

UC Berkeley

## EE C245 - ME C218 Introduction to MEMS Design Fall 2011

Prof. Clark T.-C. Nguyen

Dept. of Electrical Engineering & Computer Sciences  
University of California at Berkeley  
Berkeley, CA 94720

Lecture Module 5: Surface Micromachining

EE C245: Introduction to MEMS Design    LecM 5    C. Nguyen    8/20/09    1

UC Berkeley

## Microstructure Stiction

EE C245: Introduction to MEMS Design    LecM 5    C. Nguyen    8/20/09    2

UC Berkeley

## Microstructure Stiction

- **Stiction:** the unintended sticking of MEMS surfaces
- **Release stiction:**
  - ↳ Occurs during drying after a wet release etch
  - ↳ Capillary forces of droplets pull surfaces into contact
  - ↳ Very strong sticking forces, e.g., like two microscope slides w/ a droplet between
- **In-use stiction:** when device surfaces adhere during use due to:
  - ↳ Capillary condensation
  - ↳ Electrostatic forces
  - ↳ Hydrogen bonding
  - ↳ Van der Waals forces

EE C245: Introduction to MEMS Design    LecM 5    C. Nguyen    8/20/09    3

UC Berkeley

## Hydrophilic Versus Hydrophobic

Lotus Surface [Univ. Mainz]

- **Hydrophilic:**
  - ↳ A surface that invites wetting by water
  - ↳ Get stiction
  - ↳ Occurs when the contact angle  $\theta_{\text{water}} < 90^\circ$
- **Hydrophobic:**
  - ↳ A surface that repels wetting by water
  - ↳ Avoids stiction
  - ↳ Occurs when the contact angle  $\theta_{\text{water}} > 90^\circ$

EE C245: Introduction to MEMS Design    LecM 5    C. Nguyen    8/20/09    4

### Microstructure Stiction

- Thin liquid layer between two solid plates  $\Rightarrow$  adhesive
- If the contact angle between liquid and solid  $\theta_c < 90^\circ$ :
  - Pressure inside the liquid is lower than outside
  - Net attractive force between the plates
- The pressure difference (i.e., force) is given by the Laplace equation

EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 5

### Microstructure Stiction Modeling

**Laplace Equation:** Surface Tension @ the Liq-Air Interface

$$\Delta p_{la} = \frac{\gamma_{la}}{r}$$

$\gamma_{la}$  ← Radius of Curvature of the Meniscus (-) if concave

Pressure Difference @ the Liquid-Air Interface

$$r = -\frac{(g/2)}{\cos\theta_c} \Rightarrow F = -\Delta p_{la} A = \frac{2A\gamma_{la}\cos\theta_c}{g}$$

Force needed to keep the plates apart  $\Rightarrow$  (+) force means a (-) Laplace pressure

EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 6

### Avoiding Stiction

- Reduce droplet area via mechanical design approaches

Standoff Bumps      Meniscus-Shaping Features

- Avoid liquid-vapor meniscus formation
  - Use solvents that sublime
  - Use vapor-phase sacrificial layer etch
- Modify surfaces to change the meniscus shape from concave (small contact angle) to convex (large contact angle)
  - Use teflon-like films
  - Use hydrophobic self-assembled monolayers (SAMs)

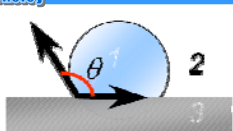
EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 7

### Supercritical CO<sub>2</sub> Drying

- A method for stictionless drying of released microstructures by immersing them in CO<sub>2</sub> at its supercritical point
- Basic Strategy:** Eliminate surface tension-derived sticking by avoiding a liquid-vapor meniscus
- Procedure:**
  - Etch oxide in solution of HF
  - Rinse thoroughly in DI water, but do not dry
  - Transfer the wafer from water to methanol
  - Displace methanol w/ liquid CO<sub>2</sub>
  - Apply heat & pressure to take the CO<sub>2</sub> past its critical pt.
  - Vent to lower pressure and allow the supercritical CO<sub>2</sub> to revert to gas  $\rightarrow$  liquid-to-gas Xsition in supercritical region means no capillary forces to cause stiction

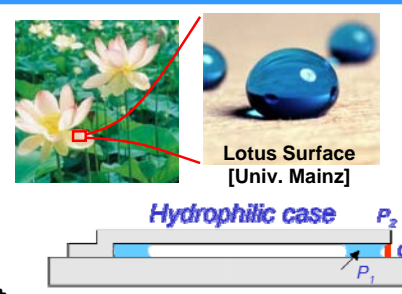
EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 8

### Hydrophilic Versus Hydrophobic



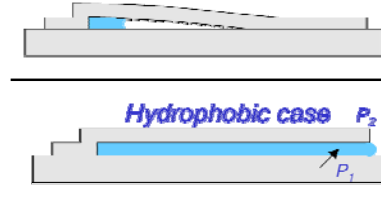
**contact angle**

- **Hydrophilic:**
  - ↳ A surface that invites wetting by water
  - ↳ Get stiction
  - ↳ Occurs when the contact angle  $\theta_{\text{water}} < 90^\circ$
- **Hydrophobic:**
  - ↳ A surface that repels wetting by water
  - ↳ Avoids stiction
  - ↳ Occurs when the contact angle  $\theta_{\text{water}} > 90^\circ$



Lotus Surface [Univ. Mainz]

**Hydrophilic case**



**Hydrophobic case**

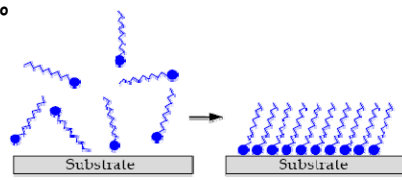
EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 9

### Tailoring Contact Angle Via SAM's

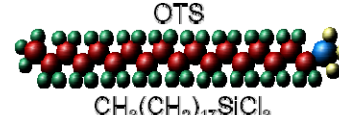
- Can reduce stiction by tailoring surfaces so that they induce a water contact angle  $> 90^\circ$

**Self-Assembled Monolayers (SAM's):**

- Monolayers of "stringy" molecules covalently bonded to the surface that then raise the contact angle



Substrate



OTS  
CH3(CH2)17SiCl3

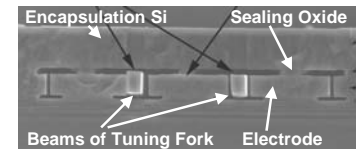
- Beneficial characteristics:
  - ↳ Conformal, ultrathin
  - ↳ Low surface energy
  - ↳ Covalent bonding makes them wear resistant
  - ↳ Thermally stable (to a point)

	$\theta_{\text{water}}$
ODT SAM	$112 \pm 0.7^\circ$
$\text{SiO}_2$	$< 10^\circ$

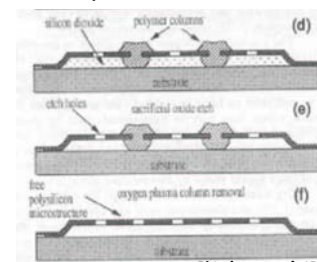
EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 10

### Dry Release

- Another way to avoid stiction is to use a dry sacrificial layer etch
- For an oxide sacrificial layer
  - ↳ use HF vapor phase etching
  - ↳ **Additional advantage:** gas can more easily get into tiny gaps
  - ↳ **Issue:** not always completely dry → moisture can still condense → stiction → soln: add alcohol
- For a polymer sacrificial layer
  - ↳ Use an  $\text{O}_2$  plasma etch (isotropic, so it can undercut well)
  - ↳ **Issues:**
    - Cannot be used when structural material requires high temperature for deposition
    - If all the polymer is not removed, polymer under the suspended structure can still promote stiction



Released via vapor phase HF [Kenny, et al., Stanford]



[Kobayashi]

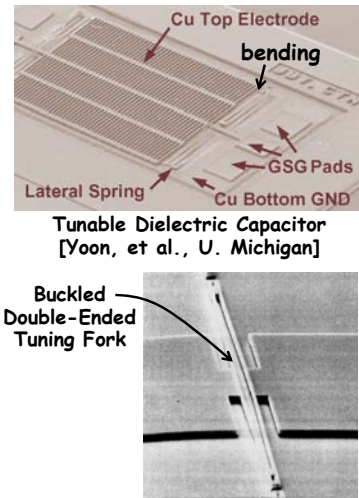
EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 11

### Residual Stress

EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 12

### Residual Stress in Thin Films

- After release, poorly designed microstructures might buckle, bend, or warp → often caused by residual film stress
- Origins of residual stress,  $\sigma$ 
  - Growth processes
    - Non-equilibrium deposition
    - Grain morphology change
    - Gas entrapment
    - Doping
  - Thermal stresses
    - Thermal expansion mismatch of materials → introduce stress during cool-down after deposition
    - Annealing



Tunable Dielectric Capacitor [Yoon, et al., U. Michigan]

Buckled Double-Ended Tuning Fork

EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 13

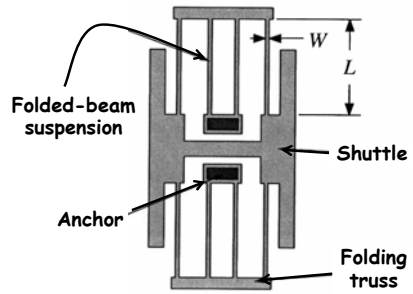
### Need to Control Film Stress

- Resonance frequency expression for a lateral resonator:
 
$$f_0 \approx \frac{1}{2\pi} \sqrt{\frac{4E_y t W^3}{M L^3} + \frac{24\sigma_r t W}{5ML}}$$

Since  $W \ll L$ , the stress term will dominate if  $\sigma_r \sim E_y$

Basic term      Stress term

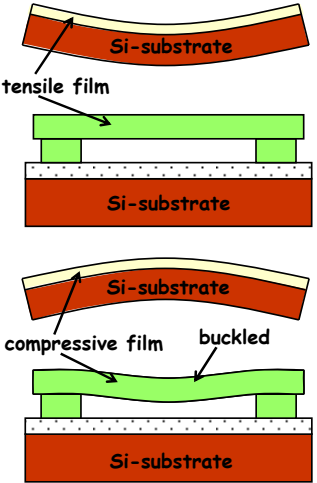
$E_y$  = Young's modulus  
 $\sigma_r$  = stress  
 $t$  = thickness  
 $W$  = beam width  
 $L$  = beam length  
 $M$  = mass



EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 14

### Tensile Versus Compressive Stress

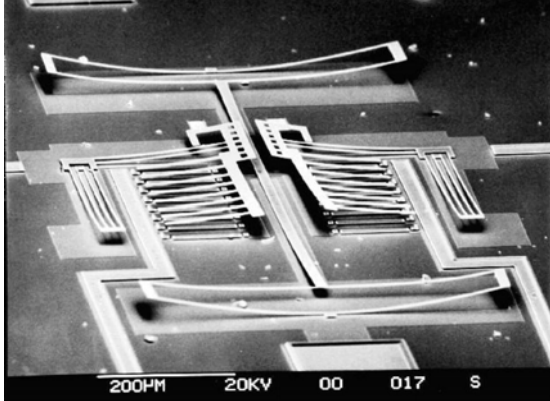
- Under **tensile stress**, a film wants to shrink w/r to its substrate
  - Caused, e.g., by differences in film vs. substrate thermal expansion coefficients
  - If suspended above a substrate and anchored to it at two points, the film will be "stretched" by the substrate
- Under **compressive stress**, a film wants to expand w/r to its substrate
  - If suspended above a substrate and anchored to it at two points, the film will buckle over the substrate




EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 15

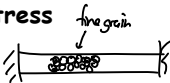
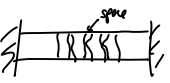
### Vertical Stress Gradients

- Variation of residual stress in the direction of film growth
- Can warp released structures in z-direction



EE C245: Introduction to MEMS Design LecM 5 C. Nguyen 8/20/09 16

 **Stress in Polysilicon Films**

- Stress depends on crystal structure, which in turn depends upon the deposition temperature
- Temperature  $\leq 600^\circ\text{C}$ 
  - ↳ Films are initially amorphous, then crystallize
  - ↳ Get equiaxed crystals, largely isotropic
  - ↳ Crystals have higher density  $\rightarrow$  tensile stress
  - ↳ Small stress gradient 
- Temperature  $\geq 600^\circ\text{C}$ 
  - ↳ Columnar crystals grow during deposition
  - ↳ As crystals grow vertically and in-plane they push on neighbors  $\rightarrow$  compressive stress
  - ↳ Positive stress gradient 

EE C245: Introduction to MEMS Design    LecM 5    C. Nguyen    8/20/09    17