

## Today

Review for Final.  
Rao's Cheat Sheet.  
A million slides.

## Graphs

Graphs:  
 $\sum_v d(v) = 2|E|$ .  
Eulerian: All degrees even.  
Coloring: Degree  $d$  graph can be colored with  $d+1$  colors.  
Algorithm:  
Remove vertex. Color remaining. Add vertex. Available color!  
Planar Graph: Euler Formula?  
Proof: Base. Tree.  $e = v - 1$ ,  $f = 1$ .  
Step:  $v + f = e + 2$ . Add edge, adds face.  
Max Degree: remove faces from equation using face-edge incidences.  
 $2e \geq 3f \implies v + 2e/3 \geq e + 2 \implies e \leq 3v - 6$ .  
6-color theorem. 5-color is a recoloring argument.  
Graphs:  
Complete:  $K_n$ . How many edges?  $\binom{n}{2}$ .  
Tree: How many edges?  $n - 1$ . No cycles.  
Hypercube:  $d$ -dimensional. Degree?  $d$ . Edges:  $d2^{d-1}$ .

## Notes: Logic/Proofs.

Statement? "3 = 4+1"? Yes. 3? No  
Logic.  $P \implies Q \equiv Q \implies P$  False.  
Quantifiers.  $\neg \forall x, Q(x) \equiv \exists x, \neg Q(x)$ .  
Proofs.  
Direct. Square of even number is even.  
Contrapositive. Square of odd number is odd.  
Induction.  
Statement:  $\forall n, P(n)$ . Base:  $P(0)$ . Step:  $P(k) \implies P(k+1)$ .  
Simple:  $\sum_i i = n(n+1)/2$ . Strengthen: See midterm 1.

## Notes: Modular Arithmetic.

Euclid:  $\gcd(x, y) = \gcd(x, y - x) = \gcd(x, y - kx)$   
Extended:  $ax + by = \gcd(x, y)$ .  
Start with  $(1)x + (0)y = x$  and  $(0)x + 1y = y$ .  
Can reduce right hand side. By factor of two in two steps.  
Fermats:  $a^{p-1} = 1 \pmod p$ .  
Proof: Multiplying by  $a$  is bijection on  $\{1, \dots, p\}$ .  
RSA:  $(N = pq, e)$  where  $e = d^{-1} \pmod{(p-1)(q-1)}$ .  
Works because:  $a^{(p-1)(q-1)} = 1 \pmod 1$ .  
Public Key Encryption/Signature Scheme.  
Encrypt:  $x^e \pmod N$ . Sign:  $x^d \pmod N$ .  
Avoid Attack: add randomness to  $x$ .

## Stable Marriage

Stable Marriage:  
Improvement Lemma. Optimality/Pessimality.  
An an instance, with a stable instance where man 1 and woman 1 are optimal.  
There is only one stable marriage! False.

## Notes: Modular + Polynomials

Polynomials:  $a_d x^d + \dots + a_0 \pmod p$ .  
Prop 1:  $\leq d$  roots. Factoring.  
Prop 2:  $d+1$  points gives unique polynomial.  
Lagrange: 1 at a point, 0 elsewhere. Degree  $d$  polynomial suffices.  
Equations:  $d+1$  unknowns,  $d+1$  equations.  
Modulo prime: inverses gives hope.  
Linearly independent from uniqueness.  
Applications.  
Secret Sharing: Property 2. Large prime for secrecy.  
Erasure Coding: Property 2. Smaller prime for efficiency.  
Error Correction: Property 2.  
Argument that  $n+2k$  is enough with  $k$  errors.  
Unique degree  $n-1$  polynomial that fits at least  $n+k$  points.  
Why?  
Welsh-Berlekamp:  
Linear System from  $Q(x) = P(x)E(x)$  with error poly,  $E(x)$ .  
Divide  $Q(x)$  by  $E(x)$  to get  $P(x)$ .

## Notes: Countability/Computability

Countability/Computability.

Countable: bijection with natural numbers or a listing.

Countable infinities: pairs of countable sets, rationals...

all forms of pairs: interleave elements of uncountable sets.

Uncountable infinities: real numbers, power set of integers.

Diagonalization: Assume list, construct element not on list.

Uncomputability.

Halt: With halt can construct diagonalizer Turing.  
and no Turing  $\implies$  no halt.

Concepts: Program can call subroutine!

With subroutine can write program.

Reduce from Halt:

Transform instance of halt to instance of problem X.

Concept: Programs are text. Can change text.

Computability/Enumerability.

Can run programs and see!

Can enumerate halting programs.

## Notes: Counting

Counting.

First rule of counting.

Make elt of set with sequence of choices. Multiply.

Second rule of counting.

Divide with order to get number of unordered. Sometimes.

Stars and Bars. Use bars to group stars into different groups.

Inclusion/Exclusion.

Number in union is sum minus the intersection.

Combinatorial Arguments: Bijection means same number.

$$2^n = \sum_i \binom{n}{i}.$$

Note: for sample spaces, usually first rule of counting is easier.  
for events, may need second or others.

## First there was logic...

**A statement is a true or false.**

Statements?

$3 = 4 - 1$  ? Statement!

$3 = 5$  ? Statement!

$3$  ? Not a statement!

$n = 3$  ? Not a statement...but a predicate.

**Predicate: Statement with free variable(s).**

Example:  $x = 3$  Given a value for  $x$ , becomes a statement.

Predicate?

$n > 3$  ? Predicate:  $P(n)$ !

$x = y$  ? Predicate:  $P(x, y)$ !

$x + y$  ? No. An expression, not a statement.

**Quantifiers:**

$(\forall x) P(x)$ . For every  $x$ ,  $P(x)$  is true.

$(\exists x) P(x)$ . There exists an  $x$ , where  $P(x)$  is true.

$(\forall n \in \mathbb{N}), n^2 \geq n$ .

$(\forall x \in \mathbb{R})(\exists y \in \mathbb{R})y > x$ .

## Connecting Statements

$A \wedge B, A \vee B, \neg A$ .

You got this!

Propositional Expressions and Logical Equivalence

$(A \implies B) \equiv (\neg A \vee B)$

$\neg(A \vee B) \equiv (\neg A \wedge \neg B)$

Proofs: truth table or manipulation of known formulas.

$(\forall x)(P(x) \wedge Q(x)) \equiv (\forall x)P(x) \wedge (\forall x)Q(x)$

## ..and then proofs...

Direct:  $P \implies Q$

Example:  $a$  is even  $\implies a^2$  is even.

Approach: What is even?  $a = 2k$

$$a^2 = 4k^2.$$

What is even?

$$a^2 = 2(2k^2)$$

Integers closed under multiplication!

$a^2$  is even.

Contrapositive:  $P \implies Q$  or  $\neg Q \implies \neg P$ .

Example:  $a^2$  is odd  $\implies a$  is odd.

Contrapositive:  $a$  is even  $\implies a^2$  is even.

Contradiction:  $P$

$\neg P \implies$  **false**

$\neg P \implies R \wedge \neg R$

Useful for prove something does not exist:

Example: rational representation of  $\sqrt{2}$  does not exist.

Example: finite set of primes does not exist.

Example: rogue couple does not exist.

## ...jumping forward..

Contradiction in induction:

contradict place where induction step doesn't hold.

Well Ordering Principle.

Stable Marriage:

first day where women does not improve.

first day where any man rejected by optimal women.

Do not exist.

...and then induction...

$$P(0) \wedge ((\forall n)(P(n) \implies P(n+1)) \equiv (\forall n \in \mathbb{N}) P(n).$$

**Thm:** For all  $n \geq 1$ ,  $8 \mid 3^{2n} - 1$ .

Induction on  $n$ .

Base:  $8 \mid 3^2 - 1$ .

Induction Hypothesis: Assume  $P(n)$ : True for some  $n$ .  
 $(3^{2n} - 1 = 8d)$

Induction Step: Prove  $P(n+1)$

$$\begin{aligned} 3^{2n+2} - 1 &= 9(3^{2n}) - 1 \quad (\text{by induction hypothesis}) \\ &= 9(8d + 1) - 1 \\ &= 72d + 8 \\ &= 8(9d + 1) \end{aligned}$$

Divisible by 8. □

## Optimality/Pessimal

Optimal partner if best partner in any **stable** pairing.  
Not necessarily first in list.  
Possibly no stable pairing with that partner.

Man-optimal pairing is pairing where every man gets optimal partner.

**Thm:** TMA produces male optimal pairing,  $S$ .

**First man  $M$  to lose optimal partner.**

Better partner  $W$  for  $M$ .  
Different stable pairing  $T$ .

TMA:  $M$  asked  $W$  first!  
There is  $M'$  who bumps  $M$  in TMA.  
 $W$  prefers  $M'$ .  
 $M'$  likes  $W$  at least as much as optimal partner.

**Not first bump.**  
 $M'$  and  $W$  is rogue couple in  $T$ .

**Thm:** woman pessimal.

Man optimal  $\implies$  Woman pessimal.

Woman optimal  $\implies$  Man pessimal.

## Stable Marriage: a study in definitions and WOP.

$n$ -men,  $n$ -women.

Each person has completely ordered preference list  
contains every person of opposite gender.

**Pairing.**

Set of pairs  $(m_i, w_j)$  containing all people *exactly* once.  
How many pairs?  $n$ .  
People in pair are **partners** in pairing.

**Rogue Couple in a pairing.**

A  $m_i$  and  $w_k$  who like each other more than their partners

**Stable Pairing.**

Pairing with no rogue couples.

Does stable pairing exist?

No, for roommates problem.

## ...Graphs...

$G = (V, E)$

$V$  - set of vertices.

$E \subseteq V \times V$  - set of edges.

Directed: ordered pair of vertices.

Adjacent, Incident, Degree.  
In-degree, Out-degree.

**Thm:** Sum of degrees is  $2|E|$ .

Edge is incident to 2 vertices.  
Degree of vertices is total incidences.

Pair of Vertices are Connected:  
If there is a path between them.

Connected Component: maximal set of connected vertices.

Connected Graph: one connected component.

## TMA.

Traditional Marriage Algorithm:

**Each Day:**

**All men propose to favorite woman who has not yet rejected him.**

**Every woman rejects all but best men who proposes.**

Useful Algorithmic Definitions:

Man **crosses off** woman who rejected him.

Woman's current proposer is "**on string**."

"Propose and Reject." : Either men propose or women. But not both.  
Traditional propose and reject where men propose.

Key Property: Improvement Lemma:

Every day, if man on string for woman,

$\implies$  any future man on string is better.

Stability: No rogue couple.

rogue couple  $(M, W)$

$\implies$   $M$  proposed to  $W$

$\implies$   $W$  ended up with someone she liked better than  $M$ .

Not rogue couple!

## Graph Algorithm: Eulerian Tour

**Thm:** Every connected graph where every vertex has even degree has an Eulerian Tour; a tour which visits every edge exactly once.

Algorithm:

**Take a walk using each edge at most once.**

**Property:** return to starting point.

Proof Idea: Even degree.

**Recurse on connected components.**

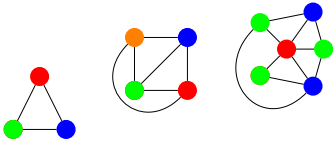
**Put together.**

**Property:** walk visits every component.

Proof Idea: Original graph connected.

## Graph Coloring.

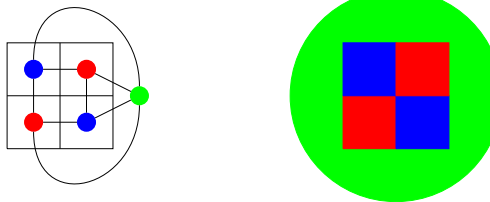
Given  $G = (V, E)$ , a coloring of a  $G$  assigns colors to vertices  $V$  where for each edge the endpoints have different colors.



Notice that the last one, has one three colors.  
Fewer colors than number of vertices.  
Fewer colors than max degree node.  
Interesting things to do. Algorithm!

## Planar graphs and maps.

Planar graph coloring  $\equiv$  map coloring.



Four color theorem is about planar graphs!

## Six color theorem.

**Theorem:** Every planar graph can be colored with six colors.

**Proof:**

Recall:  $e \leq 3v - 6$  for any planar graph.  
From Euler's Formula.

Total degree:  $2e$

Average degree:  $\leq \frac{2e}{v} \leq \frac{2(3v-6)}{v} \leq 6 - \frac{12}{v}$ .

There exists a vertex with degree  $< 6$  or at most 5.

Remove vertex  $v$  of degree  $< 6$  or at most 5.

Inductively color remaining graph.

Color is available for  $v$  since only five neighbors...  
and only five colors are used.  $\square$

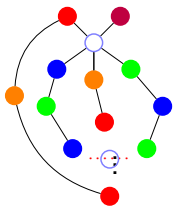
## Five color theorem

**Theorem:** Every planar graph can be colored with five colors.

**Preliminary Observation:** Connected components of vertices with two colors in a legal coloring can switch colors.

**Proof:**

Again with the degree 5 vertex. Again recurse.



Assume neighbors are colored all differently.

Otherwise done.

Switch green to blue in component.

Done. Unless blue-green path to blue.

Switch red to orange in its component.

Done. Unless red-orange path to red.

Planar.  $\implies$  paths intersect at a vertex!

What color is it?

Must be blue or green to be on that path.

Must be red or orange to be on that path.

Contradiction. Can recolor one of the neighbors.

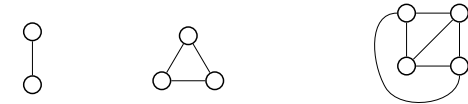
And recolor "center" vertex.  $\square$

## Four Color Theorem

**Theorem:** Any planar graph can be colored with four colors.

**Proof:** Not Today!

## Graph Types: Complete Graph.



$K_n, |V| = n$

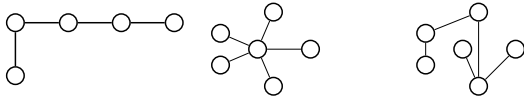
every edge present.

degree of vertex?  $|V| - 1$ .

Very connected.

Lots of edges:  $n(n-1)/2$ .

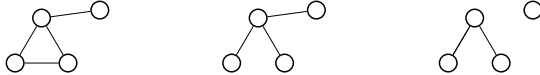
## Trees.



Definitions:

- A connected graph without a cycle.
- A connected graph with  $|V| - 1$  edges.
- A connected graph where any edge removal disconnects it.
- An acyclic graph where any edge addition creates a cycle.

To tree or not to tree!



Minimally connected, minimum number of edges to connect.

Property:

Can remove a single node and break into components of size at most  $|V|/2$ .

## Hypercube:properties

Rudrata Cycle: cycle that visits every node.

Eulerian? If  $n$  is even.

Large Cuts: Cutting off  $k$  nodes needs  $\geq k$  edges.

Best cut? Cut apart subcubes: cuts off  $2^n$  nodes with  $2^{n-1}$  edges.

FYI: Also cuts represent boolean functions.

Nice Paths between nodes.

Get from 000100 to 101000.

000100  $\rightarrow$  100100  $\rightarrow$  101100  $\rightarrow$  101000

Correct bits in string, moves along path in hypercube!

Good communication network!

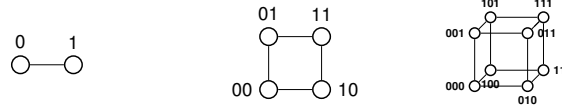
## Hypercube

Hypercubes. Really connected.  $|V|\log|V|$  edges!  
Also represents bit-strings nicely.

$$G = (V, E)$$

$$|V| = \{0, 1\}^n,$$

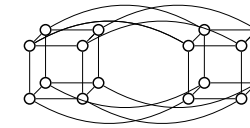
$$|E| = \{(x, y) | x \text{ and } y \text{ differ in one bit position.}\}$$



## Recursive Definition.

A 0-dimensional hypercube is a node labelled with the empty string of bits.

An  $n$ -dimensional hypercube consists of a 0-subcube (1-subcube) which is a  $n-1$ -dimensional hypercube with nodes labelled  $0x$  ( $1x$ ) with the additional edges  $(0x, 1x)$ .



## ...Modular Arithmetic...

Arithmetic modulo  $m$ .

Elements of equivalence classes of integers.

$$\{0, \dots, m-1\}$$

and integer  $i \equiv a \pmod{m}$

if  $i = a + km$  for integer  $k$ .

or if the remainder of  $i$  divided by  $m$  is  $a$ .

Can do calculations by taking remainders

at the beginning,

in the middle

or at the end.

$$58 + 32 = 90 = 6 \pmod{7}$$

$$58 + 32 = 2 + 4 = 6 \pmod{7}$$

$$58 + 32 = 2 + -3 = -1 = 6 \pmod{7}$$

Negative numbers work the way you are used to.

$$-3 = 0 - 3 = 7 - 3 = 4 \pmod{7}$$

Additive inverses are intuitively negative numbers.

## Modular Arithmetic and multiplicative inverses.

$$3^{-1} \pmod{7} ? 5$$

$$5^{-1} \pmod{7} ? 3$$

Inverse Unique? Yes.

Proof:  $a$  and  $b$  inverses of  $x \pmod{n}$

$$ax = bx = 1 \pmod{n}$$

$$axb = bxb = b \pmod{n}$$

$$a = b \pmod{n}.$$

$$3^{-1} \pmod{6} ? \text{ No, no, no...}$$

$$\{3(1), 3(2), 3(3), 3(4), 3(5)\}$$

$$\{3, 6, 3, 6, 3\}$$

See,... no inverse!

## Modular Arithmetic Inverses and GCD

$x$  has inverse modulo  $m$  if and only if  $\gcd(x, m) = 1$ .

Group structures more generally.

Proof Idea:

$\{0x, \dots, (m-1)x\}$  are distinct modulo  $m$  if and only if  $\gcd(x, m) = 1$ .

Finding gcd.

$$\gcd(x, y) = \gcd(y, x - y) = \gcd(y, x \pmod{y}).$$

Give recursive Algorithm! Base Case?  $\gcd(x, 0) = x$ .

Extended-gcd( $x, y$ ) returns  $(d, a, b)$

$$d = \gcd(x, y) \text{ and } d = ax + by$$

Multiplicative inverse of  $(x, m)$ .

$$\text{egcd}(x, m) = (1, a, b)$$

$$a \text{ is inverse! } 1 = ax + bm = ax \pmod{m}.$$

Idea: egcd.

gcd produces 1

by adding and subtracting multiples of  $x$  and  $y$

Example:  $p = 7, q = 11$ .

$N = 77$ .

$$(p-1)(q-1) = 60$$

Choose  $e = 7$ , since  $\gcd(7, 60) = 1$ .

$$\text{egcd}(7, 60).$$

$$7(0) + 60(1) = 60$$

$$7(1) + 60(0) = 7$$

$$7(-8) + 60(1) = 4$$

$$7(9) + 60(-1) = 3$$

$$7(-17) + 60(2) = 1$$

Confirm:  $-119 + 120 = 1$

$$d = e^{-1} = -17 = 43 \pmod{60}$$

## Fermat from Bijection.

**Fermat's Little Theorem:** For prime  $p$ , and  $a \not\equiv 0 \pmod{p}$ ,

$$a^{p-1} \equiv 1 \pmod{p}.$$

**Proof:** Consider  $T = \{a \cdot 1 \pmod{p}, \dots, a \cdot (p-1) \pmod{p}\}$ .

$T$  is range of function  $f(x) = ax \pmod{p}$  for set  $S = \{1, \dots, p-1\}$ .

Invertible function: one-to-one.

$T \subseteq S$  since  $0 \notin T$ .

$p$  is prime.

$$\implies T = S.$$

Product of elts of  $T =$  Product of elts of  $S$ .

$$(a \cdot 1) \cdot (a \cdot 2) \cdots (a \cdot (p-1)) \equiv 1 \cdot 2 \cdots (p-1) \pmod{p},$$

Since multiplication is commutative.

$$a^{p-1}(1 \cdots (p-1)) \equiv (1 \cdots (p-1)) \pmod{p}.$$

Each of  $2, \dots, (p-1)$  has an inverse modulo  $p$ , multiply by inverses to get...

$$a^{p-1} \equiv 1 \pmod{p}. \quad \square$$

## RSA

RSA:

$$N = p, q$$

$$e \text{ with } \gcd(e, (p-1)(q-1)) = 1.$$

$$d = e^{-1} \pmod{(p-1)(q-1)}.$$

**Theorem:**  $x^{ed} = x \pmod{N}$

**Proof:**

$x^{ed} - x$  is divisible by  $p$  and  $q \implies$  theorem!

$$x^{ed} - x = x^{k(p-1)(q-1)+1} - x = x((x^{k(q-1)})^{p-1} - 1)$$

If  $x$  is divisible by  $p$ , the product is.

Otherwise  $(x^{k(q-1)})^{p-1} \equiv 1 \pmod{p}$  by Fermat.

$$\implies (x^{k(q-1)})^{p-1} - 1 \text{ divisible by } p.$$

Similarly for  $q$ . □

## RSA, Public Key, and Signatures.

RSA:

$$N = p, q$$

$$e \text{ with } \gcd(e, (p-1)(q-1)).$$

$$d = e^{-1} \pmod{(p-1)(q-1)}.$$

Public Key Cryptography:

$$D(E(m, K), k) = (m^e)^d \pmod{N} = m.$$

Signature scheme:

$$S(C) = D(C).$$

Announce  $(C, S(C))$

Verify: Check  $C = E(C)$ .

$$E(D(C, k), K) = (C^d)^e = C \pmod{N}$$

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multiply by inverses to get...

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$\implies (x^{k(q-1)})^{p-1} - 1$  divisible by  $p$ .

Similarly for  $q$ . □

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$$E(D(C, k), K) = (C^d)^e = C \pmod{N}$$

## Fermat/RSA

$3^6 \pmod{7}$ ? 1. Fermat:  $p = 7, p-1 = 6$

$3^{18} \pmod{7}$ ? 1.

$3^{60} \pmod{7}$ ? 1.

$3^{61} \pmod{7}$ ? 3.

$2^{12} \pmod{21}$ ? 1.

$21 = (3)(7) \quad (p-1)(q-1) = (2)(6) = 12$

$\gcd(2, 12) = 1, x^{(p-1)(q-1)} = 1 \pmod{pq} \quad 2^{12} = 1 \pmod{21}$ .

$2^4 \pmod{21}$ ? 4. Technically  $4 \pmod{21}$ .

## Polynomials

**Property 1:** Any degree  $d$  polynomial over a field has at most  $d$  roots.

Proof Idea:

Any polynomial with roots  $r_1, \dots, r_k$ .

written as  $(x - r_1) \cdots (x - r_k) Q(x)$ .

using polynomial division.

Degree at least the number of roots. □

**Property 2:** There is exactly 1 polynomial of degree  $\leq d$  with

arithmetic modulo prime  $p$  that contains any  $d+1$ :

$(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$  with  $x_i$  distinct.

Proof Ideas:

Lagrange Interpolation gives existence.

Property 1 gives uniqueness. □

## Applications.

**Property 2:** There is exactly 1 polynomial of degree  $\leq d$  with arithmetic modulo prime  $p$  that contains any  $d+1$ :  
 $(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$  with  $x_i$  distinct.

Secret Sharing:  $k$  out of  $n$  people know secret.

Scheme: degree  $n-1$  polynomial,  $P(x)$ .

**Secret:**  $P(0)$  **Shares:**  $(1, P(1)), \dots, (n, P(n))$ .

**Recover Secret:** Reconstruct  $P(x)$  with any  $k$  points.

Erasure Coding:  $n$  packets,  $k$  losses.

Scheme: degree  $n-1$  polynomial,  $P(x)$ . **Reed-Solomon.**

Message:  $P(0) = m_0, P(1) = m_1, \dots, P(n-1) = m_{n-1}$

Send:  $(0, P(0)), \dots, (n+k-1, P(n+k-1))$ .

**Recover Message:** Any  $n$  packets are cool by property 2.

Corruptions Coding:  $n$  packets,  $k$  corruptions.

Scheme: degree  $n-1$  polynomial,  $P(x)$ . **Reed-Solomon.**

Message:  $P(0) = m_0, P(1) = m_1, \dots, P(n-1) = m_{n-1}$

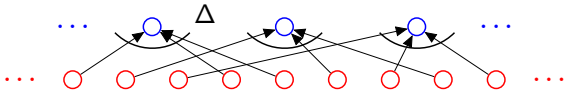
Send:  $(0, P(0)), \dots, (n+2k-1, P(n+2k-1))$ .

**Recovery:**  $P(x)$  is only consistent polynomial with  $n+k$  points.  
 Property 2 and pigeonhole principle.

## Example: visualize.

**First rule:**  $n_1 \times n_2 \times \dots \times n_3$ . **Product Rule.**

**Second rule:** when order doesn't matter divide..when possible.



3 card Poker deals:  $52 \times 51 \times 50 = \frac{52!}{49!}$ . First rule.

Poker hands:  $\Delta$ ?

**Hand:** Q, K, A.

**Deals:** Q, K, A, Q, A, K, K, A, Q, K, A, Q, A, K, Q, A, Q, K.

$\Delta = 3 \times 2 \times 1$  First rule again.

Total:  $\frac{52!}{49!3!}$  Second Rule!

Choose  $k$  out of  $n$ .

Ordered set:  $\frac{n!}{(n-k)!}$

What is  $\Delta$ ?  $k!$  First rule again.

$\Rightarrow$  Total:  $\frac{n!}{(n-k)!k!}$  Second rule.

## Welsh-Berlekamp

Idea: Error locator polynomial of degree  $k$  with zeros at errors.

For all points  $i = 1, \dots, i, n+2k, P(i)E(i) = R(i)E(i) \pmod{p}$

since  $E(i) = 0$  at points where there are errors.

Let  $Q(x) = P(x)E(x)$ .

$Q(x) = a_{n+k-1}x^{n+k-1} + \dots + a_0$ .

$E(x) = x^k + b_{k-1}x^{k-1} + \dots + b_0$ .

Gives system of  $n+2k$  linear equations.

$$a_{n+k-1} + \dots + a_0 \equiv R(1)(1 + b_{k-1} \dots b_0) \pmod{p}$$

$$a_{n+k-1}(2)^{n+k-1} + \dots + a_0 \equiv R(2)((2)^k + b_{k-1}(2)^{k-1} \dots b_0) \pmod{p}$$

$\vdots$

$$a_{n+k-1}(m)^{n+k-1} + \dots + a_0 \equiv R(m)((m)^k + b_{k-1}(m)^{k-1} \dots b_0) \pmod{p}$$

..and  $n+2k$  unknown coefficients of  $Q(x)$  and  $E(x)$ !

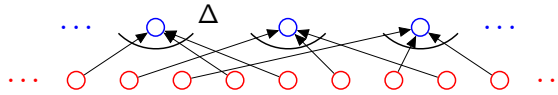
Solve for coefficients of  $Q(x)$  and  $E(x)$ .

Find  $P(x) = Q(x)/E(x)$ .

## Example: visualize

**First rule:**  $n_1 \times n_2 \times \dots \times n_3$ . **Product Rule.**

**Second rule:** when order doesn't matter divide..when possible.



Orderings of ANAGRAM?

Ordered Set: 7! First rule.

A's are the same!

What is  $\Delta$ ?

**ANAGRAM**

$A_1NA_2GRA_3M, A_2NA_1GRA_3M, \dots$

$\Delta = 3 \times 2 \times 1 = 3!$  First rule!

$\Rightarrow \frac{7!}{3!}$  Second rule!

## Counting

First Rule

Second Rule

Stars/Bars

Common Scenarios: Sampling, Balls in Bins.

Sum Rule. Inclusion/Exclusion.

Combinatorial Proofs.

## Summary.

$k$  Samples with replacement from  $n$  items:  $n^k$ .

Sample without replacement:  $\frac{n!}{(n-k)!}$

Sample without replacement and order doesn't matter:  $\binom{n}{k} = \frac{n!}{(n-k)!k!}$ .

" $n$  choose  $k$ "

(Count using first rule and second rule.)

Sample with replacement and order doesn't matter:  $\binom{k+n-1}{n-1}$ .

Count with stars and bars:

how many ways to add up  $n$  numbers to get  $k$ .

Each number is number of samples of type  $i$  which adds to total,  $k$ .



## Balls in bins.

" $k$  Balls in  $n$  bins"  $\equiv$  " $k$  samples from  $n$  possibilities."

"indistinguishable balls"  $\equiv$  "order doesn't matter"

"only one ball in each bin"  $\equiv$  "without replacement"

5 balls into 10 bins

5 samples from 10 possibilities with replacement

Example: 5 digit numbers.

5 indistinguishable balls into 52 bins only one ball in each bin

5 samples from 52 possibilities without replacement

Example: Poker hands.

5 indistinguishable balls into 3 bins

5 samples from 3 possibilities with replacement and no order

Dividing 5 dollars among Alice, Bob and Eve.

## Countability

Isomorphism principle.

Example.

Countability.

Diagonalization.

## Simple Inclusion/Exclusion

**Sum Rule: For disjoint sets  $S$  and  $T$ ,  $|S \cup T| = |S| + |T|$**

**Example:** How many permutations of  $n$  items start with 1 or 2?  
 $1 \times (n-1)! + 1 \times (n-1)!$

**Inclusion/Exclusion Rule: For any  $S$  and  $T$ ,**

$|S \cup T| = |S| + |T| - |S \cap T|$ .

**Example:** How many 10-digit phone numbers have 7 as their first or second digit?

$S$  = phone numbers with 7 as first digit.  $|S| = 10^9$

$T$  = phone numbers with 7 as second digit.  $|T| = 10^9$ .

$S \cap T$  = phone numbers with 7 as first and second digit.  $|S \cap T| = 10^8$ .

Answer:  $|S| + |T| - |S \cap T| = 10^9 + 10^9 - 10^8$ .

## Isomorphism principle.

Given a function,  $f : D \rightarrow R$ .

**One to One:**

For all  $\forall x, y \in D, x \neq y \implies f(x) \neq f(y)$ .

or

$\forall x, y \in D, f(x) = f(y) \implies x = y$ .

**Onto:** For all  $y \in R, \exists x \in D, y = f(x)$ .

$f(\cdot)$  is a **bijection** if it is one to one and onto.

**Isomorphism principle:**

If there is a bijection  $f : D \rightarrow R$  then  $|D| = |R|$ .

## Combinatorial Proofs.

**Theorem:**  $\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$ .

**Proof:** How many size  $k$  subsets of  $n+1$ ?  $\binom{n+1}{k}$ .

How many size  $k$  subsets of  $n+1$ ?

How many contain the first element?

Chose first element, need to choose  $k-1$  more from remaining  $n$  elements.

$\implies \binom{n}{k-1}$

How many don't contain the first element?

Need to choose  $k$  elements from remaining  $n$  elts.

$\implies \binom{n}{k}$

So,  $\binom{n}{k-1} + \binom{n}{k} = \binom{n+1}{k}$ . □

## Cardinalities of uncountable sets?

Cardinality of  $[0, 1]$  smaller than all the reals?

$f : \mathbb{R}^+ \rightarrow [0, 1]$ .

$$f(x) = \begin{cases} x + \frac{1}{2} & 0 \leq x \leq 1/2 \\ \frac{1}{4x} & x > 1/2 \end{cases}$$

One to one.  $x \neq y$

If both in  $[0, 1/2]$ , a shift  $\implies f(x) \neq f(y)$ .

If neither in  $[0, 1/2]$  different mult inverses  $\implies f(x) \neq f(y)$ .

If one is in  $[0, 1/2]$  and one isn't, different ranges  $\implies f(x) \neq f(y)$ .

Bijection!

$[0, 1]$  is same cardinality as nonnegative reals!

## Countable.

Definition:  $S$  is **countable** if there is a bijection between  $S$  and some subset of  $N$ .

If the subset of  $N$  is finite,  $S$  has finite **cardinality**.

If the subset of  $N$  is infinite,  $S$  is **countably infinite**.

Bijection to or from natural numbers implies countably infinite.

Enumerable means countable.

Subset of countable set is countable.

All countably infinite sets are the same cardinality as each other.

## Examples

Countably infinite (same cardinality as naturals)

- ▶  $Z^+$  - positive integers  
Where's 0?  
Bijection:  $f(z) = z - 1$ .  
(Where's 0? 1 Where's 1? 2 ...)
- ▶  $E$  even numbers.  
Where are the odds? Half as big?  
Bijection:  $f(e) = e/2$ .
- ▶  $Z$  - all integers.  
Twice as big?  
Bijection:  $f(z) = 2|z| - \text{sign}(z)$ .  
Enumerate:  $0, -1, 1, -2, 2, \dots$

## Examples: Countable by enumeration

- ▶  $N \times N$  - Pairs of integers.  
Square of countably infinite?  
Enumerate:  $(0, 0), (0, 1), (0, 2), \dots$  ???  
Never get to  $(1, 1)$ !  
Enumerate:  $(0, 0), (1, 0), (0, 1), (2, 0), (1, 1), (0, 2), \dots$   
 $(a, b)$  at position  $(a+b-1)(a+b)/2 + b$  in this order.
- ▶ Positive Rational numbers.  
Infinite Subset of pairs of natural numbers.  
Countably infinite.
- ▶ All rational numbers.  
Enumerate: list 0, positive and negative. **How?**  
Enumerate: 0, first positive, first negative, second positive..  
Will eventually get to any rational.

## Diagonalization: power set of Integers.

The set of all subsets of  $N$ .

Assume is countable.

**There is a listing,  $L$ , that contains all subsets of  $N$ .**

Define a diagonal set,  $D$ :

If  $i$ th set in  $L$  does not contain  $i$ ,  $i \in D$ .  
otherwise  $i \notin D$ .

$D$  is different from  $i$ th set in  $L$  for every  $i$ .

$\implies D$  is not in the listing.

$D$  is a subset of  $N$ .

**$L$  does not contain all subsets of  $N$ .**

**Contradiction.**

**Theorem:** The set of all subsets of  $N$  is not countable.  
(The set of all subsets of  $S$ , is the **powerset** of  $N$ .)

## Uncomputability.

Halting problem is undecidable.

Diagonalization.

## Halt does not exist.

$HALT(P, I)$   
 $P$  - program  
 $I$  - input.

Determines if  $P(I)$  ( $P$  run on  $I$ ) halts or loops forever.

**Theorem:** There is no program  $HALT$ .

**Proof:** Yes! No! Yes! No! No! Yes! No! Yes! ..

□

## Halt and Turing.

**Proof:** Assume there is a program  $HALT(\cdot, \cdot)$ .

**Turing(P)**

1. If  $HALT(P;P) = \text{"halts"}$ , then go into an infinite loop.
2. Otherwise, halt immediately.

Assumption: there is a program HALT.

There is text that "is" the program HALT.

There is text that is the program Turing.

Can run Turing on Turing!

Does **Turing(Turing)** halt?

**Turing(Turing) halts**

$\implies$  then  $HALTS(Turing, Turing) = \text{halts}$

$\implies$  **Turing(Turing) loops forever.**

**Turing(Turing) loops forever.**

$\implies$  then  $HALTS(Turing, Turing) \neq \text{halts}$

$\implies$  **Turing(Turing) halts.**

Either way is contradiction. Program HALT does not exist!  $\square$

## Simulate

- 1) Any program with finite time/space.
- 2) Can output all programs that halt on themselves?

Why?

- 1) Run it and check!

- 2) Like enumerating pairs of natural numbers.  
– (program, time). and run program for that time.  
Each program that halts, halts at some time.

## Another view: diagonalization.

Any program is a fixed length string.

Fixed length strings are enumerable.

Program halts or not any input, which is a string.

	$P_1$	$P_2$	$P_3$	...
$P_1$	H	H	L	...
$P_2$	L	L	H	...
$P_3$	L	H	H	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

Halt - diagonal.

Turing - is **not** Halt.

and is different from every  $P_i$  on the diagonal.

Turing is not on list. Turing is not a program.

Turing can be constructed from Halt.

**Halt does not exist!**

## Final format

Time: approximately 180 minutes

Many short answers.

Get at ideas that we study.

Know material well: fast, correct.

Know material medium: slower, less correct.

Know material not so well: **Uh oh.**

Some longer questions.

Priming: sequence of questions...

but don't overdo this as test strategy!!!

Proofs, algorithms, properties.

Some calculation.

## Undecidable problems.

Does a program print "Hello World"?

Find exit points and add statement: **Print** "Hello World."

Can a set of notched tiles tile the infinite plane?

Proof: simulate a computer. Halts if finite.

Does a set of integer equations have a solution?

Example: Ask program if " $x^n + y^n = 1$ ?" has integer solutions.

Problem is undecidable.

Be careful!

Is there a solution to  $x^n + y^n = 1$ ?

(Diophantine equation.)

The answer is yes or no. This "problem" is not undecidable.

Undecidability for Diophantine set of equations

$\implies$  no program can take any set of integer equations  
and always output correct answer.

## Wrapup.

Watch Piazza for Logistics!

Watch Piazza for Advice!

If you sent me email about Final conflicts

Other arrangements.

Should have received an email today from me.

Other issues...

satishr@cs.berkeley.edu

Private message on piazza.

**Good Studying!!!!!!!**

## Final format

Time: 180 minutes.

Some short answers.

Get at ideas that you learned.

Know material well: fast, correct.

Know material medium: slower, less correct.

Know material not so well: Uh oh.

Some longer questions.

Proofs, algorithms, properties.

Not so much calculation.

Will post midterm from 4 years ago to get an idea.

Back when I was younger.