

CSI62
Operating Systems and
Systems Programming
Lecture 4

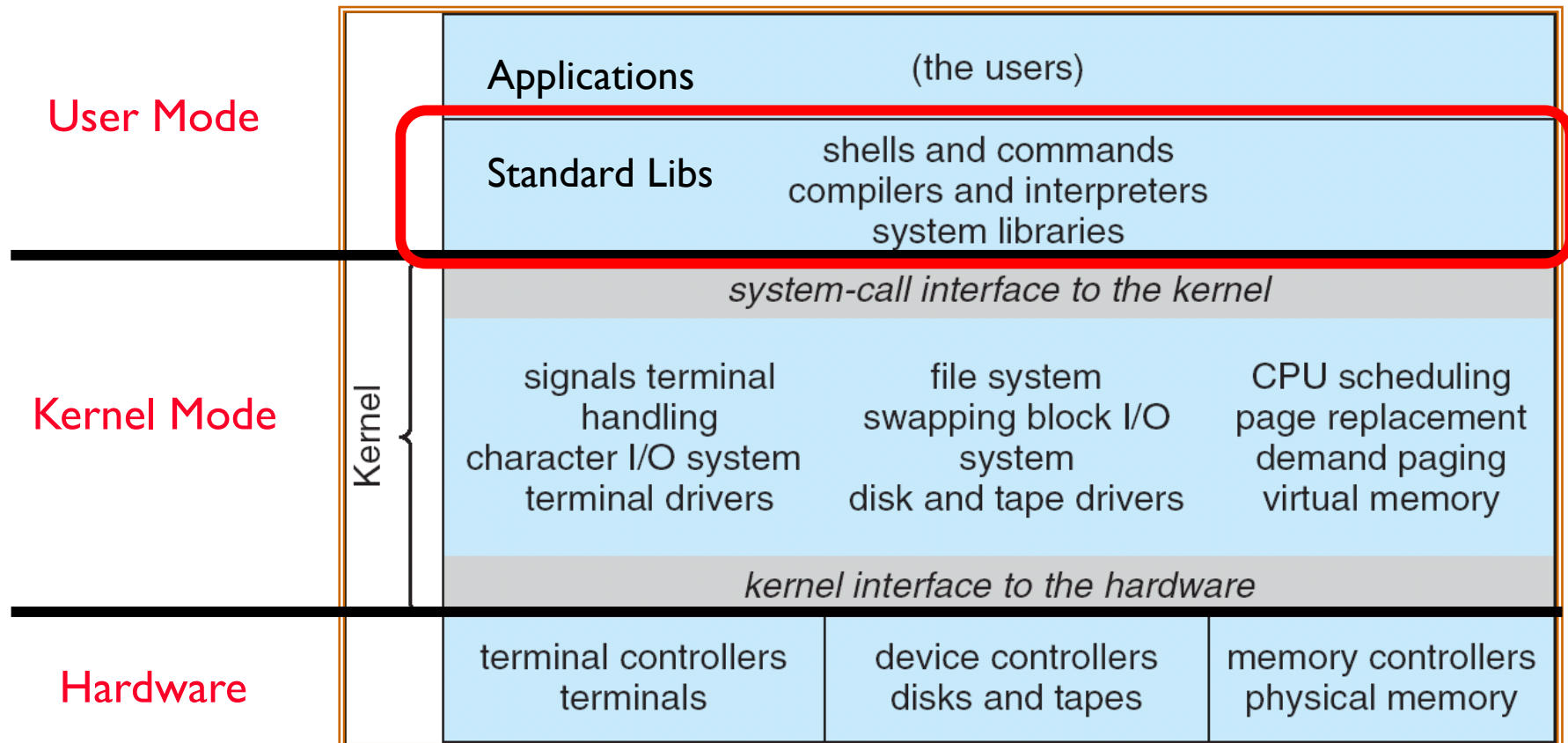
Introduction to I/O,
Sockets, Networking

September 5th, 2018

Prof. Ion Stoica

<http://cs162.eecs.Berkeley.edu>

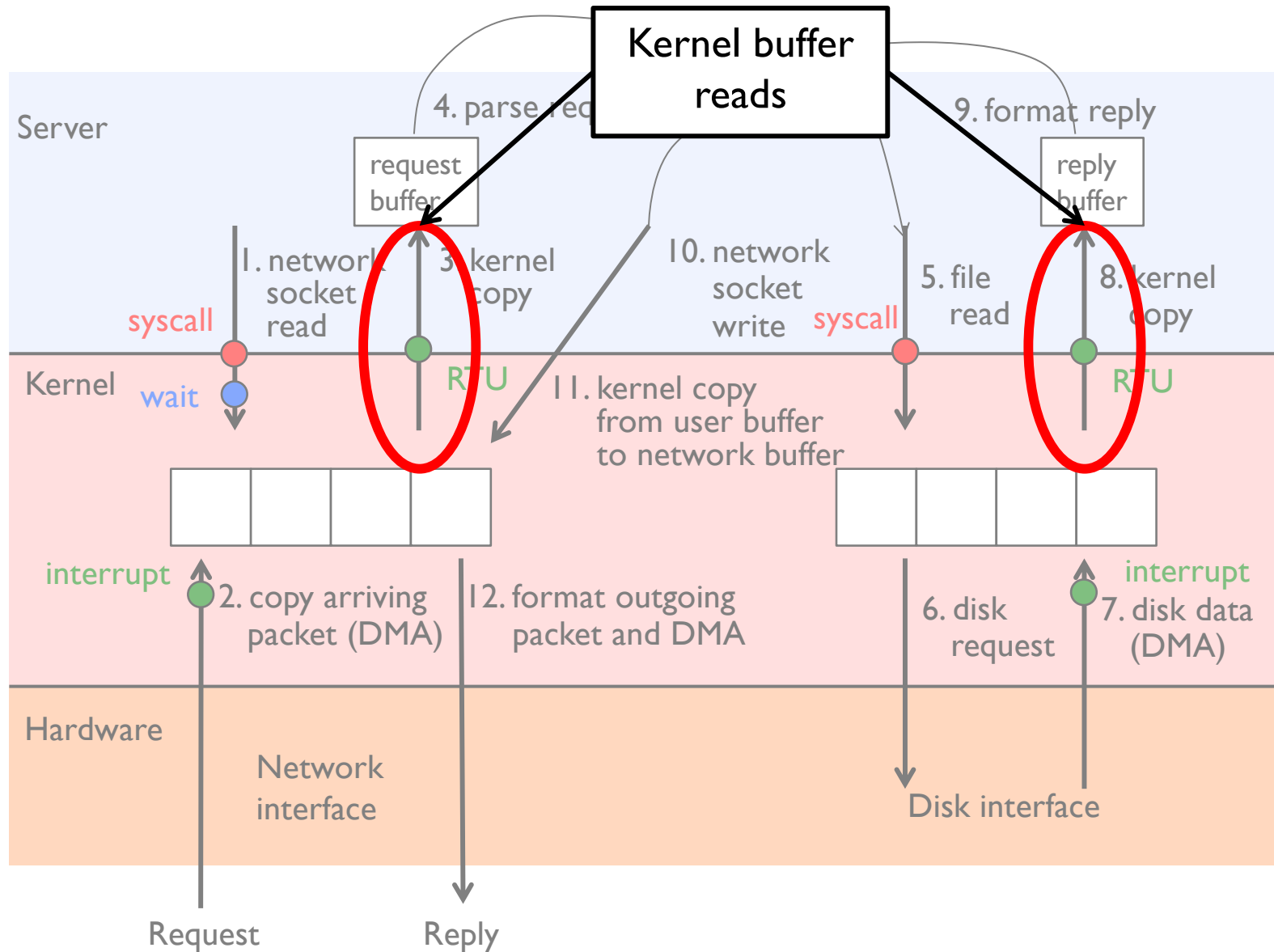
Recall: UNIX System Structure



Key Unix I/O Design Concepts

- Uniformity
 - file operations, device I/O, and interprocess communication through `open`, `read/write`, `close`
 - Allows simple composition of programs
 - » `find | grep | wc ...`
- Open before use
 - Provides opportunity for access control and arbitration
 - Sets up the underlying machinery, i.e., data structures
- Byte-oriented
 - Even if blocks are transferred, addressing is in bytes
- Kernel buffered reads
 - Streaming and block devices looks the same
 - read blocks process, yielding processor to other task

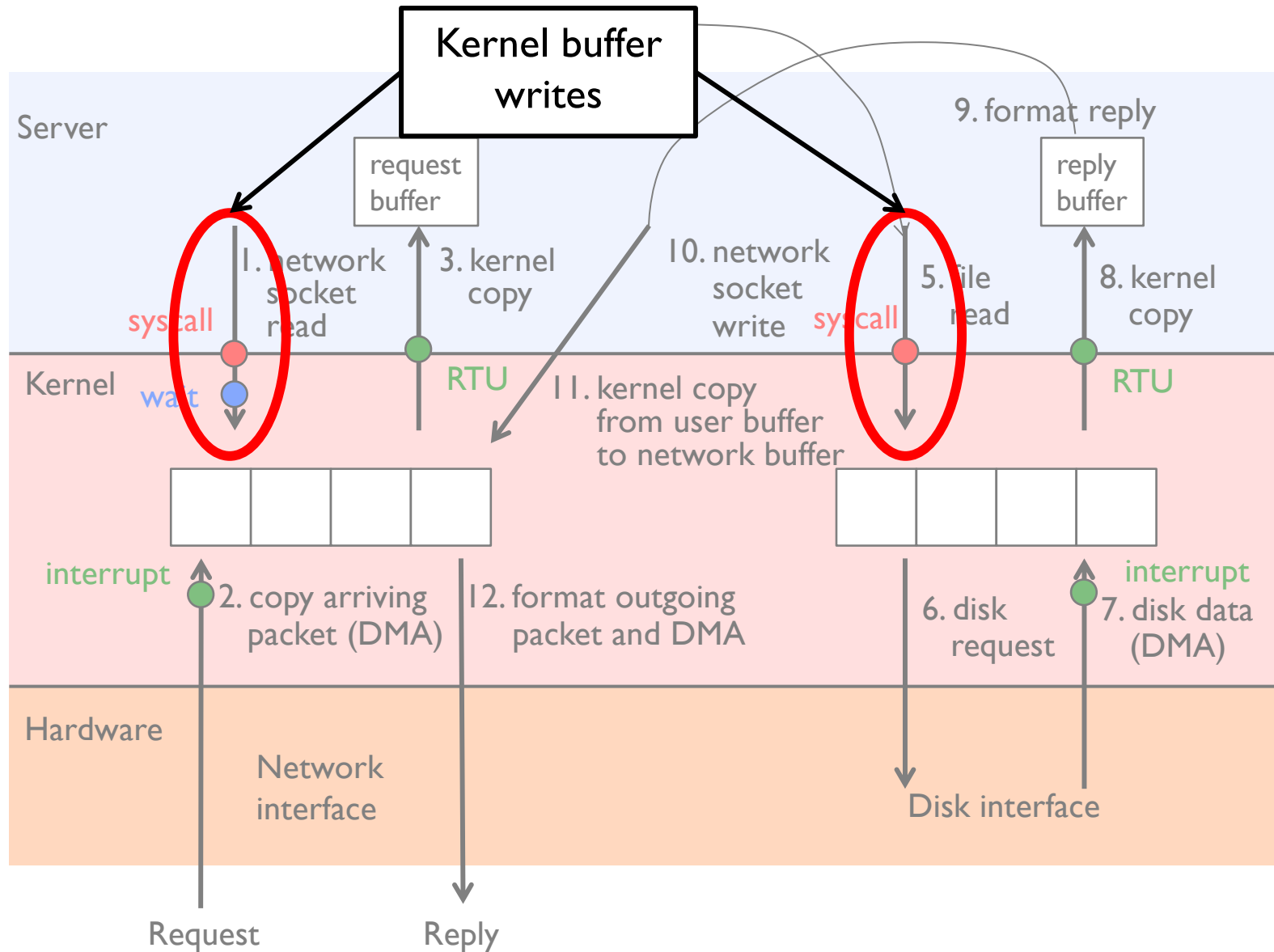
Putting it together: web server



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Putting it together: web server

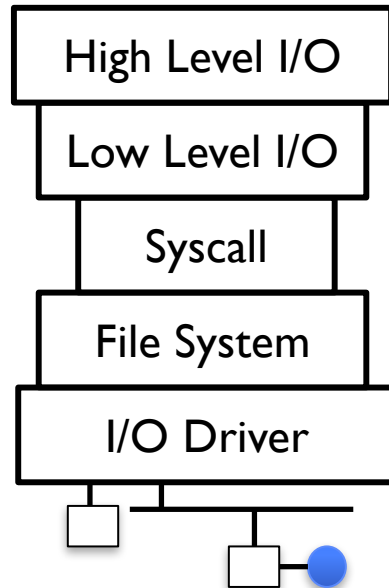


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- Explicit close

I/O & Storage Layers

Application / Service



streams

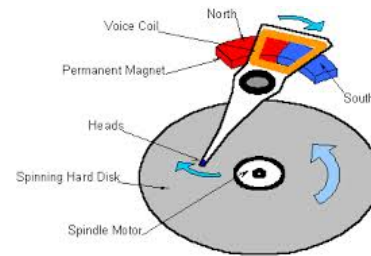
handles

registers

descriptors

Commands and Data Transfers

Disks, Flash, Controllers, DMA



The File System Abstraction

- High-level idea
 - Files live in hierarchical namespace of filenames
- File
 - Named collection of data in a file system
 - File data
 - » Text, binary, linearized objects
 - File Metadata: information about the file
 - » Size, Modification Time, Owner, Security info
 - » Basis for access control
- Directory
 - “Folder” containing files & Directories
 - Hierarchical (graphical) naming
 - » Path through the directory graph
 - » Uniquely identifies a file or directory
 - `/home/ff/cs162/public_html/fa18/index.html`
 - Links and Volumes (later)

C High-Level File API – Streams (review)

- Operate on “streams” - sequence of bytes, whether text or data, with a position



```
#include <stdio.h>
FILE *fopen( const char *filename, const char *mode );
int fclose( FILE *fp );
```

Mode	Text	Binary	Descriptions
r		rb	Open existing file for reading
w		wb	Open for writing; created if does not exist
a		ab	Open for appending; created if does not exist
r+		rb+	Open existing file for reading & writing.
w+		wb+	Open for reading & writing; truncated to zero if exists, create otherwise
a+		ab+	Open for reading & writing. Created if does not exist. Read from beginning, write as append

Don't forget to flush

Connecting Processes, Filesystem, and Users

- Process has a 'current working directory'
- Absolute Paths
 - `/home/ff/cs162`
- Relative paths
 - `index.html`, `./index.html` - current WD
 - `../index.html` - parent of current WD
 - `~`, `~cs162` - home directory

C API Standard Streams

- Three predefined streams are opened implicitly when a program is executed
 - FILE `*stdin` – normal source of input, can be redirected
 - FILE `*stdout` – normal source of output, can be redirected
 - FILE `*stderr` – diagnostics and errors, can be redirected
- **STDIN / STDOUT** enable composition in Unix
 - Recall: Use of pipe symbols connects **STDOUT** and **STDIN**
 - » `find | grep | wc ...`

C high level File API – Stream Ops

```
#include <stdio.h>
// character oriented
int fputc( int c, FILE *fp );           // rtn c or EOF on err
int fputs( const char *s, FILE *fp );  // rtn >0 or EOF

int fgetc( FILE * fp );
char *fgets( char *buf, int n, FILE *fp );
```

DESCRIPTION

The `fgets()` function reads at most one less than the number of characters specified by size from the given stream and stores them in the string str. Reading stops when a newline character is found, at end-of-file or error. The newline, if any, is retained. If any characters are read and there is no error, a `'\0'` character is appended to end the string.

C high level File API – Stream Ops

```
#include <stdio.h>
// character oriented
int fputc( int c, FILE *fp );           // rtn c or EOF on err
int fputs( const char *s, FILE *fp );  // rtn >0 or EOF

int fgetc( FILE * fp );
char *fgets( char *buf, int n, FILE *fp );

// block oriented
size_t fread(void *ptr, size_t size_of_elements,
             size_t number_of_elements, FILE *a_file);

size_t fwrite(const void *ptr, size_t size_of_elements,
             size_t number_of_elements, FILE *a_file);
```

C high level File API – Stream Ops

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#include <stdio.h>
// character oriented
int fputc( int c, FILE *fp );           // rtn c or EOF on err
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size_t fread(void *ptr, size_t size_of_elements,
             size_t number_of_elements, FILE *a_file);

size_t fwrite(const void *ptr, size_t size_of_elements,
             size_t number_of_elements, FILE *a_file);

// formatted
int fprintf(FILE *restrict stream, const char *restrict format,
           ...);
int fscanf(FILE *restrict stream, const char *restrict format,
           ...);
```

Example Code

```
#include <stdio.h>

#define BUFLLEN 256
FILE *outfile;
char mybuf[BUFLLEN];

int storetofile() {
    char *instring;

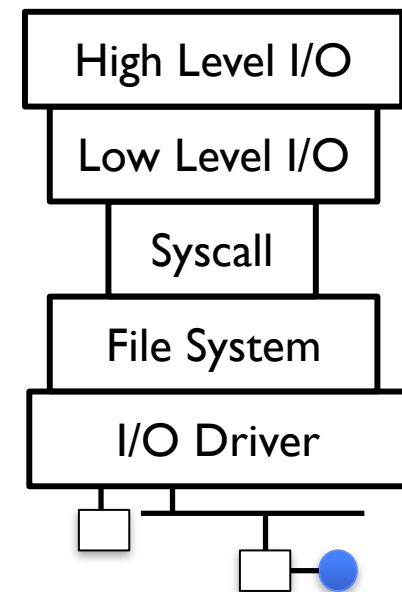
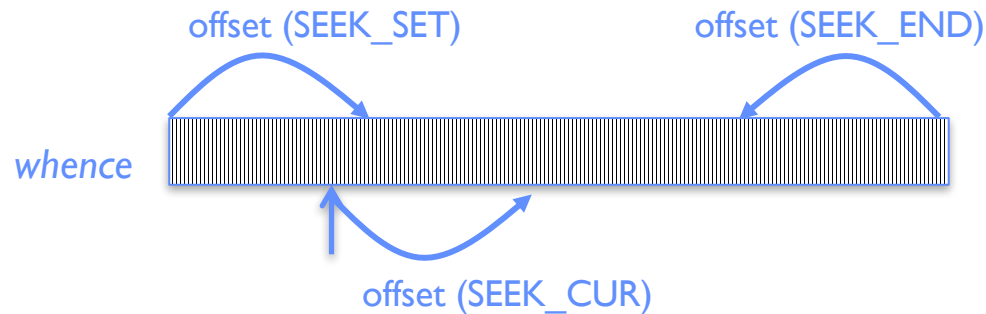
    outfile = fopen("/usr/homes/testing/tokens", "w+");
    if (!outfile)
        return (-1);    // Error!
    while (1) {
        instring = fgets(mybuf, BUFLLEN, stdin); // catches overrun!

        // Check for error or end of file (^D)
        if (!instring || strlen(instring)==0) break;

        // Write string to output file, exit on error
        if (fputs(instring, outfile)< 0) break;
    }
    fclose(outfile); // Flushes from userspace
}
```


C Stream API positioning

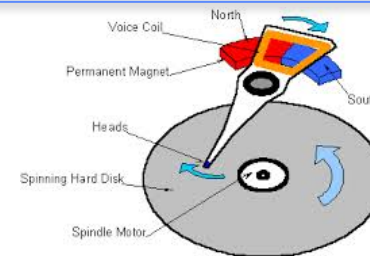
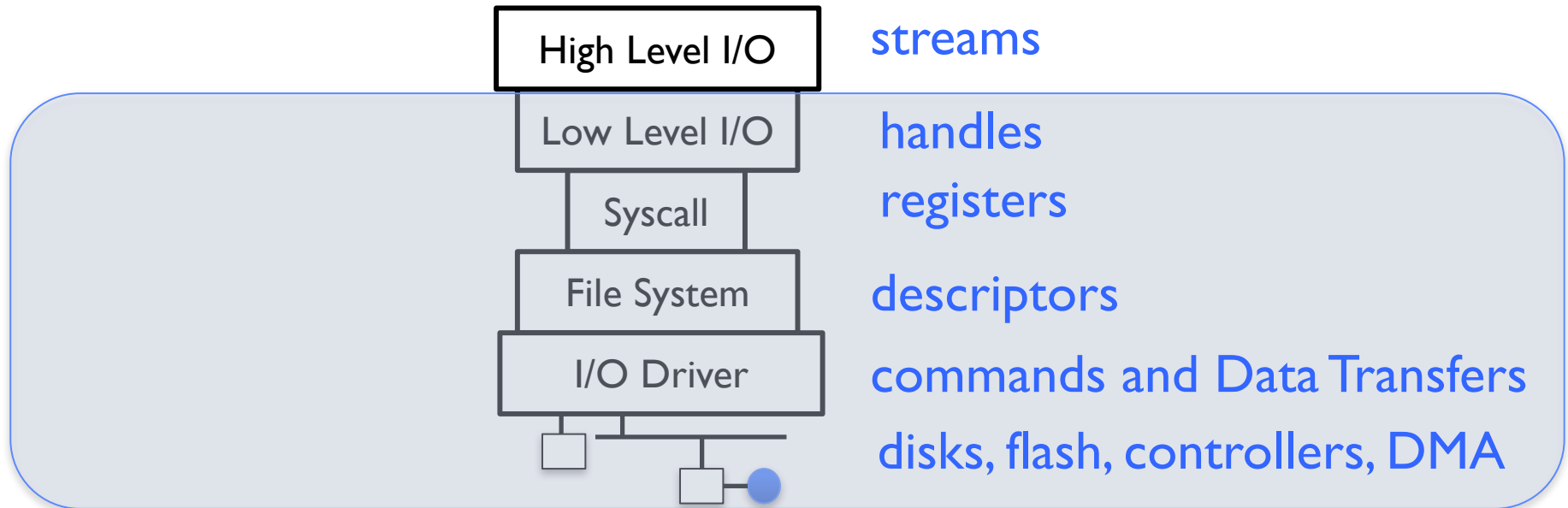
```
int fseek(FILE *stream, long int offset, int whence);  
long int ftell (FILE *stream)  
void rewind (FILE *stream)
```



- Preserves high level abstraction of uniform stream of objects
- Adds buffering for performance

What's below the surface ??

Application / Service



C Low level I/O

- Operations on File Descriptors – as OS object representing the state of a file
 - User has a “handle” on the descriptor

```
#include <fcntl.h>
#include <unistd.h>
#include <sys/types.h>
```

```
int open (const char *filename, int flags [, mode_t mode])
int creat (const char *filename, mode_t mode)
int close (int filedes)
```

Bit vector of:

- Access modes (Rd,Wr, ...)
- Open Flags (Create, ...)
- Operating modes (Appends, ...)

Bit vector of Permission Bits:

- User|Group|Other X R|W|X

http://www.gnu.org/software/libc/manual/html_node/Opening-and-Closing-Files.html

C Low Level: standard descriptors

```
#include <unistd.h>
```

```
STDIN_FILENO - macro has value 0
```

```
STDOUT_FILENO - macro has value 1
```

```
STDERR_FILENO - macro has value 2
```

```
int fileno (FILE *stream)
```

```
FILE * fdopen (int filedes, const char *opentype)
```

- Crossing levels: File descriptors vs. streams
- Don't mix them!

C Low Level Operations

`ssize_t read (int filedes, void *buffer, size_t maxsize)`

- returns bytes read, 0 => EOF, -1 => error

`ssize_t write (int filedes, const void *buffer, size_t size)`

- returns bytes written

`off_t lseek (int filedes, off_t offset, int whence)`

`int fsync (int fildes) - wait for i/o to finish`

`void sync (void) - wait for ALL to finish`

- When write returns, data is on its way to disk and can be read, but it may not actually be permanent!

And lots more !

- TTYs versus files
- Memory mapped files
- File Locking
- Asynchronous I/O
- Generic I/O Control Operations
- Duplicating descriptors

```
int dup2 (int old, int new)
int dup (int old)
```

Another example: lowio-std.c

```
#include <stdlib.h>
#include <stdio.h>
#include <string.h>
#include <unistd.h>
#include <sys/types.h>

#define BUFSIZE 1024

int main(int argc, char *argv[])
{
    char buf[BUFSIZE];
    ssize_t writelen = write(STDOUT_FILENO, "I am a process.\n", 16);

    ssize_t readlen = read(STDIN_FILENO, buf, BUFSIZE);

    ssize_t strlen = snprintf(buf, BUFSIZE, "Got %zd chars\n", readlen);

    writelen = strlen < BUFSIZE ? strlen : BUFSIZE;
    write(STDOUT_FILENO, buf, writelen);

    exit(0);
}
```

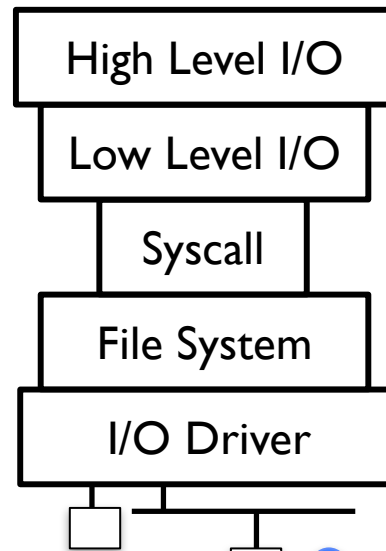
Administrivia

- Waitlist was closed last Friday
- Recommendation: Read assigned readings *before* lecture
- Group sign up this week
 - Get finding groups ASAP – deadline Friday 9/7 at 11:59PM
 - 4 people in a group!
- TA *preference* signup form due Monday 9/10 at 11:59PM
 - Everyone in a group must have the same TA!
 - » Preference given to same section
 - Participation: Get to know your TA!

BREAK

What's below the surface ??

Application / Service



streams

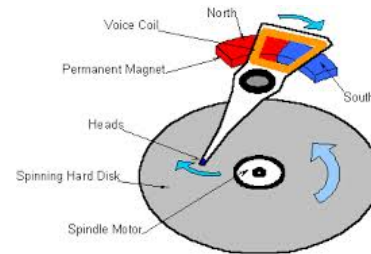
handles

registers

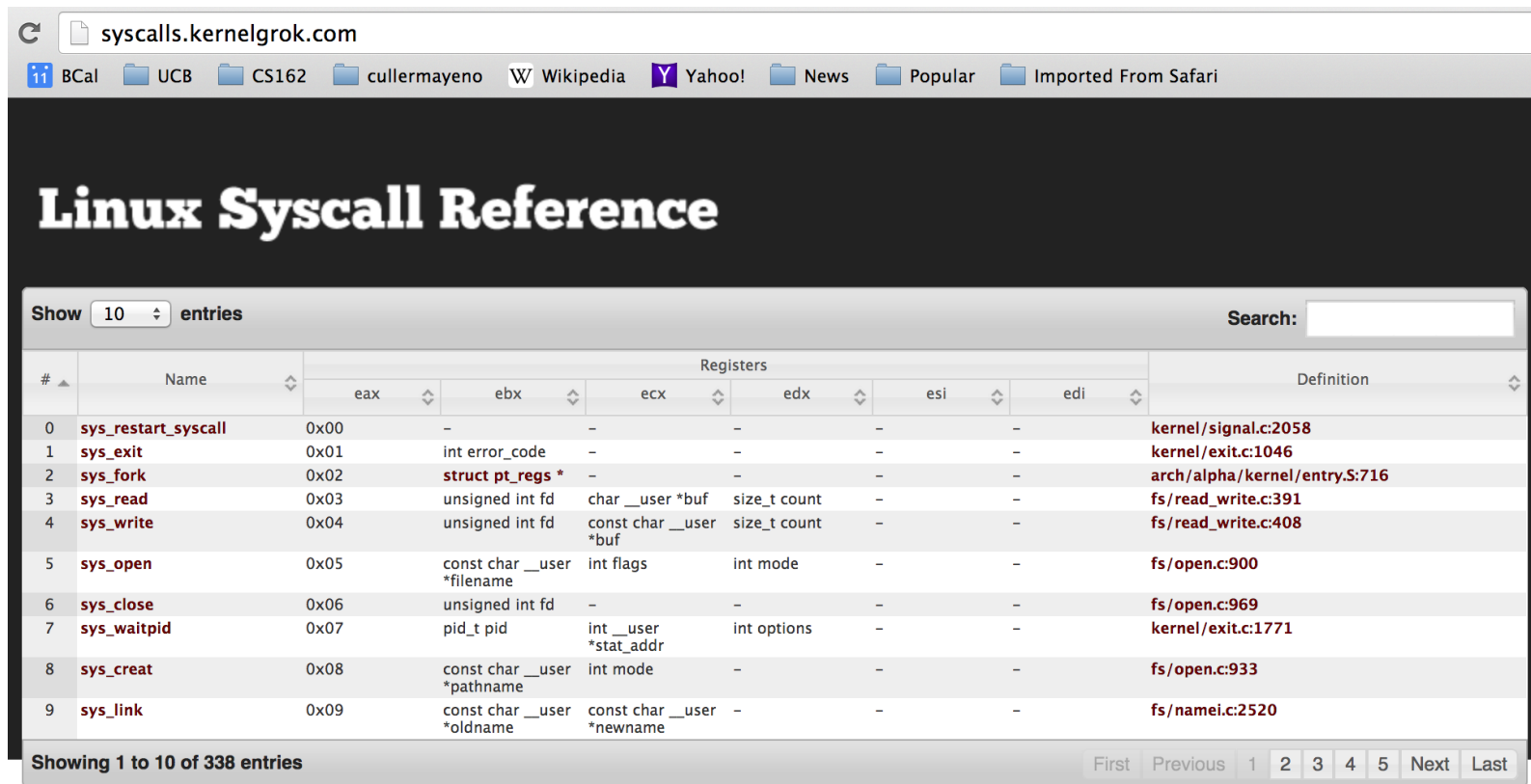
descriptors

Commands and Data Transfers

Disks, Flash, Controllers, DMA



Recall: SYSCALL



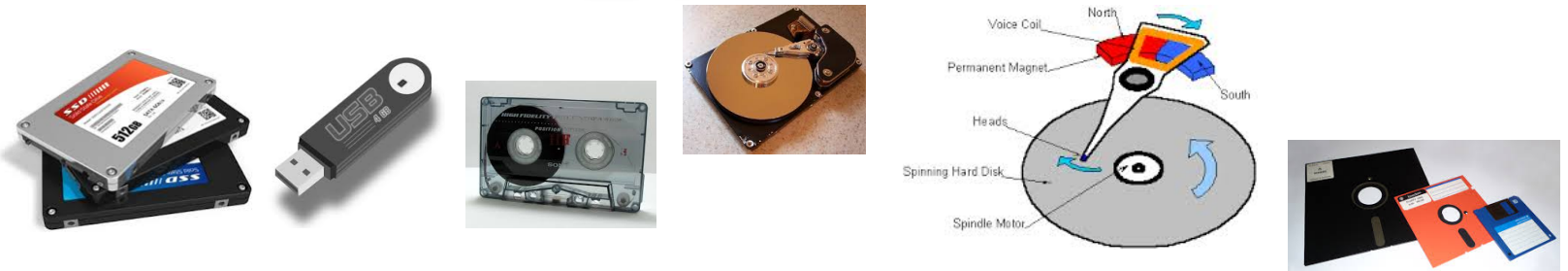
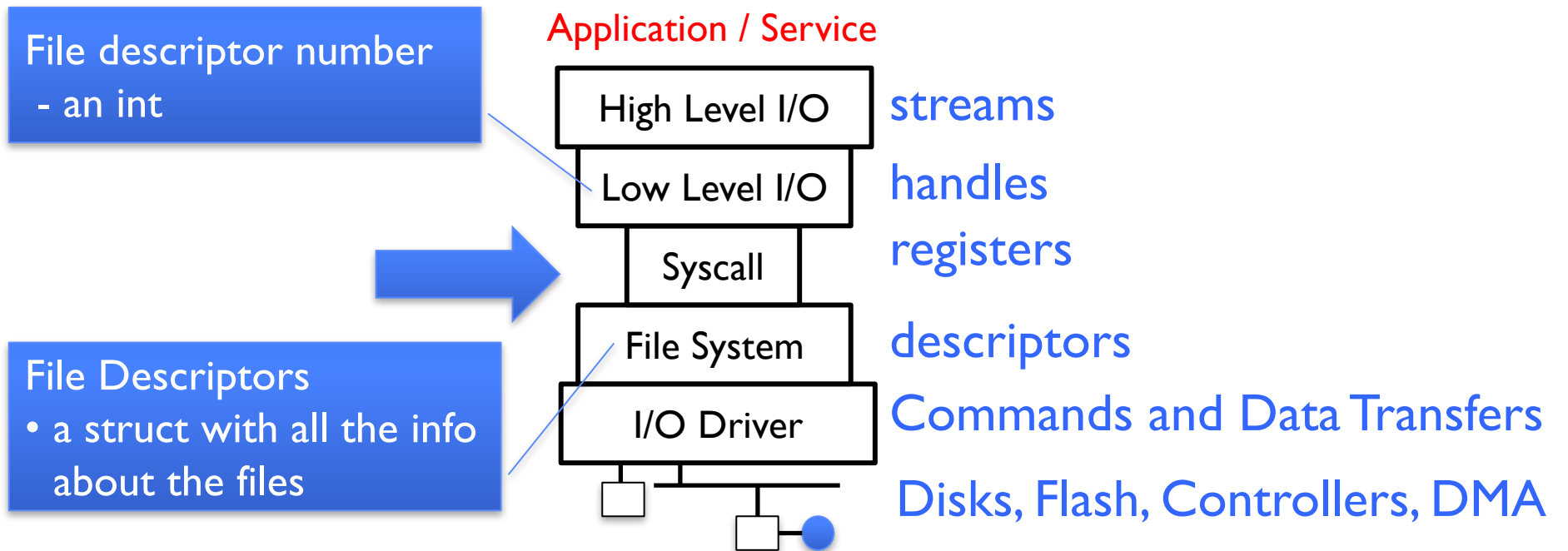
The screenshot shows a web browser displaying the 'Linux Syscall Reference' page. The browser's address bar shows 'syscalls.kernelgrok.com'. The page title is 'Linux Syscall Reference'. Below the title, there is a search bar and a 'Show 10 entries' dropdown. The main content is a table with columns for '#', 'Name', 'Registers' (eax, ebx, ecx, edx, esi, edi), and 'Definition'. The table lists 10 system calls: sys_restart_syscall, sys_exit, sys_fork, sys_read, sys_write, sys_open, sys_close, sys_waitpid, sys_creat, and sys_link. Each entry includes its number, name, register values, and the kernel source file and line number.

#	Name	Registers						Definition
		eax	ebx	ecx	edx	esi	edi	
0	sys_restart_syscall	0x00	-	-	-	-	-	kernel/signal.c:2058
1	sys_exit	0x01	int error_code	-	-	-	-	kernel/exit.c:1046
2	sys_fork	0x02	struct pt_regs *	-	-	-	-	arch/alpha/kernel/entry.S:716
3	sys_read	0x03	unsigned int fd	char __user *buf	size_t count	-	-	fs/read_write.c:391
4	sys_write	0x04	unsigned int fd	const char __user *buf	size_t count	-	-	fs/read_write.c:408
5	sys_open	0x05	const char __user *filename	int flags	int mode	-	-	fs/open.c:900
6	sys_close	0x06	unsigned int fd	-	-	-	-	fs/open.c:969
7	sys_waitpid	0x07	pid_t pid	int __user *stat_addr	int options	-	-	kernel/exit.c:1771
8	sys_creat	0x08	const char __user *pathname	int mode	-	-	-	fs/open.c:933
9	sys_link	0x09	const char __user *oldname	const char __user *newname	-	-	-	fs/namei.c:2520

Generated from Linux kernel 2.6.35.4 using **Exuberant Ctags**, **Python**, and **DataTables**.
Project on **GitHub**. Hosted on **GitHub Pages**.

- Low level lib parameters are set up in registers and syscall instruction is issued
 - A type of synchronous exception that enters well-defined entry points into kernel

What's below the surface ??



Internal OS File Descriptor

- Internal Data Structure describing everything about the file
 - Where it resides
 - Its status
 - How to access it

- Pointer:

`struct file *file`

```
lxr.free-electrons.com/source/include/linux/fs.h#L747
746
747 struct file {
748     union {
749         struct llist_node    fu_llist;
750         struct rcu_head      fu_rcuhead;
751     } f_u;
752     struct path              f_path;
753 #define f_dentry              f_path.dentry
754     struct inode              *f_inode;    /* caci
755     const struct file_operations *f_op;
756
757     /*
758      * Protects f_ep_links, f_flags.
759      * Must not be taken from IRQ context.
760      */
761     spinlock_t                f_lock;
762     atomic_long_t              f_count;
763     unsigned int               f_flags;
764     fmode_t                    f_mode;
765     struct mutex                f_pos_lock;
766     loff_t                      f_pos;
767     struct fown_struct          f_owner;
768     const struct cred           *f_cred;
769     struct file_ra_state       f_ra;
770
771     u64                        f_version;
772 #ifdef CONFIG_SECURITY
773     void                        *f_security;
774 #endif
775     /* needed for tty driver, and maybe others */
776     void                        *private_data;
777
778 #ifdef CONFIG_EPOLL
779     /* Used by fs/eventpoll.c to link all the hook:
780     struct list_head            f_ep_links;
781     struct list_head            f_tfile_llink;
782 #endif /* #ifdef CONFIG_EPOLL */
783     struct address_space        *f_mapping;
784 } __attribute__((aligned(4))); /* lest something weird
785
```

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return 0;
    if (!file->f_op || (!file->f_op->read &&
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count)))
        return -EINVAL;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

- Read up to “count” bytes from “file” starting from “pos” into “buf”.
- Return error or number of bytes read.

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EIO;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

Make sure we are allowed to read this file

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

Check if file has
read methods

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

- Check whether we can write to buf (e.g., buf is in the user space range)
- unlikely(): hint to branch prediction this condition is unlikely

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

Check whether we read from a valid range in the file.

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

If driver provide a read function (f_op->read) use it; otherwise use do_sync_read()

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
    }
    if (ret > 0) {
        fsnotify_access(file->f_path.dentry);
        add_rchar(current, ret);
    }
    inc_syscr(current);
}
return ret;
}
```

Notify the parent of this file that the file was read (see <http://www.fieldses.org/~bfield/s/kernel/vfs.txt>)

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

Update the number of bytes read by “current” task (for scheduling purposes)

File System: from syscall to driver

In fs/read_write.c

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
{
    ssize_t ret;
    if (!(file->f_mode & FMODE_READ)) return -EBADF;
    if (!file->f_op || (!file->f_op->read && !file->f_op->aio_read))
        return -EINVAL;
    if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
    ret = rw_verify_area(READ, file, pos, count);
    if (ret >= 0) {
        count = ret;
        if (file->f_op->read)
            ret = file->f_op->read(file, buf, count, pos);
        else
            ret = do_sync_read(file, buf, count, pos);
        if (ret > 0) {
            fsnotify_access(file->f_path.dentry);
            add_rchar(current, ret);
        }
        inc_syscr(current);
    }
    return ret;
}
```

Update the number of read syscalls by “current” task (for scheduling purposes)

Lower Level Driver

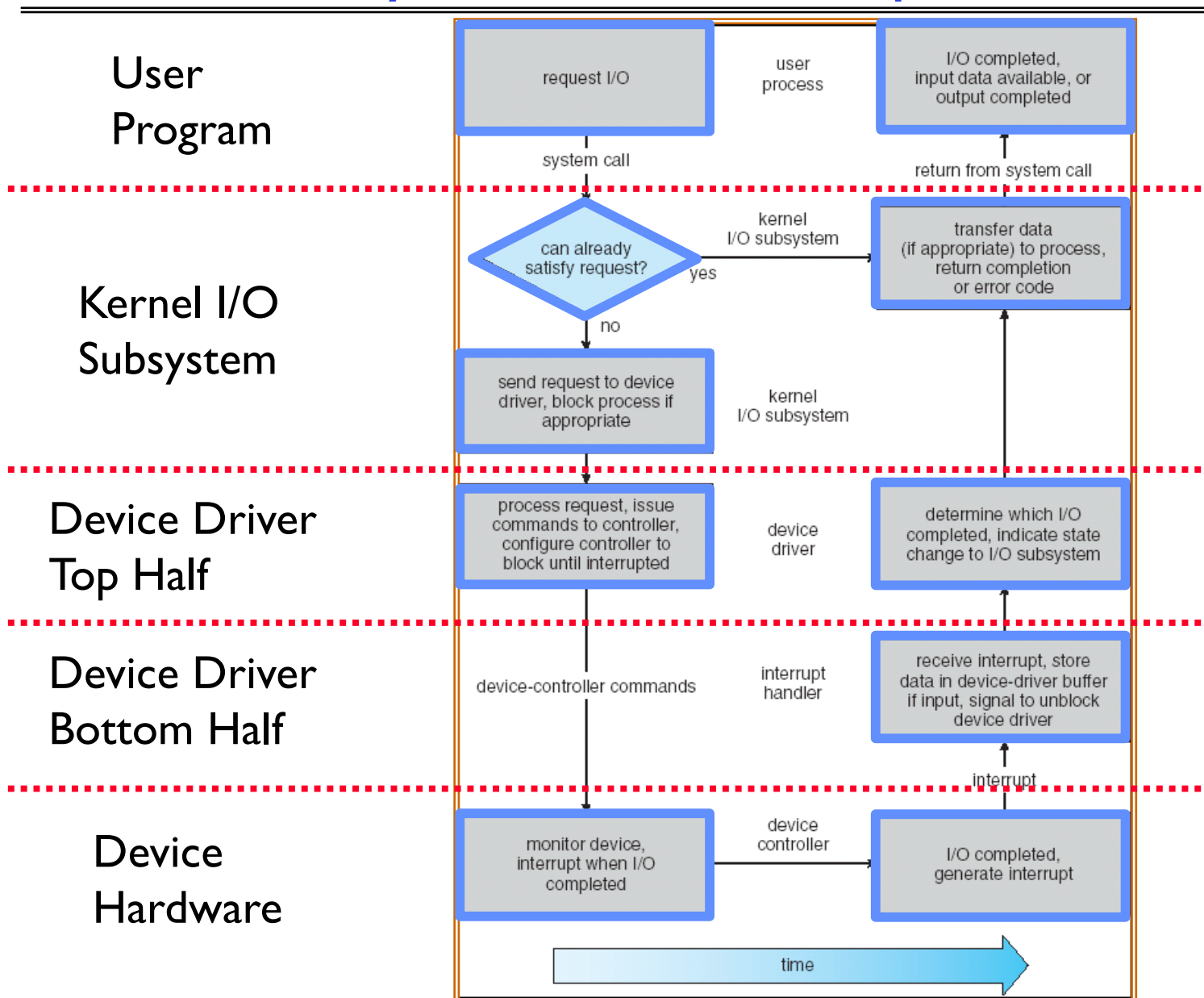
- Associated with particular hardware device
- Registers / Unregisters itself with the kernel
- Handler functions for each of the file operations

```
struct file_operations {
    struct module *owner;
    loff_t (*llseek) (struct file *, loff_t, int);
    ssize_t (*read) (struct file *, char __user *, size_t, loff_t *);
    ssize_t (*write) (struct file *, const char __user *, size_t, loff_t *);
    ssize_t (*aio_read) (struct kiocb *, const struct iovec *, unsigned long, loff_t);
    ssize_t (*aio_write) (struct kiocb *, const struct iovec *, unsigned long, loff_t);
    int (*readdir) (struct file *, void *, filldir_t);
    unsigned int (*poll) (struct file *, struct poll_table_struct *);
    int (*ioctl) (struct inode *, struct file *, unsigned int, unsigned long);
    int (*mmap) (struct file *, struct vm_area_struct *);
    int (*open) (struct inode *, struct file *);
    int (*flush) (struct file *, fl_owner_t id);
    int (*release) (struct inode *, struct file *);
    int (*fsync) (struct file *, struct dentry *, int datasync);
    int (*fasync) (int, struct file *, int);
    int (*flock) (struct file *, int, struct file_lock *);
    [...]
};
```

Device Drivers

- **Device Driver:** Device-specific code in the kernel that interacts directly with the device hardware
 - Supports a standard, internal interface
 - Same kernel I/O system can interact easily with different device drivers
 - Special device-specific configuration supported with the `ioctl()` system call
- Device Drivers typically divided into two pieces:
 - Top half: accessed in call path from system calls
 - » implements a set of **standard, cross-device calls** like `open()`, `close()`, `read()`, `write()`, `ioctl()`, `strategy()`
 - » This is the kernel's interface to the device driver
 - » Top half will *start* I/O to device, may put thread to sleep until finished
 - Bottom half: run as interrupt routine
 - » Gets input or transfers next block of output
 - » May wake sleeping threads if I/O now complete

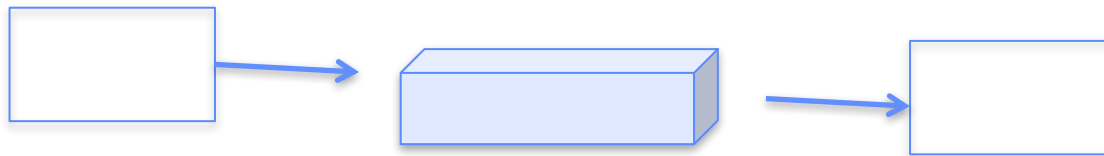
Life Cycle of An I/O Request



Communication between processes

- Can we view files as communication channels?

```
write(wfd, wbuf, wlen);
```

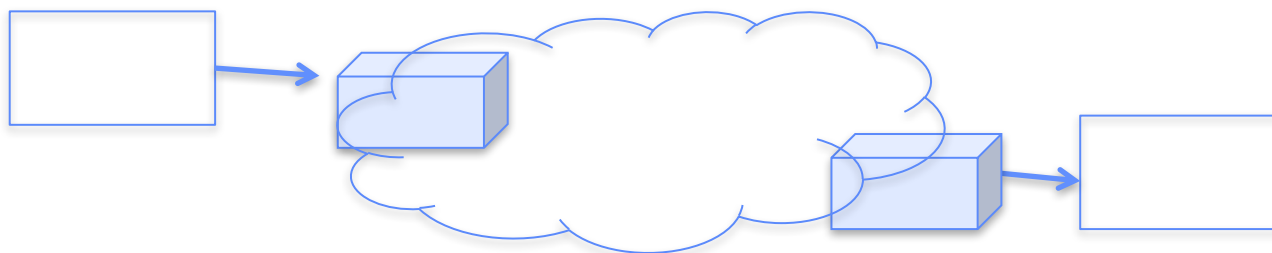


```
n = read(rfd, rbuf, rmax);
```

- Producer and Consumer of a file may be distinct processes
 - May be separated in time (or not)
- However, what if data written once and consumed once?
 - Don't we want something more like a queue?
 - Can still look like File I/O!

Communication Across the world looks like file IO

```
write(wfd, wbuf, wlen);
```



```
n = read(rfd, rbuf, rmax);
```

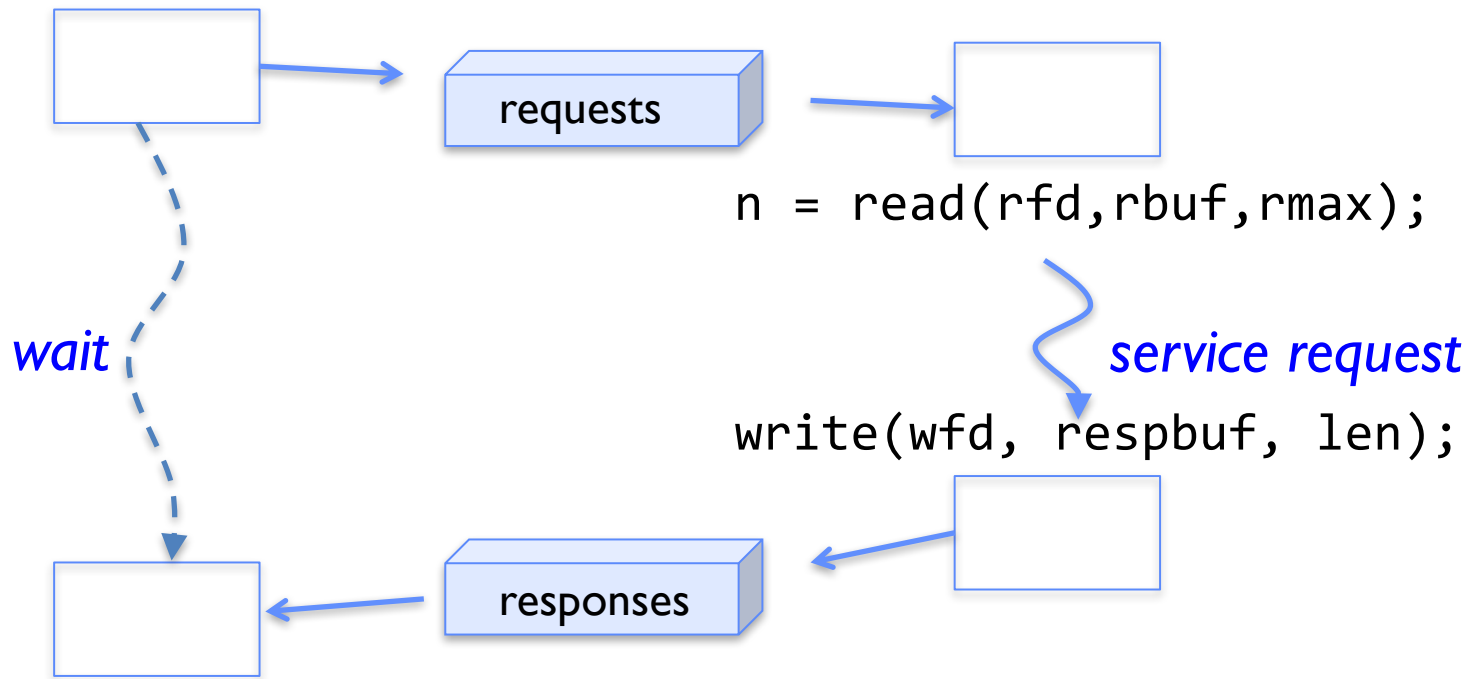
- Connected queues over the Internet
 - But what's the analog of open?
 - What is the namespace?
 - How are they connected in time?

Request Response Protocol

Client (issues requests)

Server (performs operations)

```
write(rqfd, rqbuf, buflen);
```



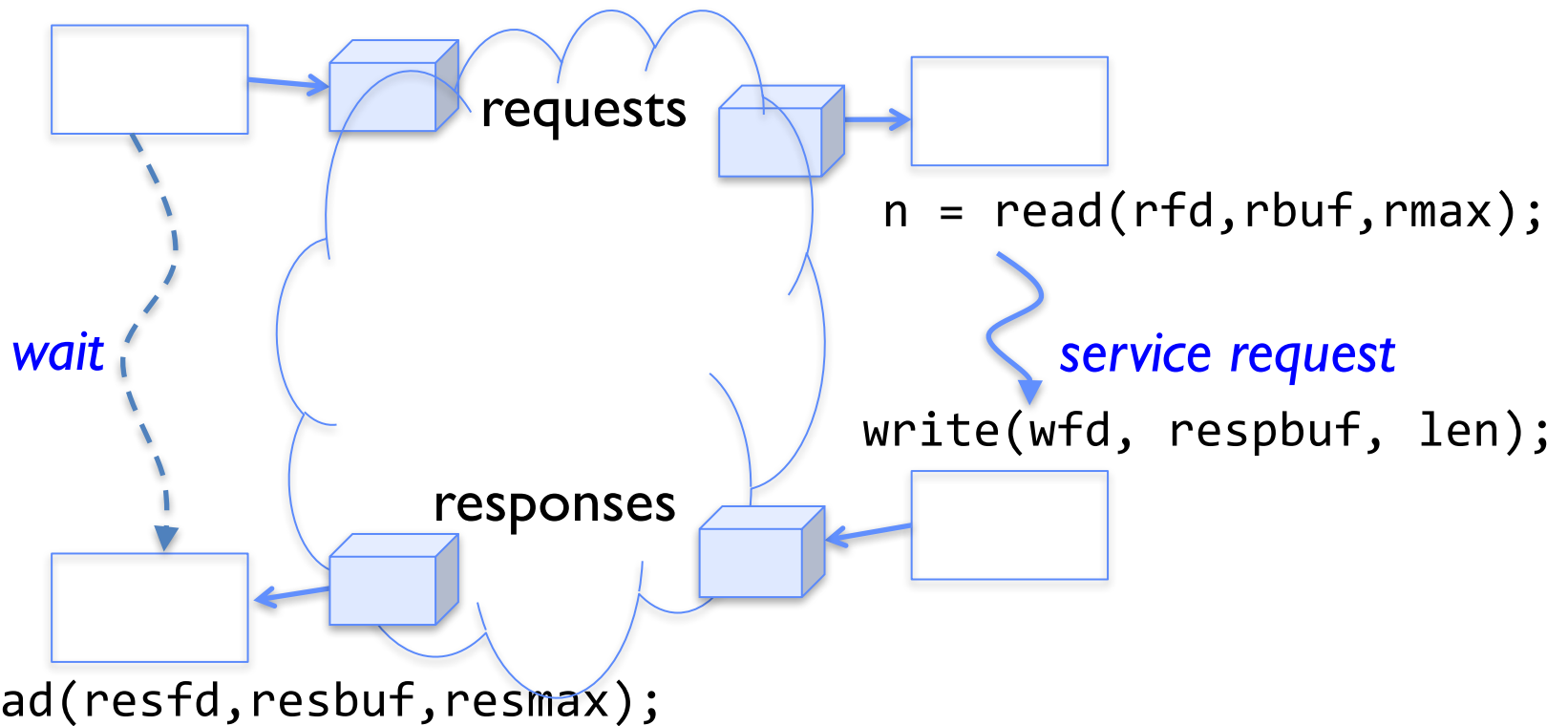
```
n = read(resfd, resbuf, resmax);
```

Request Response Protocol

Client (issues requests)

Server (performs operations)

```
write(rqfd, rqbuf, buflen);
```

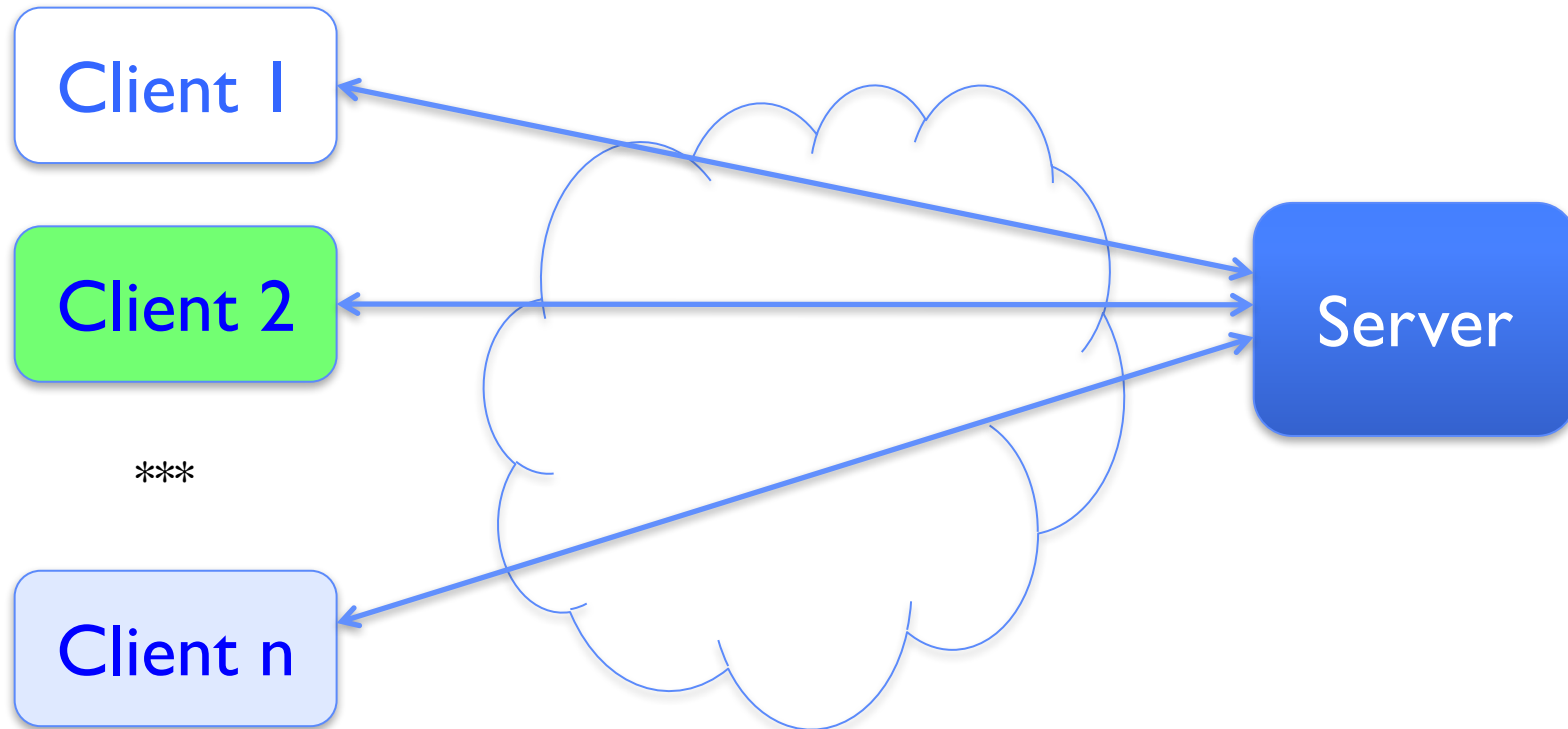


```
n = read(resfd, resbuf, resmax);
```

```
n = read(rfd, rbuf, rmax);
```

```
write(wfd, respbuf, len);
```

Client-Server Models



- File servers, web, FTP, Databases, ...
- Many clients accessing a common server

Conclusion (I)

- System Call Interface is “narrow waist” between user programs and kernel
- Streaming I/O: modeled as a stream of bytes
 - Most streaming I/O functions start with “f” (like “**fread**”)
 - Data buffered automatically by C-library functions
- Low-level I/O:
 - File descriptors are integers
 - Low-level I/O supported directly at system call level
- **STDIN / STDOUT** enable composition in Unix
 - Use of pipe symbols connects **STDOUT** and **STDIN**
 - » `find | grep | wc ...`

Conclusion (II)

- Device Driver: Device-specific code in the kernel that interacts directly with the device hardware
 - Supports a standard, internal interface
 - Same kernel I/O system can interact easily with different device drivers
- File abstraction works for inter-processes communication (local or Internet)