

[inst.eecs.berkeley.edu/~cs61c](http://inst.eecs.berkeley.edu/~cs61c)  
**UCB CS61C : Machine Structures**

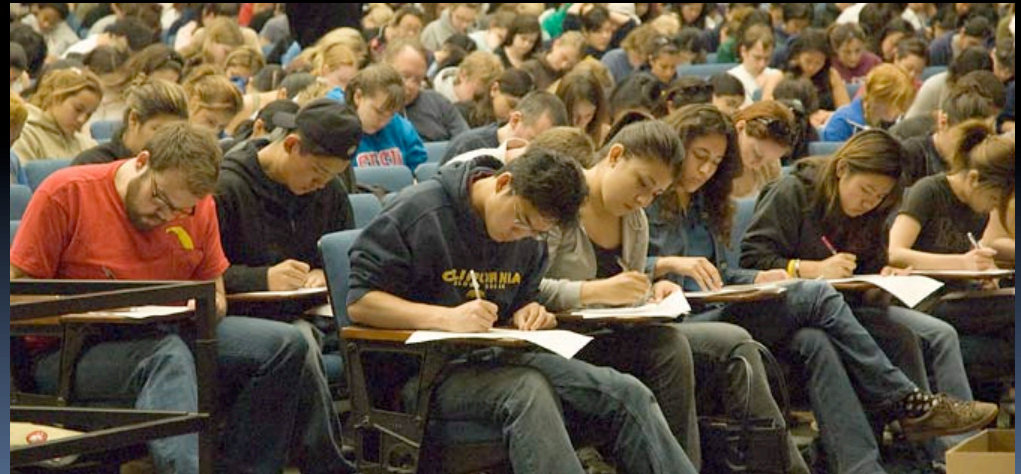
**Lecture 16 – Running a Program  
(Compiling, Assembling, Linking, Loading)**



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**FACULTY "RE-IMAGINE" UGRAD EDUCATION**

Highlights: Big Ideas courses, more team teaching, Academic Honor code, report avg and median grades to share context, meaning.



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# Administrivia...

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- Midterm Exam - You get to bring
  - Your study sheet
  - Your green sheet
  - Pens & Pencils
- What you don't need to bring
  - Calculator, cell phone, pagers
- Conflicts? DSP accommodations? Email Head TA



# Interpretation

---

Scheme program: `foo.scm`



Scheme interpreter

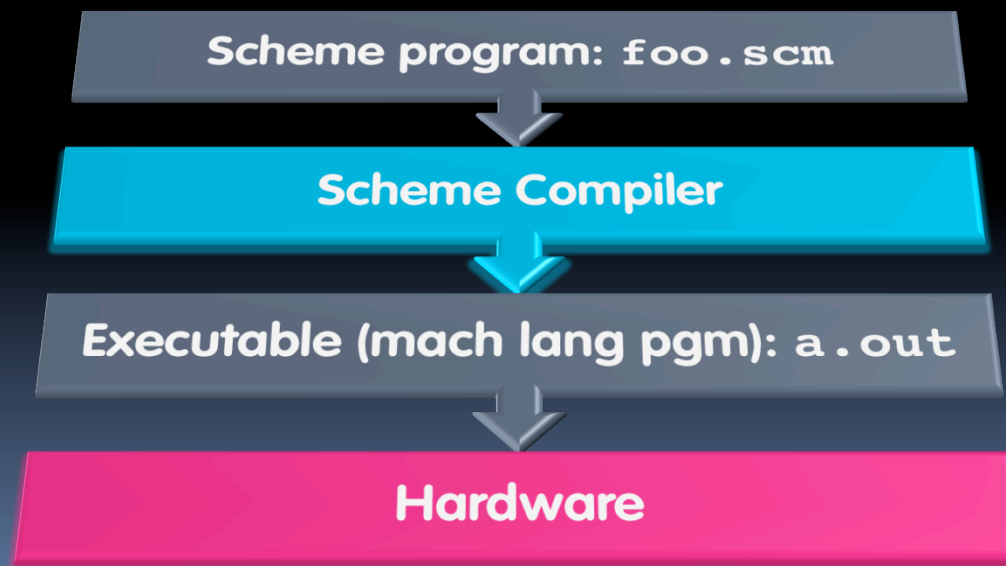
- Scheme Interpreter is just a program that reads a scheme program and performs the functions of that scheme program.



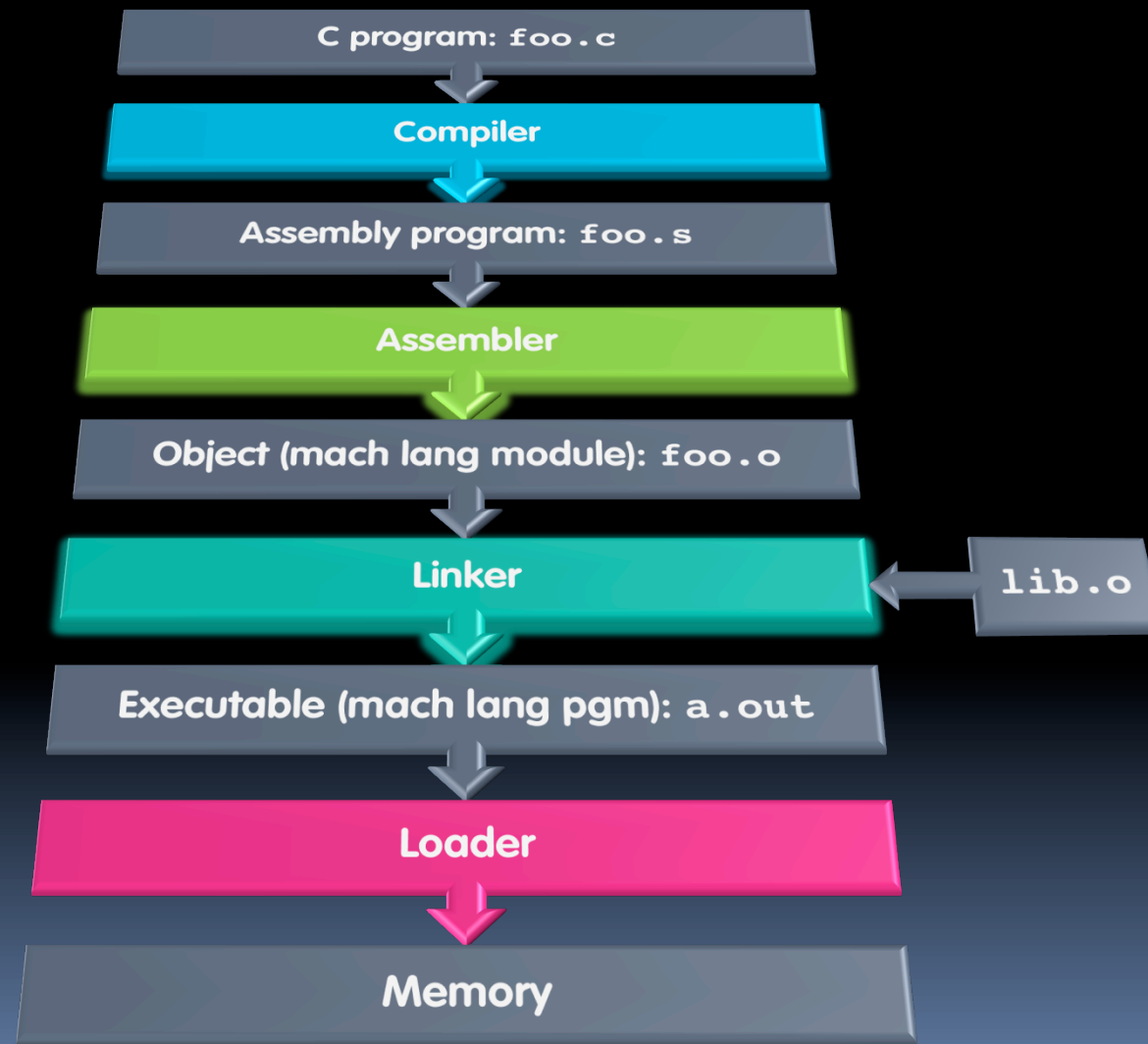
# Translation

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- Scheme Compiler is a translator from Scheme to machine language.
- The processor is a hardware interpreter of machine language.



# Steps to Starting a Program (translation)



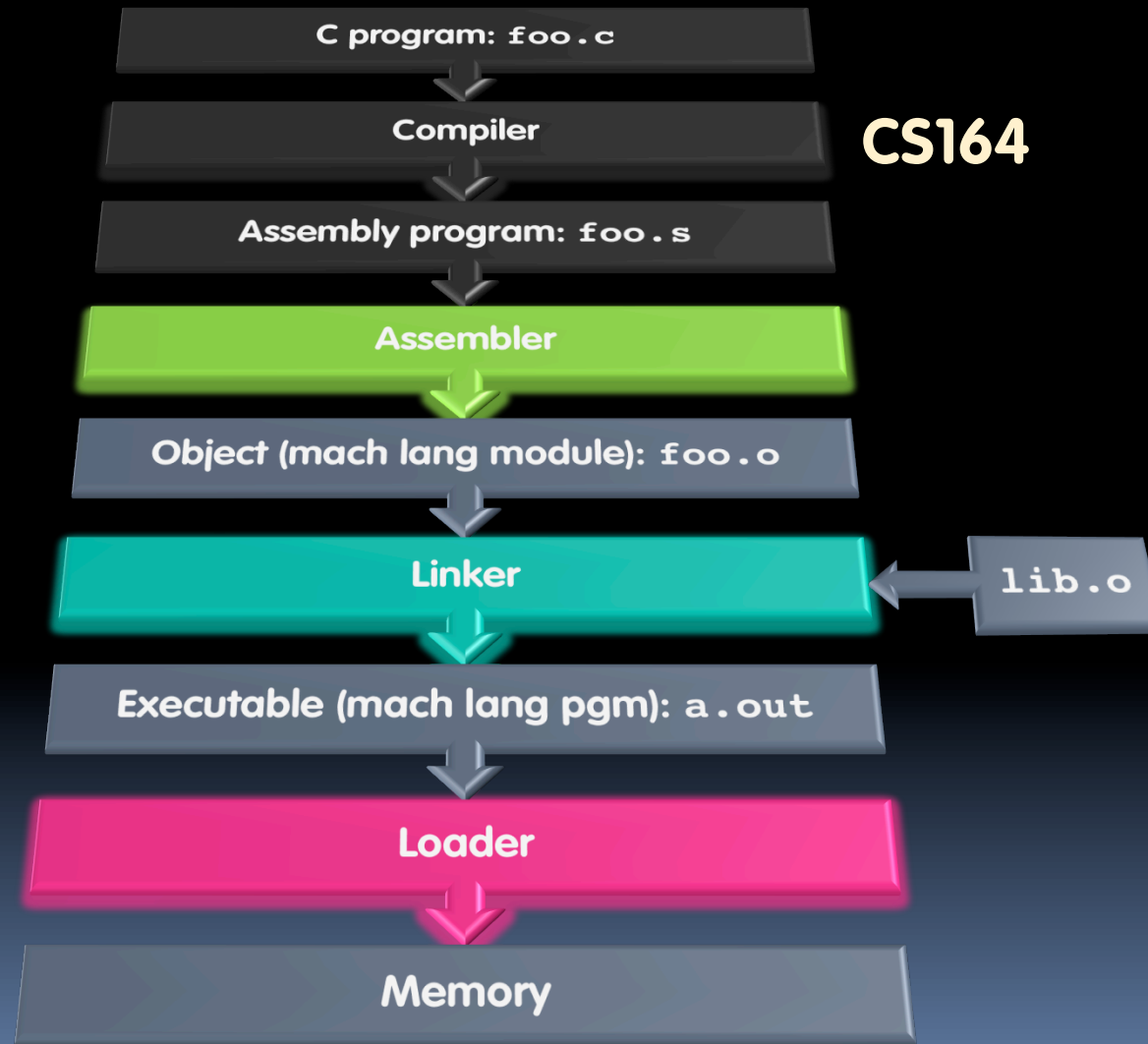
# Compiler

---

- Input: High-Level Language Code (e.g., C, Java such as `foo.c`)
- Output: Assembly Language Code (e.g., `foo.s` for MIPS)
- Note: Output *may* contain pseudoinstructions
- Pseudoinstructions: instructions that assembler understands but not in machine  
For example:
  - `move $s1,$s2`  $\Rightarrow$  `or $s1,$s2,$zero`



# Where Are We Now?



# Assembler

---

- Input: Assembly Language Code (MAL)  
(e.g., `foo.s` for MIPS)
- Output: Object Code, information tables (TAL)  
(e.g., `foo.o` for MIPS)
- Reads and Uses **Directives**
- Replace Pseudoinstructions
- Produce Machine Language
- Creates **Object File**





# Assembler Directives (p. A-51 to A-53)

---

- Give directions to assembler, but do not produce machine instructions
  - **.text**: Subsequent items put in user text segment (machine code)
  - **.data**: Subsequent items put in user data segment (binary rep of data in source file)
  - **.globl sym**: declares `sym` global and can be referenced from other files
  - **.asciiz str**: Store the string `str` in memory and null-terminate it
  - **.word w1...wn**: Store the  $n$  32-bit quantities in successive memory words



# Pseudoinstruction Replacement

- Asm. treats convenient variations of machine language instructions as if real instructions

Pseudo:

```
subu $sp, $sp, 32
```

```
sd $a0, 32($sp)
```

```
mul $t7, $t6, $t5
```

```
addu $t0, $t6, 1
```

```
ble $t0, 100, loop
```

```
la $a0, str
```

Real:

```
addiu $sp, $sp, -32
```

```
sw $a0, 32($sp)
```

```
sw $a1, 36($sp)
```

```
mul $t6, $t5
```

```
mflo $t7
```

```
addiu $t0, $t6, 1
```

```
slti $at, $t0, 101
```

```
bne $at, $0, loop
```

```
lui $at, left(str)
```

```
ori $a0, $at, right(str)
```



# Producing Machine Language (1/3)

---

- Simple Case
  - Arithmetic, Logical, Shifts, and so on.
  - All necessary info is within the instruction already.
- What about Branches?
  - PC-Relative
  - So once pseudo-instructions are replaced by real ones, we know by how many instructions to branch.
- So these can be handled.



# Producing Machine Language (2/3)

- “Forward Reference” problem
  - Branch instructions can refer to labels that are “forward” in the program:

```
      or    $v0, $0, $0
L1:   slt   $t0, $0, $a1
      beq   $t0, $0, L2
      addi  $a1, $a1, -1
      j    L1
L2:   add   $t1, $a0, $a1
```

- Solved by taking 2 passes over the program.
  - First pass remembers position of labels
  - Second pass uses label positions to generate code



# Producing Machine Language (3/3)

---

- What about jumps (`j` and `jal`)?
  - Jumps require **absolute address**.
  - So, forward or not, still can't generate machine instruction without knowing the position of instructions in memory.
- What about references to data?
  - `la` gets broken up into `lui` and `ori`
  - These will require the full 32-bit address of the data.
- These can't be determined yet, so we create two tables...



# Symbol Table

---

- List of “items” in this file that may be used by other files.
- What are they?
  - Labels: function calling
  - Data: anything in the `.data` section; variables which may be accessed across files



# Relocation Table

---

- List of “items” this file needs the address later.
- What are they?
  - Any label jumped to: `j` or `jal`
    - internal
    - external (including lib files)
  - Any piece of data
    - such as the `la` instruction



# Object File Format

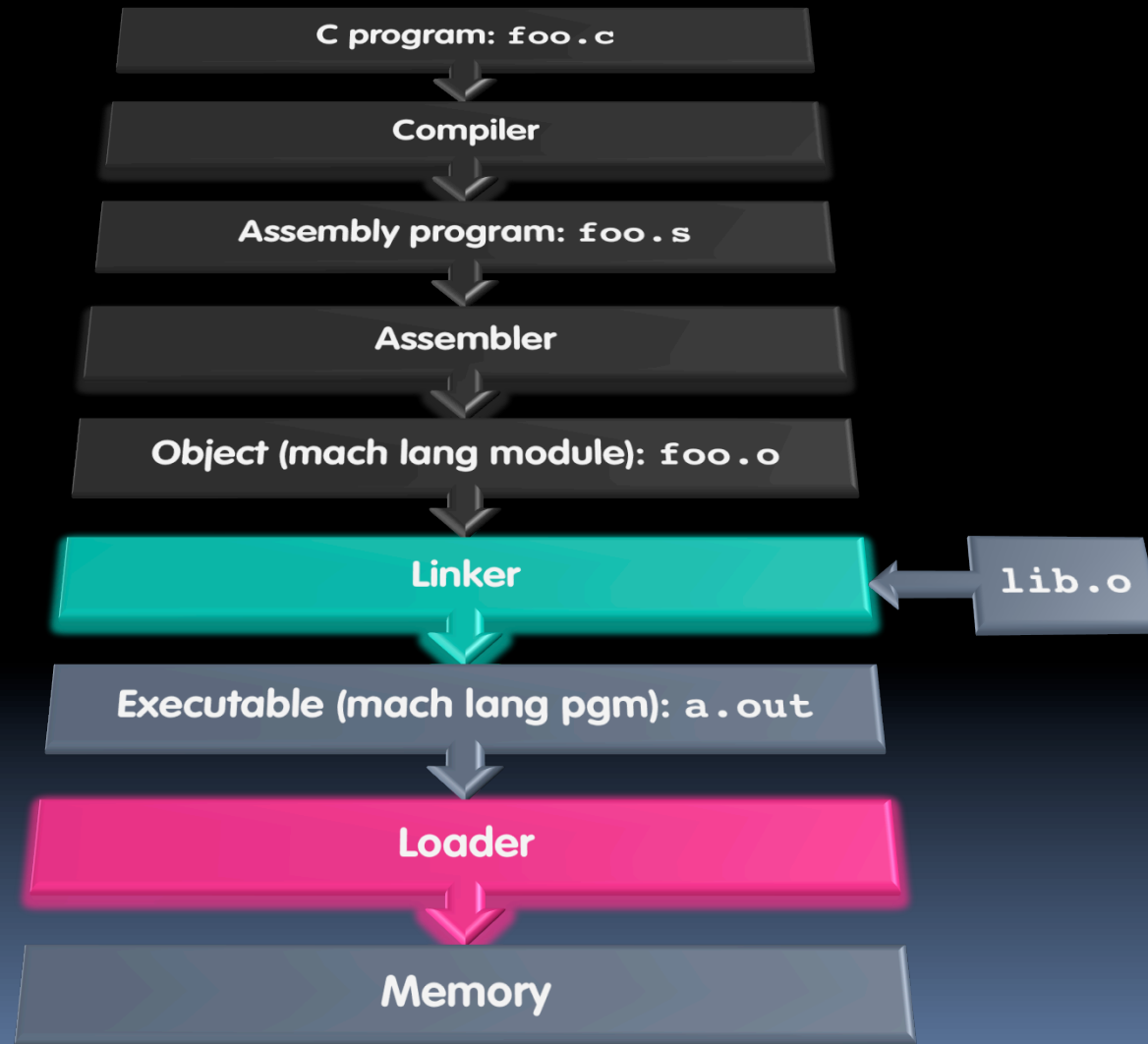
---

- object file header: size and position of the other pieces of the object file
- text segment: the machine code
- data segment: binary representation of the data in the source file
- relocation information: identifies lines of code that need to be “handled”
- symbol table: list of this file’s labels and data that can be referenced
- debugging information
- A standard format is ELF (except MS)





# Where Are We Now?



# Linker (1/3)

---

- Input: Object Code files, information tables (e.g., `foo.o`, `libc.o` for MIPS)
- Output: Executable Code (e.g., `a.out` for MIPS)
- Combines several object (`.o`) files into a single executable ("linking")
- Enable Separate Compilation of files
  - Changes to one file do not require recompilation of whole program
    - Windows NT source was > 40 M lines of code!
  - Old name "Link Editor" from editing the "links" in jump and link instructions

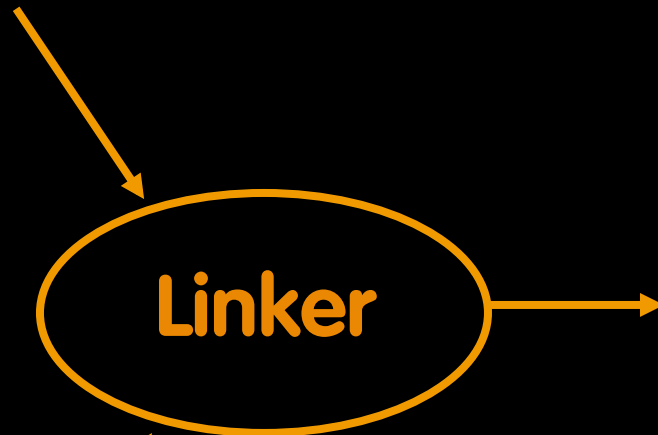


# Linker (2/3)

.o file 1



.o file 2



a.out



# Linker (3/3)

---

- Step 1: Take text segment from each .o file and put them together.
- Step 2: Take data segment from each .o file, put them together, and concatenate this onto end of text segments.
- Step 3: Resolve References
  - Go through Relocation Table; handle each entry
  - That is, fill in all absolute addresses



# Four Types of Addresses we'll discuss

---

- PC-Relative Addressing (`beq`, `bne`)
  - **never relocate**
- Absolute Address (`j`, `jal`)
  - **always relocate**
- External Reference (usually `jal`)
  - **always relocate**
- Data Reference (often `lui` and `ori`)
  - **always relocate**



# Absolute Addresses in MIPS

- Which instructions need relocation editing?
  - J-format: jump, jump and link

<b>j/jal</b>	<b>xxxxxx</b>
--------------	---------------

- Loads and stores to variables in static area, relative to global pointer

<b>lw/sw</b>	<b>\$gp</b>	<b>\$x</b>	<b>address</b>
--------------	-------------	------------	----------------

- What about conditional branches?

<b>beq/bne</b>	<b>\$rs</b>	<b>\$rt</b>	<b>address</b>
----------------	-------------	-------------	----------------

- PC-relative addressing **preserved** even if code moves



# Resolving References (1/2)

---

- Linker **assumes** first word of first text segment is at address **0x00000000**.
  - (More later when we study “virtual memory”)
- Linker knows:
  - length of each text and data segment
  - ordering of text and data segments
- Linker calculates:
  - absolute address of each label to be jumped to (internal or external) and each piece of data being referenced



# Resolving References (2/2)

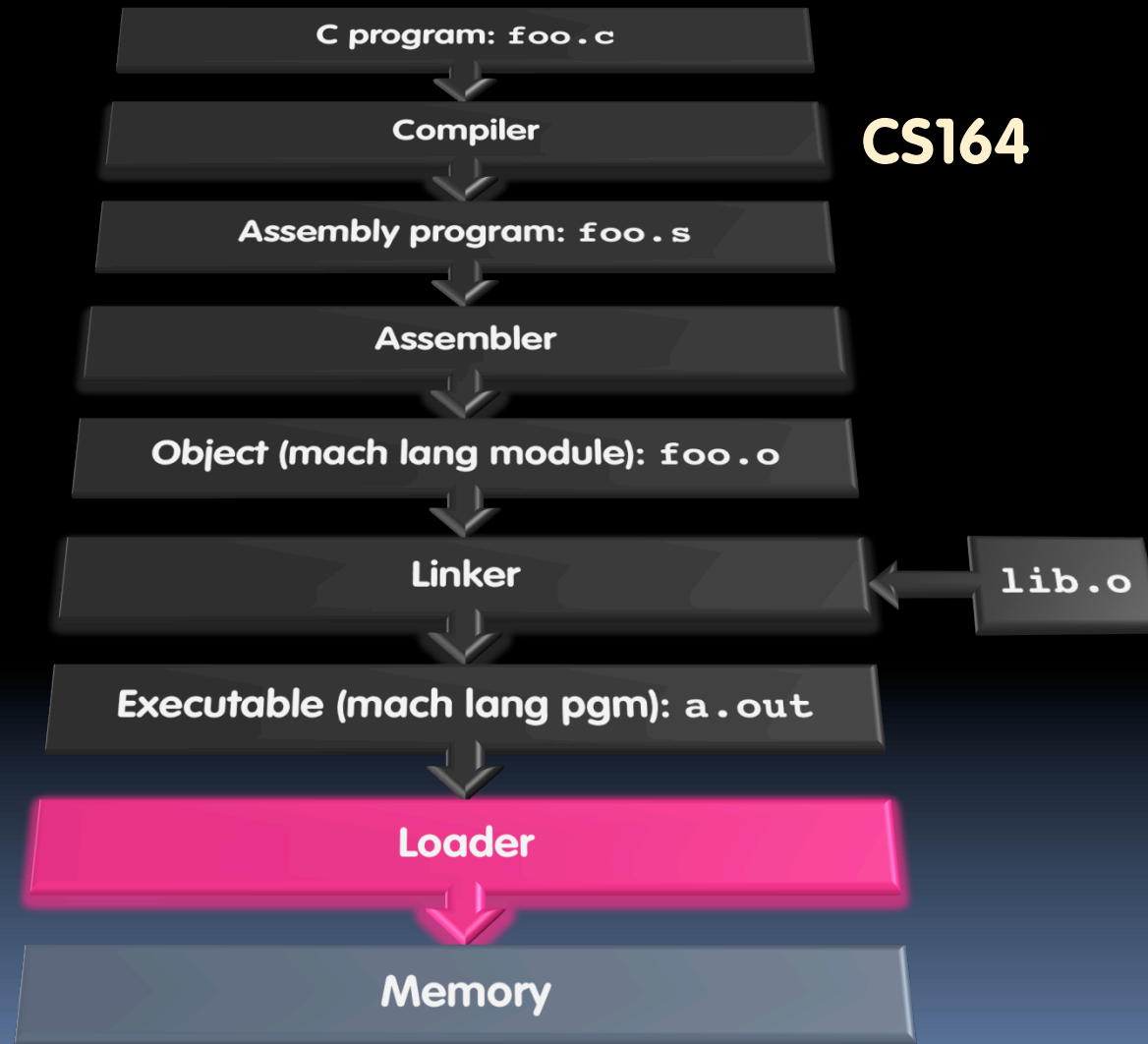
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- To resolve references:
  - search for reference (data or label) in all “user” symbol tables
  - if not found, search library files (for example, for `printf`)
  - once absolute address is determined, fill in the machine code appropriately
- Output of linker: executable file containing text and data (plus header)





# Where Are We Now?



# Loader Basics

---

- Input: Executable Code  
(e.g., **a.out** for MIPS)
- Output: (program is run)
- Executable files are stored on disk.
- When one is run, loader's job is to load it into memory and start it running.
- In reality, loader is the operating system (OS)
  - loading is one of the OS tasks



# Loader ... what does it do?

---

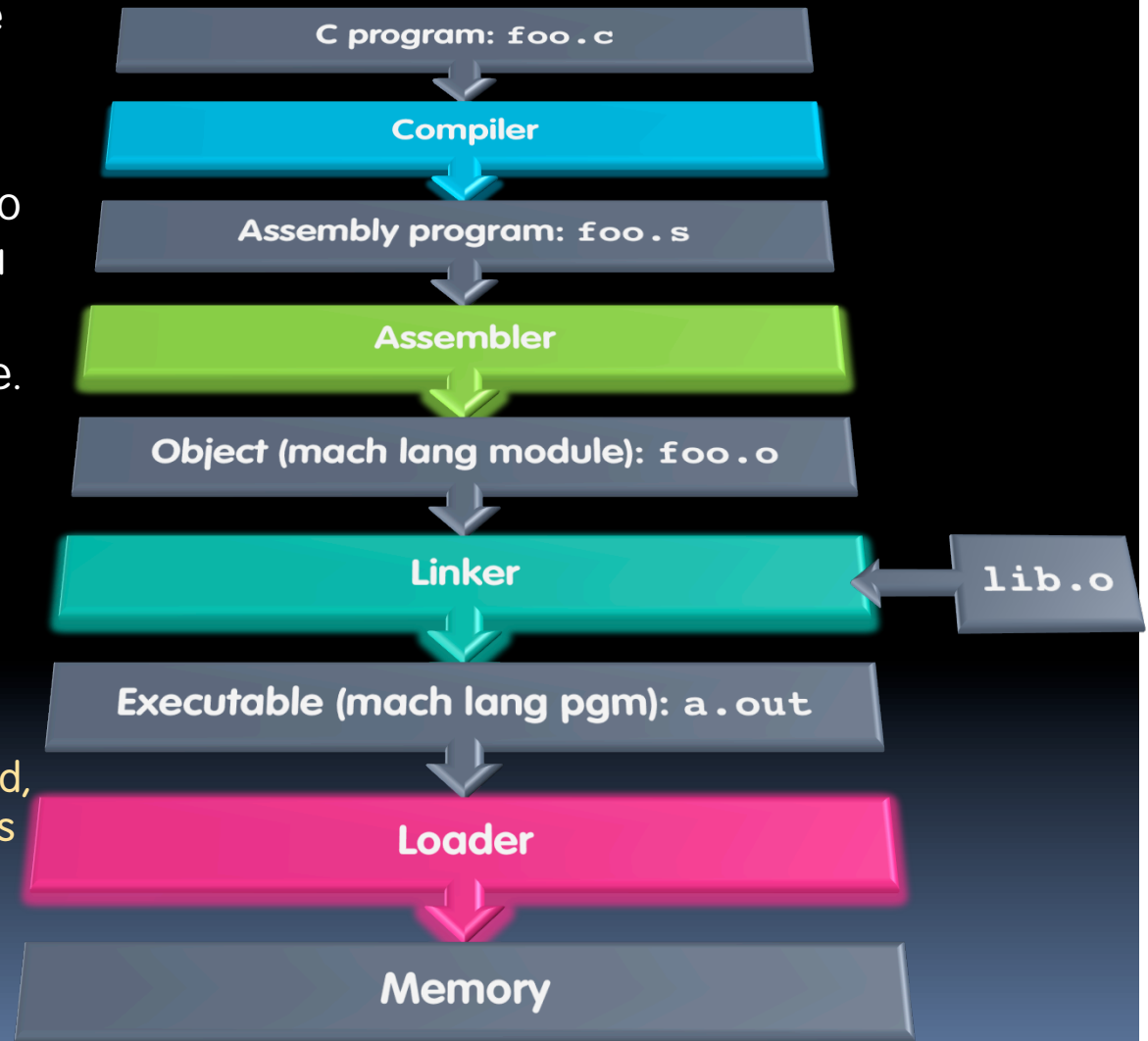
- Reads executable file's header to determine size of text and data segments
- Creates new address space for program large enough to hold text and data segments, along with a stack segment
- Copies instructions and data from executable file into the new address space
- Copies arguments passed to the program onto the stack
- Initializes machine registers
  - Most registers cleared, but stack pointer assigned address of 1st free stack location
- Jumps to start-up routine that copies program's arguments from stack to registers & sets the PC
  - If main routine returns, start-up routine terminates program with the exit system call



# Conclusion

- Stored Program concept is very powerful. It means that instructions sometimes act just like data. Therefore we can use programs to manipulate other programs!
  - Compiler  $\Rightarrow$  Assembler  $\Rightarrow$  Linker ( $\Rightarrow$  Loader)

- Compiler converts a single HLL file into a single assembly lang. file.
- Assembler removes pseudo instructions, converts what it can to machine language, and creates a checklist for the linker (relocation table). A .s file becomes a .o file.
  - Does 2 passes to resolve addresses, handling internal forward references
- Linker combines several .o files and resolves absolute addresses.
  - Enables separate compilation, libraries that need not be compiled, and resolves remaining addresses
- Loader loads executable into memory and begins execution.



# Peer Instruction

---

Which of the following instr. may need to be edited during link phase?

```
Loop: lui $at, 0xABCD  
      ori $a0,$at, 0xFEDC }# 1  
      bne $a0,$v0, Loop # 2
```

- |    |    |
|----|----|
|    | 12 |
| a) | FF |
| b) | FT |
| c) | TF |
| d) | TT |



# Peer Instruction Answer

Which of the following instr. may need to be edited during link phase?

```
Loop: lui $at, 0xABCD  
      ori $a0,$at, 0xFEDC }# 1  
      bne $a0,$v0, Loop  # 2
```

data reference; relocate

PC-relative branch; OK

- |    |    |
|----|----|
|    | 12 |
| a) | FF |
| b) | FT |
| c) | TF |
| d) | TT |



# Peer Instruction

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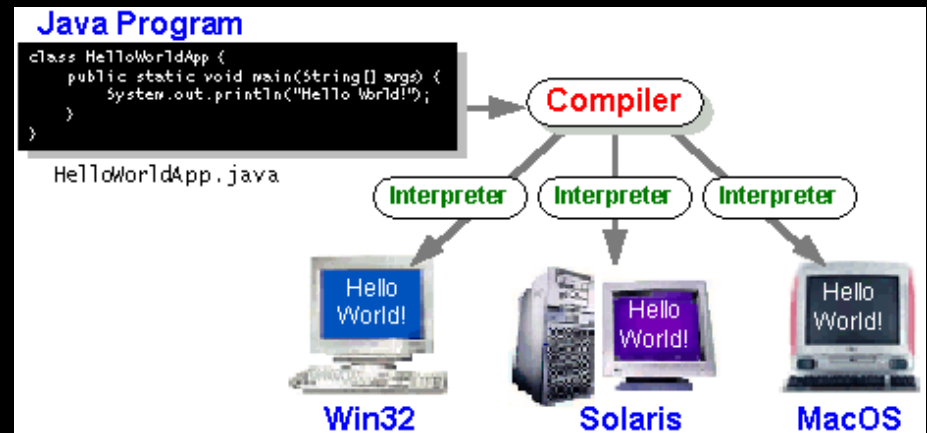
- 1) Assembler will **ignore** the instruction **Loop:nop** because it does nothing.
- 2) Java designers used a translator AND interpreter (rather than just a translator) **mainly** because of (at least 1 of): ease of writing, better error msgs, smaller object code.

	12
a)	FF
b)	FT
c)	TF
d)	TT



# Peer Instruction Answer

- 1) Assembler keeps track of all labels in symbol table...F!
- 2) Java designers used both mainly because of code portability...F!



- 1) Assembler will ignore the instruction **Loop:nop** because it does nothing.
- 2) Java designers used a translator AND interpreter (rather than just a translator) mainly because of (at least 1 of): ease of writing, better error msgs, smaller object code.

	12
a)	FF
b)	FT
c)	TF
d)	TT





# Bonus slides

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- These are extra slides that used to be included in lecture notes, but have been moved to this, the “bonus” area to serve as a supplement.
- The slides will appear in the order they would have in the normal presentation

# Bonus



# Language Execution Continuum

- An **Interpreter** is a program that executes other programs.



- Language **translation** gives us another option.
- In general, we **interpret** a high level language when efficiency is not critical and **translate** to a lower level language to up performance



# Interpretation vs Translation

---

- How do we run a program written in a source language?
  - **Interpreter**: Directly executes a program in the source language
  - **Translator**: Converts a program from the source language to an equivalent program in another language
- For example, consider a Scheme program **foo.scm**



# Interpretation

---

- Any good reason to interpret machine language in software?
- SPIM – useful for learning / debugging
- Apple Macintosh conversion
  - Switched from Motorola 680x0 instruction architecture to PowerPC.
    - Similar issue with switch to x86.
  - Could require all programs to be re-translated from high level language
  - Instead, let executables contain old and/or new machine code, interpret old code in software if necessary (emulation)



# Interpretation vs. Translation? (1/2)

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- Generally easier to write interpreter
- Interpreter closer to high-level, so can give better error messages (e.g., MARS, stk)
  - Translator reaction: add extra information to help debugging (line numbers, names)
- Interpreter slower (10x?), code smaller (2x?)
- Interpreter provides instruction set independence: run on any machine



# Interpretation vs. Translation? (2/2)

---

- Translated/compiled code almost always more efficient and therefore higher performance:
  - Important for many applications, particularly operating systems.
- Translation/compilation helps “hide” the program “source” from the users:
  - One model for creating value in the marketplace (eg. Microsoft keeps all their source code secret)
  - Alternative model, “open source”, creates value by publishing the source code and fostering a community of developers.



# Static vs Dynamically linked libraries

---

- What we've described is the traditional way: **statically-linked** approach
  - The library is now part of the executable, so if the library updates, we don't get the fix (have to recompile if we have source)
  - It includes the entire library even if not all of it will be used.
  - Executable is self-contained.
- An alternative is **dynamically linked libraries** (DLL), common on Windows & UNIX platforms



# Dynamically linked libraries

---

- Space/time issues
  - + Storing a program requires less disk space
  - + Sending a program requires less time
  - + Executing two programs requires less memory (if they share a library)
  - – At runtime, there's time overhead to do link
- Upgrades
  - + Replacing one file (`libXYZ.so`) upgrades every program that uses library "XYZ"
  - – Having the executable isn't enough anymore

*Overall, dynamic linking adds quite a bit of complexity to the compiler, linker, and operating system. However, it provides many benefits that often outweigh these.*





# Dynamically linked libraries

---

- The prevailing approach to dynamic linking uses machine code as the “lowest common denominator”
  - The linker does not use information about how the program or library was compiled (i.e., what compiler or language)
  - This can be described as “linking at the machine code level”
  - This isn’t the only way to do it...



# Example: C $\Rightarrow$ Asm $\Rightarrow$ Obj $\Rightarrow$ Exe $\Rightarrow$ Run

---

## *C Program Source Code: prog.c*

```
#include <stdio.h>
int main (int argc, char *argv[]) {
    int i, sum = 0;
    for (i = 0; i <= 100; i++)
        sum = sum + i * i;
    printf ("The sum of sq from 0 .. 100 is
           %d\n",      sum);
}
```

*"printf" lives in "libc"*



# Compilation: MAL

```
__ .text
   .align 2
   .globl main
main:
   subu $sp,$sp,32
   sw $ra, 20($sp)
   sd $a0, 32($sp)
   sw $0, 24($sp)
   sw $0, 28($sp)
loop:
   lw $t6, 28($sp)
   mul $t7, $t6,$t6
   lw $t8, 24($sp)
   addu $t9,$t8,$t7
   sw $t9, 24($sp)
```

```
addu $t0, $t6, 1
sw $t0, 28($sp)
ble $t0,100, loop
la $a0, str
lw $a1, 24($sp)
jal printf
move $v0, $0
lw $ra, 20($sp)
addiu $sp,$sp,32
jr $ra
.data
.align 0
str:
.asciiz "The sum
of sq from 0 ..
100 is %d\n"
```

**Where are  
7 pseudo-  
instructions?**



# Compilation: MAL

```
__ .text
   .align 2
   .globl main
main:
   subu $sp,$sp,32
   sw $ra, 20($sp)
   sd $a0, 32($sp)
   sw $0, 24($sp)
   sw $0, 28($sp)
loop:
   lw $t6, 28($sp)
   mul $t7, $t6,$t6
   lw $t8, 24($sp)
   addu $t9,$t8,$t7
   sw $t9, 24($sp)
```

```
addu $t0, $t6, 1
sw $t0, 28($sp)
ble $t0,100, loop
la $a0, str
lw $a1, 24($sp)
jal printf
move $v0, $0
lw $ra, 20($sp)
addiu $sp,$sp,32
jr $ra
.data
.align 0
str:
__ .asciiz "The sum
of sq from 0 ..
100 is %d\n"
```

**7 pseudo-instructions underlined**



# Assembly step 1:

Remove pseudoinstructions, assign addresses

```
00 addiu $29,$29,-32  
04 sw      $31,20($29)  
08 sw      $4, 32($29)  
0c sw      $5, 36($29)  
10 sw      $0, 24($29)  
14 sw      $0, 28($29)  
18 lw      $14, 28($29)  
1c multu   $14, $14  
20 mflo     $15  
24 lw      $24, 24($29)  
28 addu    $25,$24,$15  
2c sw      $25, 24($29)
```

```
30 addiu $8,$14, 1  
34 sw      $8,28($29)  
38 slti    $1,$8, 101  
3c bne     $1,$0, loop  
40 lui     $4, l.str  
44 ori     $4,$4,r.str  
48 lw      $5,24($29)  
4c jal     printf  
50 add     $2, $0, $0  
54 lw      $31,20($29)  
58 addiu   $29,$29,32  
5c jr      $31
```



# Assembly step 2

---

## Create relocation table and symbol table

- Symbol Table

Label	address (in module)	type
main:	0x00000000	global text
loop:	0x00000018	local text
str:	0x00000000	local data

- Relocation Information

Address	Instr. type	Dependency
0x00000040	lui	l.str
0x00000044	ori	r.str
0x0000004c	jal	printf



# Assembly step 3

## Resolve local PC-relative labels

```
00 addiu $29,$29,-32
04 sw    $31,20($29)
08 sw    $4, 32($29)
0c sw    $5, 36($29)
10 sw    $0, 24($29)
14 sw    $0, 28($29)
18 lw    $14, 28($29)
1c multu $14, $14
20 mflo  $15
24 lw    $24, 24($29)
28 addu  $25,$24,$15
2c sw    $25, 24($29)
```

```
30 addiu $8,$14, 1
34 sw    $8,28($29)
38 slti  $1,$8, 101
3c bne   $1,$0, -10
40 lui   $4, l.str
44 ori   $4,$4,r.str
48 lw    $5,24($29)
4c jal   printf
50 add   $2, $0, $0
54 lw    $31,20($29)
58 addiu $29,$29,32
5c jr    $31
```



# Assembly step 4

---

- Generate object (.o) file:
  - Output binary representation for
    - ext segment (instructions),
    - data segment (data),
    - symbol and relocation tables.
  - Using dummy “placeholders” for unresolved absolute and external references.





# Text segment in object file

```
0x000000 0010011110111101111111111111000000
0x000004 1010111110111111000000000000101000
0x000008 1010111110100100000000000001000000
0x00000c 1010111110100101000000000000100100
0x000010 1010111110100000000000000000110000
0x000014 1010111110100000000000000000111000
0x000018 1000111110101110000000000000111000
0x00001c 1000111110111000000000000000110000
0x000020 0000000111001110000000000000110001
0x000024 0010010111001000000000000000000001
0x000028 00101001000000001000000000001100101
0x00002c 1010111110101000000000000000111000
0x000030 0000000000000000000000111100000010010
0x000034 0000001100000111111001000000100001
0x000038 000101000001000000111111111110111
0x00003c 1010111110111001000000000000110000
0x000040 0011110000000010000000000000000000
0x000044 1000111110100101000000000000000000
0x000048 000011000000100000000000000011101100
0x00004c 0010010000000000000000000000000000
0x000050 10001111101111110000000000000010100
0x000054 0010011110111101000000000000000000
0x000058 000000111110000000000000000000001000
0x00005c 00000000000000000000000010000000100001
```



# Link step 1: combine prog.o, libc.o

- Merge text/data segments
- Create absolute memory addresses
- Modify & merge symbol and relocation tables
- Symbol Table

Label	Address	
main:	0x00000000	
loop:	0x00000018	
str:	0x10000430	
printf:	0x000003b0	...

- Relocation Information

Address	Instr. Type	Dependency	
0x00000040	lui	l.str	
0x00000044	ori	r.str	
0x0000004c	jal	printf	...



# Link step 2:

- Edit Addresses in relocation table
  - (shown in TAL for clarity, but done in binary)

```
00 addiu $29,$29,-32
04 sw    $31,20($29)
08 sw    $4, 32($29)
0c sw    $5, 36($29)
10 sw    $0, 24($29)
14 sw    $0, 28($29)
18 lw    $14, 28($29)
1c multu $14, $14
20 mflo  $15
24 lw    $24, 24($29)
28 addu  $25,$24,$15
2c sw    $25, 24($29)
```

```
30 addiu $8,$14, 1
34 sw    $8,28($29)
38 slti  $1,$8, 101
3c bne   $1,$0, -10
40 lui   $4, 4096
44 ori   $4,$4, 1072
48 lw    $5,24($29)
4c jal   812
50 add   $2, $0, $0
54 lw    $31,20($29)
58 addiu $29,$29,32
5c jr    $31
```



## Link step 3:

---

- Output executable of merged modules.
  - Single text (instruction) segment
  - Single data segment
  - Header detailing size of each segment
- NOTE:
  - The preceding example was a much simplified version of how ELF and other standard formats work, meant only to demonstrate the basic principles.



# Integer Multiplication (1/3)

---

- Paper and pencil example (unsigned):

```

Multiplicand  1000      8
Multiplier   x1001    9
              1000
              0000
              0000
              +1000
              -----
              01001000
```

- $m$  bits  $\times$   $n$  bits =  $m + n$  bit product



# Integer Multiplication (2/3)

---

- In MIPS, we multiply registers, so:
  - 32-bit value x 32-bit value = 64-bit value
- Syntax of Multiplication (signed):
  - `mult register1, register2`
  - Multiplies 32-bit values in those registers & puts 64-bit product in special result regs:
    - puts product **upper half in hi**, **lower half in lo**
  - **hi** and **lo** are 2 registers separate from the 32 general purpose registers
  - Use **mfhi** register & **mflo** register to move from **hi**, **lo** to another register



# Integer Multiplication (3/3)

---

- Example:

- in C: `a = b * c;`

- in MIPS:

- let `b` be `$s2`; let `c` be `$s3`; and let `a` be `$s0` and `$s1` (since it may be up to 64 bits)

```
mult  $s2,$s3    # b*c
mfhi  $s0        # upper half of
                    # product into $s0
mflo  $s1        # lower half of
                    # product into $s1
```

- Note: Often, we only care about the lower half of the product.



# Integer Division (1/2)

- Paper and pencil example (unsigned):

```

          1001      Quotient
Divisor 1000 | 1001010  Dividend
      - 1000
          10
           101
            1010
             -1000
                10 Remainder
                (or Modulo result)

```

- Dividend = Quotient x Divisor + Remainder





# Integer Division (2/2)

---

- Syntax of Division (signed):
  - `div register1, register2`
  - Divides 32-bit register 1 by 32-bit register 2:
  - puts remainder of division in `hi`, quotient in `lo`
- Implements C division (`/`) and modulo (`%`)
- Example in C: `a = c / d; b = c % d;`
- in MIPS: `a↔$s0; b↔$s1; c↔$s2; d↔$s3`

```
div    $s2,$s3    # lo=c/d, hi=c%d
mflo   $s0        # get quotient
mfhi   $s1        # get remainder
```



# Big Endian vs. Little Endian

Big-endian and little-endian derive from Jonathan Swift's *Gulliver's Travels* in which the Big Endians were a political faction that broke their eggs at the large end ("the primitive way") and rebelled against the Lilliputian King who required his subjects (the Little Endians) to break their eggs at the small end.

- The order in which **BYTES** are stored in memory
- Bits always stored as usual. (E.g., **0xC2=0b 1100 0010**)

Consider the number 1025 as we normally write it:

<b>BYTE3</b>	<b>BYTE2</b>	<b>BYTE1</b>	<b>BYTE0</b>
<b>00000000</b>	<b>00000000</b>	<b>00000100</b>	<b>00000001</b>

Big Endian

Little Endian

- ADDR3 ADDR2 ADDR1 ADDR0  
 BYTE0 BYTE1 BYTE2 BYTE3  
 00000001 00000100 00000000 00000000

- ADDR3 ADDR2 ADDR1 ADDR0  
 BYTE3 BYTE2 BYTE1 BYTE0  
 00000000 00000000 00000100 00000001

- ADDR0 ADDR1 ADDR2 ADDR3  
 BYTE3 BYTE2 BYTE1 BYTE0  
 00000000 00000000 00000100 00000001

- ADDR0 ADDR1 ADDR2 ADDR3  
 BYTE0 BYTE1 BYTE2 BYTE3  
 00000001 00000100 00000000 00000000

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