

# Data-driven Methods: Faces

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Portrait of  
Piotr Gibas  
© Joaquin  
Rosales  
Gomez (2003)

CS194: Intro to Computer Vision and Comp. Photo  
Alexei Efros, UC Berkeley, Fall 2022

# Morphing & matting

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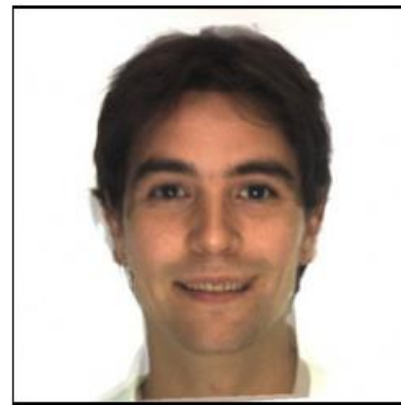
Extract foreground first to avoid artifacts in the background



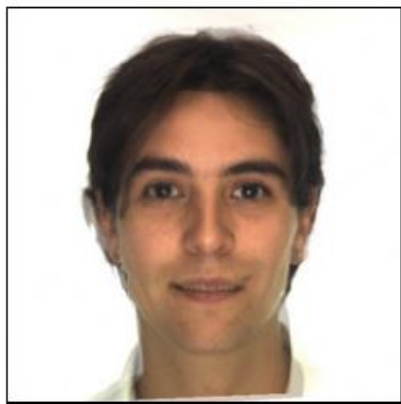
(c)  $\alpha = 0.0$



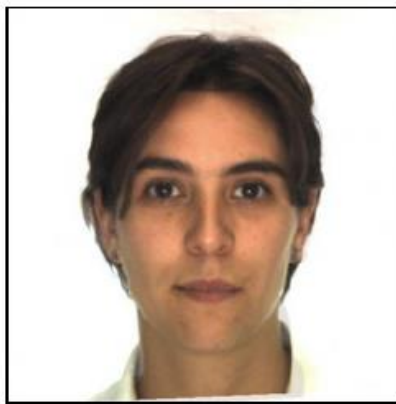
(d)  $\alpha = 0.2$



(e)  $\alpha = 0.4$



(f)  $\alpha = 0.6$



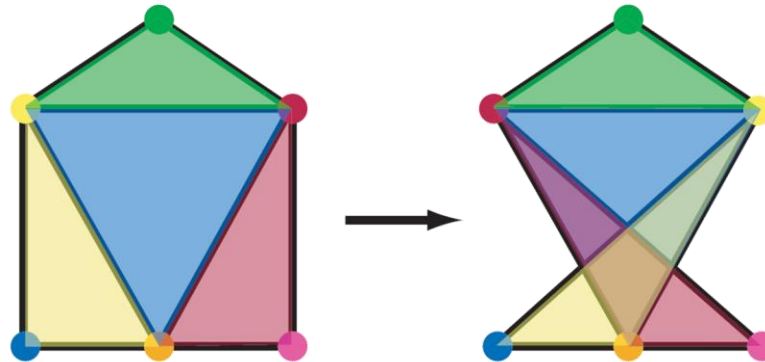
(g)  $\alpha = 0.8$



(h)  $\alpha = 1.0$

# Other Issues

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Beware of folding

- You are probably trying to do something 3D-ish

Morphing can be generalized into 3D

- If you have 3D data, that is!

Extrapolation can sometimes produce interesting effects

- Caricatures

# Dynamic Scene (“Black or White”, MJ)



<http://www.youtube.com/watch?v=R4kLKv5gtxc>

# The Power of Averaging

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# 8-hour exposure

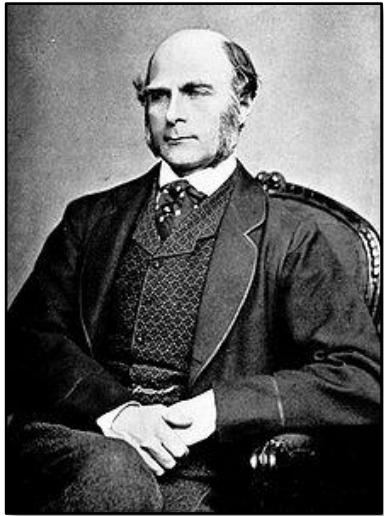
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© Atta Kim

# Image Composites

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Sir Francis  
Galton  
1822-1911



Multiple Individuals



Composite

[Galton, "Composite Portraits", Nature, 1878]

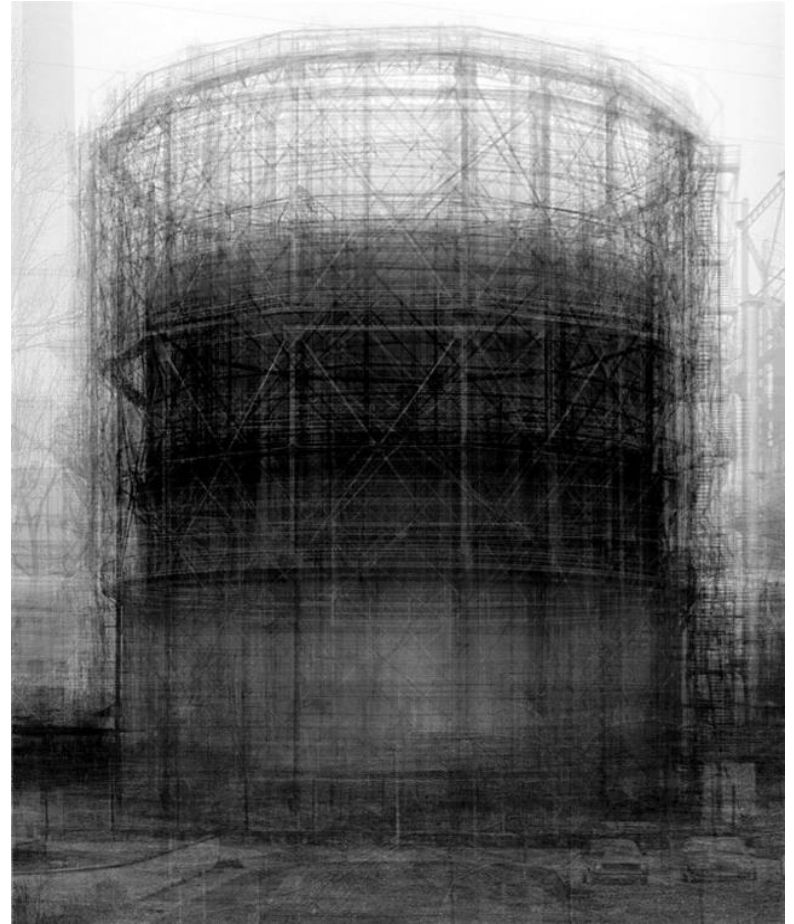
# Average Images in Art

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*"60 passagers de 2e classe du metro,  
entre 9h et 11h" (1985)*

Krzysztof Pruszkowski



*"Spherical type gasholders" (2004)*

Idris Khan



# “100 Special Moments” by Jason Salavon

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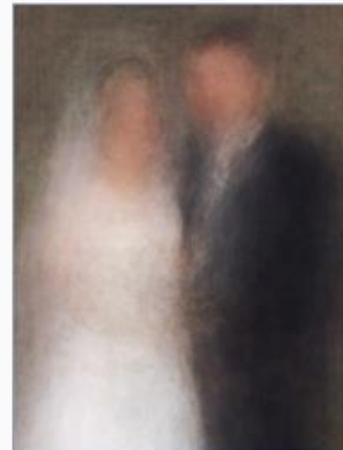
Little Leaguer



Kids with Santa



The Graduate



Newlyweds

Why  
blurry?

# Object-Centric Averages by Torralba (2001)



Manual Annotation and Alignment



Average Image

# Computing Means

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Two Requirements:

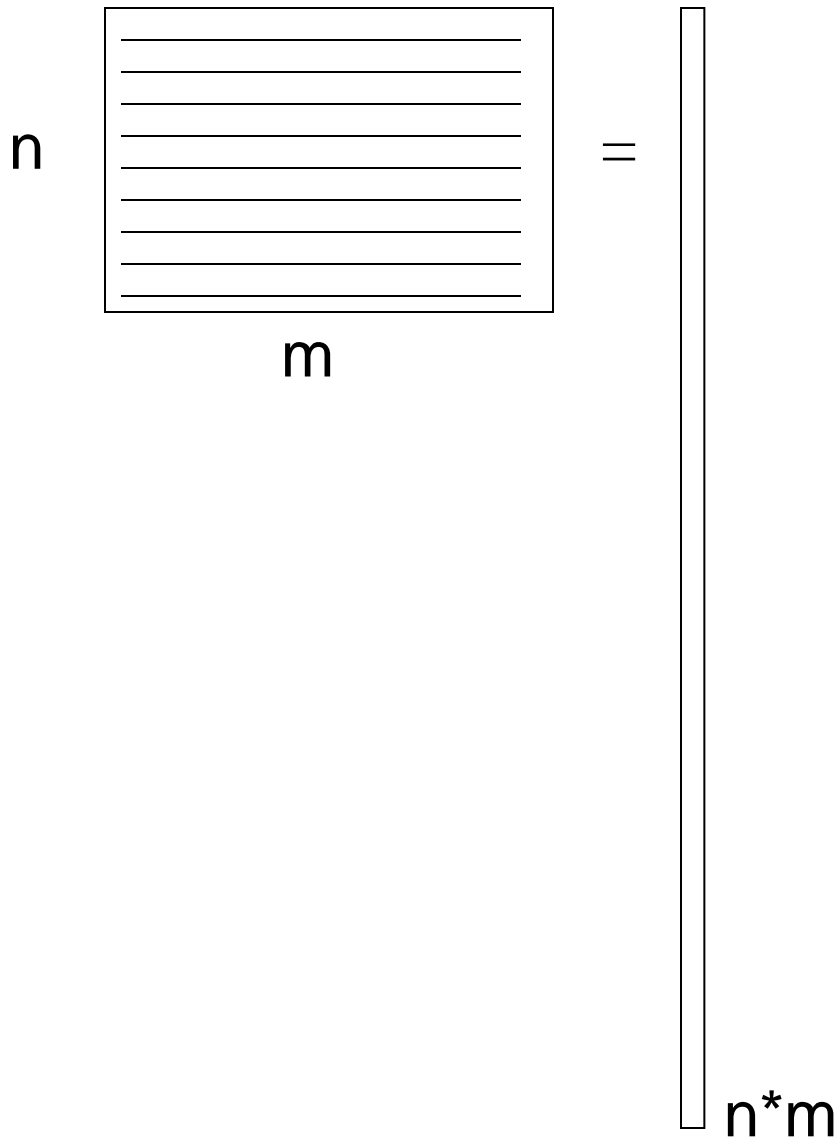
- Alignment of objects
- Objects must span a subspace

Useful concepts:

- Subpopulation means
- Deviations from the mean

# Images as Vectors

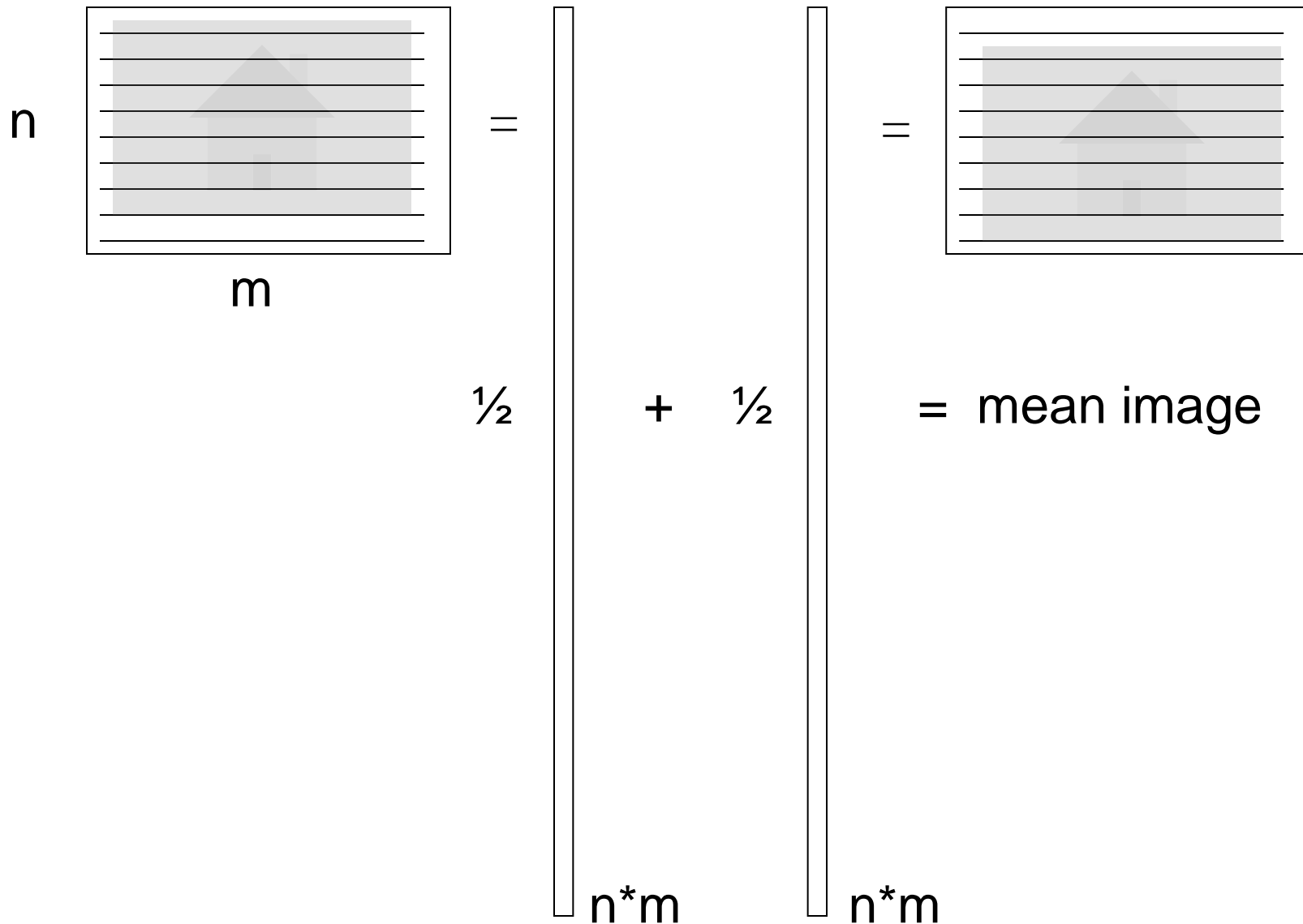
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# Vector Mean: Importance of Alignment

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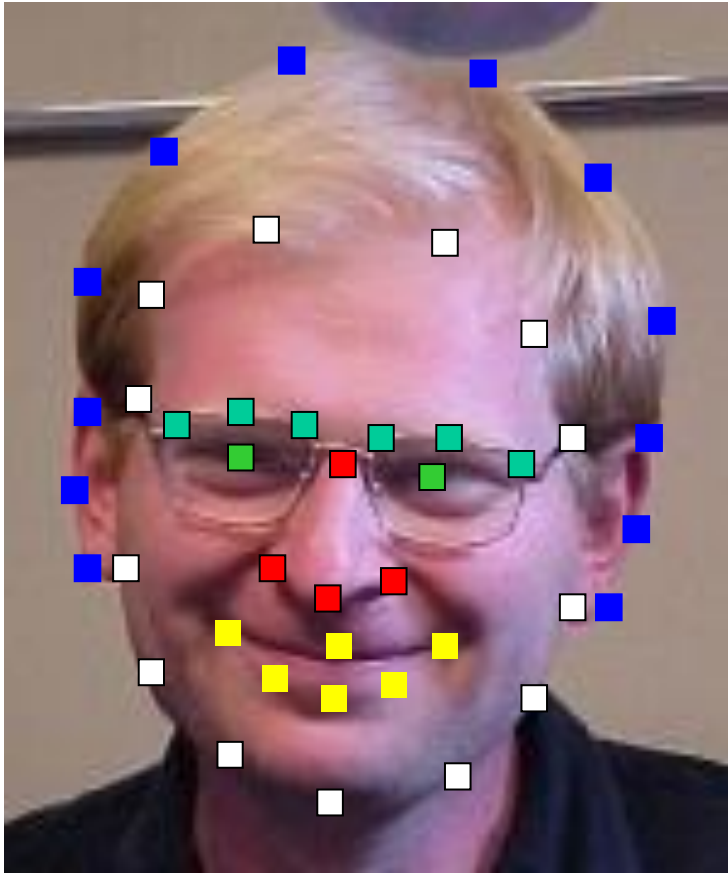
# How to align faces?

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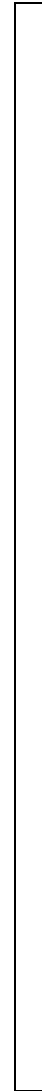
# Shape Vector

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Provides alignment!

=



43

# Appearance Vectors vs. Shape Vectors

Appearance  
Vector



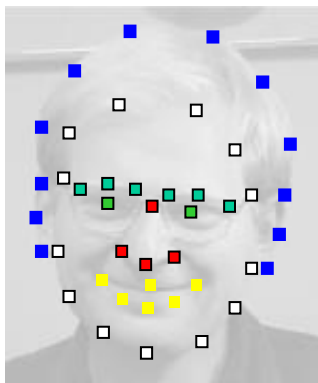
200\*150 pixels (RGB)



Vector of  
200\*150\*3  
Dimensions

- Requires Annotation
- Provides alignment!

Shape  
Vector



43 coordinates (x,y)



Vector of  
43\*2  
Dimensions

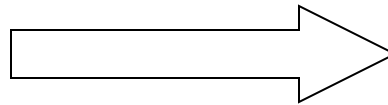


# Average Face

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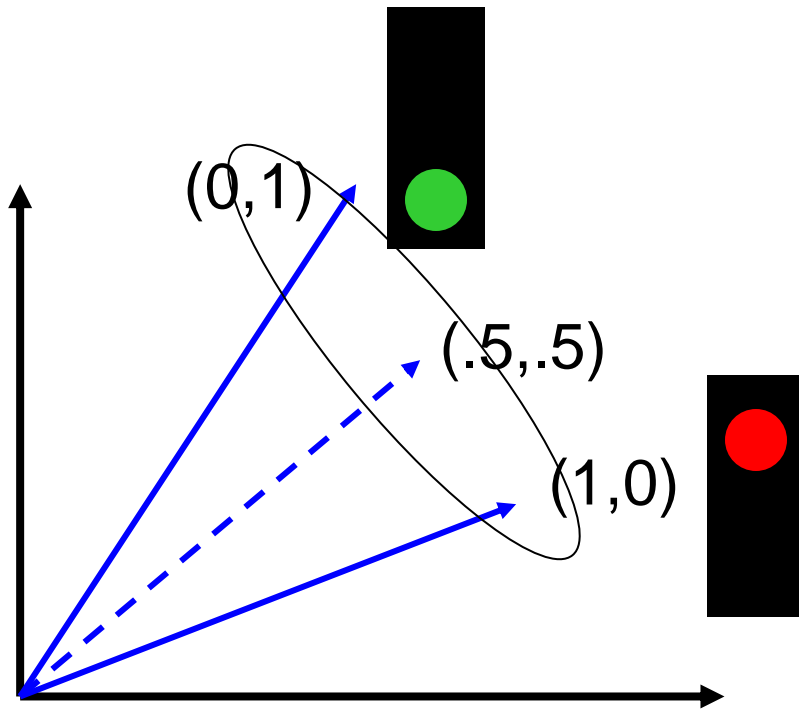


1. Warp to mean shape
2. Average pixels



# Objects must span a subspace

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# Example

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mean

Does not span a subspace

# Subpopulation means

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Examples:

- Male vs. female
- Happy vs. sad
- Angry Kids
- People wearing glasses
- Etc.
- <http://www.faceresearch.org>



Average female



Average kid



Average happy male



Average male



# Average Men of the world

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AUSTRIA



AFGHANISTAN



ARGENTINA



BURMA (MYANMAR)



GERMANY



GREECE



CAMBODIA



ENGLAND



ETHIOPIA



FRANCE



IRAQ



IRELAND



MONGOLIA



PERU



POLAND



PUERTO RICO



UZBEKISTAN



AFRICAN AMERICAN

# Average Women of the world

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Central African

Burmese

Cambodian

English

Ethiopian

Filipino



Greek

Indian

Iranian

Irish

Israeli

Italian



Peruvian

Polish

Romanian

Russian

Samoan

South African



# Deviations from the mean

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Image  $X$



Mean  $\underline{X}$

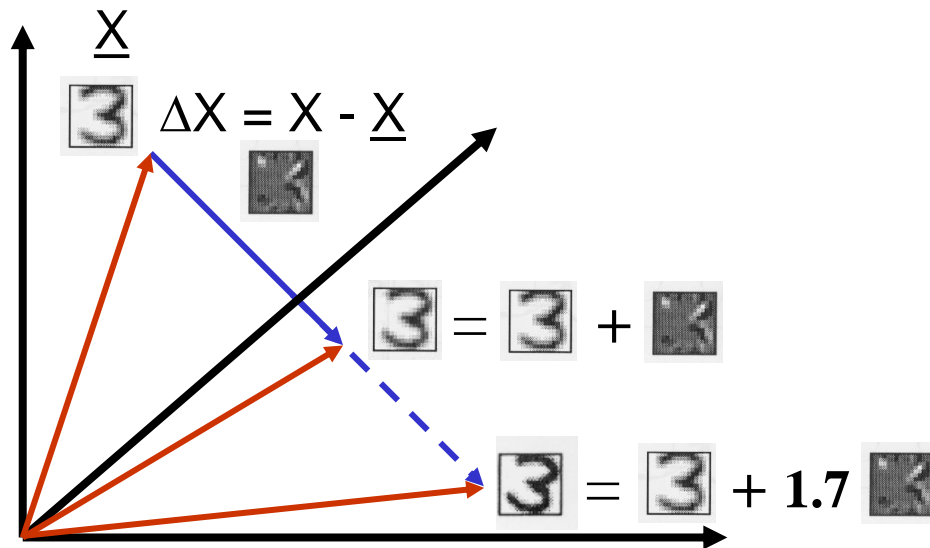
=



$$\Delta X = X - \underline{X}$$

# Deviations from the mean

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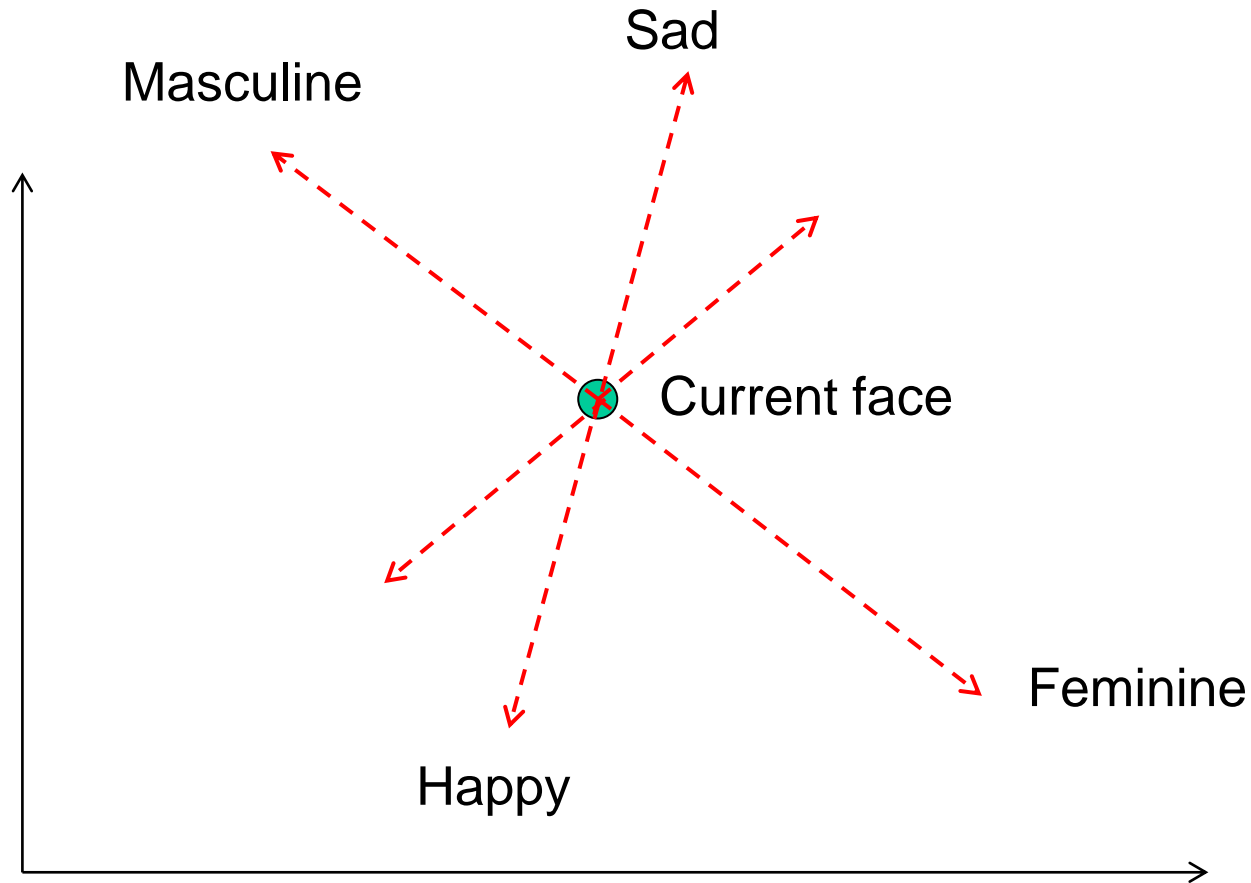




# Extrapolating faces

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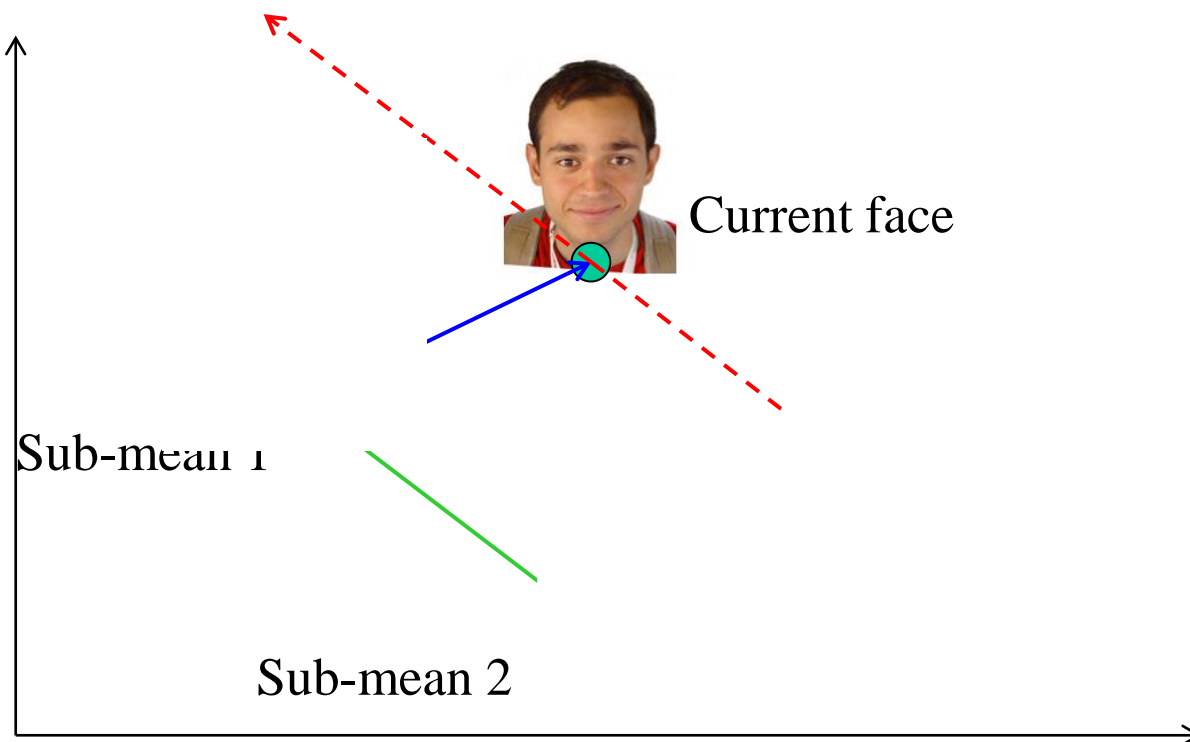
- We can imagine various meaningful directions.



# Manipulating faces

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- How can we make a face look more female/male, young/old, happy/sad, etc.?
- <http://www.faceresearch.org/demos/transform>



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# Manipulating Facial Appearance through Shape and Color

Duncan A. Rowland and David I. Perrett

*St Andrews University*

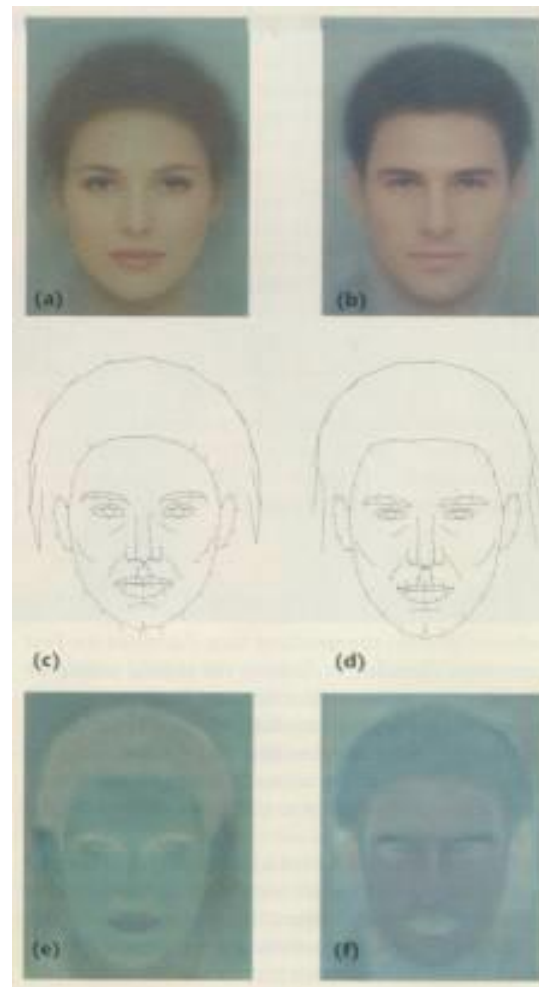
IEEE CG&A, September 1995

# Face Modeling

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Compute *average* faces  
(color and shape)

Compute *deviations*  
between male and  
female (vector and color  
differences)



# Changing gender

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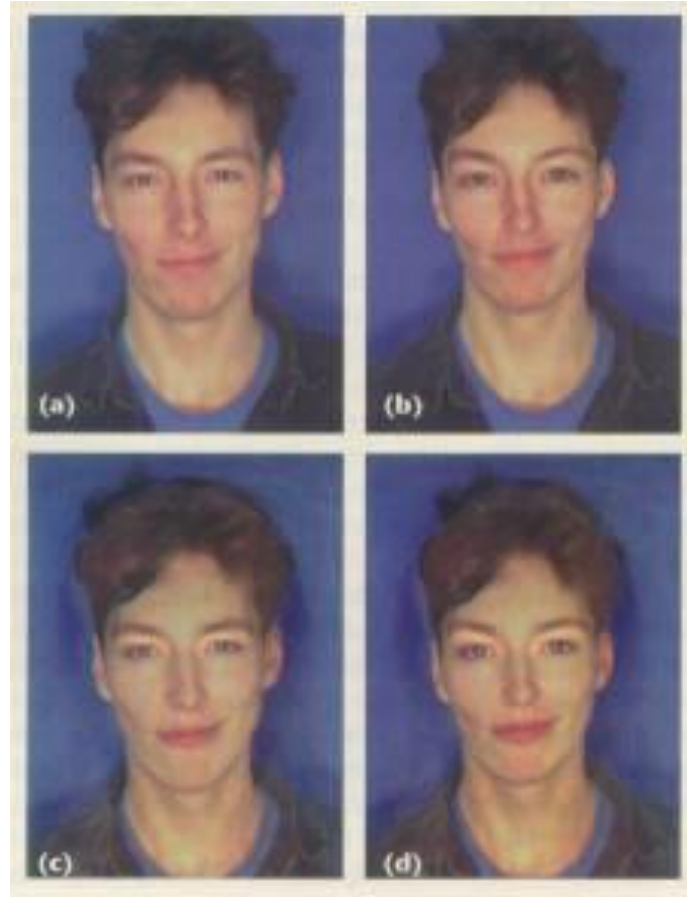
Deform shape and/or  
color of an input face  
in the direction of  
“more female”

original

color

shape

both





# Enhancing gender

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more same **original** androgynous more opposite

# Changing age

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Face becomes  
“rounder” and “more  
textured” and “grayer”

original



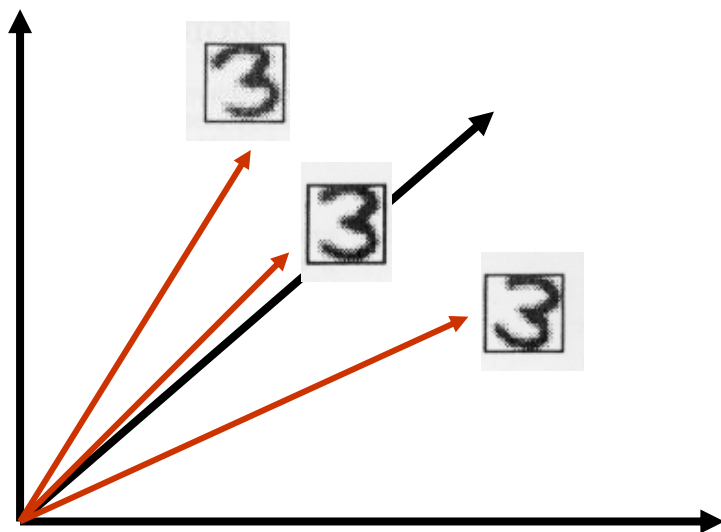
shape

color

both

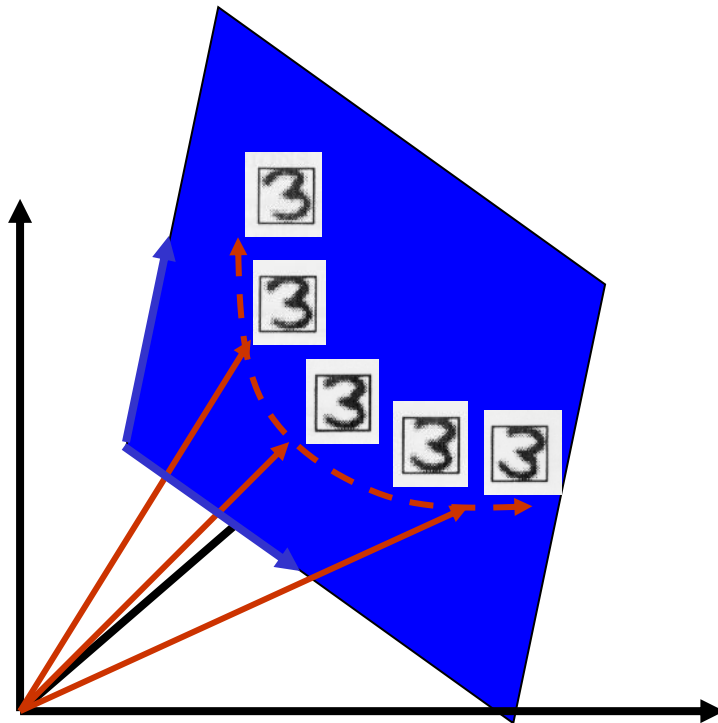
# Back to the Subspace

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# Linear Subspace: convex combinations

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Any new image  $X$  can be obtained as weighted sum of stored “basis” images.

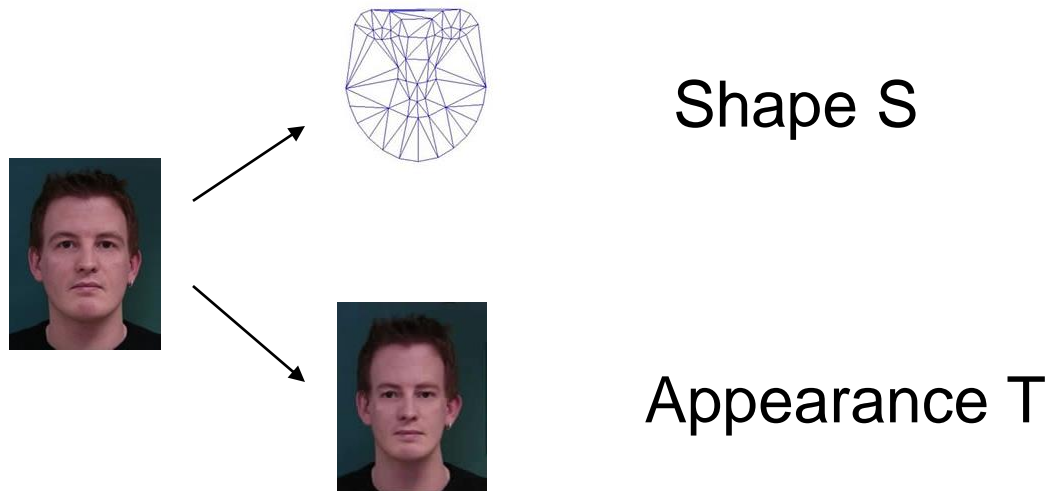
$$X = \sum_{i=1}^m a_i X_i$$

Our old friend, change of basis!  
What are the new coordinates of  $X$ ?

# The Morphable Face Model

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The actual structure of a face is captured in the shape vector  $\mathbf{S} = (x_1, y_1, x_2, \dots, y_n)^T$ , containing the  $(x, y)$  coordinates of the  $n$  vertices of a face, and the appearance (texture) vector  $\mathbf{T} = (R_1, G_1, B_1, R_2, \dots, G_n, B_n)^T$ , containing the color values of the mean-warped face image.



# The Morphable face model

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Again, assuming that we have  $m$  such vector pairs in full correspondence, we can form new shapes  $\mathbf{S}_{model}$  and new appearances  $\mathbf{T}_{model}$  as:

$$\mathbf{S}_{model} = \sum_{i=1}^m a_i \mathbf{S}_i \quad \mathbf{T}_{model} = \sum_{i=1}^m b_i \mathbf{T}_i$$

$$s = \alpha_1 \cdot \text{face}_1 + \alpha_2 \cdot \text{face}_2 + \alpha_3 \cdot \text{face}_3 + \alpha_4 \cdot \text{face}_4 + \dots = \mathbf{S} \cdot \mathbf{a}$$

$$t = \beta_1 \cdot \text{face}_1 + \beta_2 \cdot \text{face}_2 + \beta_3 \cdot \text{face}_3 + \beta_4 \cdot \text{face}_4 + \dots = \mathbf{T} \cdot \mathbf{b}$$



If number of basis faces  $m$  is large enough to span the face subspace then:

Any new face can be represented as a pair of vectors

$$(\alpha_1, \alpha_2, \dots, \alpha_m)^T \text{ and } (\beta_1, \beta_2, \dots, \beta_m)^T !$$



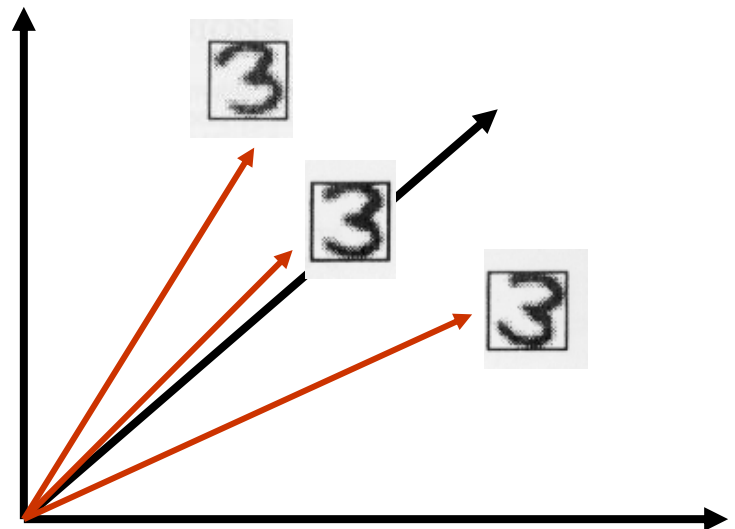
# Issues:

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1. How many basis images is enough?
2. Which ones should they be?
3. What if some variations are more important than others?
  - E.g. corners of mouth carry much more information than haircut

Need a way to obtain basis images automatically, in order of importance!

But what's important?

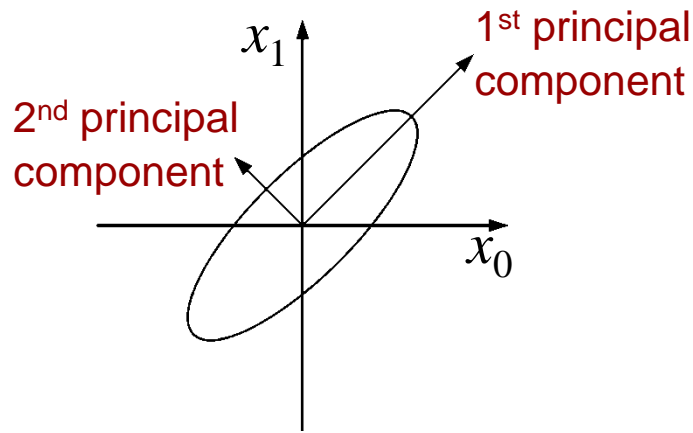
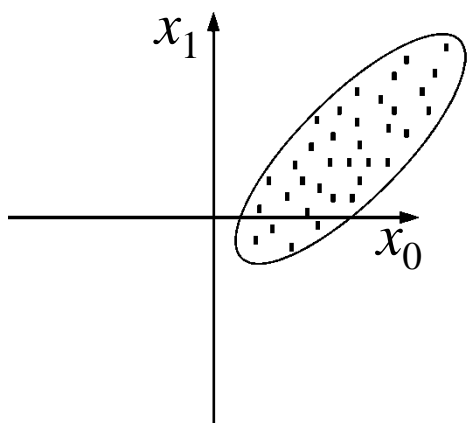


# Principal Component Analysis

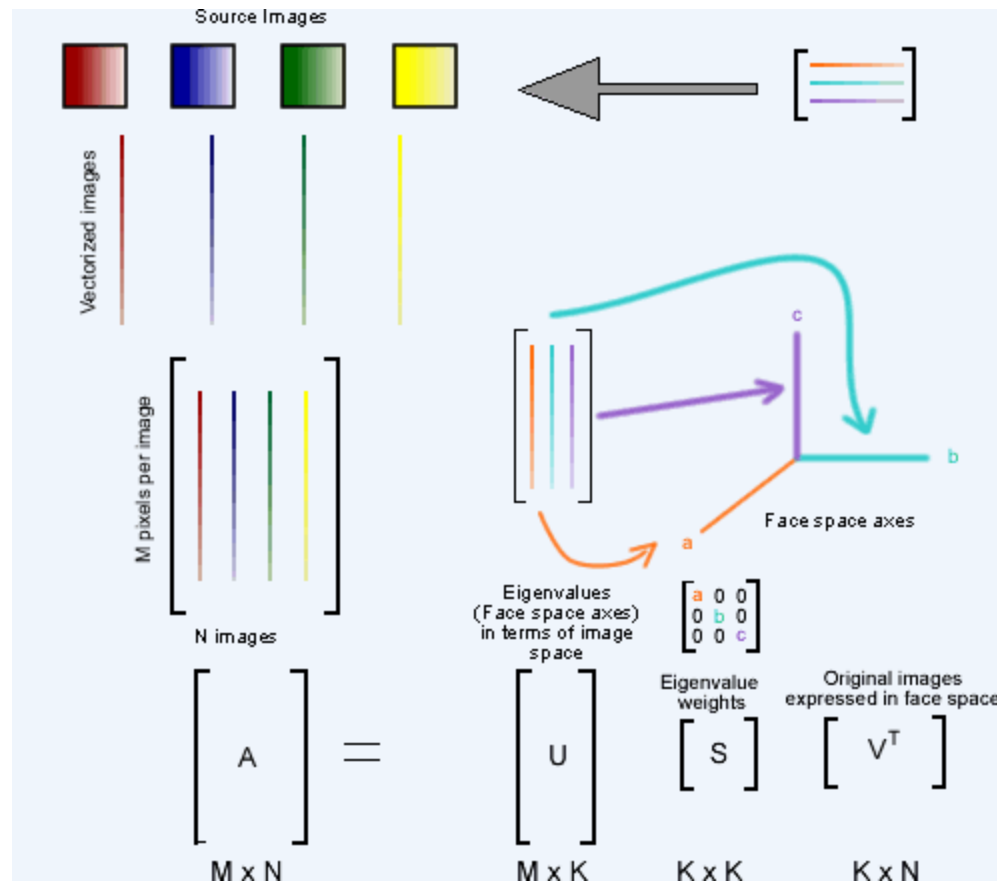
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Given a point set  $\{\vec{p}_j\}_{j=1\dots P}$ , in an  $M$ -dim space, PCA finds a basis such that

- coefficients of the point set in that basis are uncorrelated
- first  $r < M$  basis vectors provide an approximate basis that minimizes the mean-squared-error (MSE) in the approximation (over all bases with dimension  $r$ )



# PCA via Singular Value Decomposition



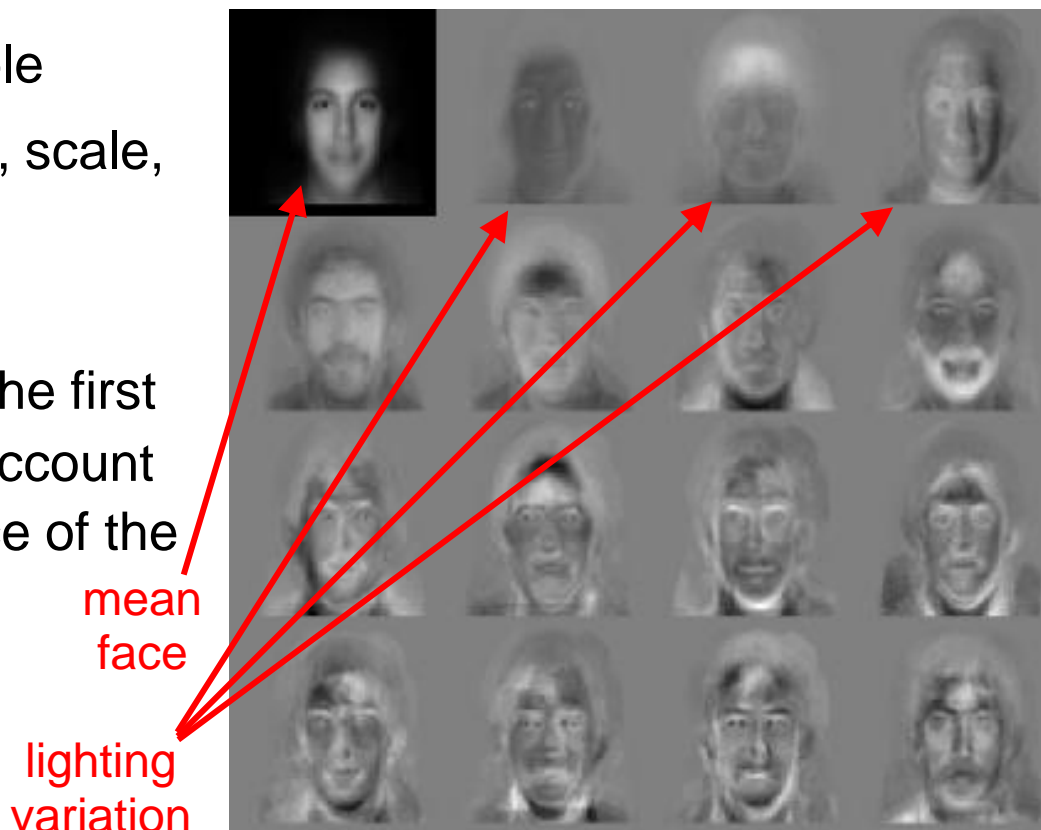
$$[u,s,v] = \text{svd}(A);$$

# EigenFaces

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First popular use of PCA on images was for modeling and recognition of faces [*Kirby and Sirovich, 1990, Turk and Pentland, 1991*]

- Collect a face ensemble
- Normalize for contrast, scale, & orientation.
- Remove backgrounds
- Apply PCA & choose the first  $N$  eigen-images that account for most of the variance of the data.



# First 3 Shape Basis

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Mean appearance



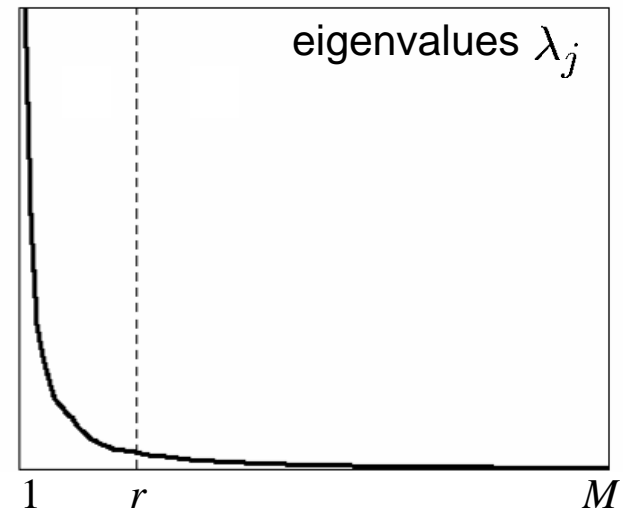
# Principal Component Analysis

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## Choosing subspace dimension

$r$ :

- look at decay of the eigenvalues as a function of  $r$
- Larger  $r$  means lower expected error in the subspace data approximation





# Using 3D Geometry: Blanz & Vetter, 1999

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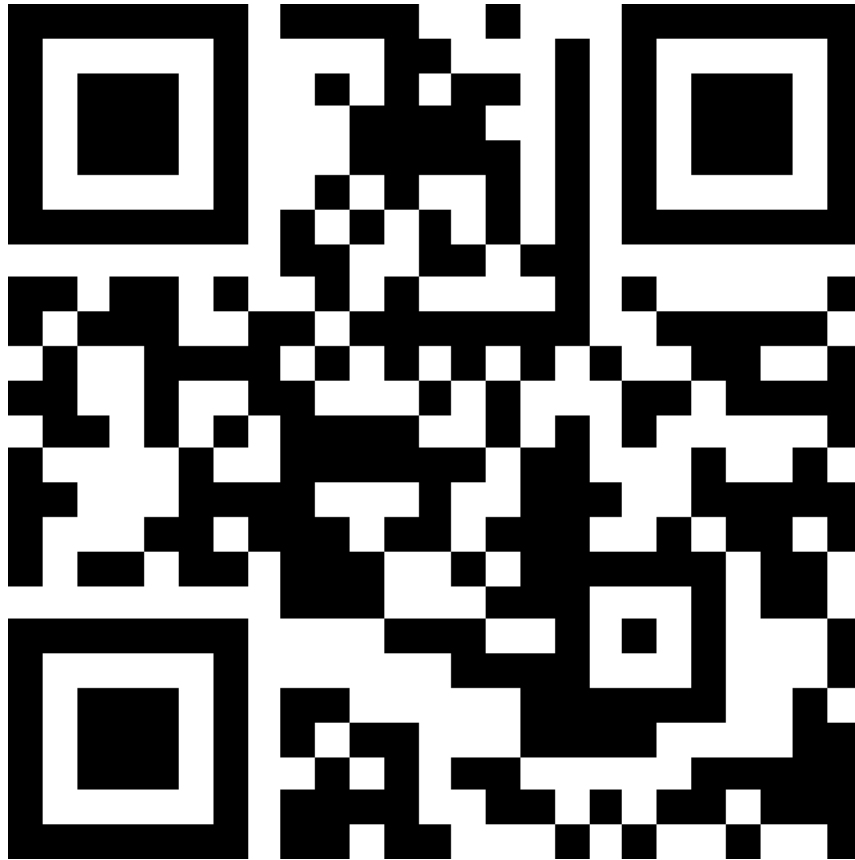
Automated Matching



<http://www.youtube.com/watch?v=jrutZaYoQJo>

# Pop Quiz!!

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DSP: you can take  
15 min more

<https://tinyurl.com/2t3etz39>