- · Lottery Scheduling Example
 - Assume short jobs get 10 tickets, long jobs get 1 ticket

# short jobs/ # long jobs	% of CPU each short jobs gets	% of CPU each long jobs gets
1/1	91%	9%
0/2	N/A	50%
2/0	50%	N/A
10/1	9.9%	0.99%
1/10	50%	5%

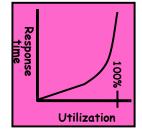
- What if too many short jobs to give reasonable response time?
 - » In UNIX, if load average is 100, hard to make progress
 - » One approach: log some user out

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A Final Word On Scheduling

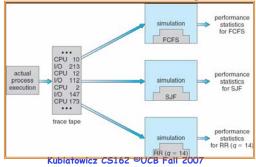
- When do the details of the scheduling policy and fairness really matter?
 - When there aren't enough resources to go around
- · When should you simply buy a faster computer?
 - (Or network link, or expanded highway, or ...)
 - One approach: Buy it when it will pay for itself in improved response time
 - » Assuming you're paying for worse response time in reduced productivity, customer angst, etc...
 - » Might think that you should buy a faster X when X is utilized 100%, but usually, response time goes to infinity as utilization⇒100%



- An interesting implication of this curve:
 - Most scheduling algorithms work fine in the "linear" portion of the load curve, fail otherwise
- Argues for buying a faster X when hit "knee" of curve
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How to Evaluate a Scheduling algorithm?

- · Deterministic modeling
 - takes a predetermined workload and compute the performance of each algorithm for that workload
- · Queueing models
 - Mathematical approach for handling stochastic workloads
- Implementation/Simulation:
 - Build system which allows actual algorithms to be run against actual data. Most flexible/general.



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Virtualizing Resources



· Physical Reality:

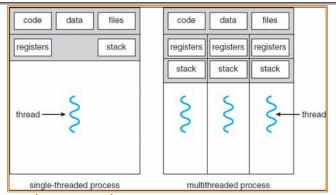
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Different Processes/Threads share the same hardware

- Need to multiplex CPU (Just finished: scheduling)
- Need to multiplex use of Memory (Today)
- Need to multiplex disk and devices (later in term)
- Why worry about memory sharing?
 - The complete working state of a process and/or kernel is defined by its data in memory (and registers)
 - Consequently, cannot just let different threads of control use the same memory
 - » Physics: two different pieces of data cannot occupy the same locations in memory
- Probably don't want different threads to even have access to each other's memory (protection)

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Recall: Single and Multithreaded Processes



- Threads encapsulate concurrency
 - "Active" component of a process
- · Address spaces encapsulate protection
 - Keeps buggy program from trashing the system
 - "Passive" component of a process

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Important Aspects of Memory Multiplexing

· Controlled overlap:

- Separate state of threads should not collide in physical memory. Obviously, unexpected overlap causes chaos!
- Conversely, would like the ability to overlap when desired (for communication)

Translation:

- Ability to translate accesses from one address space (virtual) to a different one (physical)
- When translation exists, processor uses virtual addresses, physical memory uses physical addresses
- Side effects:
 - » Can be used to avoid overlap
 - » Can be used to give uniform view of memory to programs

Protection:

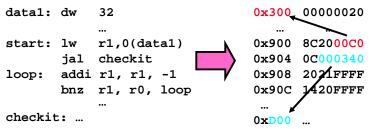
- Prevent access to private memory of other processes
 - » Different pages of memory can be given special behavior (Read Only, Invisible to user programs, etc).
 - » Kernel data protected from User programs
 - » Programs protected from themselves Kubiatowicz CS162 @UCB Fall 2007

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Binding of Instructions and Data to Memory

- · Binding of instructions and data to addresses:
 - Choose addresses for instructions and data from the standpoint of the processor



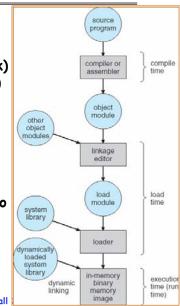
- Could we place data1, start, and/or checkit at different addresses?
 - » Yes
 - » When? Compile time/Load time/Execution time
- Related: which physical memory locations hold particular instructions or data?

Multi-step Processing of a Program for Execution

- · Preparation of a program for execution involves components at:
 - Compile time (i.e. "acc")
 - Link/Load time (unix "ld" does link)
 - Execution time (e.g. dynamic libs)
- · Addresses can be bound to final values anywhere in this path
 - Depends on hardware support
 - Also depends on operating system
- Dynamic Libraries

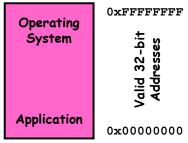
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- Linking postponed until execution
- Small piece of code, stub, used to locate the appropriate memoryresident library routine
- Stub replaces itself with the address of the routine, and executes routine



Recall: Uniprogramming

- Uniprogramming (no Translation or Protection)
 - Application always runs at same place in physical memory since only one application at a time
 - Application can access any physical address

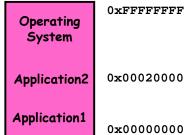


- Application given illusion of dedicated machine by giving it reality of a dedicated machine
- · Of course, this doesn't help us with multithreading

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Multiprogramming (First Version)

- · Multiprogramming without Translation or Protection
 - Must somehow prevent address overlap between threads

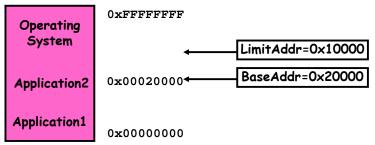


- Trick: Use Loader/Linker: Adjust addresses while program loaded into memory (loads, stores, jumps)
 - » Everything adjusted to memory location of program
 - » Translation done by a linker-loader
 - » Was pretty common in early days
- · With this solution, no protection: bugs in any program can cause other programs to crash or even the OS 03/07 Kubiatowicz CS162 @UCB Fall 2007 Lec 1

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Multiprogramming (Version with Protection)

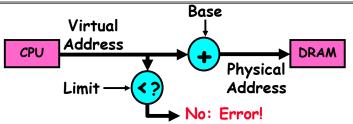
Can we protect programs from each other without translation?



- Yes: use two special registers BaseAddr and LimitAddr to prevent user from straying outside designated area » If user tries to access an illegal address, cause an error
- During switch, kernel loads new base/limit from TCB

» User not allowed to change base/limit registers

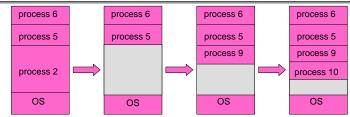
Segmentation with Base and Limit registers



- · Could use base/limit for dynamic address translation (often called "segmentation"):
 - Alter address of every load/store by adding "base"
 - User allowed to read/write within segment
 - » Accesses are relative to segment so don't have to be relocated when program moved to different segment
 - User may have multiple segments available (e.g x86)
 - » Loads and stores include segment ID in opcode: x86 Example: mov [es:bx],ax.
 - » Operating system moves around segment base pointers as necessary

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Issues with simple segmentation method



- · Fragmentation problem
 - Not every process is the same size
 - Over time, memory space becomes fragmented
- · Hard to do inter-process sharing
 - Want to share code segments when possible
 - Want to share memory between processes
 - Helped by by providing multiple segments per process
- · Need enough physical memory for every process

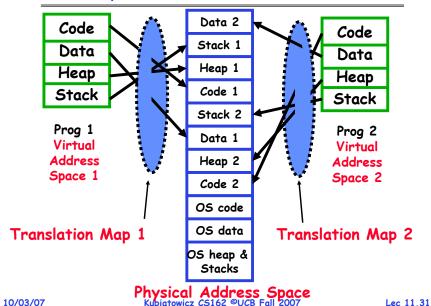
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Multiprogramming (Translation and Protection version 2)

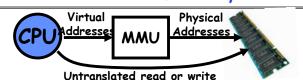
- Problem: Run multiple applications in such a way that they are protected from one another
- · Goals:
 - Isolate processes and kernel from one another
 - Allow flexible translation that:
 - » Doesn't lead to fragmentation
 - » Allows easy sharing between processes
 - » Allows only part of process to be resident in physical memory
- · (Some of the required) Hardware Mechanisms:
 - General Address Translation
 - » Flexible: Can fit physical chunks of memory into arbitrary places in users address space
 - » Not limited to small number of segments
 - » Think of this as providing a large number (thousands) of fixed-sized segments (called "pages")
 - Dual Mode Operation
 - » Protection base involving kernel/user distinction

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Example of General Address Translation

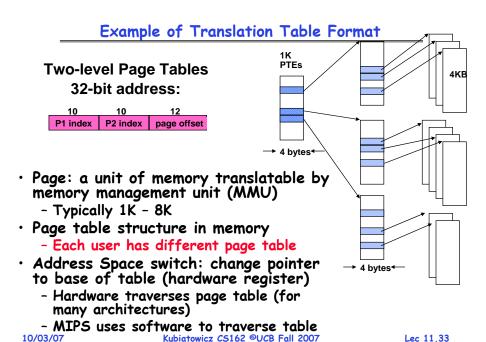


Two Views of Memory



- · Recall: Address Space:
 - All the addresses and state a process can touch
 - Each process and kernel has different address space
- · Consequently: two views of memory:
 - View from the CPU (what program sees, virtual memory)
 - View fom memory (physical memory)
 - Translation box converts between the two views
- · Translation helps to implement protection
 - If task A cannot even gain access to task B's data, no way for A to adversely affect B
- With translation, every program can be linked/loaded into same region of user address space
- Overlap avoided through translation, not relocation

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Dual-Mode Operation

- · Can Application Modify its own translation tables?
 - If it could, could get access to all of physical memory
 - Has to be restricted somehow
- To Assist with Protection, Hardware provides at least two modes (Dual-Mode Operation):
 - "Kernel" mode (or "supervisor" or "protected")
 - "User" mode (Normal program mode)
 - Mode set with bits in special control register only accessible in kernel-mode
- Intel processor actually has four "rings" of protection:
 - PL (Priviledge Level) from 0 3
 - » PLO has full access, PL3 has least
 - Privilege Level set in code segment descriptor (CS)
 - Mirrored "IOPL" bits in condition register gives permission to programs to use the I/O instructions
 - Typical OS kernels on Intel processors only use PLO ("user") and PL3 ("kernel")

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For Protection, Lock User-Programs in Asylum

 Idea: Lock user programs in padded cell with no exit or sharp objects

- Cannot change mode to kernel mode

- User cannot modify page table mapping

Limited access to memory: cannot adversely effect other processes

» Side-effect: Limited access to memory-mapped I/O operations (I/O that occurs by reading/writing

(I/O that occurs by reading/writing memory locations)

- Limited access to interrupt controller
- What else needs to be protected?
- · A couple of issues
 - How to share CPU between kernel and user programs?
 - » Kinda like both the inmates and the warden in asylum are the same person. How do you manage this???
 - How do programs interact?
 - How does one switch between kernel and user modes?
 - » OS \rightarrow user (kernel \rightarrow user mode): getting into cell
- » User→ OS (user → kernel mode): getting out of cell
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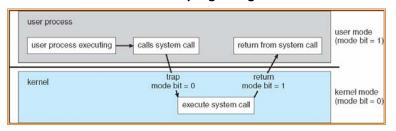
How to get from Kernel→User

- What does the kernel do to create a new user process?
 - Allocate and initialize address-space control block
 - Read program off disk and store in memory
 - Allocate and initialize translation table
 - » Point at code in memory so program can execute
 - » Possibly point at statically initialized data
 - Run Program:
 - » Set machine registers
 - » Set hardware pointer to translation table
 - » Set processor status word for user mode
 - » Jump to start of program
- · How does kernel switch between processes?
 - Same saving/restoring of registers as before
 - Save/restore PSL (hardware pointer to translation table)

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User→Kernel (System Call)

- · Can't let inmate (user) get out of padded cell on own
 - Would defeat purpose of protection!
 - So, how does the user program get back into kernel?



- · System call: Voluntary procedure call into kernel
 - Hardware for controlled User-Kernel transition
 - Can any kernel routine be called?
 No! Only specific ones.
 - System call ID encoded into system call instruction
 - » Index forces well-defined interface with kernel

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User→Kernel (Exceptions: Traps and Interrupts)

- A system call instruction causes a synchronous exception (or "trap")
 - In fact, often called a software "trap" instruction
- · Other sources of Synchronous Exceptions:
 - Divide by zero, Illegal instruction, Bus error (bad address, e.g. unaligned access)
 - Segmentation Fault (address out of range)
 - Page Fault (for illusion of infinite-sized memory)
- · Interrupts are Asynchronous Exceptions
 - Examples: timer, disk ready, network, etc....
 - Interrupts can be disabled, traps cannot!
- · On system call, exception, or interrupt:
 - Hardware enters kernel mode with interrupts disabled
 - Saves PC, then jumps to appropriate handler in kernel
 - For some processors (x86), processor also saves registers, changes stack, etc.
- · Actual handler typically saves registers, other CPU state, and switches to kernel stack

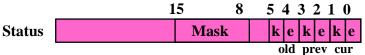
System Call Continued

- · What are some system calls?
 - I/O: open, close, read, write, Iseek
 - Files: delete, mkdir, rmdir, truncate, chown, chgrp, ...
 - Process: fork, exit, wait (like join)
 - Network: socket create, set options
- · Are system calls constant across operating systems?
 - Not entirely, but there are lots of commonalities
 - Also some standardization attempts (POSIX)
- · What happens at beginning of system call?
 - » On entry to kernel, sets system to kernel mode
 - » Handler address fetched from table/Handler started
- · System Call argument passing:
 - In registers (not very much can be passed)
 - Write into user memory, kernel copies into kernel mem
 - » User addresses must be translated!w
 - » Kernel has different view of memory than user
 - Every Argument must be explicitly checked!

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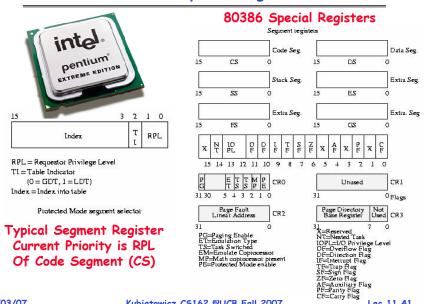
Additions to MIPS ISA to support Exceptions?

- · Exception state is kept in "Coprocessor 0"
 - Use mfc0 read contents of these registers:
 - » BadVAddr (register 8): contains memory address at which memory reference error occurred
 - » Status (register 12): interrupt mask and enable bits
 - » Cause (register 13): the cause of the exception
 - » EPC (register 14): address of the affected instruction



- · Status Register fields:
 - Mask: Interrupt enable
 - » 1 bit for each of 5 hardware and 3 software interrupts
 - k = kernel/user: 0⇒kernel mode
 - e = interrupt enable: 0⇒interrupts disabled
 - Exception⇒6 LSB shifted left 2 bits, setting 2 LSB to 0:
 - » run in kernel mode with interrupts disabled

Intel x86 Special Registers



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Closing thought: Protection without Hardware

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- · Does protection require hardware support for translation and dual-mode behavior?
 - No: Normally use hardware, but anything you can do in hardware can also do in software (possibly expensive)
- Protection via Strong Typing
 - Restrict programming language so that you can't express program that would trash another program
 - Loader needs to make sure that program produced by valid compiler or all bets are off
 - Example languages: LISP, Ada, Modula-3 and Java
- Protection via software fault isolation:
 - Language independent approach: have compiler generate object code that provably can't step out of bounds
 - » Compiler puts in checks for every "dangerous" operation (loads, stores, etc). Again, need special loader.
 - » Alternative, compiler generates "proof" that code cannot do certain things (Proof Carrying Code)
- Or: use virtual machine to guarantee safe behavior (loads and stores recompiled on fly to check bounds)

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Communication

· Now that we have isolated processes, how can they communicate?

- Shared memory: common mapping to physical page

- » As long as place objects in shared memory address range, threads from each process can communicate
- » Note that processes A and B can talk to shared memory through different addresses
- » In some sense, this violates the whole notion of protection that we have been developing
- If address spaces don't share memory, all interaddress space communication must go through kernel (via system calls)
 - » Byte stream producer/consumer (put/get): Example. communicate through pipes connecting stdin/stdout
 - » Message passing (send/receive): Will explain later how you can use this to build remote procedure call (RPC) abstraction so that you can have one program make procedure calls to another
- » File System (read/write): File system is shared state!

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Summary

- · Shortest Job First (SJF)/Shortest Remaining Time First (SRTF):
 - Run whatever job has the least amount of computation to do/least remaining amount of computation to do
 - Pros: Optimal (average response time)
 - Cons: Hard to predict future, Unfair
- Multi-Level Feedback Scheduling:
 - Multiple queues of different priorities
 - Automatic promotion/demotion of process priority in order to approximate SJF/SRTF
- Lottery Schedulina:
 - Give each thread a priority-dependent number of tokens (short tasks \(\)more tokens)
 - Reserve a minimum number of tokens for every thread to ensure forward progress/fairness
- Evaluation of mechanisms:
 - Analytical, Queuing Theory, Simulation

Summary (2)

- · Memory is a resource that must be shared
 - Controlled Overlap: only shared when appropriate
 - Translation: Change Virtual Addresses into Physical Addresses
 - Protection: Prevent unauthorized Sharing of resources
- · Simple Protection through Segmentation
 - Base+limit registers restrict memory accessible to user
 - Can be used to translate as well
- Full translation of addresses through Memory Management Unit (MMU)
 - Every Access translated through page table
 - Changing of page tables only available to user
- · Dual-Mode
 - Kernel/User distinction: User restricted
 - User→Kernel: System calls, Traps, or Interrupts
 - Inter-process communication: shared memory, or through kernel (system calls)

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