

---


**EE C247B - ME C218**  
**Introduction to MEMS Design**  
**Spring 2019**

**Prof. Clark T.-C. Nguyen**

Dept. of Electrical Engineering & Computer Sciences  
University of California at Berkeley  
Berkeley, CA 94720

**Lecture Module 7: Mechanics of Materials**

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    1




---

**Outline**

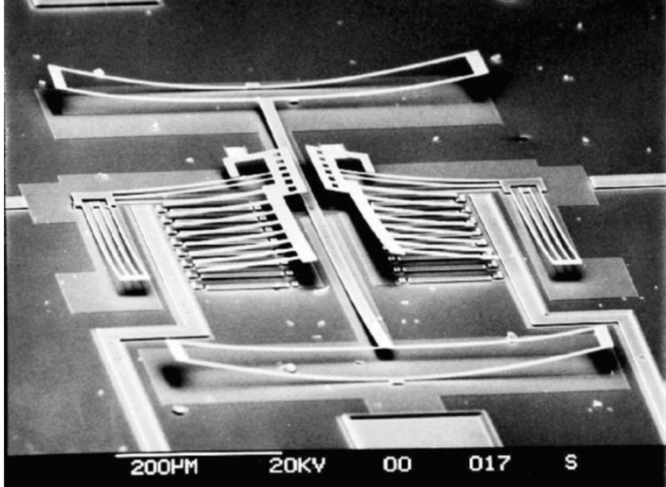
- Reading: Senturia, Chpt. 8
- Lecture Topics:
  - ↗ Stress, strain, etc., for isotropic materials
  - ↗ Thin films: thermal stress, residual stress, and stress gradients
  - ↗ Internal dissipation
  - ↗ MEMS material properties and performance metrics

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    2

 UC Berkeley


## Vertical Stress Gradients

- Variation of residual stress in the direction of film growth
- Can warp released structures in z-direction



200µm 20KV 00 017 S

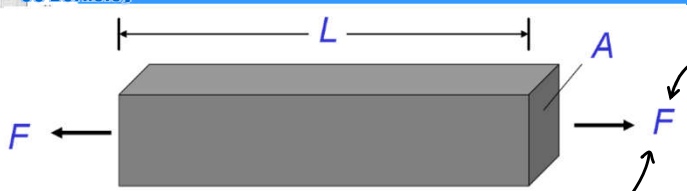
EE C245: Introduction to MEMS Design LecM 7 C. Nguyen 9/28/07 3

 UC Berkeley

## Elasticity

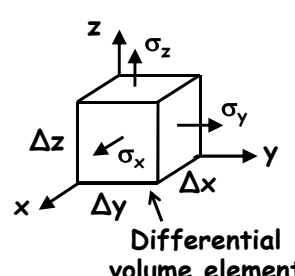
EE C245: Introduction to MEMS Design LecM 7 C. Nguyen 9/28/07 4

**Normal Stress (1D)**



UC Berkeley

Stress =  $\left\{ \begin{array}{l} \text{Force per} \\ \text{unit area} \end{array} \right\} = \sigma = \frac{F}{A} \quad [N/m^2 = Pa]$   
 Force assumed uniform over the whole area A  
 standard mks unit

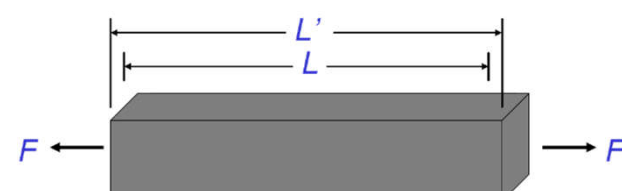


Differential volume element

⇒ Microscopic Definition: force per unit area acting on the surface of a differential volume element of a solid body  
 ⇒ Note: assume stress acts uniformly across the entire surface of the element, not at just a point

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    5

**Strain (1D)**

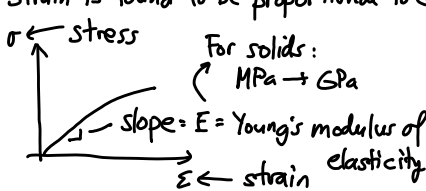


UC Berkeley

Strain =  $\left\{ \begin{array}{l} \text{Fractional Change} \\ \text{in length} \end{array} \right\} = \epsilon = \frac{L' - L}{L} = \frac{\Delta L}{L}$  [unitless]

Sometimes a unit called the "microstrain" is used, where  $1 \mu\epsilon = \frac{\Delta L}{L}$  of 1 part in  $10^6$

In the elastic regime (i.e., for "small" stresses at "low" temperatures), strain is found to be proportional to stress



σ ← stress    For solids: MPa → GPa  
 slope = E = Young's modulus of elasticity  
 ε ← strain

$\sigma = \epsilon E \rightarrow \epsilon = \frac{\sigma}{E}$  [unitless]

Thus, the units of E are the same as  $\sigma \rightarrow Pa$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    6

**The Poisson Ratio**

UC Berkeley

Apply normal stress to a free-standing object } uniaxial strain  
 but also get contraction in directions transverse to the uniaxial strain

⇒ contraction creates a (-) strain:

$$\epsilon_y = \frac{W' - W}{W} = \frac{\Delta W}{W} = -\nu \epsilon_x$$

↳  $\nu$  = Poisson ratio [unitless]  
 ↳ typical values: 0 → 0.5  
 ↳ inorganic solids: 0.2 → 0.3  
 ↳ elastomers (e.g., rubber): ~ 0.5

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    7

**Shear Stress & Strain (1D)**

UC Berkeley

Note: Assume compensating forces are applied to the vertical faces to avoid a net torque. (This by convention.)

Shear Stress =  $\left\{ \begin{array}{l} \text{Force per Unit Area} \\ \text{Parallel to the Surfaces} \end{array} \right\} = \tau = \frac{F}{A} \quad [\text{Pa}]$

↳ Generates a shear strain:

$$\text{Shear Strain} = \theta = \frac{\tau}{G} \leftarrow G \triangleq \text{shear modulus}$$

$$G = \frac{E}{2(1+\nu)}$$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    8

**2D and 3D Considerations**

UC Berkeley

- **Important assumption:** the differential volume element is in static equilibrium  $\rightarrow$  no net forces or torques (i.e., rotational movements)
  - $\hookrightarrow$  Every  $\sigma$  must have an equal  $\sigma$  in the opposite direction on the other side of the element
  - $\hookrightarrow$  For no net torque, the shear forces on different faces must also be matched as follows:

Stresses acting on a differential volume element

$$\tau_{xy} = \tau_{yx} \quad \tau_{xz} = \tau_{zx} \quad \tau_{yz} = \tau_{zy}$$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    9

**2D Strain**

UC Berkeley

- In general, motion consists of
  - $\hookrightarrow$  rigid-body displacement (motion of the center of mass)
  - $\hookrightarrow$  rigid-body rotation (rotation about the center of mass)
  - $\hookrightarrow$  Deformation relative to displacement and rotation

- Must work with displacement vectors
- Differential definition of axial strain:  $\longrightarrow \epsilon_x = \frac{u_x(x + \Delta x) - u_x(x)}{\Delta x} = \frac{\partial u_x}{\partial x}$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    10

**2D Shear Strain**

UC Berkeley

⇒ For shear strains, must remove any rigid body rotation that accompanies the deformation  
 ↳ use a symmetric definition of shear strain:

$$\tau_{xy} = \theta_2 + \theta_1 \approx \left( \frac{\Delta u_x}{\Delta y} + \frac{\Delta u_y}{\Delta x} \right) = \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right)$$

↑  
For small amplitude deformations.

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    11

**Volume Change for a Uniaxial Stress**

UC Berkeley

Stresses acting on a differential volume element

Given an x-directed uniaxial stress,  $\sigma_x$ :

$$\begin{aligned} \Delta x &\rightarrow \Delta x (1 + \epsilon_x) \\ \Delta y &\rightarrow \Delta y (1 - \nu \epsilon_x) \\ \Delta z &\rightarrow \Delta z (1 - \nu \epsilon_x) \end{aligned}$$

↓ The resulting change in volume  $\Delta V$

$$\begin{aligned} \Delta V &= \Delta x \Delta y \Delta z (1 + \epsilon_x)(1 - \nu \epsilon_x)^2 - \Delta x \Delta y \Delta z \\ &= \Delta x \Delta y \Delta z [(1 + \epsilon_x)(1 - \nu \epsilon_x)^2 - 1] \end{aligned}$$

{Assume small strains}  $\Rightarrow \Delta V = \Delta x \Delta y \Delta z [(1 + \epsilon_x)(1 - 2\nu \epsilon_x) - 1]$

$(1 + m x)^n \approx 1 + n m x \Rightarrow \approx \Delta x \Delta y \Delta z [1 + \epsilon_x - 2\nu \epsilon_x - 2\nu \epsilon_x^2 - 1]$

$\Delta V \approx \Delta x \Delta y \Delta z (1 - 2\nu) \epsilon_x$

For  $\nu = 0.5$  (rubber)  $\rightarrow$  no  $\Delta V$ !  
 $\nu < 0.5 \rightarrow$  finite  $\Delta V$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    12

**Isotropic Elasticity in 3D**

UC Berkeley

- Isotropic = same in all directions
- The complete stress-strain relations for an isotropic elastic solid in 3D: (i.e., a generalized Hooke's Law)

$$\varepsilon_x = \frac{1}{E} [\sigma_x - \nu(\sigma_y + \sigma_z)] \quad \gamma_{xy} = \frac{1}{G} \tau_{xy}$$

$$\varepsilon_y = \frac{1}{E} [\sigma_y - \nu(\sigma_z + \sigma_x)] \quad \gamma_{yz} = \frac{1}{G} \tau_{yz}$$

$$\varepsilon_z = \frac{1}{E} [\sigma_z - \nu(\sigma_x + \sigma_y)] \quad \gamma_{zx} = \frac{1}{G} \tau_{zx}$$

Basically, add in off-axis strains from normal stresses in other directions

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    13

**Important Case: Plane Stress**

UC Berkeley

- Common case: very thin film coating a thin, relatively rigid substrate (e.g., a silicon wafer)

- At regions more than 3 thicknesses from edges, the top surface is stress-free  $\rightarrow \sigma_z = 0$
- Get two components of in-plane stress:

$$\varepsilon_x = (1/E)[\sigma_x - \nu(\sigma_y + 0)]$$

$$\varepsilon_y = (1/E)[\sigma_y - \nu(\sigma_x + 0)]$$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    14

**UC Berkeley**

### Important Case: Plane Stress (cont.)

- Symmetry in the xy-plane  $\rightarrow \sigma_x = \sigma_y = \sigma$
- Thus, the in-plane strain components are:  $\epsilon_x = \epsilon_y = \epsilon$   
 where

$$\epsilon_x = (1/E)[\sigma - \nu\sigma] = \frac{\sigma}{[E/(1-\nu)]} = \frac{\sigma}{E'}$$

and where

$$\text{Biaxial Modulus} \triangleq E' = \frac{E}{1-\nu}$$

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    15

**UC Berkeley**

### Edge Region of a Tensile ( $\sigma > 0$ ) Film

Net non-zero in-plane force (that we just analyzed)  $F \neq 0$

At free edge, in-plane force must be zero  $F = 0$

Film must be bent back, here

There's no Poisson contraction, so the film is slightly thicker, here

Shear stresses


Extra peel force

Discontinuity of stress at the attached corner  $\rightarrow$  stress concentration

Peel forces that can peel the film off the surface

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    16





## Linear Thermal Expansion

---


- As temperature increases, most solids expand in volume
- Definition: linear thermal expansion coefficient

$$\left. \begin{array}{l} \text{Linear thermal} \\ \text{expansion coefficient} \end{array} \right\} \triangleq \alpha_T = \frac{d\varepsilon_x}{dT} \quad [\text{Kelvin}^{-1}]$$

**Remarks:**

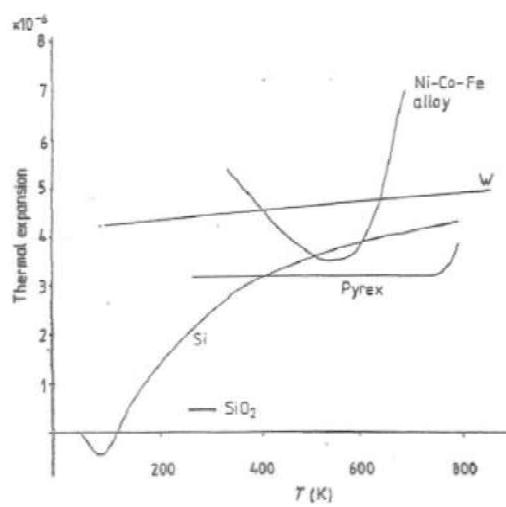
- $\alpha_T$  values tend to be in the  $10^{-6}$  to  $10^{-7}$  range
- Can capture the  $10^{-6}$  by using dimensions of  $\mu\text{strain/K}$ , where  $10^{-6} \text{ K}^{-1} = 1 \mu\text{strain/K}$
- In 3D, get volume thermal expansion coefficient  $\longrightarrow \frac{\Delta V}{V} = 3\alpha_T \Delta T$
- For moderate temperature excursions,  $\alpha_T$  can be treated as a constant of the material, but in actuality, it is a function of temperature

EE C245: Introduction to MEMS Design
LecM 7
C. Nguyen
9/28/07
17



## $\alpha_T$ As a Function of Temperature

---



[Madou, Fundamentals of Microfabrication, CRC Press, 1998]

- Cubic symmetry implies that  $\alpha$  is independent of direction

EE C245: Introduction to MEMS Design
LecM 7
C. Nguyen
9/28/07
18

**Thin-Film Thermal Stress**

- Assume film is deposited stress-free at a temperature  $T_d$ , then the whole thing is cooled to room temperature  $T_r$
- Substrate much thicker than thin film  $\rightarrow$  substrate dictates the amount of contraction for both it and the thin film

Thermal strain of the substrate: (in one in-plane dimension)  
 $\epsilon_s = -\alpha_{Ts} \Delta T$ , where  $\Delta T = T_d - T_r$

If the film were not attached to the substrate:  $\epsilon_{f,free} = -\alpha_{Tf} \Delta T$   $\curvearrowright$  over

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    19

**Linear Thermal Expansion**

But the film is attached to the substrate, so the actual strain in the film is the same as that in the substrate.

$$\epsilon_{f,attached} = -\alpha_{Ts} \Delta T$$

Thus:

$$\text{Thermal Mismatch Strain} = \epsilon_{f,mismatch} = (\alpha_{Tf} - \alpha_{Ts}) \Delta T$$

$\hookrightarrow$  Note that this is biaxial strain  
 $\hookrightarrow$  it can only be developed by an in-plane biaxial stress:

$$\sigma_{f,mismatch} = \left( \frac{E}{1-\nu} \right) \epsilon_{f,mismatch}$$

Ex. Thin-film is polyimide  $\rightarrow \alpha_{Tf} = 70 \times 10^{-6} \text{ K}^{-1}$ ,  $E = 4.6 \text{ GPa}$   
 deposited @  $250^\circ\text{C}$ , then cooled to RT =  $25^\circ\text{C} \rightarrow \Delta T = 225 \text{ K}$     e.g.,  $\text{SiO}_2$

$$\epsilon_{f,mismatch} = (70 - 2.8) \mu (225) = 1.5 \times 10^{-2}$$

$$\sigma_{f,mismatch} = (4.6) (1.5 \times 10^{-2}) = \underline{\underline{60.5 \text{ MPa}}}$$

$\leftarrow$  stress is (+),  $\therefore$  tensile  
 [-] would be compressive


EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    20




---

## MEMS Material Properties

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    21



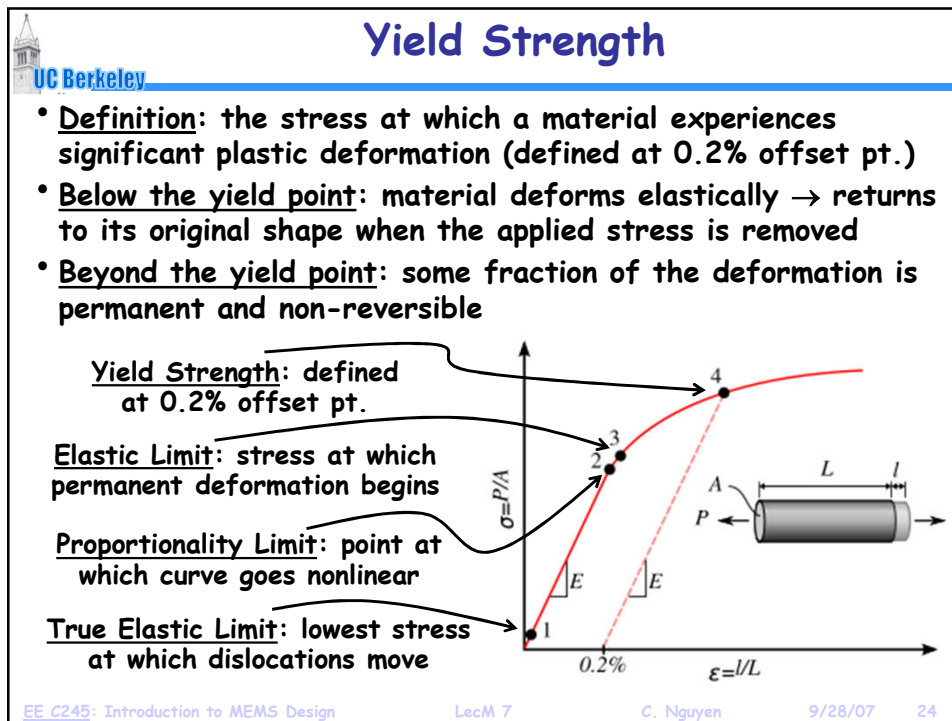
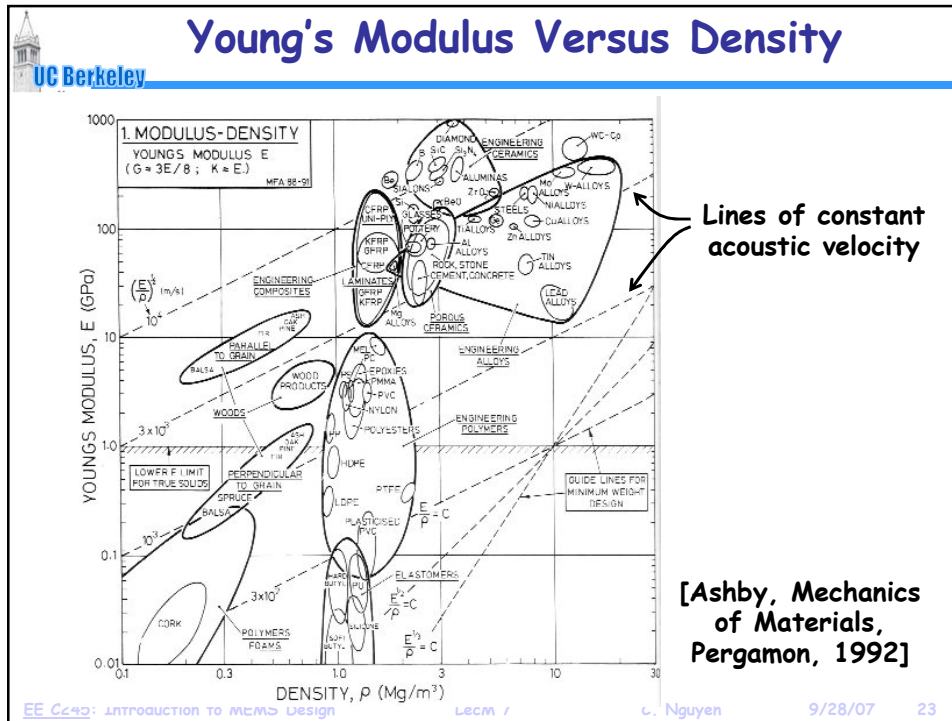
## Material Properties for MEMS

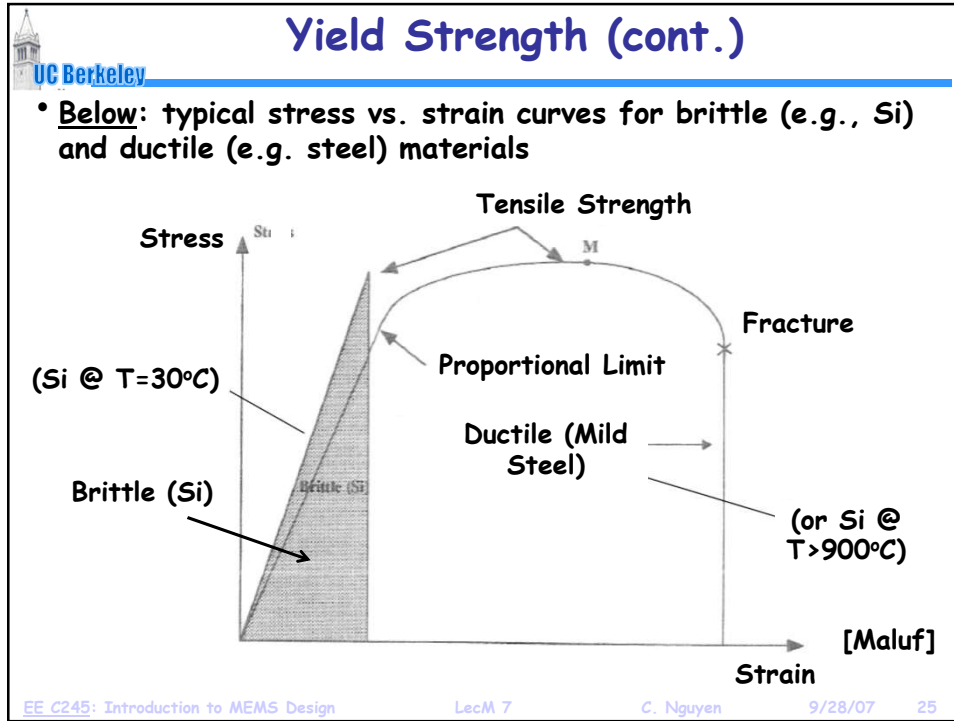
Material	Density, $\rho$ , Kg/m <sup>3</sup>	Modulus, E, GPa	$E/\rho$ GN/kg-m
Silicon	2330	165	72
Silicon Oxide	2200	73	36
Silicon Nitride	3300	304	92
Nickel	8900	207	23
Aluminum	2710	69	25
Aluminum Oxide	3970	393	99
Silicon Carbide	3300	430	130
Diamond	3510	1035	295

Units: (m/s)<sup>2</sup>  
 ↓  
 $\sqrt{E/\rho}$  is acoustic velocity

**[Mark Spearing, MIT]**

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    22





### Young's Modulus and Useful Strength

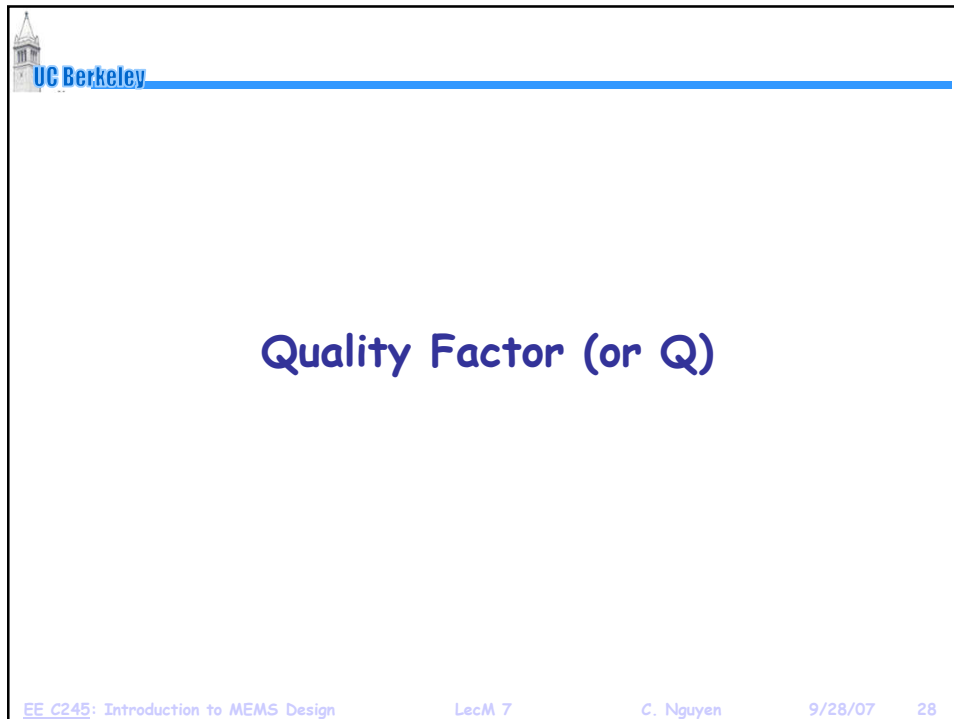
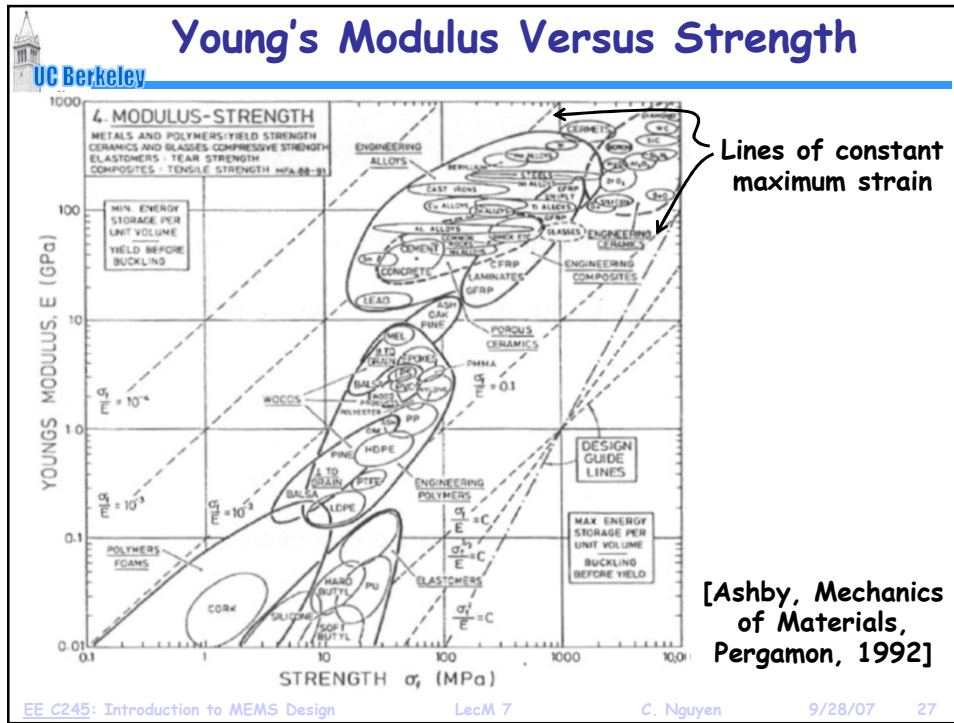
UC Berkeley

Stored mechanical energy

Material	Modulus, E, GPa	Useful Strength*, $\sigma_f$ MPa	$\frac{\sigma_f}{E}$ (-) x 10 <sup>-3</sup>	$\frac{\sigma_f^2}{E}$ MJ/m <sup>3</sup>
Silicon	165	4000	24	97
Silicon Oxide	73	1000	13	14
Silicon Nitride	304	1000	3	4
Nickel	207	500	2	1.2
Aluminum	69	300	4	1.3
Aluminum Oxide	393	2000	5	10
Silicon Carbide	430	2000	4	9.3
Diamond	1035	1000	1	0.9

From Mark Spearing, MIT, *Future of MEMS Workshop*, Cambridge, England, May 2003

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      26



### Clamped-Clamped Beam $\mu$ Resonator

**Resonator Beam**  
 $W_r$ ,  $L_r$ ,  $h$

**Electrode**  
 $v_i$

**Frequency:**  
 Stiffness  $k_r$ , Young's Modulus  $E$ , Density  $\rho$ , Mass  $m_r$

$$f_0 = \frac{1}{2\pi} \sqrt{\frac{k_r}{m_r}} = 1.03 \sqrt{\frac{E h}{\rho L_r^2}}$$

**Mass**  $\Rightarrow$  (e.g.,  $m_r = 10^{-13}$  kg)  $\Rightarrow$  Smaller mass  $\Rightarrow$  higher freq. range and lower series  $R_x$

**Q**  $\sim 10,000$

**Note:** If  $V_P = 0V \Rightarrow$  device off

**Circuit:**  $V_P$ ,  $C(t)$ ,  $i_o = V_P \frac{dC}{dt}$

EE C245: Introduction to MEMS Design 29

### Quality Factor (or Q)

- Measure of the frequency selectivity of a tuned circuit
- **Definition:**

$$Q = \frac{\text{Total Energy Per Cycle}}{\text{Energy Lost Per Cycle}} = \frac{f_0}{BW_{3dB}}$$
- **Example: series LCR circuit**

$$Q = \frac{\text{Im}(Z)}{\text{Re}(Z)} = \frac{\omega_0 L}{R} = \frac{1}{\omega_0 CR}$$
- **Example: parallel LCR circuit**

$$Q = \frac{\text{Im}(Y)}{\text{Re}(Y)} = \frac{\omega_0 C}{G} = \frac{1}{\omega_0 LG}$$

EE C245: Introduction to MEMS Design LecM 7 C. Nguyen 9/28/07 30

**Selective Low-Loss Filters: Need Q**

UC Berkeley

Resonator Tank

Coupler

Resonator Tank

Coupler

Resonator Tank

**General BPF Implementation**

**Typical LC implementation:**

- In resonator-based filters: high tank Q  $\Leftrightarrow$  low insertion loss
- At right: a 0.1% bandwidth, 3-res filter @ 1 GHz (simulated)
- ↳ heavy insertion loss for resonator Q < 10,000

**Tank Q**

30,000  
20,000  
10,000  
5,000  
4,000

Increasing Insertion Loss

Transmission [dB]

Frequency [MHz]

EE C245: Introduction to MEMS Design      LecM 7

**Oscillator: Need for High Q**

UC Berkeley

- **Main Function:** provide a stable output frequency
- **Difficulty:** superposed noise degrades frequency stability

Sustaining Amplifier

Frequency-Selective Tank

$v_o$

Ideal Sinusoid:  $v_o(t) = V_o \sin(2\pi f_o t)$

$\omega_o = 2\pi/T_o$

$|i_o/v_i|$

$\omega_o$

**Higher Q**

Real Sinusoid:  $v_o(t) = (V_o + \epsilon(t)) \sin(2\pi f_o t + \theta(t))$

**Tighter Spectrum**

$\omega_o$

Zero-Crossing Point

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      32



### Attaining High Q

UC Berkeley

- Problem:** IC's cannot achieve Q's in the thousands
  - transistors  $\Rightarrow$  consume too much power to get Q
  - on-chip spiral inductors  $\Rightarrow$  Q's no higher than  $\sim 10$
  - off-chip inductors  $\Rightarrow$  Q's in the range of 100's
- Observation:** vibrating mechanical resonances  $\Rightarrow$   $Q > 1,000$
- Example:** quartz crystal resonators (e.g., in wristwatches)
  - extremely high Q's  $\sim 10,000$  or higher ( $Q \sim 10^6$  possible)
  - mechanically vibrates at a distinct frequency in a thickness-shear mode

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      33

### Energy Dissipation and Resonator Q

UC Berkeley

Material Defect Losses

Gas Damping

$$\frac{1}{Q} = \frac{1}{Q_{\text{defects}}} + \frac{1}{Q_{\text{TED}}} + \frac{1}{Q_{\text{viscous}}} + \frac{1}{Q_{\text{support}}}$$

Thermoelastic Damping (TED)

Anchor Losses

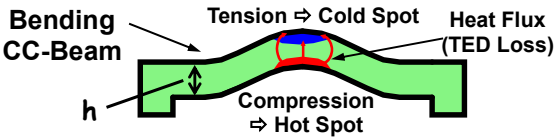
**At high frequency, this is our big problem!**

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      34

**Thermoelastic Damping (TED)**

UC Berkeley

- Occurs when heat moves from compressed parts to tensioned parts → heat flux = energy loss



$$\zeta = \Gamma(T)\Omega(f) = \frac{1}{2Q}$$

$$\Gamma(T) = \frac{\alpha^2 TE}{4\rho C_p}$$

$$\Omega(f_o) = 2 \left[ \frac{f_{TED} f}{f_{TED}^2 + f^2} \right]$$

$$f_{TED} = \frac{\pi K}{2\rho C_p h^2}$$

$\zeta$  = thermoelastic damping factor  
 $\alpha$  = thermal expansion coefficient  
 $T$  = beam temperature  
 $E$  = elastic modulus  
 $\rho$  = material density  
 $C_p$  = heat capacity at const. pressure  
 $K$  = thermal conductivity  
 $f$  = beam frequency  
 $h$  = beam thickness  
 $f_{TED}$  = characteristic TED frequency

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    35

**TED Characteristic Frequency**

UC Berkeley

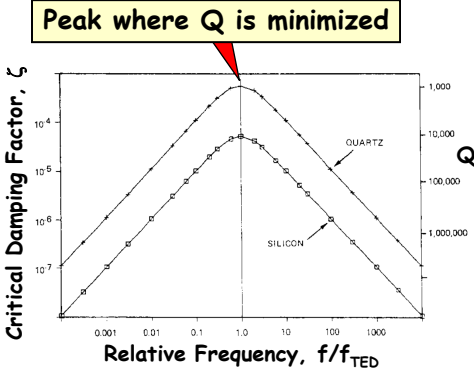
$$f_{TED} = \frac{\pi K}{2\rho C_p h^2}$$

$\rho$  = material density  
 $C_p$  = heat capacity at const. pressure  
 $K$  = thermal conductivity  
 $h$  = beam thickness  
 $f_{TED}$  = characteristic TED frequency

- Governed by
  - Resonator dimensions
  - Material properties

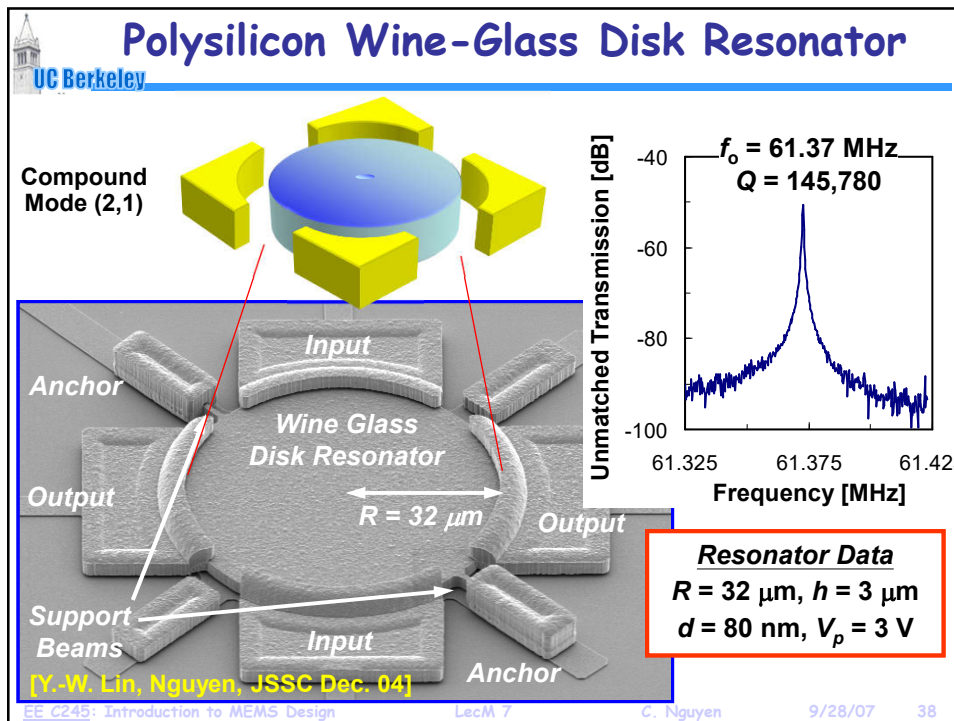
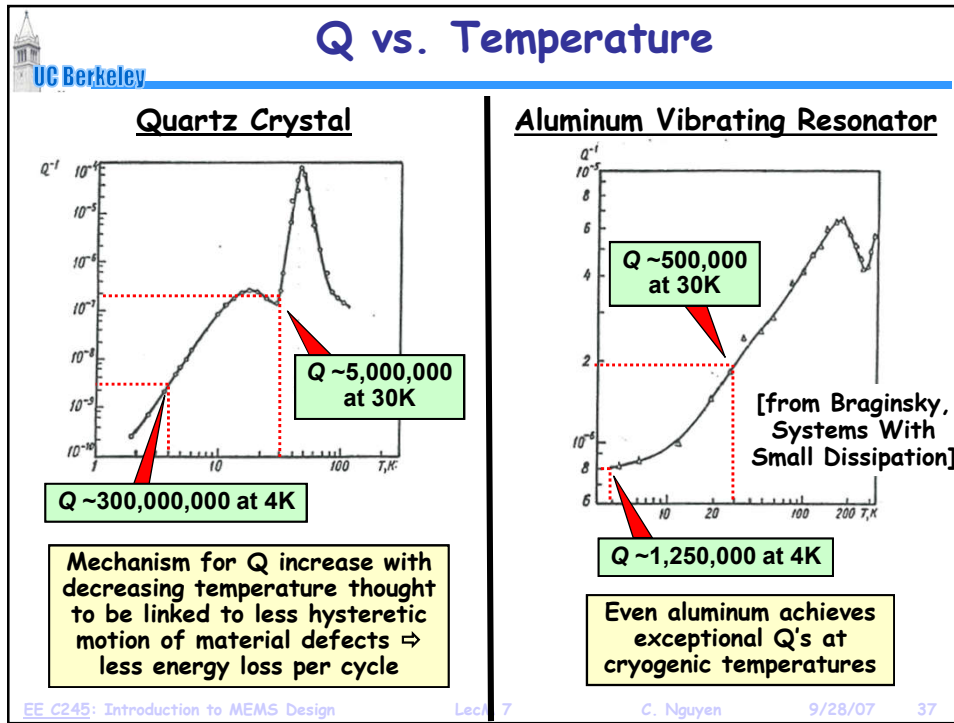
TABLE 1. MATERIAL PROPERTIES

Property	Silicon	Quartz	Units
Thermal expansion	2.60	13.70	ppm/°K
Elastic modulus	1.70	0.78	10 <sup>12</sup> dyne/cm <sup>2</sup>
Material density	2.33	2.60	g/cm <sup>3</sup>
Heat capacity	0.70	0.75	J/g/°K
Thermal conductivity	1.50	0.10	10 <sup>7</sup> dyne/°K/s
Peak damping @ 300°k	1.06	11.34	10 <sup>-4</sup>



[from Roszhart, Hilton Head 1990]

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    36



**1.51-GHz, Q=11,555 Nanocrystalline Diamond Disk  $\mu$ Mechanical Resonator**

UC Berk

- Impedance-mismatched stem for reduced anchor dissipation
- Operated in the 2<sup>nd</sup> radial-contour mode
- Q ~11,555 (vacuum); Q ~10,100 (air)
- Below: 20  $\mu$ m diameter disk

**Design/Performance:**  
 $R=10\mu\text{m}$ ,  $t=2.2\mu\text{m}$ ,  $d=800\text{\AA}$ ,  $V_p=7\text{V}$   
 $f_o=1.51\text{ GHz}$  (2<sup>nd</sup> mode),  $Q=11,555$

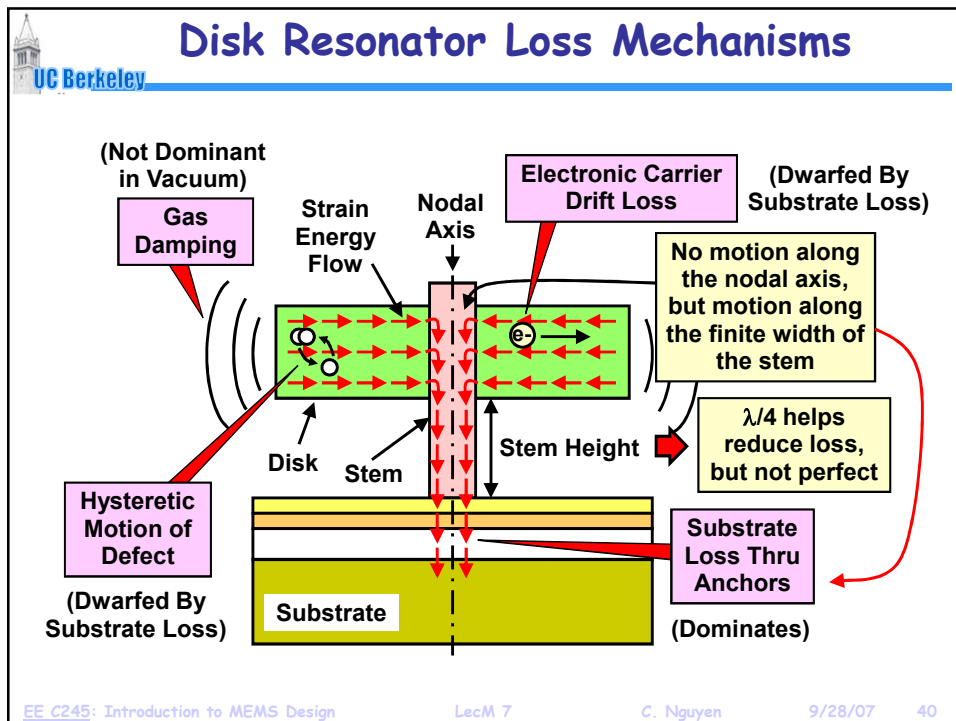
Polysilicon Stem (Impedance Mismatched to Diamond Disk)  
 Polysilicon Electrode  
 CVD Diamond  $\mu$ Mechanical Disk Resonator  
 Ground Plane

Mixed Amplitude [dB]

Frequency [MHz]

$f_o = 1.51\text{ GHz}$   
 $Q = 11,555\text{ (vac)}$   
 $Q = 10,100\text{ (air)}$   
 $Q = 10,100\text{ (air)}$

EE C245: Introduction to MEMS Design LecM 7 [Wang, Butler, Nguyen MEMS'04]



UC Berkeley

## MEMS Material Property Test Structures

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      41

UC Berkeley

### Stress Measurement Via Wafer Curvature

- Compressively stressed film → bends a wafer into a convex shape
- Tensile stressed film → bends a wafer into a concave shape
- Can optically measure the deflection of the wafer before and after the film is deposited
- Determine the radius of curvature  $R$ , then apply:

$$\sigma = \frac{E'h^2}{6Rt}$$

$\sigma$  = film stress [Pa]  
 $E'$  =  $E/(1-\nu)$  = biaxial elastic modulus [Pa]  
 $h$  = substrate thickness [m]  
 $t$  = film thickness  
 $R$  = substrate radius of curvature [m]

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      42

**MEMS Stress Test Structure**

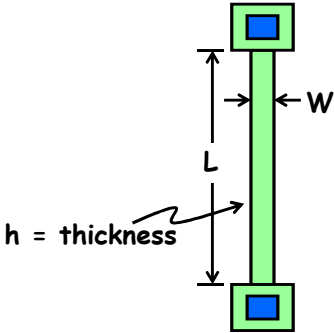
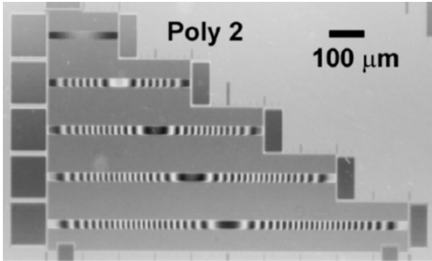
UC Berkeley

- **Simple Approach:** use a clamped-clamped beam
  - ↳ Compressive stress causes buckling
  - ↳ Arrays with increasing length are used to determine the critical buckling load, where

$$\sigma_{critical} = -\frac{\pi^2 E h^2}{3 L^2}$$

E = Young's modulus [Pa]  
 I = (1/12)Wh<sup>3</sup> = moment of inertia  
 L, W, h indicated in the figure

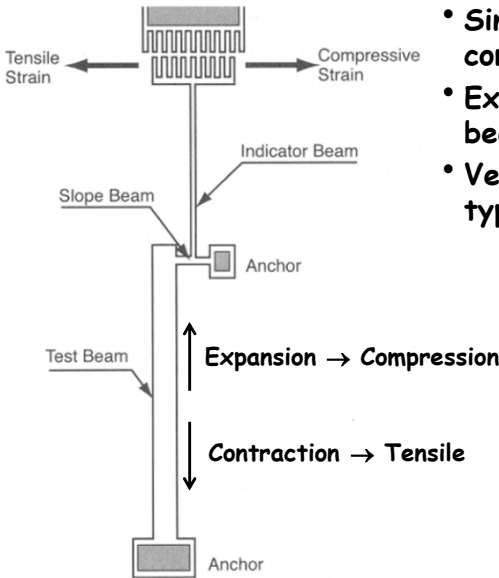
- ↳ **Limitation:** Only compressive stress is measurable

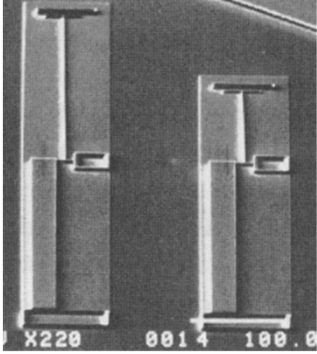
EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    43

**More Effective Stress Diagnostic**

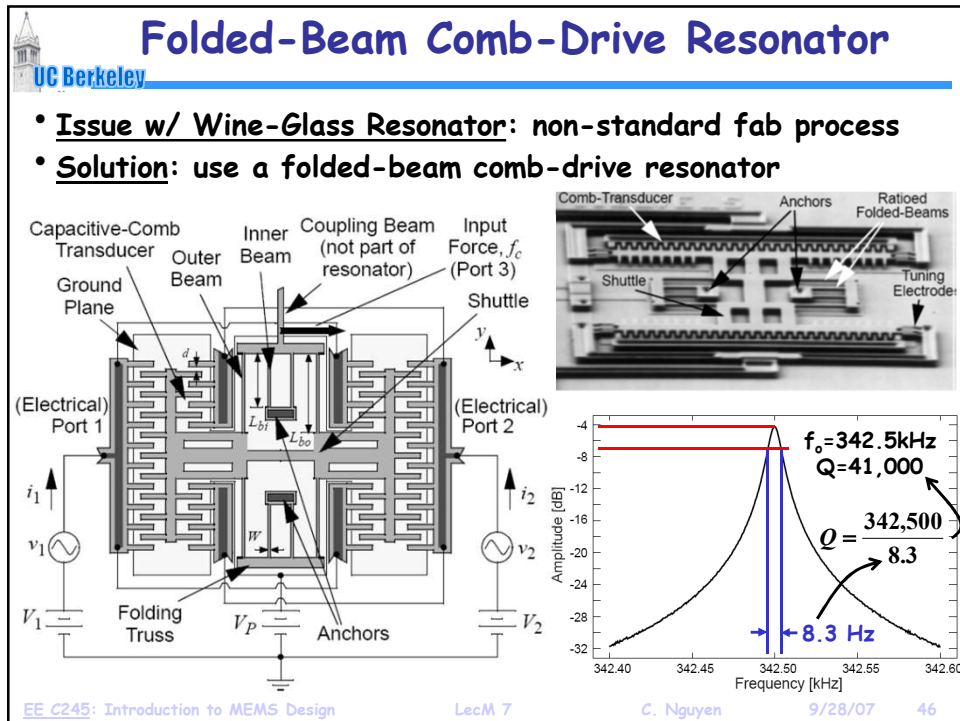
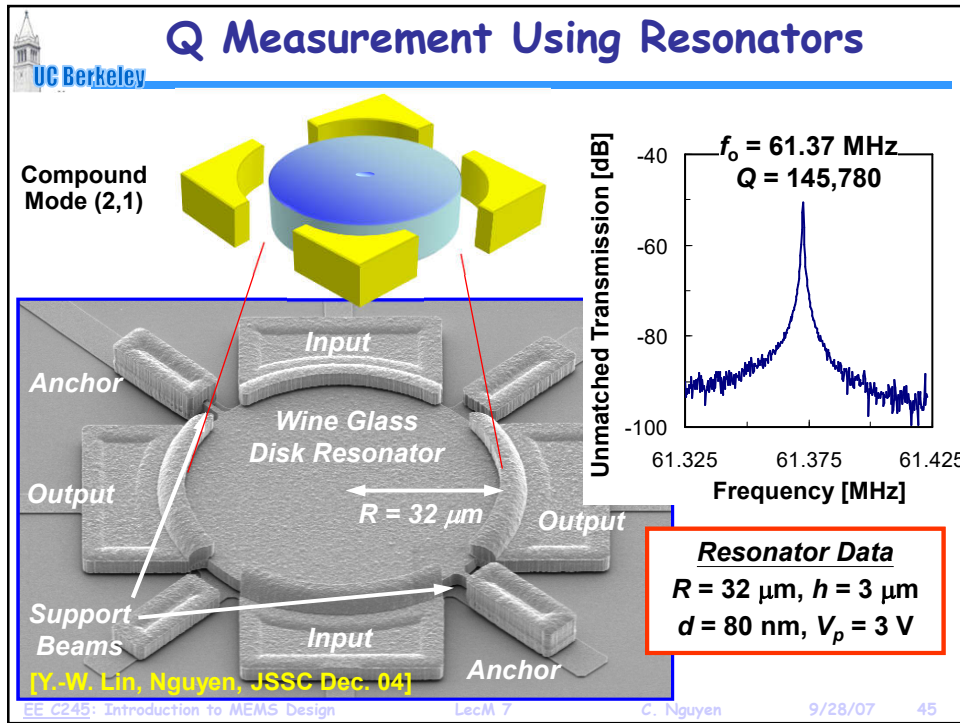
UC Berkeley



- Single structure measures both compressive and tensile stress
- Expansion or contraction of test beam → deflection of pointer
- Vernier movement indicates type and magnitude of stress



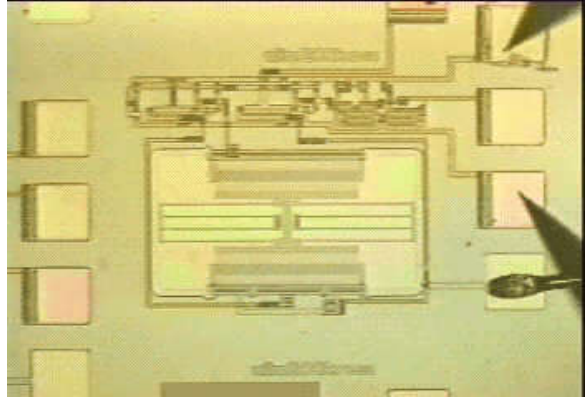
EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    44



### Comb-Drive Resonator in Action

UC Berkeley

- Below: fully integrated micromechanical resonator oscillator using a MEMS-last integration approach

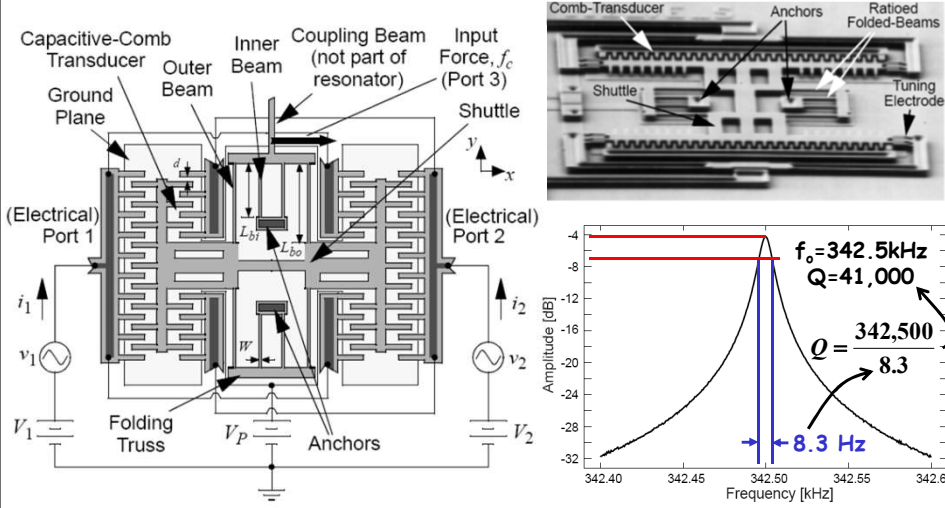


EE C245: Introduction to MEMS Design
LecM 7
C. Nguyen
9/28/07
47

### Folded-Beam Comb-Drive Resonator

UC Berkeley

- Issue w/ Wine-Glass Resonator: non-standard fab process
- Solution: use a folded-beam comb-drive resonator



Capacitive-Comb Transducer

Ground Plane

(Electrical) Port 1

$i_1$

$v_1$

$V_1$

Folding Truss

$V_P$

Anchors

Outer Beam

Inner Beam

Coupling Beam (not part of resonator)

Input Force,  $f_c$  (Port 3)

Shuttle

(Electrical) Port 2

$i_2$

$v_2$

$V_2$

Comb-Transducer

Anchors

Ratioed Folded-Beams

Shuttle

Tuning Electrode

Amplitude [dB]

Frequency [kHz]

$f_o = 342.5 \text{ kHz}$

$Q = 41,000$

$Q = \frac{342,500}{8.3}$

8.3 Hz

EE C245: Introduction to MEMS Design
LecM 7
C. Nguyen
9/28/07
48



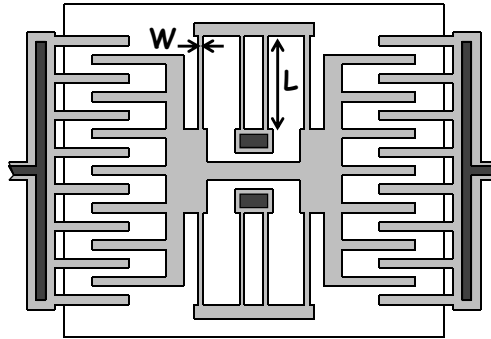
**Measurement of Young's Modulus**

UC Berkeley

- Use micromechanical resonators
  - ↳ Resonance frequency depends on E
  - ↳ For a folded-beam resonator:

$$\text{Resonance Frequency} = f_o = \left[ \frac{4Eh(W/L)^3}{M_{eq}} \right]^{1/2}$$

h = thickness



Young's modulus

Equivalent mass

- Extract E from measured frequency  $f_o$ .
- Measure  $f_o$  for several resonators with varying dimensions
- Use multiple data points to remove uncertainty in some parameters

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      49

**Anisotropic Materials**

UC Berkeley

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      50

**Elastic Constants in Crystalline Materials**  
 UC Berkeley

- Get different elastic constants in different crystallographic directions → 81 of them in all
  - ↳ Cubic symmetries make 60 of these terms zero, leaving 21 of them remaining that need be accounted for
- Thus, describe stress-strain relations using a 6x6 matrix

$$\begin{matrix} \sigma_x \\ \sigma_y \\ \sigma_z \\ \tau_{yz} \\ \tau_{zx} \\ \tau_{xy} \end{matrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{12} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{13} & C_{23} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{14} & C_{24} & C_{34} & C_{44} & C_{45} & C_{46} \\ C_{15} & C_{25} & C_{35} & C_{45} & C_{55} & C_{56} \\ C_{16} & C_{26} & C_{36} & C_{46} & C_{56} & C_{66} \end{bmatrix} \begin{matrix} \varepsilon_x \\ \varepsilon_y \\ \varepsilon_z \\ \gamma_{yz} \\ \gamma_{zx} \\ \gamma_{xy} \end{matrix}$$

↑ Stresses Stiffness Coefficients ↑ Strains

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      51

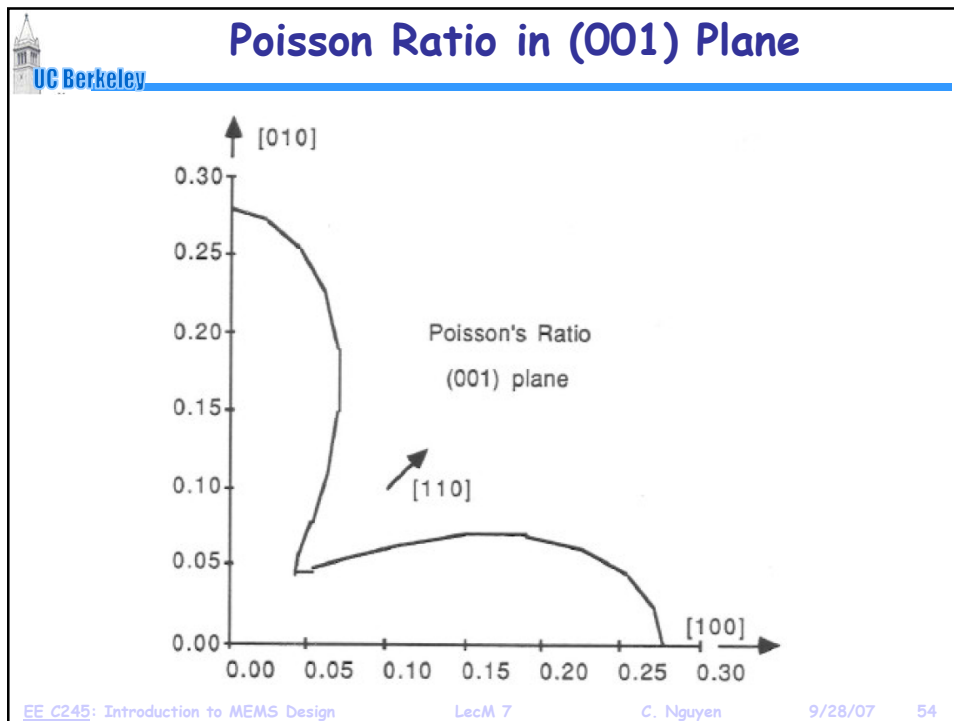
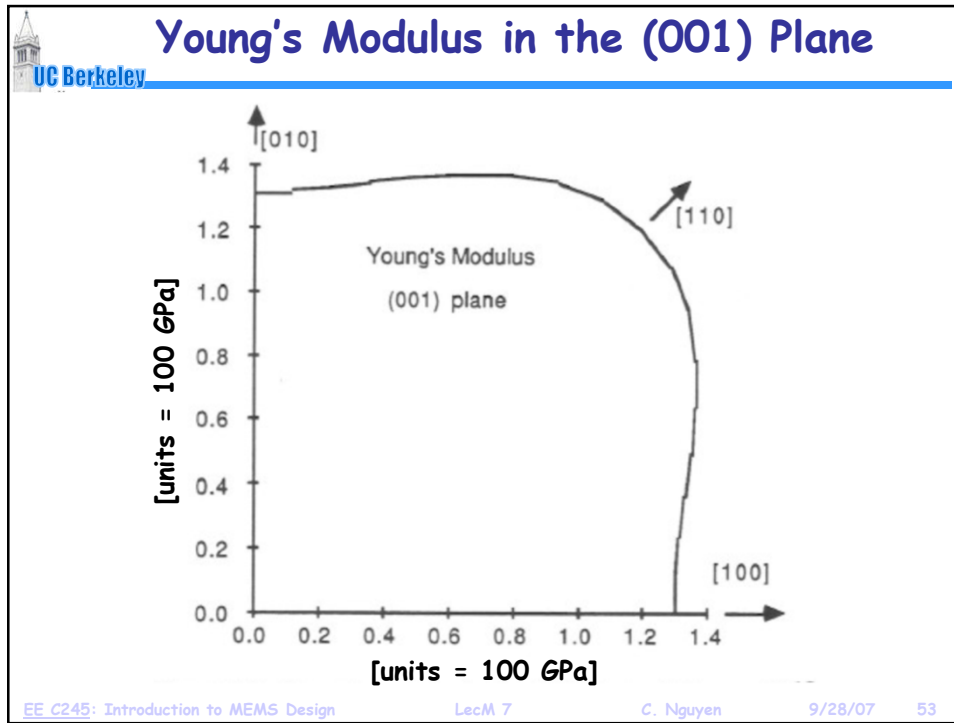
**Stiffness Coefficients of Silicon**  
 UC Berkeley


- Due to symmetry, only a few of the 21 coefficients are non-zero
- With cubic symmetry, silicon has only 3 independent components, and its stiffness matrix can be written as:

$$\begin{matrix} \sigma_x \\ \sigma_y \\ \sigma_z \\ \tau_{yz} \\ \tau_{zx} \\ \tau_{xy} \end{matrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ C_{12} & C_{22} & C_{23} & 0 & 0 & 0 \\ C_{13} & C_{23} & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66} \end{bmatrix} \begin{matrix} \varepsilon_x \\ \varepsilon_y \\ \varepsilon_z \\ \gamma_{yz} \\ \gamma_{zx} \\ \gamma_{xy} \end{matrix}$$

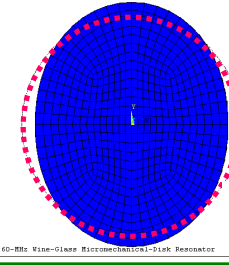
where  $\begin{cases} C_{11} = 165.7 \text{ GPa} \\ C_{12} = 63.9 \text{ GPa} \\ C_{44} = 79.6 \text{ GPa} \end{cases}$

EE C245: Introduction to MEMS Design      LecM 7      C. Nguyen      9/28/07      52



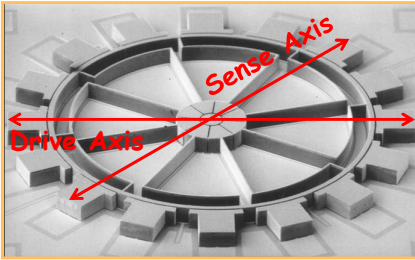
 **Anisotropic Design Implications**

- Young's modulus and Poisson ratio variations in anisotropic materials can pose problems in the design of certain structures
- E.g., disk or ring resonators, which rely on isotropic properties in the radial directions
  - ↳ Okay to ignore variation in RF resonators, although some Q hit is probably being taken
- E.g., ring vibratory rate gyroscopes
  - ↳ Mode matching is required, where frequencies along different axes of a ring must be the same
  - ↳ Not okay to ignore anisotropic variations, here



```
AMSYS 5.4.2
FEB 5 2004
10:15:07
DISPLACEMENT
STEP=1
SUB =1
FREQ= 6038100
PDMODE=QUAD8
EFACT=1
ANISO=90d
DMX =299307
*DOCA=-130E-04
ZV =1
*DIST=0.72
*ZF =1.5
Z-SURFES
```

Wine-Glass Mode Disk



Ring Gyroscope

EE C245: Introduction to MEMS Design    LecM 7    C. Nguyen    9/28/07    55