

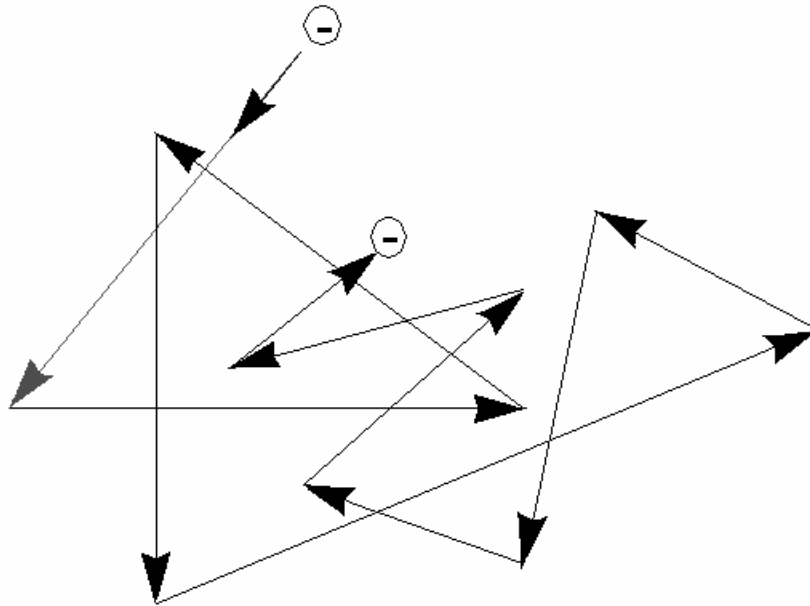
# ***Chapter 2 Motion and Recombination of Electrons and Holes***

## ***2.1 Thermal Energy and Thermal Velocity***

$$\text{Average electron or hole kinetic energy} = \frac{3}{2}kT = \frac{1}{2}mv_{th}^2$$

$$\begin{aligned} v_{th} &= \sqrt{\frac{3kT}{m_{eff}}} = \sqrt{\frac{3 \times 1.38 \times 10^{-23} \text{ JK}^{-1} \times 300 \text{ K}}{0.26 \times 9.1 \times 10^{-31} \text{ kg}}} \\ &= 2.3 \times 10^5 \text{ m/s} = 2.3 \times 10^7 \text{ cm/s} \end{aligned}$$

## 2.1 Thermal Motion



- Zig-zag motion is due to collisions or scattering with imperfections in the crystal.
- Net thermal velocity is zero.
- Mean time between collisions is  $\tau_m \sim 0.1\text{ps}$



## 2.2.1 Electron and Hole Mobilities

$$m_p v = q \mathcal{E} \tau_{mp}$$

$$v = \frac{q \mathcal{E} \tau_{mp}}{m_p}$$

$$v = \mu_p \mathcal{E}$$

$$\mu_p = \frac{q \tau_{mp}}{m_p}$$

$$v = -\mu_n \mathcal{E}$$

$$\mu_n = \frac{q \tau_{mn}}{m_n}$$

- $\mu_p$  is the hole mobility and  $\mu_n$  is the electron mobility

## 2.2.1 Electron and Hole Mobilities

$$v = \mu \mathcal{E} ; \quad \mu \text{ has the dimensions of } v/\mathcal{E} \quad \left[ \frac{\text{cm/s}}{\text{V/cm}} = \frac{\text{cm}^2}{\text{V} \cdot \text{s}} \right].$$

### *Electron and hole mobilities of selected semiconductors*

	<b>Si</b>	<b>Ge</b>	<b>GaAs</b>	<b>InAs</b>
$\mu_n$ (cm <sup>2</sup> /V·s)	1400	3900	8500	30000
$\mu_p$ (cm <sup>2</sup> /V·s)	470	1900	400	500

Based on the above table alone, which semiconductor and which carriers (electrons or holes) are attractive for applications in high-speed devices?

## *Drift Velocity, Mean Free Time, Mean Free Path*

**EXAMPLE:** Given  $\mu_p = 470 \text{ cm}^2/\text{V}\cdot\text{s}$ , what is the hole drift velocity at  $\mathcal{E} = 10^3 \text{ V/cm}$ ? What is  $\tau_{mp}$  and what is the distance traveled between collisions (called the **mean free path**)? Hint: When in doubt, use the MKS system of units.

**Solution:**  $v = \mu_p \mathcal{E} = 470 \text{ cm}^2/\text{V}\cdot\text{s} \times 10^3 \text{ V/cm} = 4.7 \times 10^5 \text{ cm/s}$

$$\begin{aligned}\tau_{mp} &= \mu_p m_p / q = 470 \text{ cm}^2/\text{V} \cdot \text{s} \times 0.39 \times 9.1 \times 10^{-31} \text{ kg} / 1.6 \times 10^{-19} \text{ C} \\ &= 0.047 \text{ m}^2/\text{V} \cdot \text{s} \times 2.2 \times 10^{-12} \text{ kg/C} = 1 \times 10^{-13} \text{ s} = 0.1 \text{ ps}\end{aligned}$$

$$\begin{aligned}\text{mean free path} &= \tau_{mh} v_{th} \sim 1 \times 10^{-13} \text{ s} \times 2.2 \times 10^7 \text{ cm/s} \\ &= 2.2 \times 10^{-6} \text{ cm} = 220 \text{ \AA} = 22 \text{ nm}\end{aligned}$$

*This is smaller than the typical dimensions of devices, but getting close.*

## 2.2.2 Mechanisms of Carrier Scattering

There are two main causes of carrier scattering:

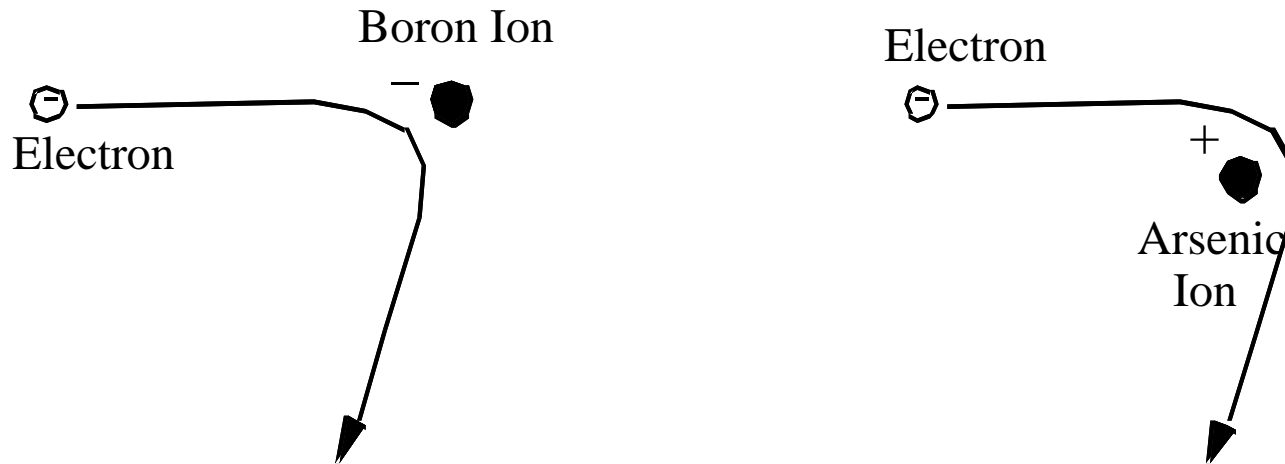
1. Phonon Scattering
2. Impurity (Dopant) Ion Scattering

***Phonon scattering*** mobility decreases when temperature rises:

$$\mu_{\text{phonon}} \propto \tau_{\text{phonon}} \propto \frac{1}{\text{phonon density} \times \text{carrier thermal velocity}} \propto \frac{1}{T \times T^{1/2}} \propto T^{-3/2}$$

$\mu = q\tau/m$        $\propto T$        $v_{th} \propto T^{1/2}$

## *Impurity (Dopant)-Ion Scattering or Coulombic Scattering*

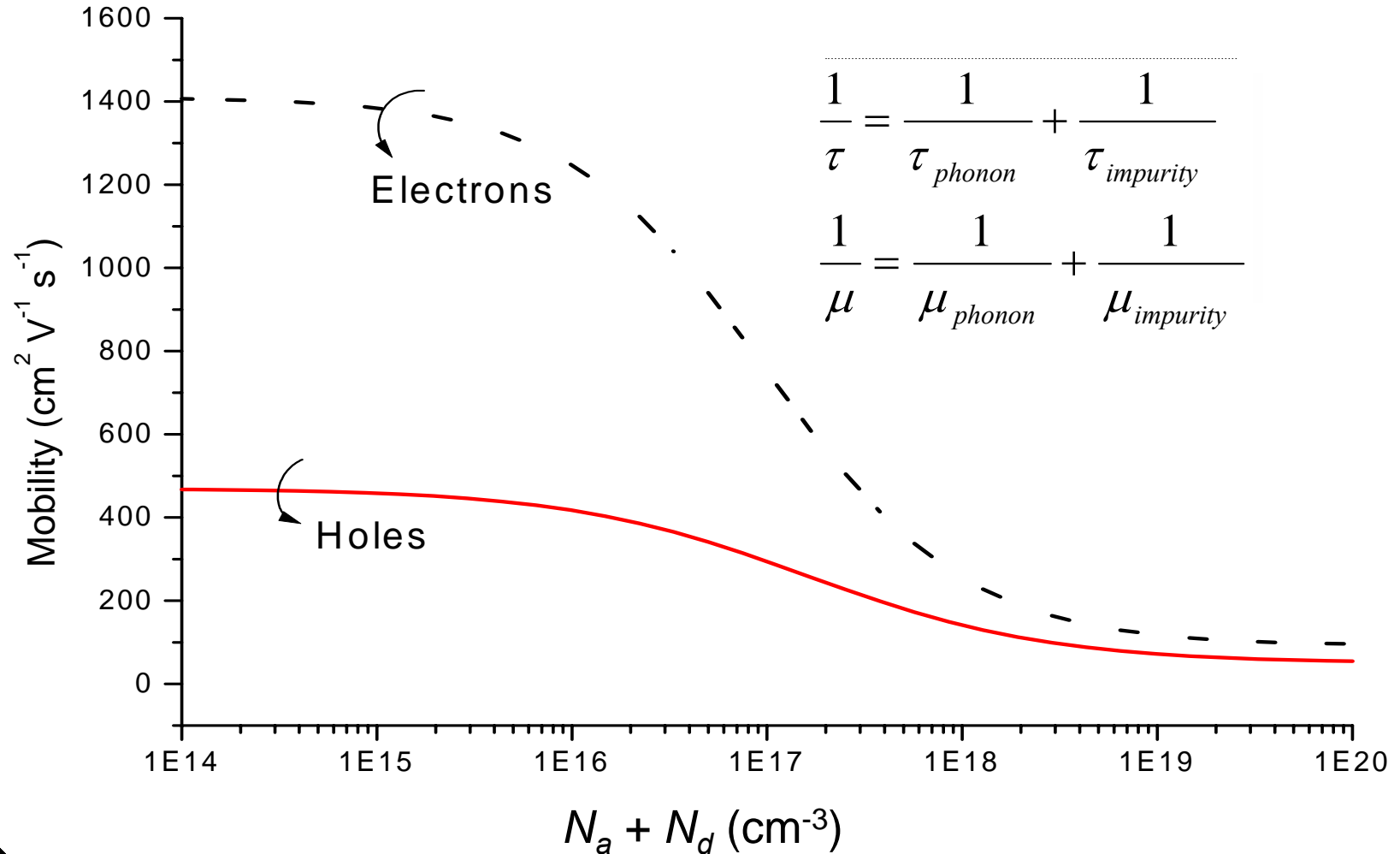


There is less change in the direction of travel if the electron zips by the ion at a higher speed.

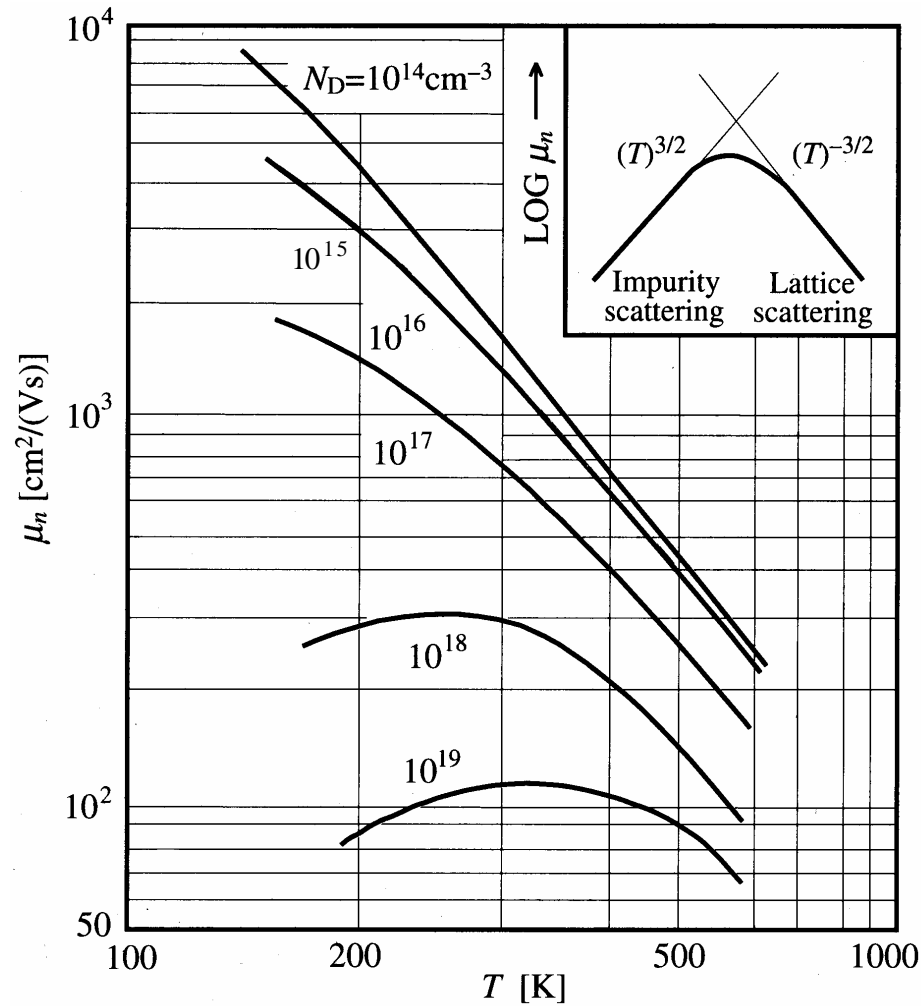
$$\mu_{impurity} \propto \frac{v_{th}^3}{N_a + N_d} \propto \frac{T^{3/2}}{N_a + N_d}$$



# Total Mobility



# Temperature Effect on Mobility



*Question:*

What  $N_d$  will make  $d\mu_n/dT = 0$  at room temperature?

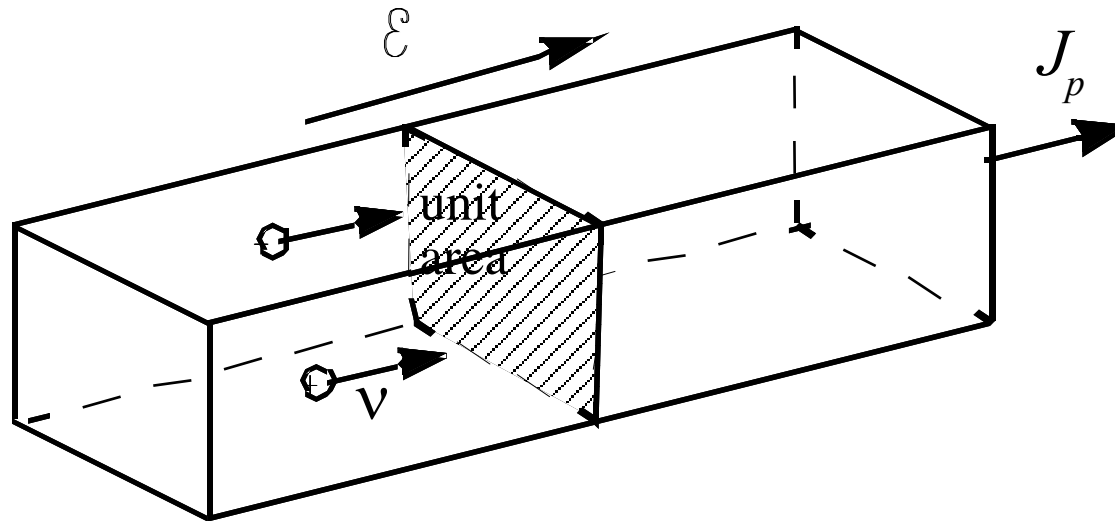
## *Velocity Saturation*

When the kinetic energy of a carrier exceeds a critical value, it generates an optical phonon and loses the kinetic energy.

Therefore, the kinetic energy is capped and the velocity does not rise above a saturation velocity,  $v_{sat}$ , no matter how large  $\mathcal{E}$  is.

*Velocity saturation* has a deleterious effect on device speed as shown in Ch. 6.

## 2.2.3 Drift Current and Conductivity



Current density  $J_p = qp v$  A/cm<sup>2</sup> or C/cm<sup>2</sup>·sec

**EXAMPLE:** If  $p = 10^{15}\text{cm}^{-3}$  and  $v = 10^4\text{ cm/s}$ , then  
$$J_p = 1.6 \times 10^{-19}\text{C} \times 10^{15}\text{cm}^{-3} \times 10^4\text{cm/s}$$
$$= 1.6\text{ C/s} \cdot \text{cm}^2 = 1.6\text{ A/cm}^2$$

### 2.2.3 Drift Current and Conductivity

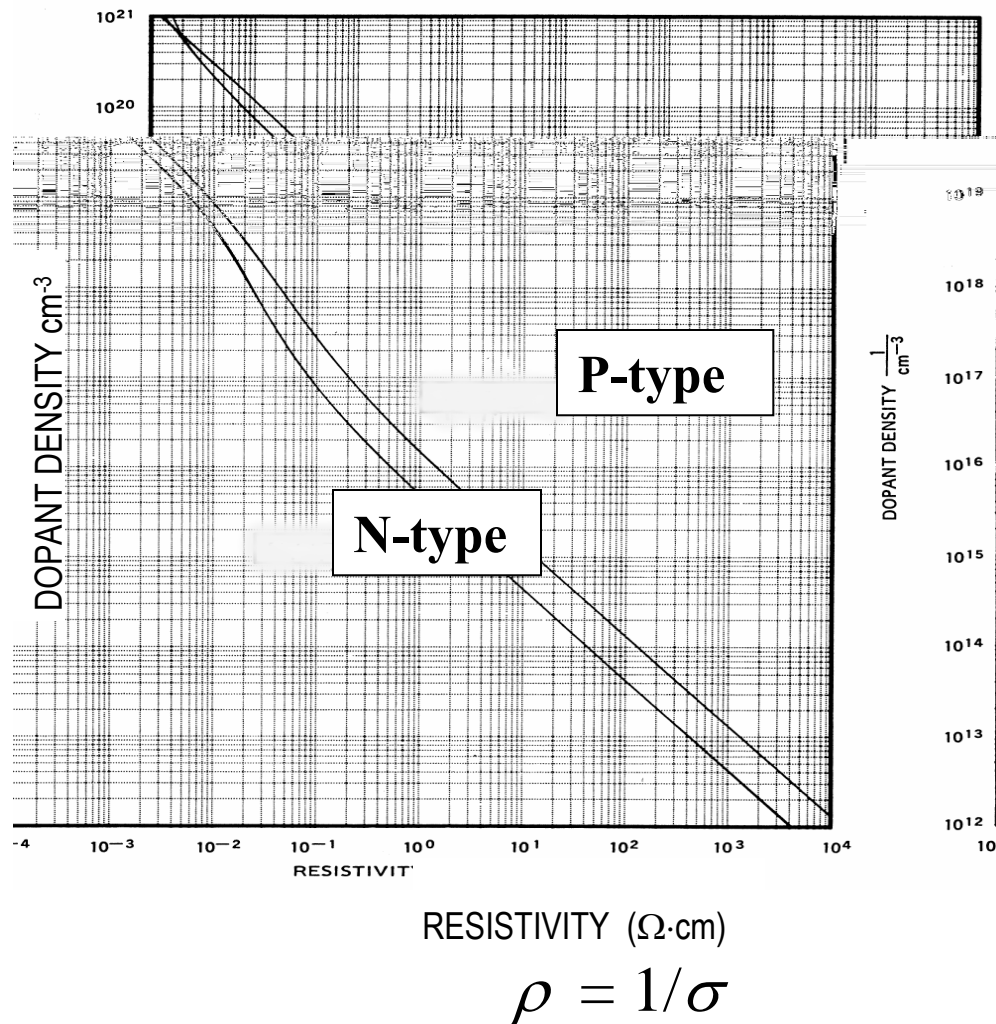
$$J_{p,drift} = qp v = qp \mu_p \mathcal{E}$$

$$J_{n,drift} = -qn v = qn \mu_n \mathcal{E}$$

$$J_{drift} = J_{n,drift} + J_{p,drift} = \sigma \mathcal{E} = (qn \mu_n + qp \mu_p) \mathcal{E}$$

$\therefore$  conductivity of a semiconductor is  $\sigma = qn \mu_n + qp \mu_p$

# *Relationship between Resistivity and Dopant Density*



## ***EXAMPLE: Temperature Dependence of Resistance***

- (a) *What is the resistivity ( $\rho$ ) of silicon doped with  $10^{17}\text{cm}^{-3}$  of arsenic?*
- (b) *What is the resistance ( $R$ ) of a piece of this silicon material  $1\mu\text{m}$  long and  $0.1\mu\text{m}^2$  in cross-sectional area?*

### ***Solution:***

(a) *Using the N-type curve in the previous figure, we find that  $\rho = 0.084\ \Omega\text{-cm}$ .*

$$\begin{aligned} (b) \ R &= \rho L / A = 0.084\ \Omega\text{-cm} \times 1\ \mu\text{m} / 0.1\ \mu\text{m}^2 \\ &= 0.084\ \Omega\text{-cm} \times 10^{-4}\ \text{cm} / 10^{-10}\ \text{cm}^2 \\ &= 8.4 \times 10^{-4} \end{aligned}$$

## ***EXAMPLE: Temperature Dependence of Resistance***

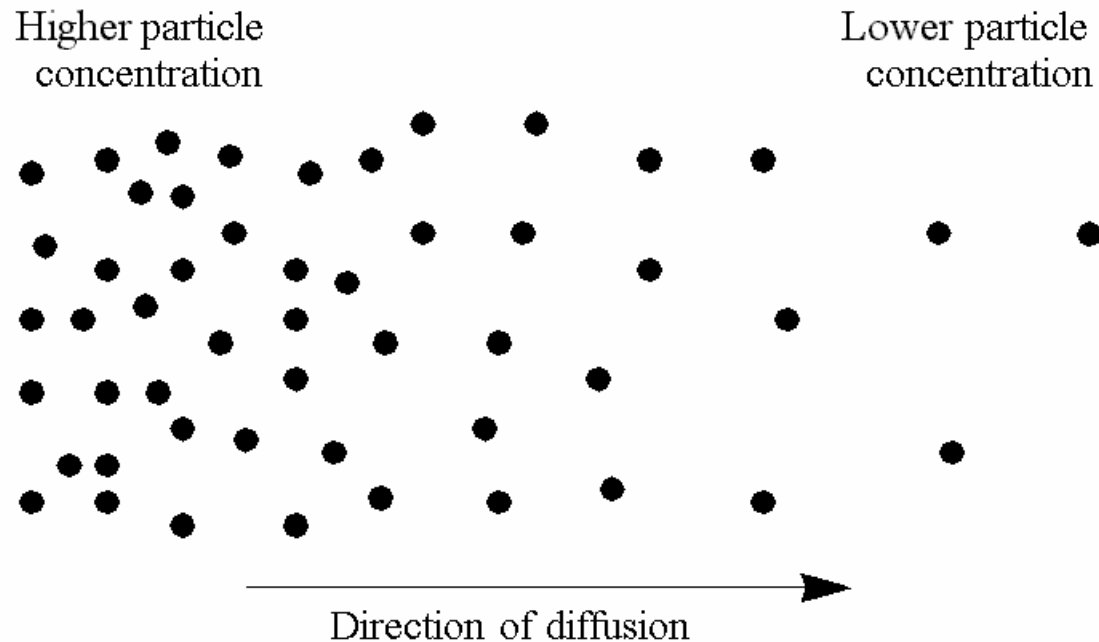
*By what factor will  $R$  increase or decrease from  $T=300\text{ K}$  to  $T=400\text{ K}$ ?*

***Solution:*** The temperature dependent factor in  $\sigma$  (and therefore  $\rho$ ) is  $\mu_n$ . From the mobility vs. temperature curve for  $10^{17}\text{ cm}^{-3}$ , we find that  $\mu_n$  decreases from 770 at 300K to 400 at 400K. As a result,  $R$  **increases** by

$$\frac{770}{400} = 1.93$$



## 2.3 *Diffusion Current*



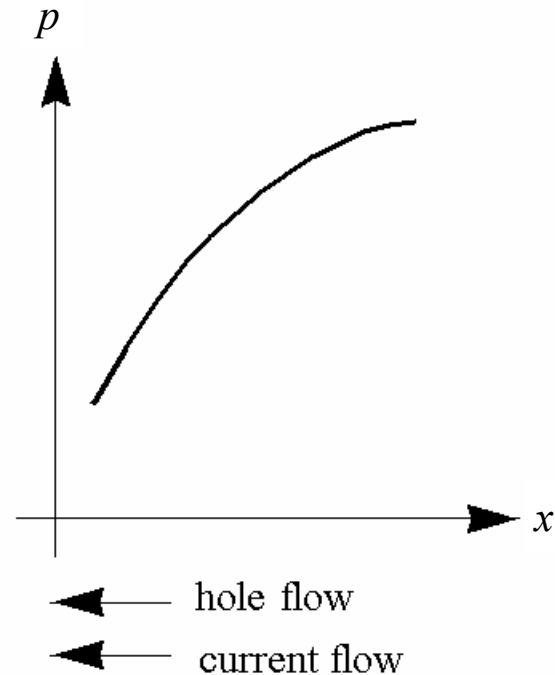
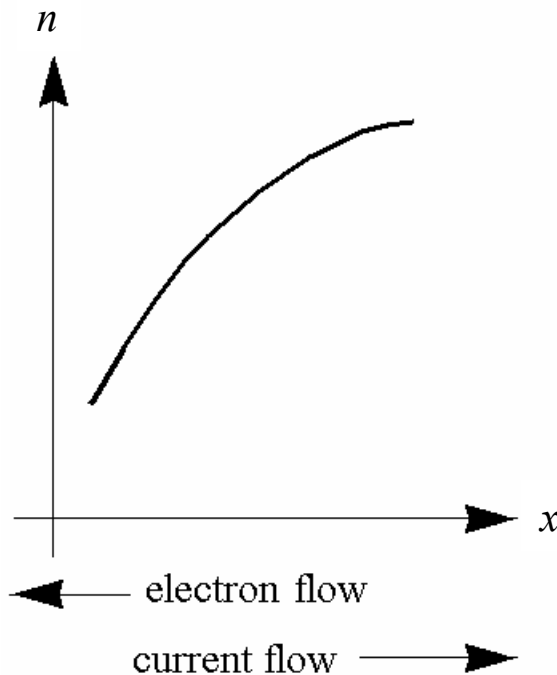
Particles diffuse from a higher-concentration location to a lower-concentration location.

## 2.3 Diffusion Current

$$J_{n,diffusion} = qD_n \frac{dn}{dx}$$

$$J_{p,diffusion} = -qD_p \frac{dp}{dx}$$

$D$  is called the diffusion constant. Signs explained:



## *Total Current – Review of Four Current Components*

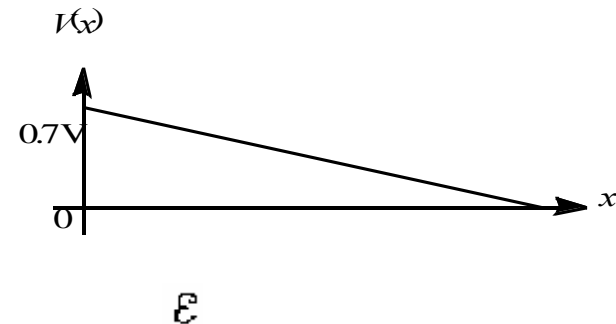
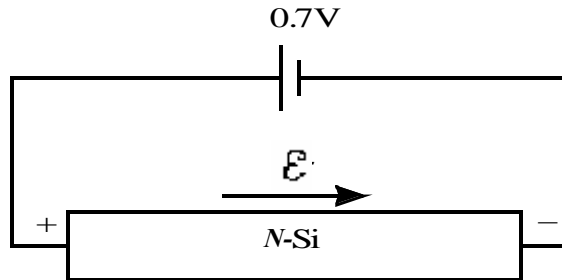
$$J_{TOTAL} = J_n + J_p$$

$$J_n = J_{n,drift} + J_{n,diffusion} = qn\mu_n\mathcal{E} + qD_n \frac{dn}{dx}$$

$$J_p = J_{p,drift} + J_{p,diffusion} = qp\mu_p\mathcal{E} - qD_p \frac{dp}{dx}$$

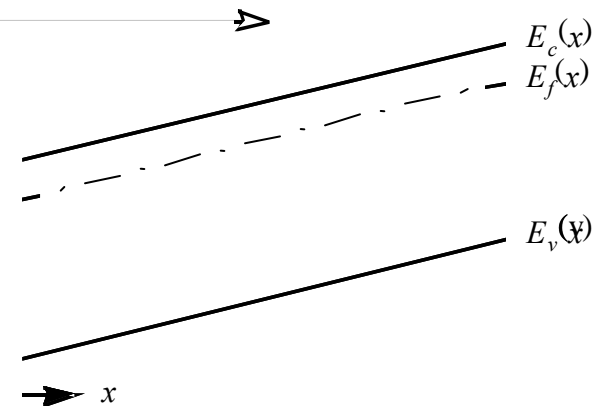
## 2.4 Relation Between the Energy Band

### Diagram and $V, \mathcal{E}$



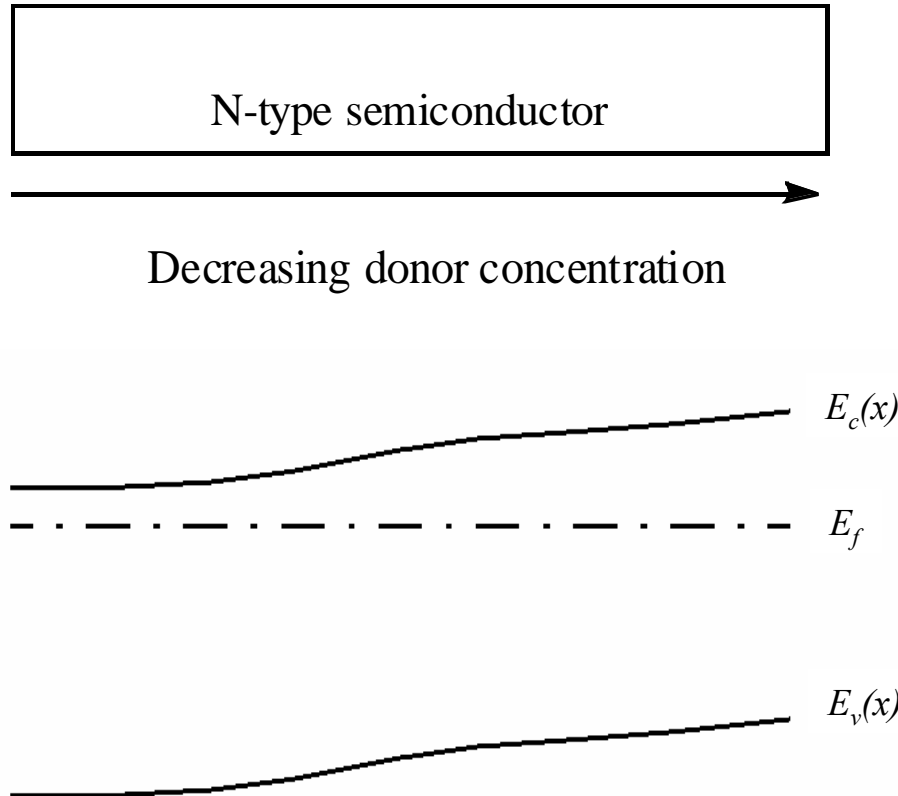
$E_c$  and  $E_v$  vary in the opposite direction from the voltage. That is,  $E_c$  and  $E_v$  are higher where the voltage is lower.

$$\mathcal{E}(x) = -\frac{dV}{dx} = \frac{1}{q} \frac{dE_c}{dx} = \frac{1}{q} \frac{dE_v}{dx}$$



## 2.5 Einstein Relationship between $D$ and $\mu$

Consider a piece of non-uniformly doped semiconductor.



$$n = N_c e^{-(E_c - E_f)/kT}$$

$$\frac{dn}{dx} = -\frac{N_c}{kT} e^{-(E_c - E_f)/kT} \frac{dE_c}{dx}$$

$$= -\frac{n}{kT} \frac{dE_c}{dx}$$

$$= -\frac{n}{kT} q \mathcal{E}$$

## 2.5 Einstein Relationship between $D$ and $\mu$

$$\frac{dn}{dx} = -\frac{n}{kT} q \mathcal{E}$$

$$J_n = qn\mu_n\mathcal{E} + qD_n \frac{dn}{dx} = 0 \quad \text{at equilibrium.}$$

$$0 = qn\mu_n\mathcal{E} - qn \frac{qD_n}{kT} \mathcal{E}$$

$$D_n = \frac{kT}{q} \mu_n$$

Similarly,

$$D_p = \frac{kT}{q} \mu_p$$

*These are known as the Einstein relationship.*

## ***EXAMPLE: Diffusion Constant***

*What is the hole diffusion constant in a piece of silicon with  $\mu_p = 410 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  ?*

***Solution:***

$$D_p = \left( \frac{kT}{q} \right) \mu_p = (26 \text{ mV}) \cdot 410 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1} = 11 \text{ cm}^2 / \text{s}$$

***Remember:  $kT/q = 26 \text{ mV}$  at room temperature.***

## 2.6 *Electron-Hole Recombination*

The equilibrium carrier concentrations are denoted with  $n_0$  and  $p_0$ . The total electron and hole concentrations can be different from  $n_0$  and  $p_0$ . These differences are called the *excess carrier concentrations*  $n'$  and  $p'$ .

$$\begin{aligned} n &\equiv n_0 + n' \\ p &\equiv p_0 + p' \end{aligned}$$



## *Charge Neutrality*

Charge neutrality is satisfied at equilibrium ( $n' = p' = 0$ ). When a non-zero  $n'$  is present, an equal  $p'$  may be assumed to be present to maintain charge equality and vice-versa. If charge neutrality is not satisfied, then the net charge will attract or repel the (majority) carriers through the drift current until neutrality is restored.

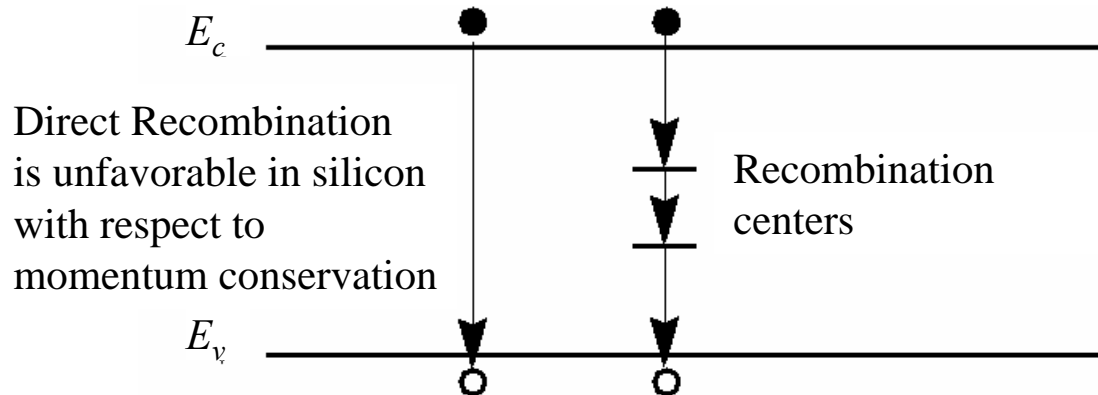
$$n' = p'$$

## *Recombination Lifetime*

Assume light generates  $n'$  and  $p'$ . If the light is suddenly turned off,  $n'$  and  $p'$  decay with time until they become zero. The process of decay is called *recombination*. The time constant of decay is the *recombination time* or *carrier lifetime*,  $\tau$ . Recombination is nature's way of restoring equilibrium ( $n' = p' = 0$ ).

## *Recombination Lifetime*

$\tau$  ranges from 1ns to 1ms in Si and depends on the density of metal impurities (contaminants) such as Au and Pt. These *deep traps* capture electrons or holes to facilitate recombination and are called *recombination centers*.



***Rate of recombination ( $s^{-1}cm^{-3}$ )***

$$\frac{dn'}{dt} = -\frac{n'}{\tau}$$

$$n' = p'$$

$$\frac{dn'}{dt} = -\frac{n'}{\tau} = -\frac{p'}{\tau} = \frac{dp'}{dt}$$

### ***EXAMPLE: Photoconductors***

*A bar of Si is doped with boron at  $10^{15}\text{cm}^{-3}$ . It is exposed to light such that electron-hole pairs are generated throughout the volume of the bar at the rate of  $10^{20}/\text{s}\cdot\text{cm}^3$ . The recombination lifetime is  $10\mu\text{s}$ . What are (a)  $p_0$ , (b)  $n_0$ , (c)  $p'$ , (d)  $n'$ , (e)  $p$ , (f)  $n$ , and (g) the  $np$  product?*

## ***EXAMPLE: Photoconductors***

### ***Solution:***

*(a) What is  $p_0$ ?*

$$p_0 = N_a = 10^{15} \text{ cm}^{-3}$$

*(b) What is  $n_0$ ?*

$$n_0 = n_i^2/p_0 = 10^5 \text{ cm}^{-3}$$

*(c) What is  $p'$ ?*

*In steady-state, the rate of generation is equal to the rate of recombination.*

$$10^{20}/\text{s}\cdot\text{cm}^3 = p'/\tau$$

$$\therefore p' = 10^{20}/\text{s}\cdot\text{cm}^3 \cdot 10^{-5}\text{s} = 10^{15} \text{ cm}^{-3}$$

## ***EXAMPLE: Photoconductors***

*(d) What is  $n'$ ?*

$$n' = p' = 10^{15} \text{ cm}^{-3}$$

*(e) What is  $p$ ?*

$$p = p_0 + p' = 10^{15} \text{ cm}^{-3} + 10^{15} \text{ cm}^{-3} = 2 \times 10^{15} \text{ cm}^{-3}$$

*(f) What is  $n$ ?*

$$n = n_0 + n' = 10^5 \text{ cm}^{-3} + 10^{15} \text{ cm}^{-3} \sim 10^{15} \text{ cm}^{-3} \text{ since } n_0 \ll n'$$

*(g) What is  $np$ ?*

$$np \sim 2 \times 10^{15} \text{ cm}^{-3} \cdot 10^{15} \text{ cm}^{-3} = 2 \times 10^{30} \text{ cm}^{-6} \gg n_i^2 = 10^{20} \text{ cm}^{-6}.$$

*The  $np$  product can be very different from  $n_i^2$ .*

## ***2.7 Thermal Generation***

If  $n'$  is negative, then there are fewer electrons than the equilibrium value.

As a result, there is a net rate of ***thermal generation*** at the rate of  $|n'|/\tau$ .



## 2.8 *Quasi-equilibrium and Quasi-Fermi Levels*

Whenever  $n' = p' \neq 0$ ,  $np \neq n_i^2$ . However, we would like to preserve and use the relations:

$$n = N_c e^{-(E_c - E_f)/kT}$$

$$p = N_v e^{-(E_f - E_v)/kT}$$

But these equations lead to  $np = n_i^2$ . The solution is to introduce two *quasi-Fermi levels*  $E_{fn}$  and  $E_{fp}$  such that

$$n = N_c e^{-(E_c - E_{fn})/kT}$$

$$p = N_v e^{-(E_{fp} - E_v)/kT}$$

**Even when electrons and holes are not at equilibrium, *within each group* the carriers are usually at equilibrium.** Electrons are closely linked to other electrons but only loosely to holes.

## ***EXAMPLE: Quasi-Fermi Levels***

*Consider a Si sample with  $N_d=10^{17}\text{cm}^{-3}$  and  $n'=p'=10^{15}\text{cm}^{-3}$ .*

*(a) Find  $E_f$ .*

$$n = N_d = 10^{17} \text{ cm}^{-3} = N_c \exp[-(E_c - E_f)/kT]$$

$$\therefore E_c - E_f = 0.15 \text{ eV. } (E_f \text{ is below } E_c \text{ by } 0.15 \text{ eV.})$$

*Now assume  $n' = p' = 10^{15} \text{ cm}^{-3}$ .*

*(b) Find  $E_{fn}$  and  $E_{fp}$ .*

*Note:  $n'$  and  $p'$  are much less than the majority carrier concentration. This condition is called **low-level injection**.*

## ***EXAMPLE: Quasi-Fermi Levels***

$$n = 1.01 \times 10^{17} \text{cm}^{-3} = N_c e^{-(E_c - E_{fn})/kT}$$

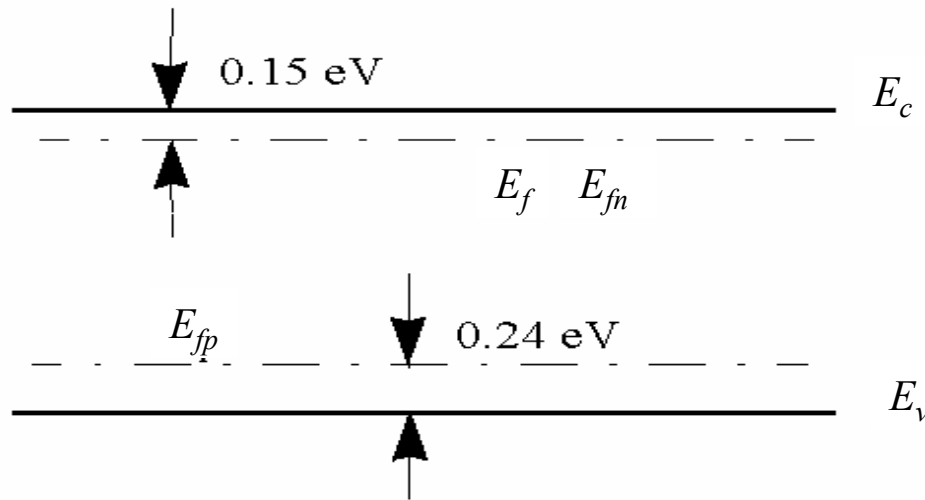
$$\begin{aligned} \therefore E_c - E_{fn} &= kT \times \ln(N_c / 1.01 \times 10^{17} \text{cm}^{-3}) \\ &= 26 \text{ meV} \times \ln(2.8 \times 10^{19} \text{cm}^{-3} / 1.01 \times 10^{17} \text{cm}^{-3}) \\ &= 0.15 \text{ eV} \end{aligned}$$

$E_{fn}$  is nearly identical to  $E_f$  because  $n \approx n_0$ .

## ***EXAMPLE: Quasi-Fermi Levels***

$$p = 10^{15} \text{ cm}^{-3} = N_v e^{-(E_{fp} - E_v)/kT}$$

$$\begin{aligned} \therefore E_{fp} - E_v &= kT \times \ln(N_v / 10^{15} \text{ cm}^{-3}) \\ &= 26 \text{ meV} \times \ln(1.04 \times 10^{19} \text{ cm}^{-3} / 10^{15} \text{ cm}^{-3}) \\ &= 0.24 \text{ eV} \end{aligned}$$



## 2.9 Chapter Summary

$$v_p = \mu_p \mathcal{E}$$

$$v_n = \mu_n \mathcal{E}$$

$$J_{p,drift} = qp\mu_p \mathcal{E}$$

$$J_{n,drift} = qn\mu_n \mathcal{E}$$

$$J_{n,diffusion} = qD_n \frac{dn}{dx}$$

$$J_{p,diffusion} = -qD_p \frac{dp}{dx}$$

$$D_n = \frac{kT}{q} \mu_n$$

$$D_p = \frac{kT}{q} \mu_p$$

## 2.9 Chapter Summary

$\tau$  is the recombination lifetime.

$n'$  and  $p'$  are the *excess carrier concentrations*.

$$\begin{aligned} n &= n_0 + n' \\ p &= p_0 + p' \end{aligned}$$

Charge neutrality requires  $n' = p'$ .

$$\text{rate of recombination} = n'/\tau = p'/\tau$$

$E_{fn}$  and  $E_{fp}$  are the quasi-Fermi levels of electrons and holes.

$$\begin{aligned} n &= N_c e^{-(E_c - E_{fn})/kT} \\ p &= N_v e^{-(E_{fp} - E_v)/kT} \end{aligned}$$