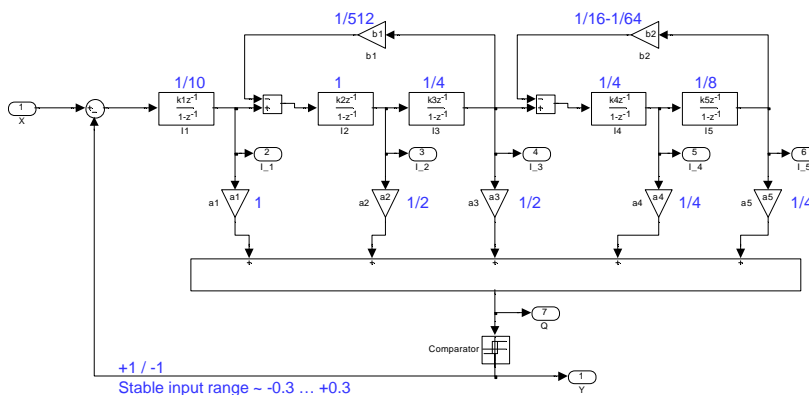


# Tones

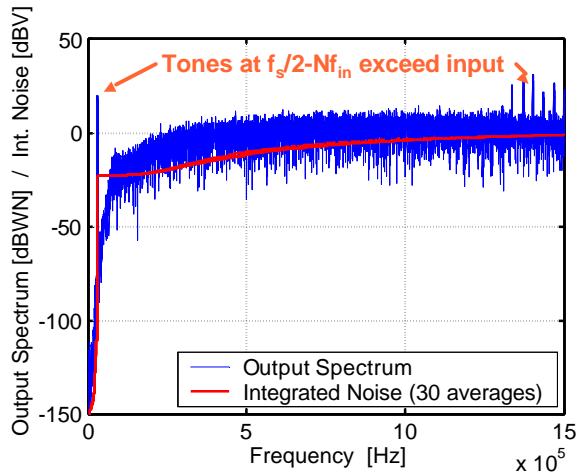
- 5<sup>th</sup> order  $\Sigma\Delta$  modulator
  - DC inputs
  - Tones
  - Dither
  - kT/C noise

# 5<sup>th</sup> Order Modulator



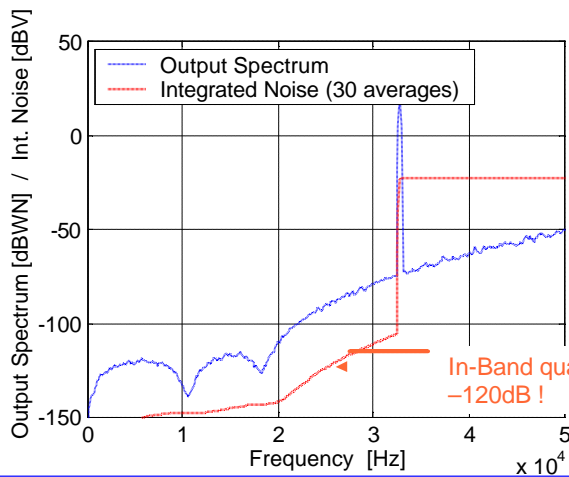
see L20\_L5\_sim.mdl and L20\_L5.m

# 5<sup>th</sup> Order Noise Shaping

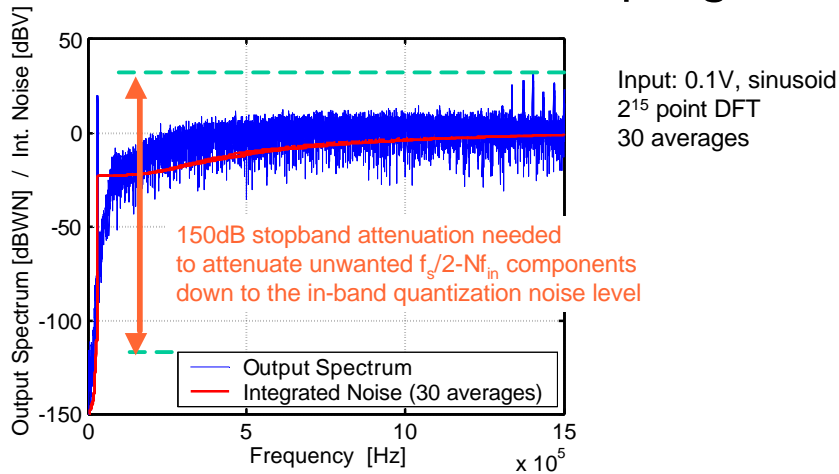


Input: 0.1V, sinusoid  
2<sup>15</sup> point DFT  
30 averages

# In-Band Noise



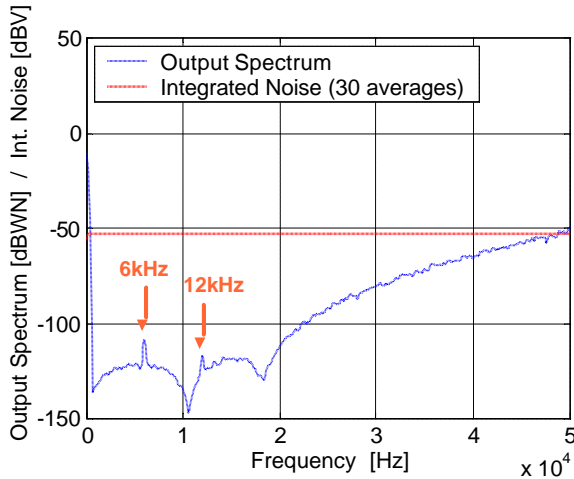
## 5<sup>th</sup> Order Noise Shaping



## Out-of-Band vs In-Band Signals

- A digital (low-pass) filter with suitable coefficient precision can eliminate out-of-band quantization noise
- No filter can attenuate unwanted in-band components without attenuating the signal
- We'll spend some time making sure the components at  $f_s/2 - Nf_{in}$  will not "mix" down to the signal band
- But first, let's look at the modulator response to small DC inputs (or offset) ...

# $\Sigma\Delta$ Tones



2mV DC input  
(1V full-scale)

Simulation technique:  
A random 1<sup>st</sup> input randomizes the noise and enables averaging. Without the small tones are not visible.

# Limit Cycles

- Representing a DC term with a  $-1/+1$  pattern ... e.g.

$$\frac{1}{11} \rightarrow \left\{ \underbrace{\underbrace{-1 +1}_1 \quad \underbrace{-1 +1}_2 \quad \underbrace{-1 +1}_3 \quad \underbrace{-1 +1}_4 \quad \underbrace{-1 +1}_5}_{\langle 0 \rangle} +1 \right\}_{\langle 1/11 \rangle}$$

- Spectrum

$$\frac{f_s}{11} \quad 2 \frac{f_s}{11} \quad 3 \frac{f_s}{11} \quad \dots$$

# Limit Cycles

- Fundamental

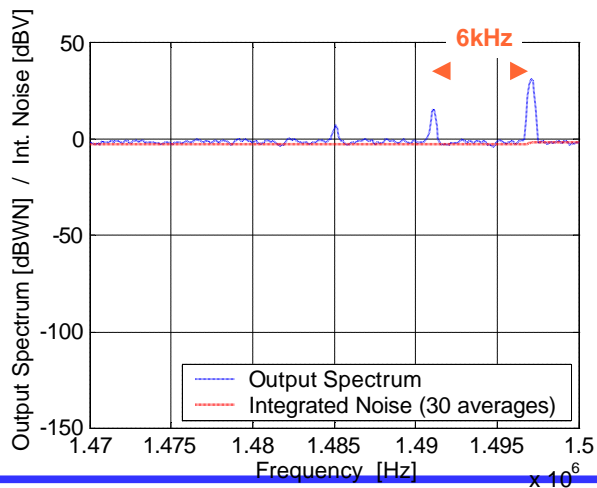
$$\begin{aligned} f_d &= f_s \frac{V_{DC}}{V_{DAC}} \\ &= 3\text{MHz} \frac{2\text{mV}}{1\text{V}} \\ &= \underline{6\text{kHz}} \end{aligned}$$

- Tone velocity

$$\begin{aligned} \frac{df_d}{dV_{DC}} &= \frac{f_s}{V_{DAC}} \\ &= \underline{3\text{kHz/V}} \end{aligned}$$



# $\Sigma\Delta$ Tones

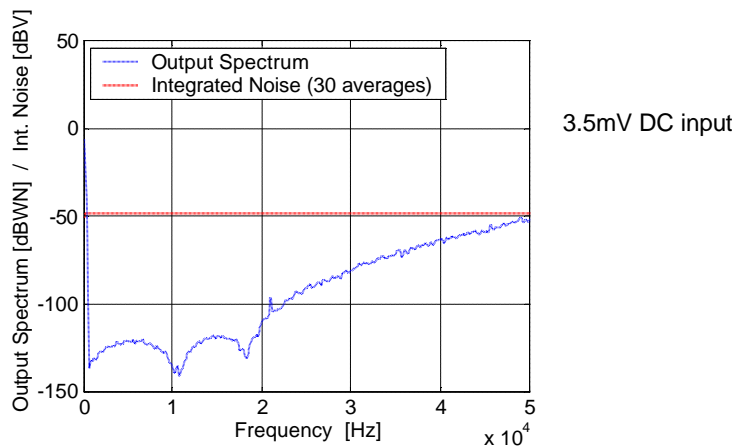


# $\Sigma\Delta$ Tones

- Tones follow the noise shape
- The fundamental of a tone that falls into a "quantization noise null" disappears ...

$$\begin{aligned}V_{DC} &= V_{FB} \frac{f_d}{f_s} \\ &= 1\text{V} \frac{10.5\text{kHz}}{3\text{MHz}} \\ &= \underline{3.5\text{mV}}\end{aligned}$$

# $\Sigma\Delta$ Tones



# $\Sigma\Delta$ Tones

- In-band tones look like signals
- Can be a big problems in some applications
  - E.g. audio  $\rightarrow$  even tones with power below the quantization noise floor can be audible
- Tones near  $f_s/2$  can be aliased down into the signal band
  - Since they are often strong, even a small alias can be a big problem
  - We will look at mechanisms that alias tones in the next lecture
- First let's look at dither as a means to reduce or eliminate in-band tones

# Dither

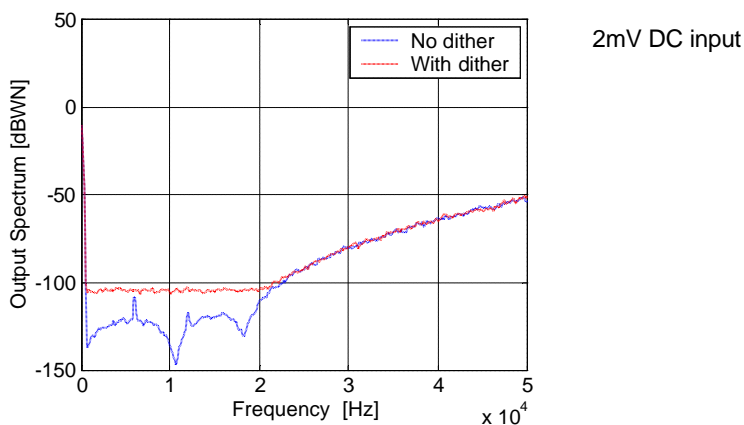
- DC inputs can of course be represented by many possible bit patterns
- Including some that are random but still average to the DC input
- The spectrum of such a sequence has no tones
- How can we get a SD modulator to produce such "randomized" sequences?

# Dither

- The target DR for our audio SD is 16 Bits, or 98dB
- Let's choose the sampling capacitor such that it limits the dynamic range:

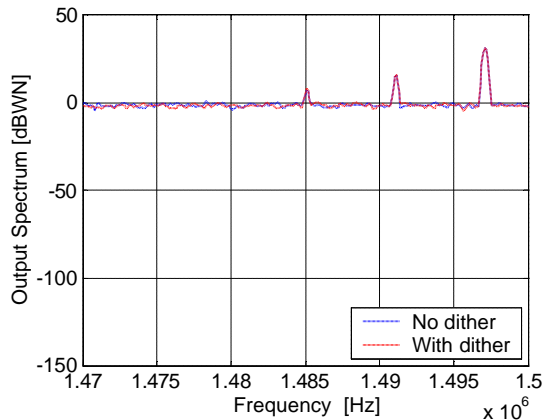
$$DR = \frac{\frac{1}{2}(V_{FS})^2}{k_B T / C}$$
$$C = DR \frac{k_B T}{\frac{1}{2}(V_{FS})^2}$$
$$= 10^{9.8} \frac{k_B T}{\frac{1}{2}(1V)^2} = \underline{50.5pF} \quad \rightarrow \quad \sqrt{v_n^2} = \sqrt{\frac{k_B T}{C}} = \underline{9\mu V}$$

# Dither





# Dither

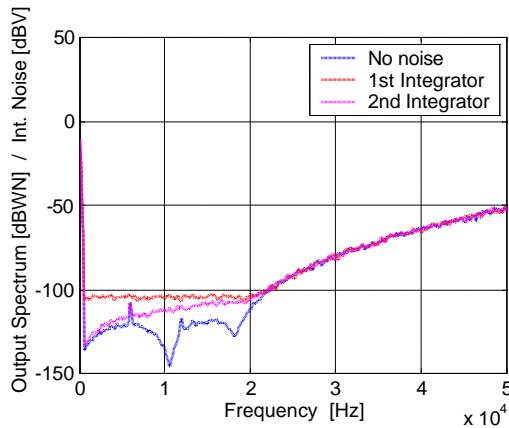


Dither at an amplitude which buries the in-band tones has virtually no effect on tones near  $f_s/2$

# kT/C Noise

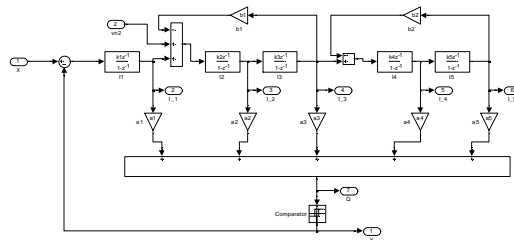
- So far we've looked at noise added to the input of the SD modulator, which is also the input of the first integrator
- Now let's add noise also to the input of the second integrator
- Let's assume a 4pF sampling capacitor
  - This gives  $1.4 \times 32\mu\text{V}$  rms noise (two uncorrelated  $32\mu\text{V}$  samples per clock)

# kT/C Noise



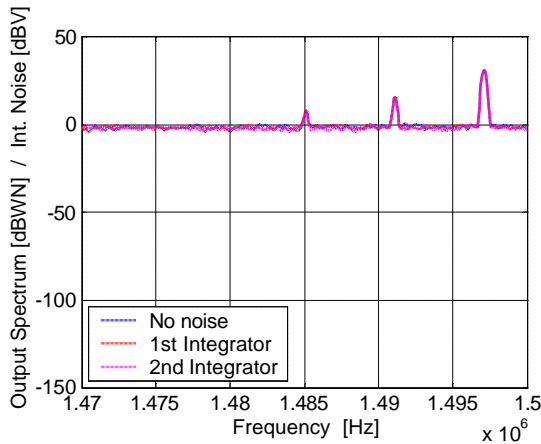
- 2mV DC input
- Noise from 2<sup>nd</sup> integrator
  - smaller than 1<sup>st</sup> integrator noise
  - shaped
- Why?

# kT/C Noise



- Noise from 1<sup>st</sup> integrator is added directly to the input
  - Noise from 2<sup>nd</sup> integrator is first-order noise shaped
  - Noise from subsequent integrators is attenuated even further
- Especially for high oversampling ratios, only the first 1 or 2 integrators add significant thermal noise. This is true also for other imperfections.

# Dither

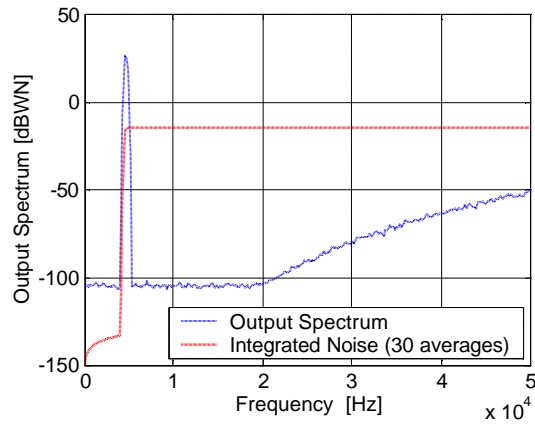


No practical amount of dither eliminates the tones near  $f_s/2$

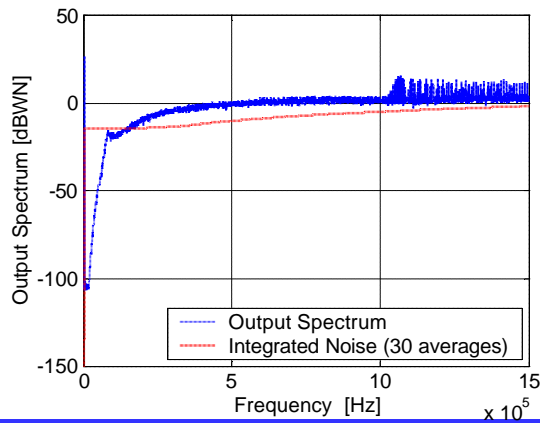
# Full-Scale Inputs

- With practical levels of thermal noise added, let's try a 5kHz sinusoidal input near full-scale (0.3V)
- No distortion is visible in the spectrum
  - 1-Bit modulators are intrinsically linear
  - But tones exist at high frequencies
    - to the oversampled modulator, a sinusoidal input looks like two "slowly" alternating DCs ... hence giving rise to limit cycles

# Full-Scale Inputs



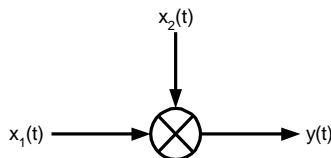
# Full-Scale Inputs



# $V_{\text{ref}}$ Interference

- Dither successfully removes in-band tones that would corrupt the signal
- The high-frequency tones in the quantization noise spectrum will be removed by the digital filter following the modulator
- What if some of these strong tones are demodulated to the base-band before digital filtering?
- Why would this happen?

# AM Modulation

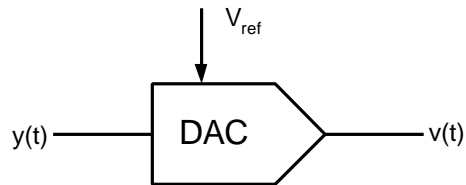


$$x_1(t) = X_1 \cos(\mathbf{w}_1 t)$$

$$x_2(t) = X_2 \cos(\mathbf{w}_2 t)$$

$$x_1(t) \times x_2(t) = \frac{X_1 X_2}{2} [\cos(\mathbf{w}_1 t + \mathbf{w}_2 t) + \cos(\mathbf{w}_1 t - \mathbf{w}_2 t)]$$

# AM Modulation in DAC



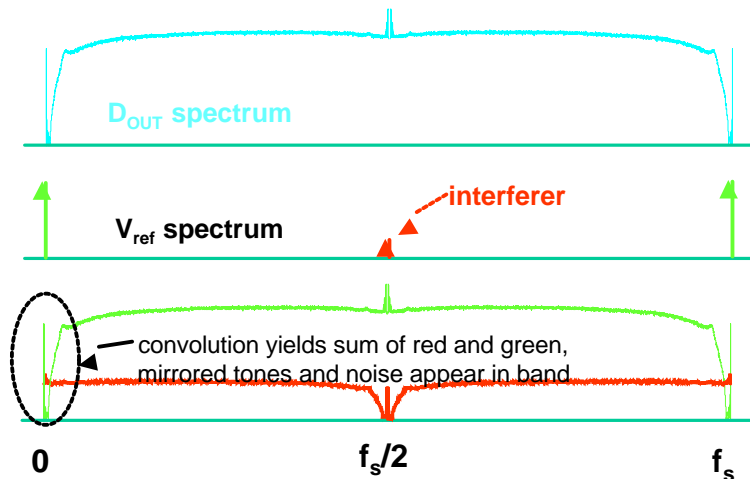
$$y(t) = D_{out} = \pm 1$$

$$V_{ref} = 1V + 1mV \quad f_s/2 \text{ square wave}$$

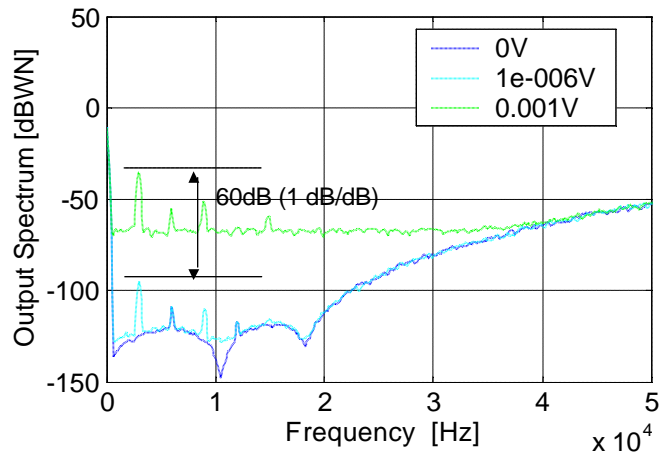
$$v(t) = y(t) \times V_{ref} = \text{fundamental}$$

$$+ 0.05\% \text{ of spectrum at } f_s/2$$

# AM Modulation in DAC



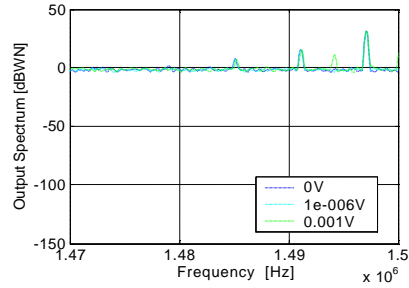
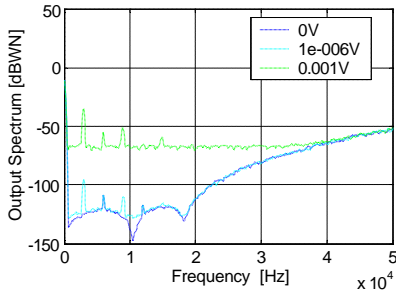
## $V_{\text{ref}}$ Interference



## $V_{\text{ref}}$ Interference

- Simulation are for specified amounts of  $f_s/2$  interference in the DAC reference
- As predicted interference demodulates the high-frequency tones
- Since the high frequency tones are strong, a small amount (1 $\mu$ V) of interference suffices to create huge base-band tones
- Stronger interference (1mV) rises the noise floor also
- Amplitude of demodulated tones is proportional to interference

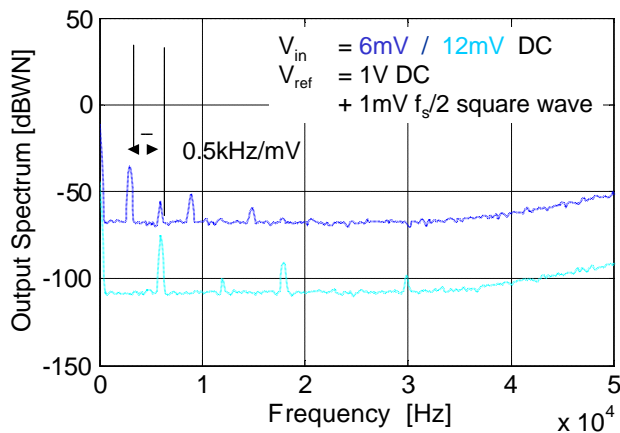
# $V_{ref}$ Interference



Symmetry of the spectra at  $f_s/2$  and DC confirm that this is AM modulation



# $V_{ref}$ Tone Velocity

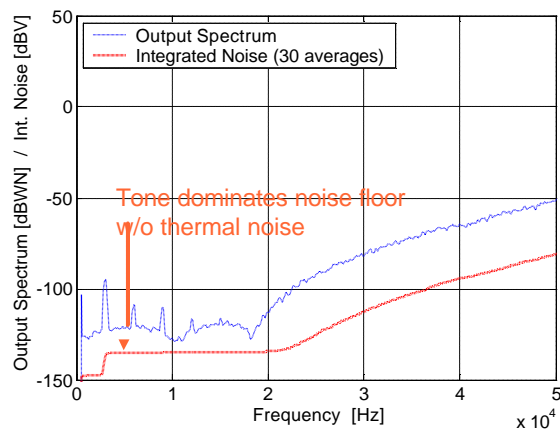




# $V_{\text{ref}}$ Tone Velocity

- The velocity of AM demodulated tones is half that of the native tone
- Such differences help debugging of real silicon
- How clean does the reference have to be?

# $V_{\text{ref}}$ Interference



# $V_{\text{ref}}$ Interference

- 120dB of clock-to- $V_{\text{ref}}$  isolation is not sufficient for digital audio applications
- Achieving this level of performance requires careful engineering
- Getting an accurate requirement is the first (and an essential) step
- See
  - E. Swanson, N. Souch, and D. Knapp, "Method for Reducing Effects of Electrical Noise in Analog-to-Digital Converter," U.S. Patent 4746899, 1988for more ideas



# Summary

- Our stage 2 model can drive almost all capacitor sizing decisions
  - Gain scaling
  - $kT/C$  noise
  - Dither
- Dither removes effectively in-band tones
  - Actual tonality determined by demodulation of limit cycles near  $f_s/2$
- Next we will add relevant component imperfections, e.g.
  - Real capacitors aren't perfect
  - Real opamps aren't ideal
- We'll model nonlinearities in the  $\Sigma\Delta$  system next time ...

