

CS70: Countability and Uncountability

Alex Psomas

June 30, 2016

Warning!

Warning:

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Warning: I'm really loud!

Today.

Today.

One idea, from around 130 years ago.

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At the heart of set theory.

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Started a crisis in mathematics in the middle of the previous century!

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The idea: **More than one infinities!!!!!!**

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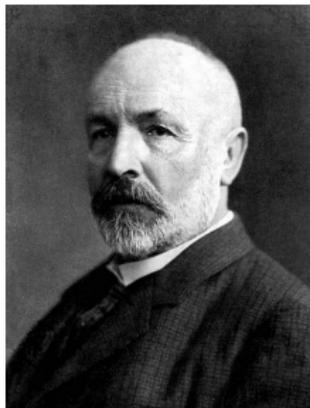
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The man:

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Georg Cantor

Life before Cantor

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How many elements in $\{1, 2, 4\}$?

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What is this infinity though?

The symbol you write after taking a limit....

Don't think about it....

Even Gauss: "I protest against the use of infinite magnitude as something completed, which is never permissible in mathematics. Infinity is merely a way of speaking, the true meaning being a limit which certain ratios approach indefinitely close, while others are permitted to increase without restriction. "

Cantor's questions

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Is $\mathbb{N} \setminus \{0\}$ smaller than \mathbb{N} ?

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Hilbert's hotel

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A hotel with infinite rooms.

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A hotel with infinite rooms. Rooms are numbered from 1 to infinity.

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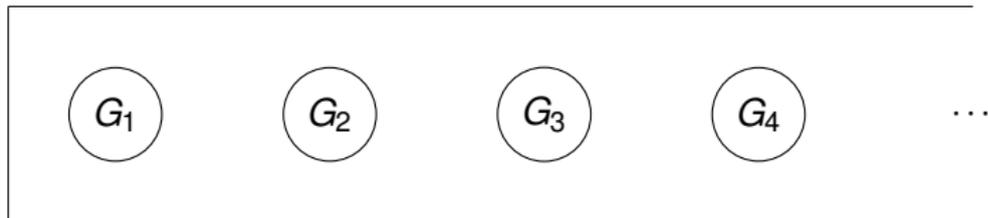
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Every room is occupied.

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A hotel with infinite rooms. Rooms are numbered from 1 to infinity. Every room is occupied. Room i has guest G_i .

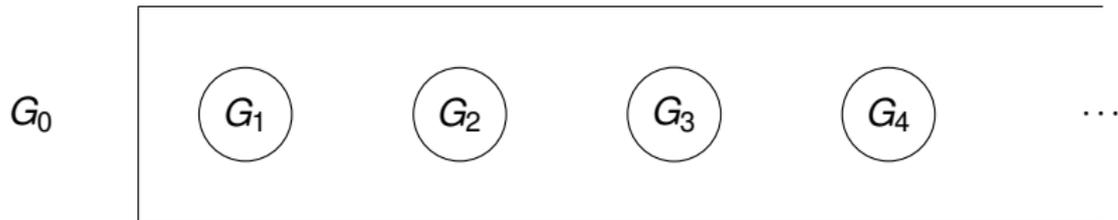
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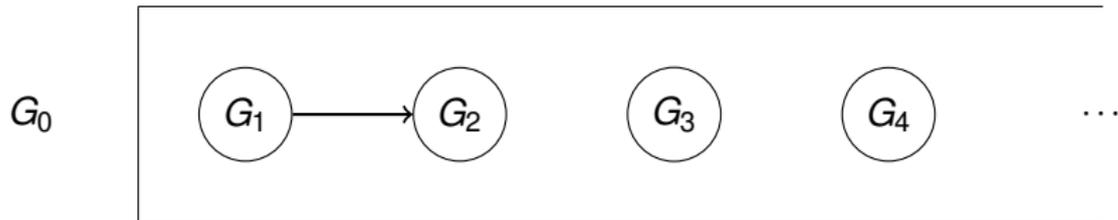
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G_0 shows up. What do we do?

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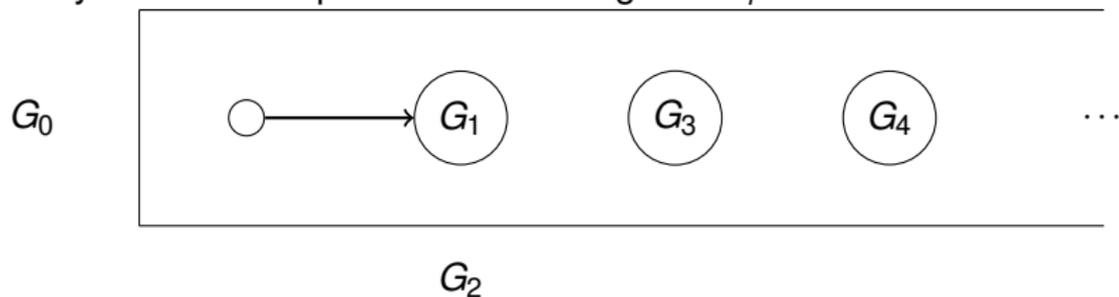


G_0 shows up. What do we do?

Move G_1 to room number 2.

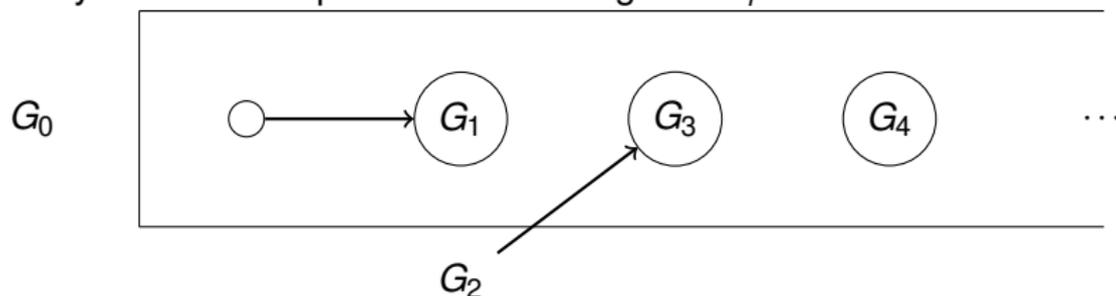
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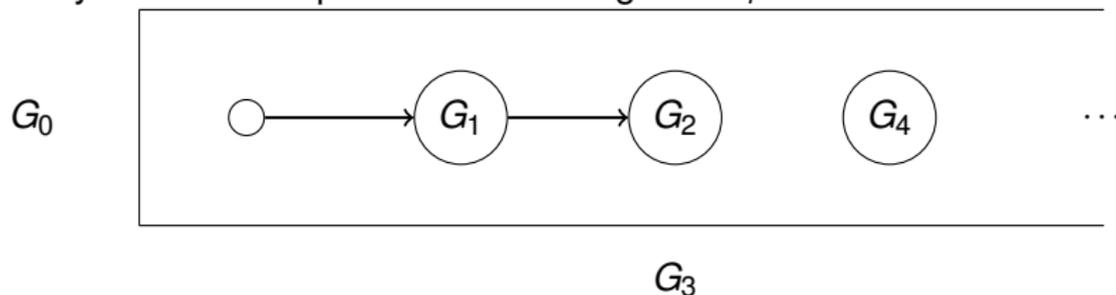
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Move G_2 to room number 3.

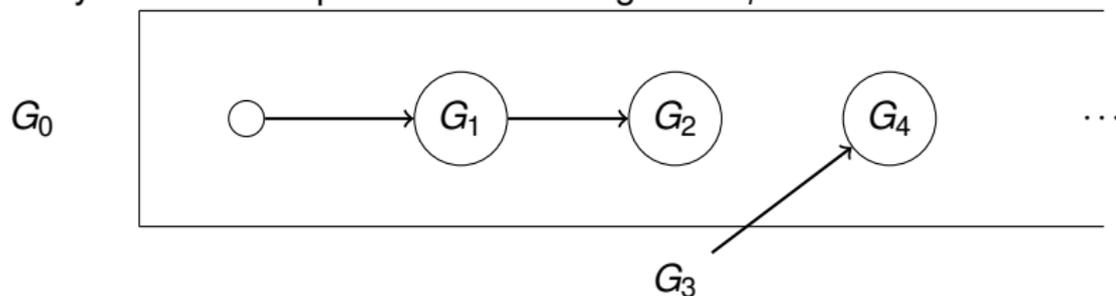
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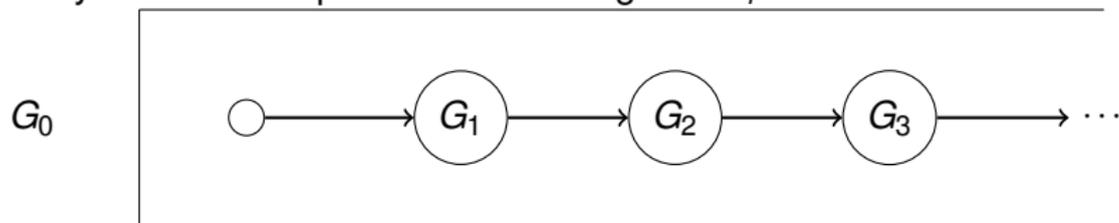
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Move G_3 to room number 4.

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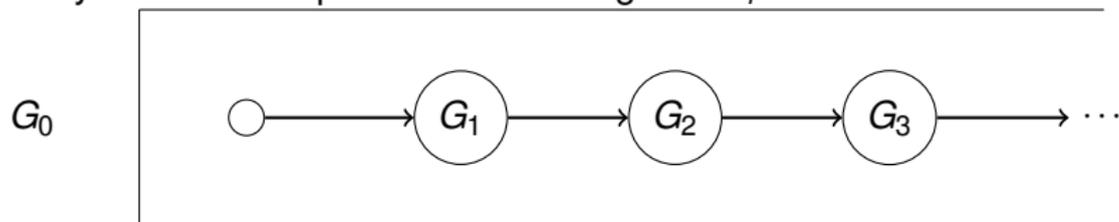
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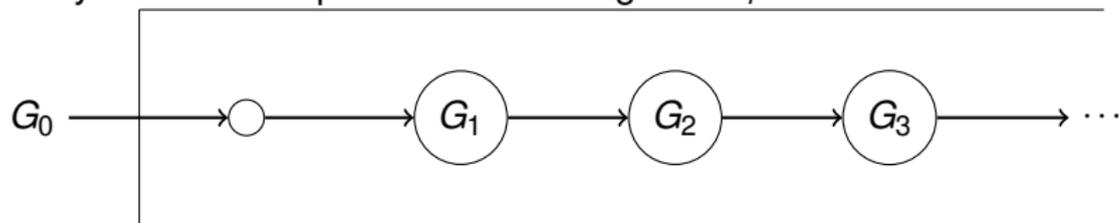


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Now G_0 can go to room number 1!!

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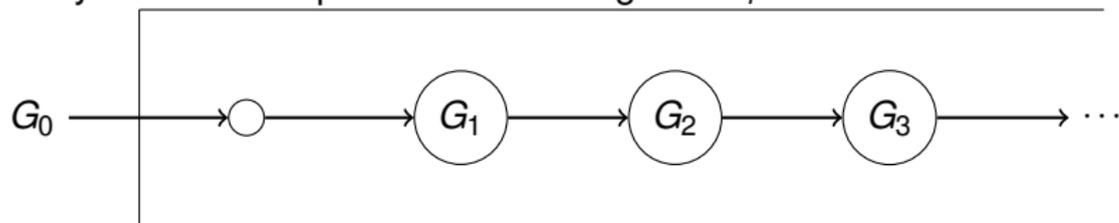


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$\mathbb{N} \setminus \{0\}$ is not bigger than \mathbb{N} . **Why?**

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Is this a proof?

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Is this a proof? How would we show this formally???

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If the subset of \mathbb{N} is finite, S has finite **cardinality**.

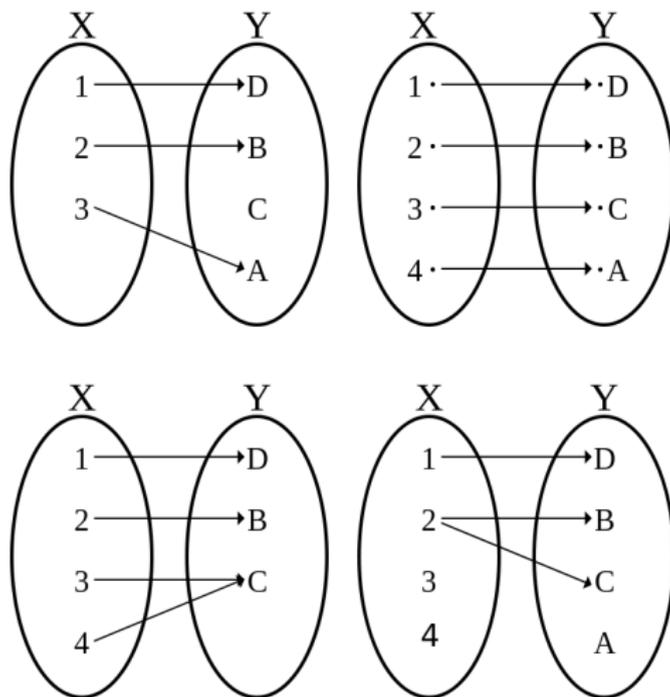
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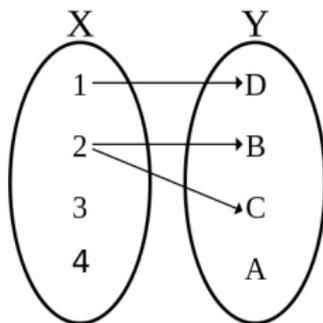
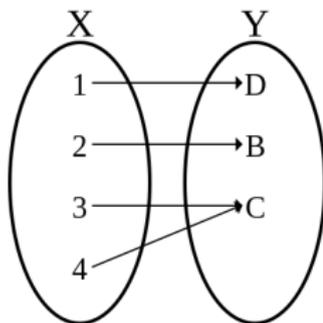
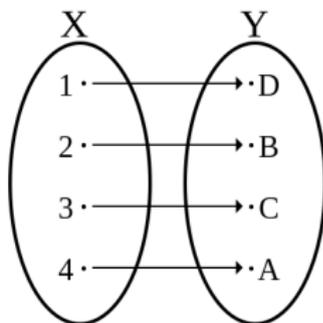
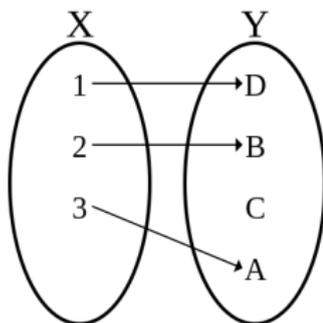
If the subset of \mathbb{N} is infinite, S is **countably infinite**.

Bijections?



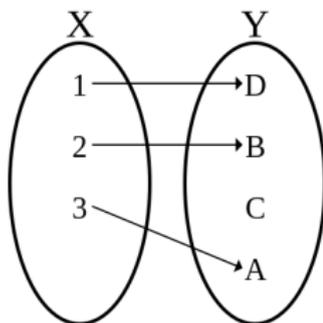
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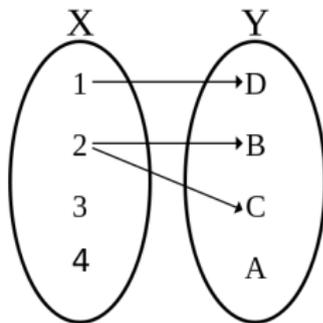
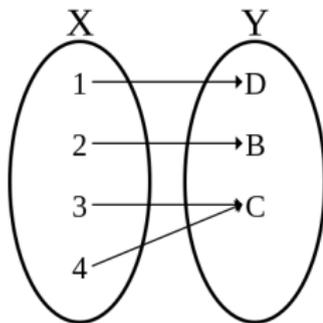
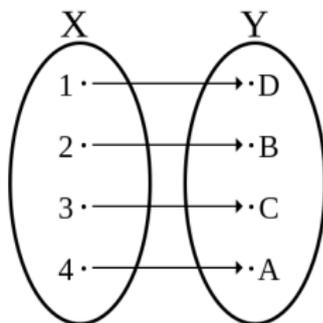


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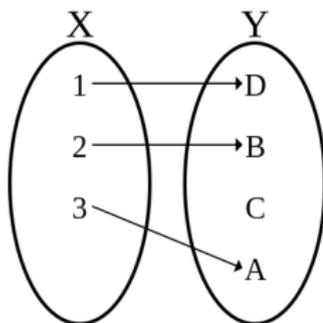


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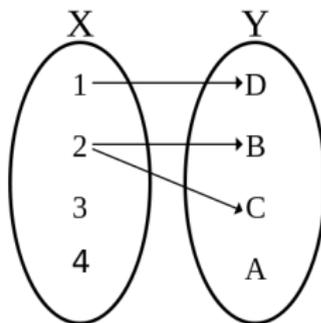
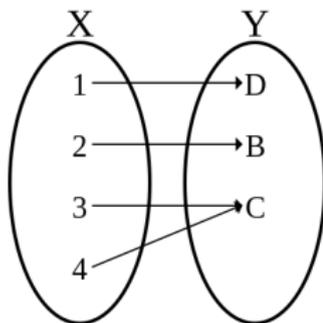
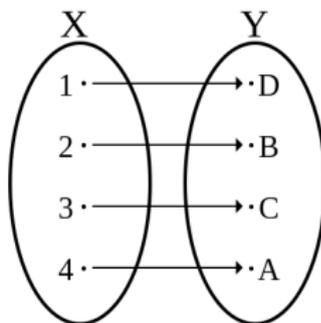


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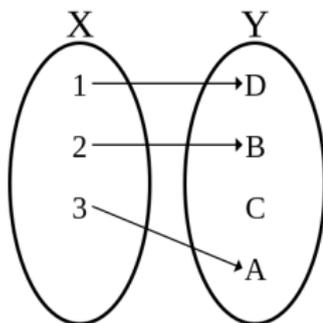
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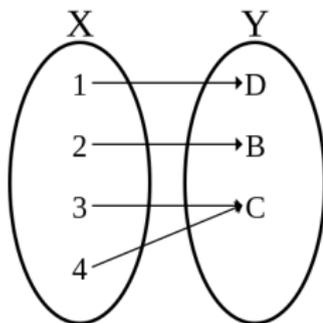
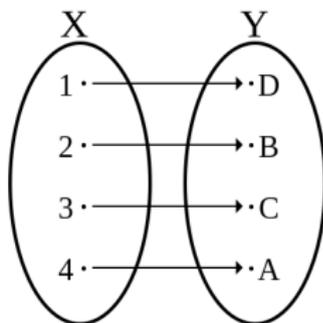
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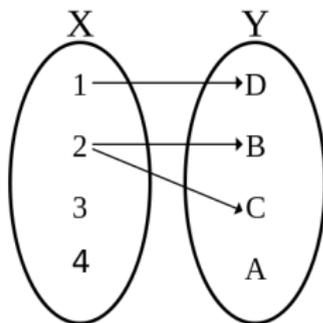
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Onto.



Not a function.

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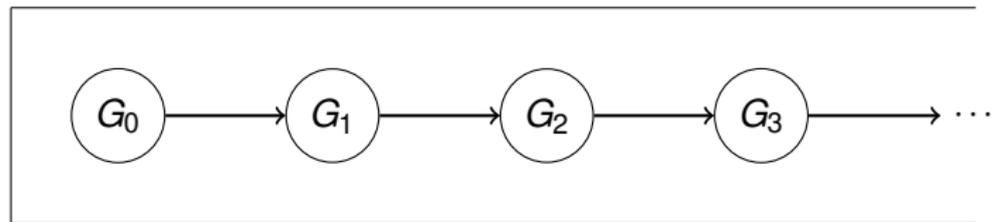
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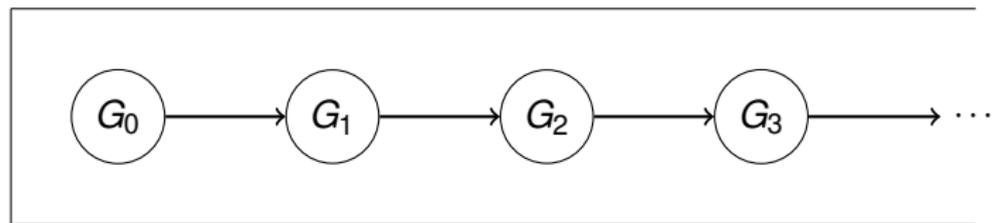
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For example the set $\{14, 54, 5332, 10^{12} + 4\}$ is countable. (It has 4 elements) Even numbers are countable. Prime numbers are countable. Multiples of 3 are countable.
- ▶ All countably infinite sets have the same cardinality as each other.

Back to Hilbert's hotel

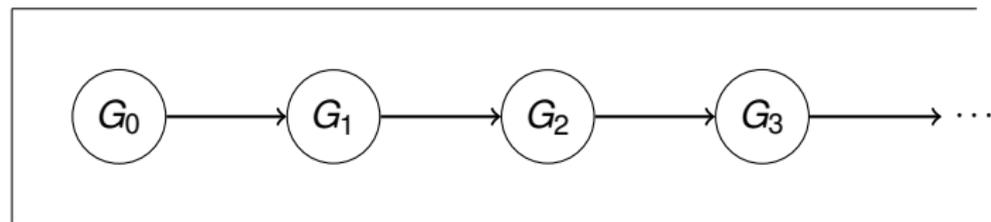


Back to Hilbert's hotel



Where's the function?

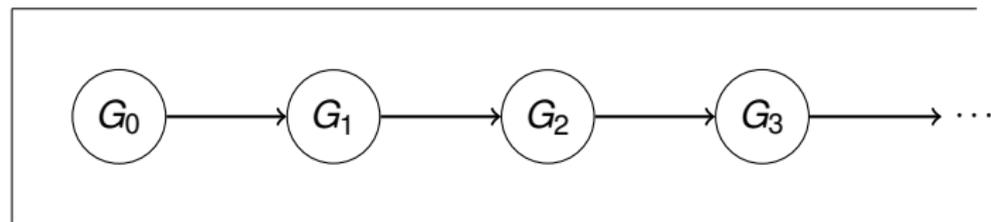
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Where's the function?

We want a bijection from:

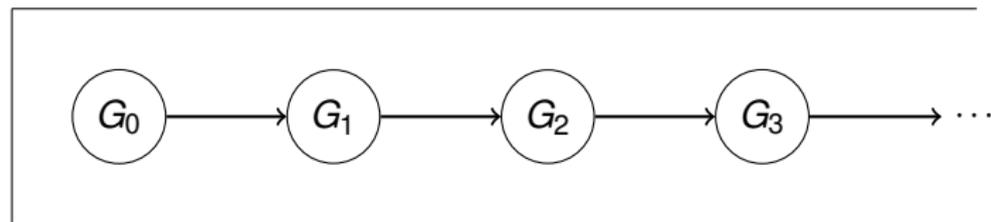
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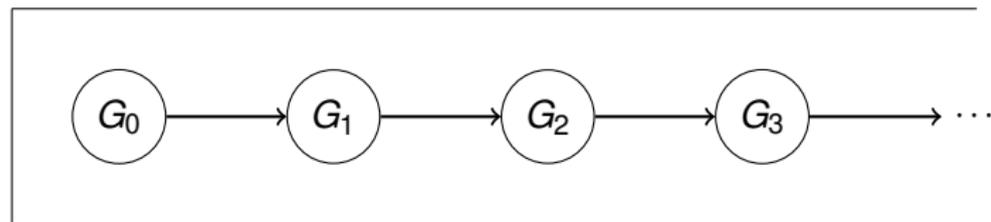
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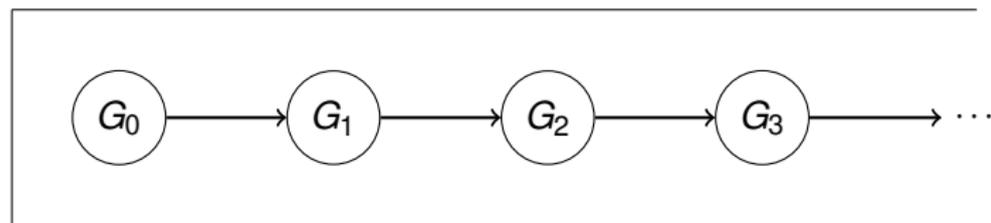
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Where's the function?

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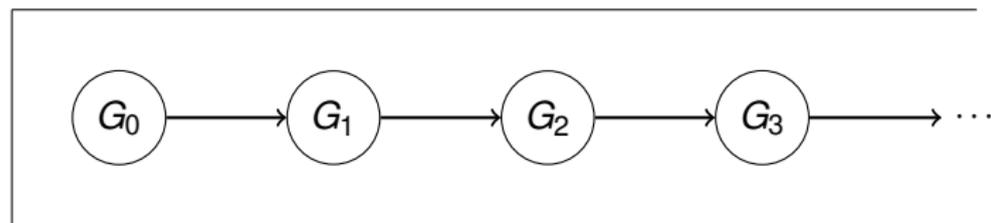


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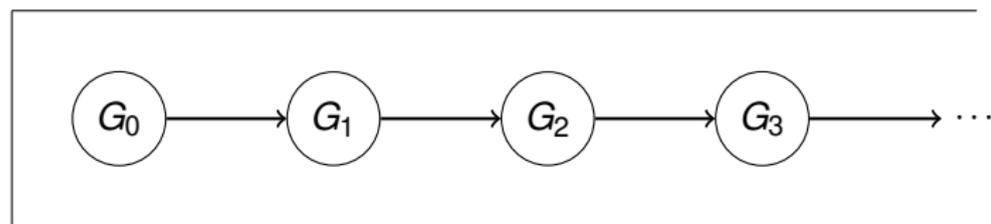


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$f(x) = x - 1$. Maps every number from $\mathbb{N} \setminus \{0\}$ to a number in \mathbb{N} , and

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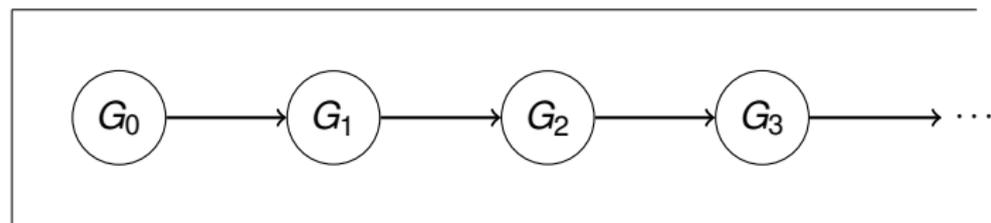


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Back to Hilbert's hotel



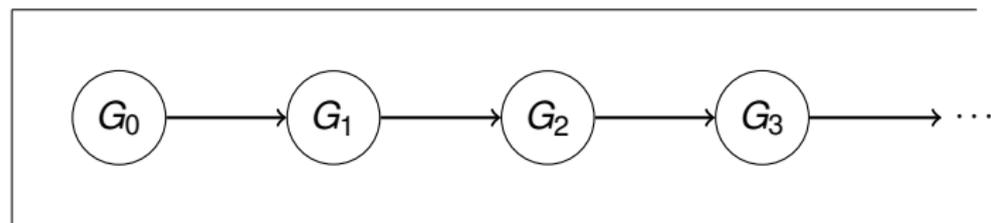
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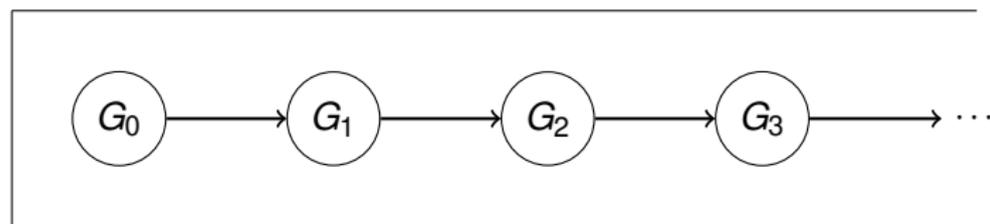
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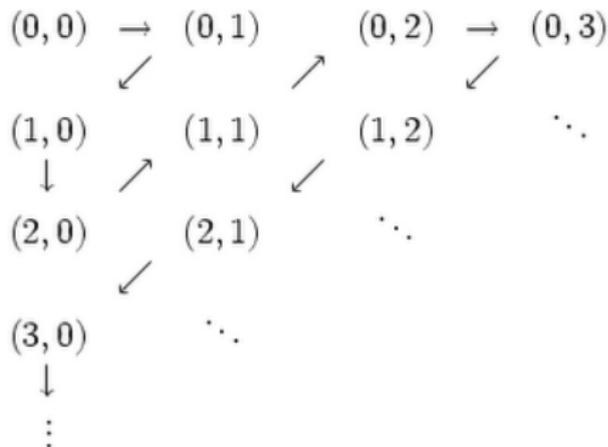
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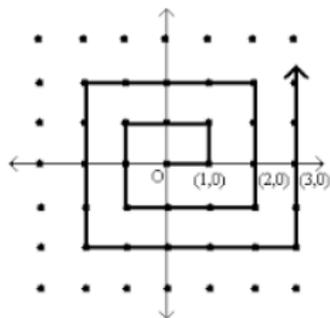
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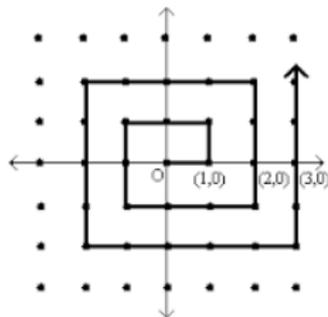
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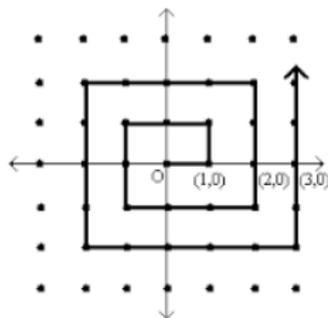
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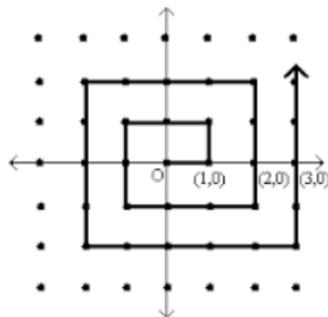
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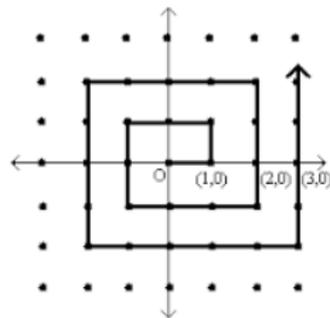
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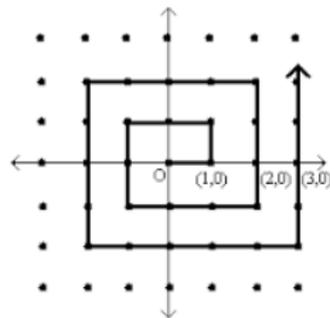
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A set S is countable if it can be enumerated in a sequence, i.e., if all of its elements can be

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Diagonalization.

If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$.

Diagonalization.

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0: .500000000...

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0: .500000000...

1: .785398162...

Diagonalization.

If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$. For example

0: .500000000...

1: .785398162...

2: .367879441...

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0: .500000000...

1: .785398162...

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3: .632120558...

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Construct “diagonal” number:

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0: .500000000...

1: .785398162...

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3: .632120558...

4: .345212312...

⋮

Construct “diagonal” number: .7

Diagonalization.

If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$. For example

0: .500000000...

1: .785398162...

2: .367879441...

3: .632120558...

4: .345212312...

⋮

Construct “diagonal” number: .77

Diagonalization.

If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$. For example

0: .500000000...

1: .785398162...

2: .367879441...

3: .632120558...

4: .345212312...

⋮

Construct “diagonal” number: .776

Diagonalization.

If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$. For example

0: .500000000...

1: .785398162...

2: .367879441...

3: .632120558...

4: .345212312...

⋮

Construct “diagonal” number: .7767

Diagonalization.

If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$. For example

0: .500000000...

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Diagonal Number: Digit i is 7 if number i 's i th digit is not 7

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⋮

Construct “diagonal” number: .77677...

Diagonal Number: Digit i is 7 if number i 's i th digit is not 7
and 6 otherwise.

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If countable, there exists a listing (enumeration), L contains all reals in $[0, 1]$. For example

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Diagonal number for a list differs from every number in list!

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Diagonal number is real.

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Diagonal number not in list.

Diagonal number is real.

Contradiction!

Diagonalization.

If **countable**, there exists a listing (enumeration), **L contains all reals in $[0, 1]$** . For example

0: .**5**00000000...

1: .7**8**5398162...

2: .36**7**879441...

3: .632**1**20558...

4: .3452**1**2312...

⋮

Construct “diagonal” number: .77677...

Diagonal Number: Digit i is 7 if number i 's i th digit is not 7
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Diagonal number for a list differs from every number in list!

Diagonal number not in list.

Diagonal number is real.

Contradiction!

Subset $[0, 1]$ is not countable!!

All reals?

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All reals?

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What about all reals?

All reals?

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Any subset of a countable set is countable.

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What about all reals?

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Any subset of a countable set is countable.

If reals are countable then so is $[0, 1]$.

Diagonalization.

1. Assume that a set S can be enumerated.

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6. Contradiction.

Another diagonalization.

The set of all subsets of N .

Another diagonalization.

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Example subsets of N : $\{0\}$,

Another diagonalization.

The set of all subsets of N .

Example subsets of N : $\{0\}, \{0, \dots, 7\},$

Another diagonalization.

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Example subsets of N : $\{0\}$, $\{0, \dots, 7\}$,
evens,

Another diagonalization.

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Example subsets of N : $\{0\}$, $\{0, \dots, 7\}$,
evens, odds,

Another diagonalization.

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Another diagonalization.

The set of all subsets of N .

Example subsets of N : $\{0\}$, $\{0, \dots, 7\}$,
evens, odds, primes, multiples of 10

- ▶ Assume is countable.

Another diagonalization.

The set of all subsets of N .

Example subsets of N : $\{0\}$, $\{0, \dots, 7\}$,
evens, odds, primes, multiples of 10

- ▶ Assume is countable.
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Another diagonalization.

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- ▶ Assume is countable.
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Another diagonalization.

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If i th set in L does not contain i , $i \in D$.

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Contradiction.

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Theorem: The set of all subsets of N is not countable.

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The set of all subsets of N .

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- ▶ D is a subset of N .
- ▶ L does not contain all subsets of N .
Contradiction.

Theorem: The set of all subsets of N is not countable.
(The set of all subsets of S , is the **powerset** of N .)

Another diagonalization.

$$\begin{array}{l} s_1 = 0000000000\dots \\ s_2 = 1111111111\dots \\ s_3 = 010101010\dots \\ s_4 = 101010101\dots \\ s_5 = 110101101\dots \\ s_6 = 001101101\dots \\ s_7 = 10001000100\dots \\ s_8 = 00110011001\dots \\ s_9 = 11001100110\dots \\ s_{10} = 11011100101\dots \\ s_{11} = 11010100100\dots \\ \vdots \quad \vdots \end{array}$$

$$s = 10111010011\dots$$

Countable or uncountable??

- ▶ Binary strings?

Countable or uncountable??

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- ▶ Trees?

Countable or uncountable??

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- ▶ Weighted trees?

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You already know some of these.....

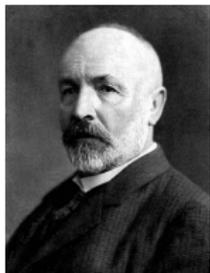
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You already know some of these..... Think about induction!

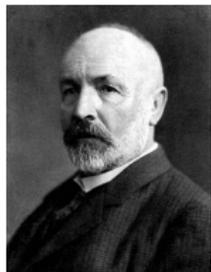
What happened with Cantor?

What happened with Cantor?



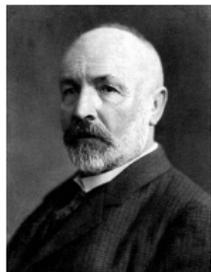
Cantor's work between 1874 and 1884 is the origin of set theory.

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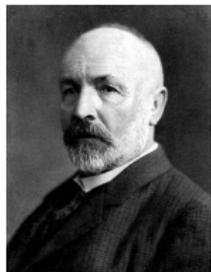
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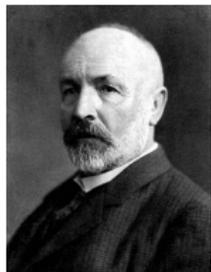
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Before Cantor: Finite

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Before Cantor: Finite , Infinite

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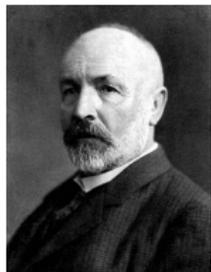
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Before Cantor: Finite , Infinite

After Cantor:

- ▶ Countable

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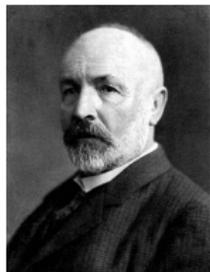
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Before Cantor: Finite , Infinite

After Cantor:

- ▶ Countable
 - ▶ Finite and countable.

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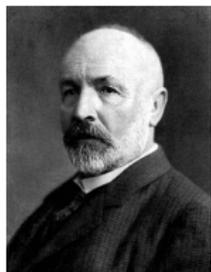
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Before Cantor: Finite , Infinite

After Cantor:

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 - ▶ Finite and countable. For example $\{1,2,3\}$

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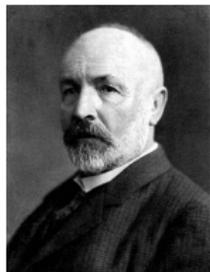
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Before Cantor: Finite , Infinite

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What happened with Cantor?



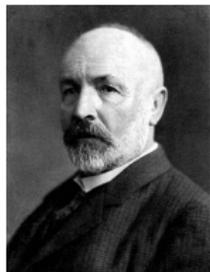
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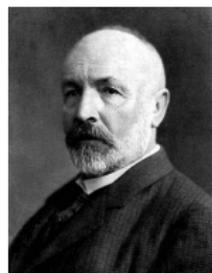
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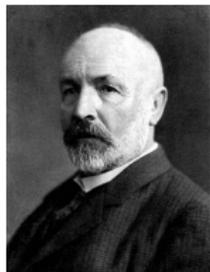
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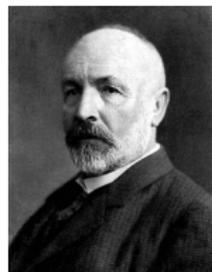
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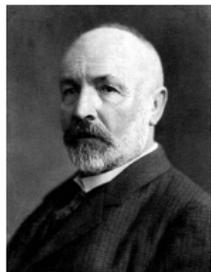
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Everyone was upset!

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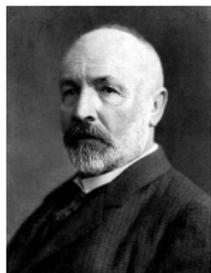
Before Cantor: Finite , Infinite

After Cantor:

- ▶ Countable
 - ▶ Finite and countable. For example $\{1, 2, 3\}$
 - ▶ Infinite and countable. For example $\mathbb{N}, \mathbb{Z}, \dots$
- ▶ Uncountable. For example $[0, 1], \mathbb{R} \dots$
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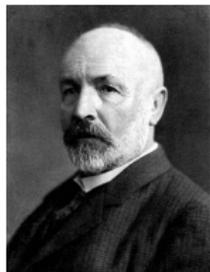
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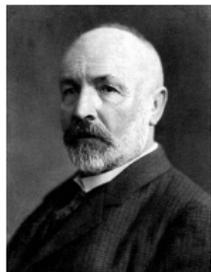
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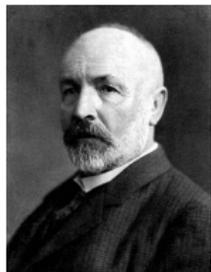
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Cantor's legacy



Gottlob Frege:

Cantor's legacy



Gottlob Frege: Let's look at the foundations!

Cantor's legacy



Gottlob Frege: Let's look at the foundations!
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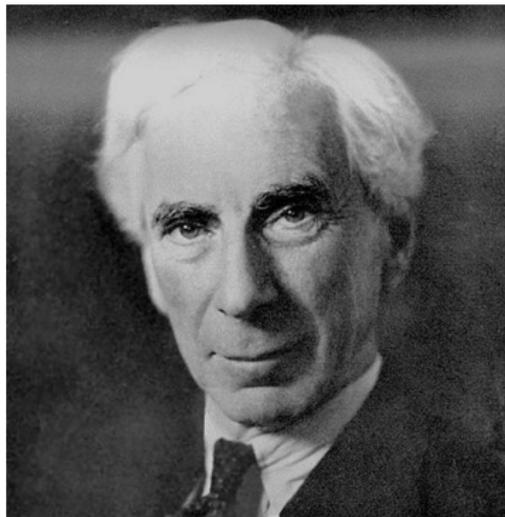
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A bug

Bertrand Russell finds a bug!

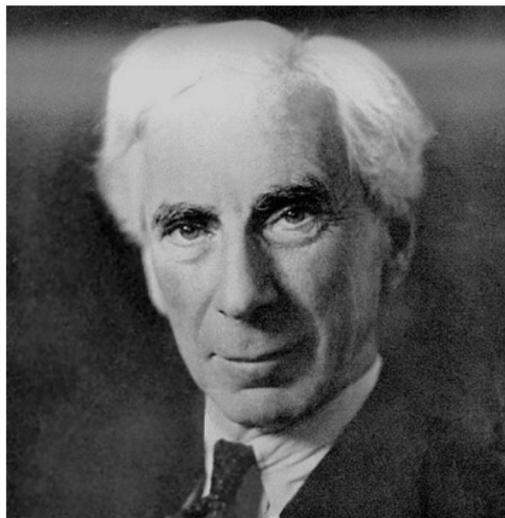
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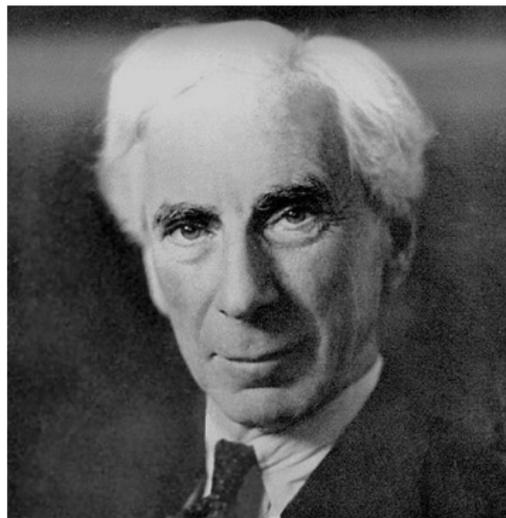
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Frege's reaction:

A bug

Bertrand Russell finds a bug!



Frege's reaction: "Hardly anything more unfortunate can befall a scientific writer than to have one of the foundations of his edifice shaken after the work is finished. This was the position I was placed in by a letter of Mr. Bertrand Russell, just when the printing of this volume was nearing its completion."

A poem

Zisimos Lorentzatos.

"Beware of systems grandiose, of mathematically strict causalities as you're trying, stone by stone, to found the goldenwoven tower of the logical, castle and fort immune to contradiction. Designed in two volumes, the foundational laws of arithmetic, or Grundgesetze of der arithmetic in 1893, the first, 1903 the second. A life's work. Hammer on chisel blows for years and years. So far, so good. But as Frege Gottlob was correcting, content, the printer's proofs already of the second volume, one cursed logic paradox, one not admitting refutation, question by Russell Bertrand, forced, without delay, the great thinker of Mecklemburg to add a last paragraph to his system, show me a great thinker who would resist the truth, accepting the reversible disaster. His foundations in ruin, his logic flawed, his work wasted, and his two volumes imagine the colossal set back, odd load and ballast for the refuge cart."

Russell's Paradox.

- ▶ "This statement is false"

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Is the statement above true?
- ▶ A barber says "I shave all and only those men who do not shave themselves."

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Does A contain itself?

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Change Axioms!

Changing Axioms?

They did keep trying to put all of mathematics on a firm basis...

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- ▶ Consistent: You can't prove false statements

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- ▶ Consistent: You can't prove false statements
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Other people in this story: Russell , Whitehead , Wittgenstein , Hilbert
(We must know. We will know.) ...

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(We must know. We will know.) ...
Until 1931.

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Kurt Gödel:
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Concrete example:

Continuum hypothesis (see official notes if interested)

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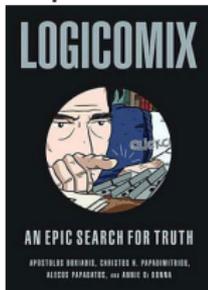
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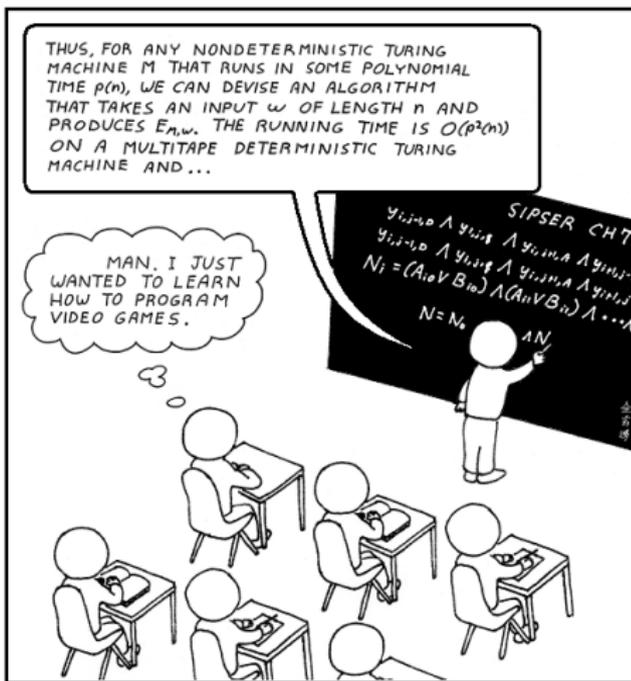
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- ▶ Dangerous work?
- ▶ See Logicomix by Doxiadis, Papadimitriou (my advisor!), Papadatos, Di Donna.

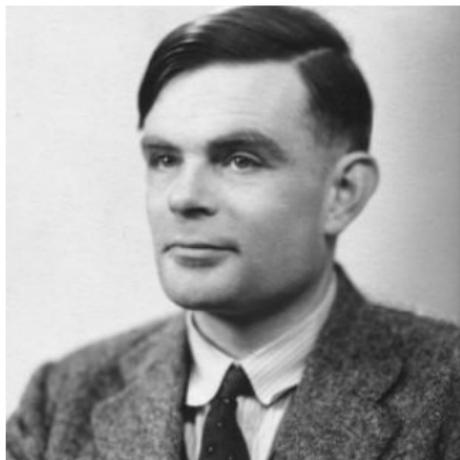


Next Topic: Undecidability.

- ▶ Undecidability. A happy ending?



Turing



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A program that checks that the compiler works!

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Theorem: There is no program HALT.

Halt does not exist.

Proof:

Halt does not exist.

Proof: Assume there is a program $HALT(\cdot, \cdot)$.

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Code:

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Code:

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import HALT;
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        while(true);
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\implies then $HALT(Turing, Turing.toString()) = halts$

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\implies Turing(Turing) halts. (goes to system.exit())

Contradiction.

Halt does not exist.

Proof: Assume there is a program $HALT(\cdot, \cdot)$.

Code:

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import HALT;
function Turing( Program P ) {
  if ( HALT( P, P.toString() ) == "halts" ):
    while(true); (go in an infinite loop)
  else:
    system.exit();
}
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Run Turing(Turing).

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Wow, that was easy!

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We should be famous!

No computers for Turing!

In Turing's time.

No computers for Turing!

In Turing's time.

No computers.

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No computers.

Concept of program as data wasn't really there.

Undecidable problems.

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Find exit points and add statement: **Print** “Hello World.”

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Does this computer program have any security vulnerabilities?

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Tragic ending...

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2013. Granted Royal pardon.

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Programming is a super power.

HOW MATH WORKS:

STEP 1: INSIGHT



STEP 4: ADDITIONAL DECADES OF DEBATE.



STEP 2: RESISTANCE



STEP 5: CHANGING OF THE GUARD.



STEP 3: DEBATE



STEP 6: TRANSMISSION TO STUDENTS.

