

## Fall 2004 CS 186 Exercise Questions

### Week 12 – ending 11/19

#### Transactions

1. In general, is it possible to have a deadlock when the regular two-phase-locking (i.e., non-strict) protocol is obeyed? If yes, give an example; if not, explain briefly. What happens with strict 2PL and conservative 2PL?

2. For each of the following schedules:

- a)  $S_a = r_1(A); w_1(B); r_2(B); w_2(C); r_3(C); w_3(A);$
- b)  $S_b = r_1(A); r_2(A); r_1(B); r_2(B); r_3(A); r_4(B); w_1(A); w_2(B);$

Answer the following questions:

- i. What is the precedence graph for the schedule?
- ii. Is the schedule conflict-serializable? If so, what are all the equivalent serial schedules?

3. Consider the following two transactions:

$T_1 = w_1(C) r_1(A) w_1(A) r_1(B) w_1(B);$

$T_2 = r_2(B) w_2(B) r_2(A) w_2(A)$

Say our scheduler performs exclusive locking only (i.e., no shared locks). For each of the following three instances of transactions  $T_1$  and  $T_2$  annotated with lock and unlock actions, say whether the annotated transactions:

- 1. obey two-phase locking,
- 2. will necessarily result in a conflict serializable schedule (if no deadlock occurs),
- 3. will necessarily result in a recoverable schedule (if no deadlock occurs),
- 4. will necessarily result in a schedule that avoids cascading rollback (if no deadlock occurs),
- 5. will necessarily result in a strict schedule (if no deadlock occurs),
- 6. will necessarily result in a serial schedule (if no deadlock occurs), and
- 7. may result in a deadlock.

a)  $T_1 = \mathbf{L1}(C) w_1(C) \mathbf{L1}(A) r_1(A) w_1(A) \mathbf{L1}(B) r_1(B) w_1(B)$  Commit **U1**  
 $(A) \mathbf{U1}(C) \mathbf{U1}(B)$

$T_2 = \mathbf{L2}(B) r_2(B) w_2(B) \mathbf{L2}(A) r_2(A) w_2(A)$  Commit **U2(A) U2(B)**

b)  $T_1 = \mathbf{L1}(B) \mathbf{L1}(C) w_1(C) \mathbf{L1}(A) r_1(A) w_1(A) r_1(B) w_1(B)$  Commit **U1**  
 $(A) \mathbf{U1}(C) \mathbf{U1}(B)$

$T_2 = \mathbf{L2}(B) r_2(B) w_2(B) \mathbf{L2}(A) r_2(A) w_2(A)$  Commit **U2(A) U2(B)**

c)  $T_1 = \mathbf{L1}(C) \mathbf{L1}(A) w_1(C) r_1(A) w_1(A) \mathbf{L1}(B) r_1(B) w_1(B) \mathbf{U1}(A) \mathbf{U1}(C)$   
**U1(B)** Commit

**T2 = L2(B) r2(B) w2(B) L2(A) r2(A) w2(A) Commit U2(A) U2(B)**

Format your answer in a table with Yes/No entries.

4. Examine the schedule given below. There are four transactions, T1, T2, T3, and T4.

<b>T1</b>	<b>T2</b>	<b>T3</b>	<b>T4</b>
			R(tax)
R(salary)			
			W(tax)
	R(tax)		
	W(tax)		
R(tax)			
W(salary)			
		R(salary)	
W(tax)			
		W(salary)	
			R(salary)
			W(salary)

- Draw the precedence graph for this schedule.
- What is the equivalent serialization order for this schedule? If no order is possible, then state 'none'.
- Assume that transaction T4 did not run at all. What is the precedence graph in this case?
- What is the equivalent serialization order for this second schedule? If no order is possible, then state 'none'.