

$$\begin{aligned} \hbar &:= 1.05459 \cdot 10^{-34} \text{ J}\cdot\text{s} & m_0 &:= 9.11 \cdot 10^{-31} \text{ kg} & \epsilon_0 &:= 8.854 \cdot 10^{-12} \text{ F}\cdot\text{m}^{-1} \\ q &:= 1.6 \cdot 10^{-19} \text{ C} & \text{eV} &:= 1.6 \cdot 10^{-19} \text{ J} & \text{meV} &:= 0.001 \text{ eV} \\ k_B &:= 1.38 \cdot 10^{-23} \cdot \text{J}\cdot\text{K}^{-1} & \underline{\underline{T}} &:= 300 \text{ K} & k_B \cdot T &= 0.026 \cdot \text{eV} & \epsilon_r &:= 9 \end{aligned}$$

$$1. \quad \alpha_0 := 10^5 \text{ cm}^{-1} \quad v_e := 10^7 \text{ cm}\cdot\text{s}^{-1} \quad v_h := 10^6 \text{ cm}\cdot\text{s}^{-1}$$

$$d := 2 \mu\text{m} \quad \text{Area} := 100 \mu\text{m}^2$$

$$\alpha(\text{h}\nu) := \alpha_0 \cdot \left(\frac{\text{h}\nu}{\text{eV}} - 0.8 \right)^2$$

$$\lambda_1 := 1 \mu\text{m} \quad \text{h}\nu_1 := \frac{1.24 \mu\text{m}\cdot\text{eV}}{\lambda_1} \quad \text{h}\nu_1 = 1.24 \cdot \text{eV}$$

$$\lambda_2 := 1.5 \mu\text{m} \quad \text{h}\nu_2 := \frac{1.24 \mu\text{m}\cdot\text{eV}}{\lambda_2} \quad \text{h}\nu_2 = 0.827 \cdot \text{eV}$$

$$\alpha_1 := \alpha(\text{h}\nu_1) = 1.936 \times 10^4 \cdot \text{cm}^{-1}$$

$$\alpha_2 := \alpha(\text{h}\nu_2) = 71.111 \cdot \text{cm}^{-1}$$

$$(a) \quad \underline{\underline{\eta_1 := 1 - \exp(-\alpha_1 \cdot d) = 97.918\%}}$$

$$\underline{\underline{\eta_2 := 1 - \exp(-\alpha_2 \cdot d) = 1.412\%}}$$

$$(b) \quad \underline{\underline{C := \epsilon_r \cdot \epsilon_0 \cdot \frac{\text{Area}}{d} = 3.984 \times 10^{-15} \text{ F}}}$$

$$\underline{\underline{R := 50 \Omega}}$$

$$\tau_{RC} := R \cdot C = 1.992 \times 10^{-13} \text{ s}$$

$$\tau_t := \frac{d}{v_h} = 2 \times 10^{-10} \text{ s}$$

$$\tau := \tau_{RC} + \tau_t = 2.002 \times 10^{-10} \text{ s}$$

$$f_{3\text{dB}_t} := \frac{1}{2 \cdot \pi \cdot \tau} \quad \underline{\underline{f_{3\text{dB}_t} = 0.795 \cdot \text{GHz}}}$$

$$(c) \quad I_{\text{ph}} := \frac{4 \cdot k_B \cdot T}{R} \quad I_{\text{ph}} = 1.035 \cdot \text{mA}$$

$$P_1 := I_{\text{ph}} \cdot \frac{\text{h}\nu_1}{q} \cdot \frac{1}{\eta_1} \quad \underline{\underline{P_1 = 1.311 \cdot \text{mW}}}$$

$$P_2 := I_{\text{ph}} \cdot \frac{\text{h}\nu_2}{q} \cdot \frac{1}{\eta_2} \quad \underline{\underline{P_2 = 60.588 \cdot \text{mW}}}$$

2. (a) $\lambda := 1.24\mu\text{m}$ $h\nu := \frac{1.24 \cdot \mu\text{m} \cdot \text{eV}}{\lambda}$ $h\nu = 1 \cdot \text{eV}$ $\alpha := 10^4 \text{cm}^{-1}$ $d := 2\mu\text{m}$
 $\Delta\nu := 1\text{GHz}$ $P_{\text{in}} := 100\mu\text{W}$ $\eta := 1 - \exp(-\alpha \cdot d) = 0.865$
 $M := 100$ $k := 0.1$
 $F_n := k \cdot M + (1 - k) \cdot \left(2 - \frac{1}{M}\right) = 11.791$

First, find the photocurrent with equal SNR:

$$SNR_{APD} = SNR_{pin}$$

$$\frac{\frac{1}{2} I_p^2 \langle M \rangle^2}{2eI_p F \langle M \rangle^2 dv + \frac{4k_B T \Delta\nu}{R}} = \frac{\frac{1}{2} I_p^2}{2eI_p dv + \frac{4k_B T \Delta\nu}{R}}$$

$$2eI_p F \langle M \rangle^2 dv + \frac{4k_B T \Delta\nu}{R} = \langle M \rangle^2 \left(2eI_p dv + \frac{4k_B T \Delta\nu}{R} \right)$$

$$2eI_p \langle M \rangle^2 (F - 1) = (\langle M \rangle^2 - 1) \frac{4k_B T}{R}$$

$$I_p = \frac{(\langle M \rangle^2 - 1) \frac{4k_B T}{R}}{2e \langle M \rangle^2 (F - 1)}$$

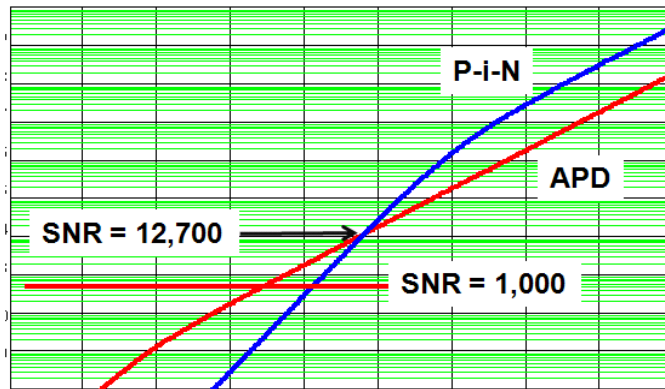
$$I_p := \frac{(M^2 - 1) \cdot 4 \cdot k_B \cdot T}{R \cdot 2 \cdot q \cdot M^2 \cdot (F_n - 1)} \quad I_p = 95.904 \cdot \mu\text{A}$$

$$P_{\text{in}} := \frac{I_p \cdot h\nu}{\eta \cdot q} = 110.914 \cdot \mu\text{W}$$

(b) SNR at $I_p = 115\mu\text{W}$:

$$SNR := \frac{\frac{1}{2} \cdot I_p^2}{2 \cdot q \cdot I_p \cdot \Delta\nu + \frac{4 \cdot k_B \cdot T}{R} \cdot \Delta\nu} = 1.271 \times 10^4$$

Since $SNR = 1000 < SNR$ (p-i-n = APD), APD will have better performance, i.e., it requires less photocurrent to achieve SNR of 1000, as illustrated below



(c) $\alpha_e := 10^4 \text{ cm}^{-1}$

$W := 1 \mu\text{m}$ (initial guess value)

Given

$$M = \frac{1 - k}{\exp[-(1 - k) \cdot \alpha_e \cdot W] - k}$$

$W_m := \text{Find}(W)$ $W_m = 2.463 \cdot \mu\text{m}$

(d) $\tau_m := \frac{d}{v_h} + \frac{M \cdot k \cdot W_m}{v_e} = 4.463 \times 10^{-10} \text{ s}$

$$f_{3\text{dB}} := \frac{1}{2\pi \cdot \tau} = 356.636 \cdot \text{MHz}$$

3 (a) $h\nu := 1 \text{ eV}$

$m_e := 0.1m_0$ $m_h := 0.5m_0$ $L_z := 10 \text{ nm}$

$$g_{\text{max}} := 10^{10} \cdot \frac{1 \text{ eV}}{h\nu} \cdot (6 \cdot 10^{-49}) \cdot \left(2.6 \cdot 10^{46} \cdot \frac{m_e \cdot m_h}{m_e + m_h} \cdot \frac{1}{m_0} \cdot \frac{1 \text{ nm}}{L_z} \right) \text{ m}^{-1} = 1.3 \times 10^4 \cdot \text{cm}^{-1}$$

(b) $\Gamma := 1\%$

$\alpha_{\text{max}} := g_{\text{max}} \cdot \Gamma = 130 \cdot \text{cm}^{-1}$

$\alpha_i := 10 \text{ cm}^{-1}$

$\alpha_m := \alpha_{\text{max}} - \alpha_i = 120 \cdot \text{cm}^{-1}$

$R_0 := 30\%$

$$L_{\text{min}} := \frac{1}{2\alpha_m} \ln \left(\frac{1}{R_0^2} \right) = 100.331 \cdot \mu\text{m}$$

(c) the entire $n = 1$ subband should be completely filled, the threshold electron concentration must be larger than

$$N1 := \frac{m_e}{\pi \cdot \hbar_{\text{bar}}^2 \cdot LZ} \cdot 3 \cdot \frac{\hbar_{\text{bar}}^2}{2 \cdot m_e} \cdot \left(\frac{\pi}{LZ} \right)^2 = 4.712 \times 10^{18} \cdot \text{cm}^{-3}$$

4 (a)

$$n_{\text{core}} = 5$$

$$n_{\text{clad}} = 4$$

$$V = \frac{2\pi}{\lambda} d \sqrt{n_{\text{core}}^2 - n_{\text{clad}}^2} = \frac{6\pi}{\lambda} d$$

$$\Gamma = \frac{V^2}{2 + V^2} = \frac{\left(\frac{6\pi}{\lambda} d \right)^2}{2 + \left(\frac{6\pi}{\lambda} d \right)^2}$$

(b)

$$I_{\text{th}} = \frac{qN_{\text{th}}}{\tau} V_{\text{active}} = \frac{qN_{\text{th}}}{\tau} wLd$$

$$N_{\text{th}} = N_{\text{tr}} + \frac{\alpha_i + \alpha_m}{a\Gamma} = N_{\text{tr}} + \frac{\alpha}{a\Gamma} = N_{\text{tr}} + \frac{\alpha}{a} \frac{2 + \left(\frac{6\pi}{\lambda} d \right)^2}{\left(\frac{6\pi}{\lambda} d \right)^2}$$

$$N_{\text{th}} = \left(N_{\text{tr}} + \frac{\alpha}{a} \right) + \frac{2\alpha}{a} \frac{1}{\left(\frac{6\pi}{\lambda} d \right)^2}$$

$$I_{\text{th}} = \frac{q}{\tau} wL \cdot d \left(\left(N_{\text{tr}} + \frac{\alpha}{a} \right) + \frac{2\alpha}{a} \frac{1}{\left(\frac{6\pi}{\lambda} d \right)^2} \right) = \frac{q}{\tau} wL \left(\left(N_{\text{tr}} + \frac{\alpha}{a} \right) d + \frac{2\alpha}{a} \frac{\lambda^2}{(6\pi)^2 d} \right)$$

(c) The first term in the expression of I_{th} is proportional to d , while the second term is inversely proportional to $1/d$. So there is an optimum d .

(d) and (e)

$$I_{th} \geq \frac{qwL}{\tau} 2 \sqrt{\left(N_{rr} + \frac{\alpha}{a}\right) \left(\frac{2\alpha}{a} \frac{\lambda^2}{(6\pi)^2}\right)}$$

Minimum threshold is reached when the two terms equal to each other:

$$d = \sqrt{\frac{\frac{2\alpha}{a} \frac{\lambda^2}{(6\pi)^2}}{\left(N_{rr} + \frac{\alpha}{a}\right)}}$$

5. (a) QCL is TM polarized, QWL is also TM polarized (when the lowest transition energy is LH)

(b) Peak QCL gain:

$$g_{QCL, \max} = \frac{\pi\omega}{n_r c \epsilon_0} \frac{1}{\pi \left(\frac{\hbar}{\tau_{in}}\right)} \left(\frac{16}{9\pi^2} eL_z\right)^2 \frac{m_e^*}{\pi \hbar^2 L_z} E_{e1}^{e2}$$

Peak QWL gain:

$$g_{QWL, \max} = \left(\frac{\pi e^2}{n_r c \epsilon_0 m_0^2 \omega}\right) \left(2 \cdot \frac{m_0}{6} E_p\right) \left(\frac{m_r^*}{\pi \hbar^2 L_z}\right)$$

(Note the factor of 2 in front of matrix element represents the polarization dependence of tensile QW)

$$\frac{g_{QCL, \max}}{g_{QWL, \max}} = \frac{\frac{\pi\omega}{n_r c \epsilon_0} \frac{1}{\pi \left(\frac{\hbar}{\tau_{in}}\right)} \left(\frac{16}{9\pi^2} eL_z\right)^2 \frac{m_e^*}{\pi \hbar^2 L_z} E_{e1}^{e2}}{\left(\frac{\pi e^2}{n_r c \epsilon_0 m_0^2 \omega}\right) \left(2 \cdot \frac{m_0}{6} E_p\right) \left(\frac{m_r^*}{\pi \hbar^2 L_z}\right)}$$

$$\frac{g_{QCL, \max}}{g_{QWL, \max}} = \frac{128}{\pi^3} \frac{m_0}{m_r^*} \frac{\hbar\omega}{E_p} \omega \tau_{in}$$

(c) $m_e := 0.1m_0$ $m_{lh} := 0.1m_0$ $m_{lht} := 0.5m_0$ $\tau_{in} := 0.1ps$

$$m_r := \frac{m_e \cdot m_{lht}}{m_e + m_{lht}} \quad \frac{m_0}{m_r} = 12$$

$$\hbar\omega := 1eV \quad E_p := 6eV$$

$$g_{ratio} := \frac{128}{\pi^3} \cdot \frac{m_0}{m_r} \cdot \frac{\hbar\omega}{E_p} \cdot \frac{\hbar\omega}{\hbar} \cdot \tau_{in} = 1.253 \times 10^3$$

(d) Note that the effective masses are the same for electron and longitudinal light hole.

$$E_{e1} = \frac{\hbar^2 \pi^2}{2m_e^2 L_z^2}$$

$$E_{e1}^{e2} = 3E_{e1} = 1 \text{ eV}$$

$$E_{lh1}^{e1} = E_{e1} + E_{lh1} + E_g = 2E_{e1} + E_g$$

$$E_{e1}^{e2} = E_{lh1}^{e1} \Rightarrow E_g = E_{e1} = \frac{1}{3} E_{e1}^{e2} = \frac{1}{3} \text{ eV}$$

(e) For LH-electron transition:

$$\alpha_{TE} \propto \left(\frac{5}{4} - \frac{3}{4} \cos^2 \theta \right) M_b^2$$

$$\alpha_{TM} \propto \left(\frac{1}{2} + \frac{3}{2} \cos^2 \theta \right) M_b^2$$

$$R = \frac{\alpha_{TE}}{\alpha_{TM}} = \frac{\left(\frac{5}{4} - \frac{3}{4} \cos^2 \theta \right)}{\left(\frac{1}{2} + \frac{3}{2} \cos^2 \theta \right)}$$

At bandedge, $\theta = 0$

$$R := \frac{2}{4} = 0.25$$

(f) At photon energy of 0.1eV above bandedge:

$$\text{Angular factor: } \cos^2 \theta = \frac{k_z^2}{k^2} = \frac{k_z^2}{k_t^2 + k_z^2}$$

$$\text{In quantum wells, } k_z \text{ is quantized: } E_{en} = \frac{\hbar^2 k_z^2}{2m_e^*}$$

$$\cos^2 \theta = \frac{\frac{\hbar^2 k_z^2}{2m_e^*}}{\frac{\hbar^2 k_t^2}{2m_e^*} + \frac{\hbar^2 k_z^2}{2m_e^*}}$$

$$\frac{\hbar^2 k_t^2}{2m_r^*} = \hbar\omega - E_{h1}^{e1} = 0.1 \text{ eV}$$

$$\frac{\hbar^2 k_t^2}{2m_e^*} = \frac{\hbar^2 k_t^2}{2m_r^*} \frac{m_r^*}{m_e^*}$$

$$E_{e1} := \frac{1}{3} \text{ eV}$$

$$\theta := \arccos \left(\sqrt{\frac{E_{e1}}{0.1 \text{ eV} \cdot \frac{m_r}{m_e} + E_{e1}}} \right) \quad \theta = 0.464 \quad \cos(\theta)^2 = 0.8$$

$$\underline{\underline{R}} := \frac{5 - 3 \cdot \cos(\theta)^2}{2 + 6 \cdot \cos(\theta)^2} \quad \boxed{R = 0.382}$$

***** Note: there are too many condisitons given in the problem. Lz should not be specified. If you use the Lz given, you will get some different answers (which you still receive credits) *****