## Announcements

- Project 2 due next Friday (Sept 22) at 11:59pm PT


## CS 188: Artificial Intelligence

## Search with Other Agents: Uncertainty



University of California, Berkeley

## Uncertain Outcomes

- Why do we care about uncertainty and randomness?
- Want to model random events happening in the world
- Build efficient algorithms with random sampling (Monte Carlo Tree Search)



## Worst-Case vs. Average Case



Idea: Uncertain outcomes controlled by chance, not an adversary!

## Expectimax Search

- Why wouldn't we know what the result of an action will be?
- Explicit randomness: rolling dice
- Unpredictable opponents: the ghosts respond randomly
- Actions can fail: when moving a robot, wheels might slip
- Values should now reflect average-case (expectimax) outcomes, not worst-case (minimax) outcomes
- Expectimax search: compute the average score under optimal play
- Max nodes as in minimax search

- Chance nodes are like min nodes but the outcome is uncertain
- Calculate their expected utilities
- I.e. take weighted average (expectation) of children
- Later, we'll learn how to formalize the underlying uncertainresult problems as Markov Decision Processes

Video of Demo Minimax vs Expectimax (Min)

Video of Demo Minimax vs Expectimax (Exp)

## Expectimax Pseudocode

## def value(state):

if the state is a terminal state: return the state's utility if the next agent is MAX: return max-value(state) if the next agent is EXP: return exp-value(state)
def max-value(state):
initialize $v=-\infty$
for each successor of state:
$v=\max (v$, value(successor))
return $v$
def exp-value(state):
initialize $v=0$
for each successor of state:
$p$ = probability(successor)
v += p * value(successor)
return $v$

## Expectimax Pseudocode

```
def exp-value(state):
    initialize v = 0
        for each successor of state:
        p = probability(successor)
        v += p * value(successor)
    return v
```



$$
v=(1 / 2)(8)+(1 / 3)(24)+(1 / 6)(-12)=10
$$

## Expectimax Example



## Expectimax Pruning?



## Depth-Limited Expectimax



## Probabilities



## Reminder: Probabilities

- A random variable represents an event whose outcome is unknown
- A probability distribution is an assignment of weights to outcomes
- Example: Traffic on freeway
- Random variable: $\mathrm{T}=$ whether there's traffic
- Outcomes: T in \{none, light, heavy\}
- Distribution: $\mathrm{P}(\mathrm{T}=$ none $)=0.25, \mathrm{P}(\mathrm{T}=$ light $)=0.50, \mathrm{P}(\mathrm{T}=$ heavy $)=0.25$
- Some laws of probability (more later):
- Probabilities are always non-negative
- Probabilities over all possible outcomes sum to one

0.25
- As we get more evidence, probabilities may change:
- $\mathrm{P}(\mathrm{T}=$ heavy $)=0.25, \mathrm{P}(\mathrm{T}=$ heavy $\mid$ Hour=8am $)=0.60$
- We'll talk about methods for reasoning and updating probabilities later

0.25


## Reminder: Expectations

- The expected value of a function of a random variable is the average, weighted by the probability distribution over outcomes
- Example: How long to get to the airport?



## What Probabilities to Use?

- In expectimax search, we have a probabilistic model of how the opponent (or environment) will behave in any state
- Model could be a simple uniform distribution (roll a die)
- Model could be sophisticated and require a great deal of computation
- We have a chance node for any outcome out of our control: opponent or environment
- The model might say that adversarial actions are likely!
- For now, assume each chance node magically comes along with probabilities that specify the distribution over its outcomes



## Quiz: Informed Probabilities

- Let's say you know that your opponent is actually running a depth 2 minimax, using the result $80 \%$ of the time, and moving randomly otherwise
- Question: What tree search should you use?

- Answer: Expectimax!
- To figure out EACH chance node's probabilities, you have to run a simulation of your opponent
- This kind of thing gets very slow very quickly
- Even worse if you have to simulate your opponent simulating you...
- ... except for minimax, which has the nice property that it all collapses into one game tree


## Modeling Assumptions



## The Dangers of Optimism and Pessimism

Dangerous Optimism
Assuming chance when the world is adversarial


## Dangerous Pessimism

Assuming the worst case when it's not likely


## Assumptions vs. Reality



Results from playing 5 games

Pacman used depth 4 search with an eval function that avoids trouble Ghost used depth 2 search with an eval function that seeks Pacman

Video of Demo World Assumptions

Video of Demo World Assumptions
Random Ghost - Minimax Pacman

Video of Demo World Assumptions
Random Ghost - Expectimax Pacman

Video of Demo World Assumptions
Adversarial Ghost - Minimax Pacman

## Assumptions vs. Reality



|  | Adversarial Ghost | Random Ghost |
| :---: | :---: | :---: |
| Minimax <br> Pacman | Won 5/5 | Won 5/5 |
| Expectimax <br> Pacman | Wcore: 483 | Avg. Score: 493 |
| Avg. Score: -303 | Avg. Score: 503 |  |

Results from playing 5 games

Pacman used depth 4 search with an eval function that avoids trouble Ghost used depth 2 search with an eval function that seeks Pacman

## Other Game Types



## Mixed Layer Types

- E.g. Backgammon
- Expectiminimax
- Environment is an extra "random agent" player that moves after each min/max agent

- Each node computes the
 appropriate combination of its children


## Example: Backgammon

- Dice rolls increase $b$ : 21 possible rolls with 2 dice
- Backgammon 20 legal moves
- Depth $2=20 \times(21 \times 20)^{3}=1.2 \times 10^{9}$
- As depth increases, probability of reaching a given search node shrinks
- So usefulness of search is diminished
- So limiting depth is less damaging

- But pruning is trickier...
- Historic AI: TDGammon uses depth-2 search + very good evaluation function + reinforcement learning: world-champion level play
- $1^{\text {st }} \mathrm{Al}$ world champion in any game!


## Multi-Agent Utilities

- What if the game is not zero-sum, or has multiple players?
- Generalization of minimax:
- Terminals have utility tuples
- Node values are also utility tuples
- Each player maximizes its own component
- Can give rise to cooperation and competition dynamically...



## Difficulties with Search so Far

- Even with alpha-beta pruning and limited depth, large $b$ is an issue (recall best-case time complexity is $\mathrm{b}^{\mathrm{m} / 2}$ )
- Possible for chess: with alpha-beta, $35^{(8 / 2)}=\sim 1 \mathrm{M}$; depth 8 is quite good
- Difficult for Go: $300^{(8 / 2)}=\sim 8$ billion
- Limiting depth requires us to design good evaluation functions
- Not a general solution: need to design new evaluation function for each new problem
- Bad evaluation function may make solutions inefficient or biased
- The trend in Al is to prefer general methods and less human tweaking


## Overcoming Resource Limits with Randomization



- Monte Carlo Tree Search (MCTS) combines two important ideas:
- Evaluation by rollouts - estimate value of a state by playing many games from this state by taking random actions (or some other fast policy) and count wins \& losses
- Selective search - explore parts of the tree that will help improve the decision at the root, regardless of depth


## Rollouts

- For each rollout:
- Repeat until terminal:
- Play a move according to a fixed, fast rollout policy (i.e. random actions)
- Record the result
- Fraction of wins correlates with the true value of the position!
- Having a "better"
"Move 37"
 rollout policy helps


## MCTS Version 0

- Do $N$ rollouts from each child of the root, record fraction of wins
- Pick the move that gives the best outcome by this metric



## MCTS Version 0

- Do $N$ rollouts from each child of the root, record fraction of wins
- Pick the move that gives the best outcome by this metric



## MCTS Version 0.9

- Allocate rollouts to more promising nodes



## MCTS Version 1.0

- Allocate rollouts to more promising nodes
- Allocate rollouts to more uncertain nodes



## Upper Confidence Bounds (UCB) heuristics

- UCB1 formula combines "promising" and "uncertain":
- $C$ is a parameter we choose to trade off between two terms

$$
U C B 1(n)=\frac{U(n)}{N(n)}+C \times \sqrt{\frac{\log N(\operatorname{Parent}(n))}{N(n)}}
$$

- $N(n)$ = number of rollouts from node $n$
- $U(n)=$ total utility of rollouts (\# wins) for player of Parent(n)
- Keep track of both $N$ and $U$ for each node


## Upper Confidence Bounds (UCB) heuristics

- UCB1 formula combines "promising" and "uncertain":
- $C$ is a parameter we choose to trade off between two terms

$$
\operatorname{UCB1}(n)=\frac{U(n)}{N(n)}+\sqrt{\frac{\log N(\operatorname{Parent}(n))}{N(n)}} \cdot \begin{aligned}
& \text { High for small } N \\
& \cdot \text { Low for large } N
\end{aligned}
$$

- $N(n)$ = number of rollouts from node $n$
- $U(n)=$ total utility of rollouts (\# wins) for player of Parent( $n$ )
- Keep track of both $N$ and $U$ for each node


## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root



## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root


For 3 red nodes above the UCB values (with $\mathrm{C}=1$ ) are:
$U C B 1(n)=\frac{U(n)}{N(n)}+C \times \sqrt{\frac{\log N(\operatorname{Parent}(n))}{N(n)}}$

$$
\frac{2}{6}+\sqrt{\frac{\log 8}{6}}
$$

$$
\frac{0}{1}+\sqrt{\frac{\log 8}{1}}
$$

$$
\frac{0}{1}+\sqrt{\frac{\log 8}{1}}
$$

## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root


For 3 red nodes above the UCB values (with $\mathrm{C}=1$ ) are:
$U C B 1(n)=\frac{U(n)}{N(n)}+C \times \sqrt{\frac{\log N(\operatorname{Parent}(n))}{N(n)}}$

$$
\frac{2}{6}+\sqrt{\frac{\log 8}{6}}
$$

$$
\frac{0}{1}+\sqrt{\frac{\log 8}{1}}
$$

$$
\frac{0}{1}+\sqrt{\frac{\log 8}{1}}
$$

## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root



## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root



## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root



## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root



## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root
- Choose the action leading to the child with highest $N$



## MCTS Algorithm

- Repeat until out of time:
- Selection: recursively apply UCB to choose a path down to a leaf node $n$
- Expansion: add a new child $c$ to $n$
- Simulation: run a rollout from $c$
- Backpropagation: update $U$ and $N$ counts from $c$ back up to the root
- Choose the action leading to the child with highest $N$



## MCTS Summary

- MCTS is currently the most common tool for solving hard search problems
- Why?
- Time complexity independent of $b$ and $m$
- No need to design evaluation functions (general-purpose \& easy to use)
- Solution quality depends on number of rollouts $N$
- Theorem: as $N \rightarrow \infty$ UCT selects the minimax move
- Example of using random sampling in an algorithm
- Broadly called Monte Carlo methods
- MCTS can be improved further with machine learning


## MCTS + Machine Learning: AlphaGo

- Monte Carlo Tree Search with additions including:
- Rollout policy is a neural network trained with reinforcement learning and expert human moves
- In combination with rollout outcomes, use a trained value function to better predict node's utility

[Mastering the game of Go with deep neural networks and tree search. Silver et al. Nature. 2016]


## What we did today

- Extended games to include uncertain outcomes
- Modified search to reason about uncertain outcomes
- Return expected value for a chance node
- Saw impact of a mismatch between model and reality in planning
- Agent may be overly optimistic or pessimistic
- Issue that comes up frequently in AI applications
- Saw Monte Carlo Tree Search algorithm
- Practical and an example of using random sampling in an algorithm

Next Time: MDPs and Reinforcement Learning!

Search \&
Planning
Reinforcement
Learning

Probability \&
Inference

