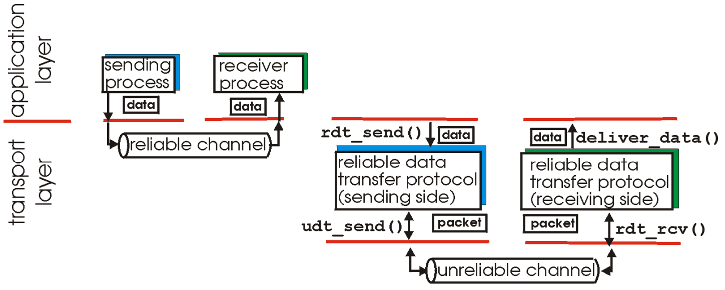
Reliable Data Transfer

EECS 122

Feb. 9, 2006

Recap: Reliable data transfer

- Goal: provide reliable packet delivery over unreliable channels.



(a) provided service

(b) service implementation

- We have considered bit flips as the only channel impairment
- Proposed a stop-and-wait protocol based on ACK/NACK

rdt2.0 has a fatal flaw!

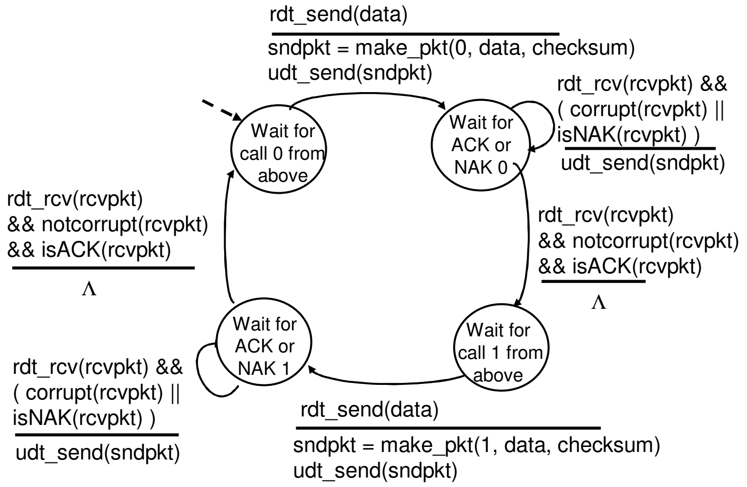
What happens if ACK/NAK corrupted?

- sender doesn't know what happened at receiver!
- can't just retransmit: possible duplicate

Handling duplicates:

- sender retransmits current pkt if ACK/NAK garbled
- sender adds *sequence number* to each pkt
- receiver discards (doesn't deliver up) duplicate pkt

rdt2.1: sender, handles garbled ACK/NAKs



rdt_send(data)
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isNAK(rcvpkt))
udt_send(sndpkt)

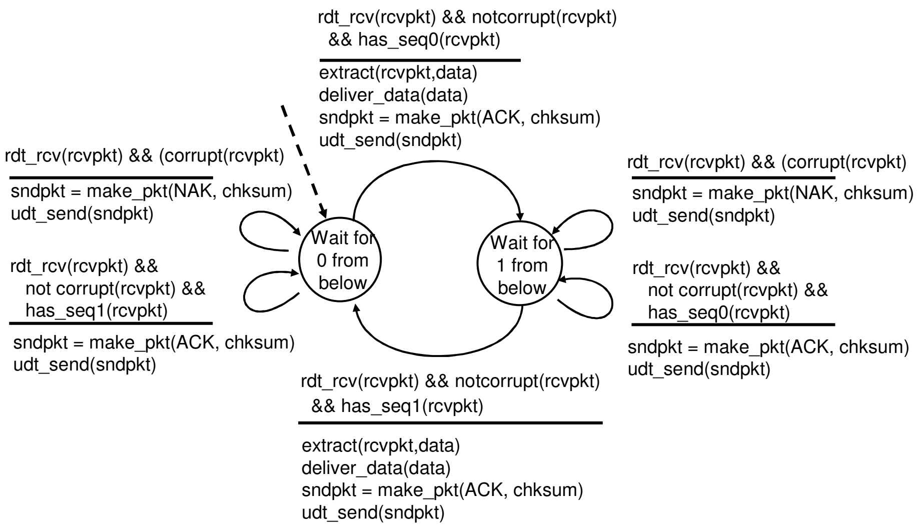
rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt)
Λ

rdt_send(data)
sndpkt = make_pkt(1, data, checksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isNAK(rcvpkt))
udt_send(sndpkt)

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt)
Λ

rdt2.1: receiver, handles garbled ACK/NAKs



Wait for 0 from below

Wait for 1 from below

rdt_rcv(rcvpkt) && notcorrupt(rcvpkt)
&& has_seq0(rcvpkt)

extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) && (corrupt(rcvpkt)

sndpkt = make_pkt(NAK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) &&
not corrupt(rcvpkt) &&
has_seq1(rcvpkt)

sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) && (corrupt(rcvpkt)

sndpkt = make_pkt(NAK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) &&
not corrupt(rcvpkt) &&
has_seq0(rcvpkt)

sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt_rcv(rcvpkt) && notcorrupt(rcvpkt)
&& has_seq1(rcvpkt)

extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)

rdt2.1: discussion

Sender:

- seq # added to pkt
- two seq. #'s (0,1) will suffice. Why?
- must check if received ACK/NAK corrupted
- twice as many states
  - state must "remember" whether "current" pkt has 0 or 1 seq. #

Receiver:

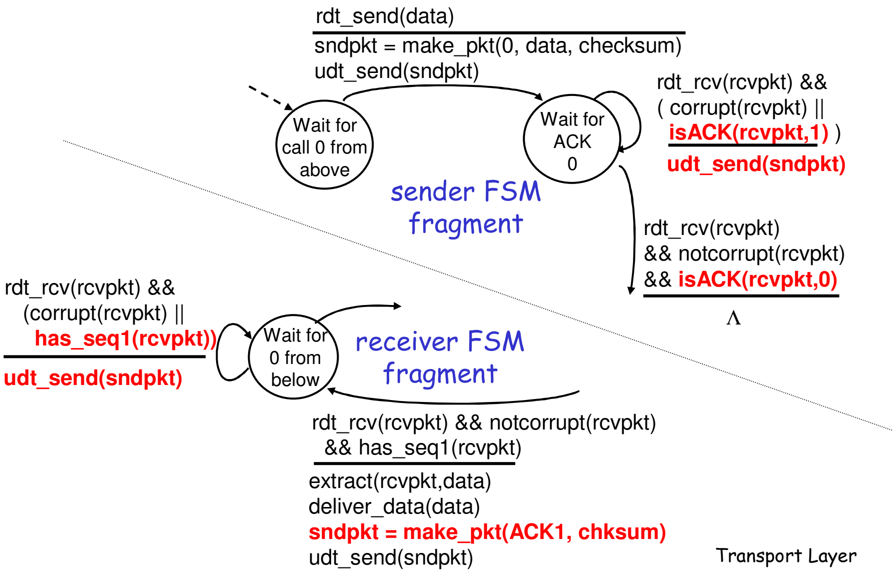
- must check if received packet is duplicate
  - state indicates whether 0 or 1 is expected pkt seq #
- note: receiver can *not* know if its last ACK/NAK received OK at sender

rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- instead of NAK, receiver sends ACK for last pkt received OK
  - receiver must *explicitly* include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

Transport Layer 7

rdt2.2: sender, receiver fragments



rdt_send(data)
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)

Wait for call 0 from above

Wait for ACK 0

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isACK(rcvpkt,1))
udt_send(sndpkt)

sender FSM fragment

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& **isACK(rcvpkt,0)**
Λ

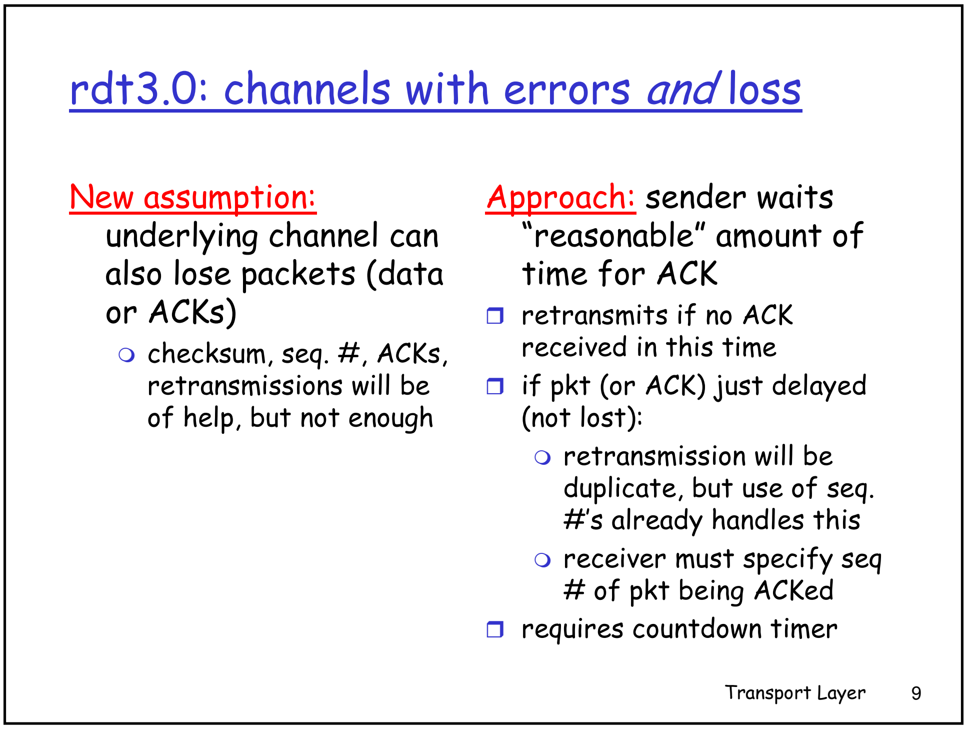
rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
has_seq1(rcvpkt))
udt_send(sndpkt)

Wait for 0 from below

receiver FSM fragment

rdt_rcv(rcvpkt) && notcorrupt(rcvpkt)
&& has_seq1(rcvpkt)
extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK1, chksum)
udt_send(sndpkt)

Transport Layer 8



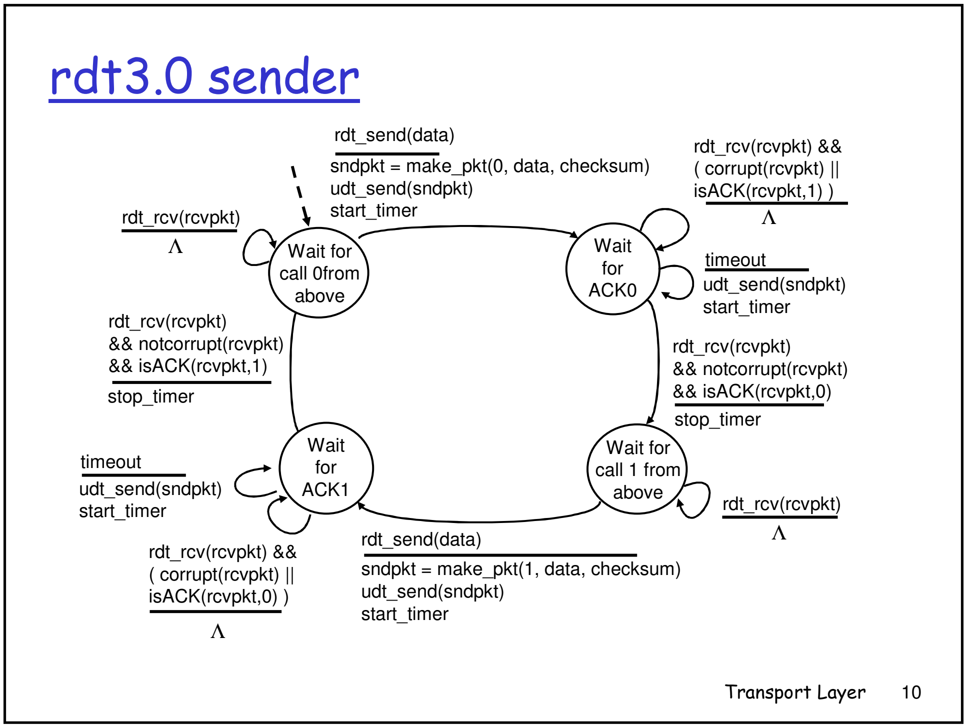
rdt3.0: channels with errors *and* loss

New assumption: underlying channel can also lose packets (data or ACKs)

- checksum, seq. #, ACKs, retransmissions will be of help, but not enough

Approach: sender waits "reasonable" amount of time for ACK

- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but use of seq. #'s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer



rdt3.0 sender

Wait for call 0from above

Wait for ACK0

Wait for call 1 from above

Wait for ACK1

rdt_send(data)
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)
start_timer

rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isACK(rcvpkt,1))
Λ

timeout
udt_send(sndpkt)
start_timer

rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt,0)
stop_timer

rdt_rcv(rcvpkt)
Λ

rdt_send(data)
sndpkt = make_pkt(1, data, checksum)
udt_send(sndpkt)
start_timer

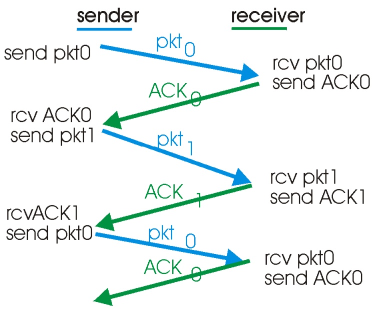
rdt_rcv(rcvpkt) &&
(corrupt(rcvpkt) ||
isACK(rcvpkt,0))
Λ

timeout
udt_send(sndpkt)
start_timer

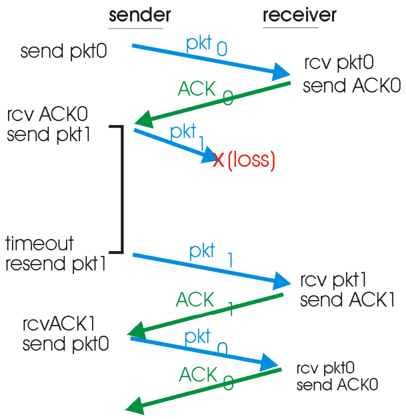
rdt_rcv(rcvpkt)
&& notcorrupt(rcvpkt)
&& isACK(rcvpkt,1)
stop_timer

rdt_rcv(rcvpkt)
Λ

rdt3.0 in action

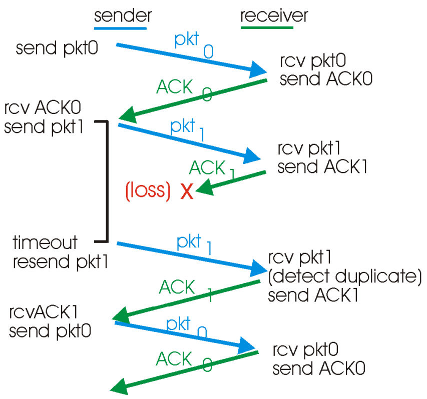


(a) operation with no loss

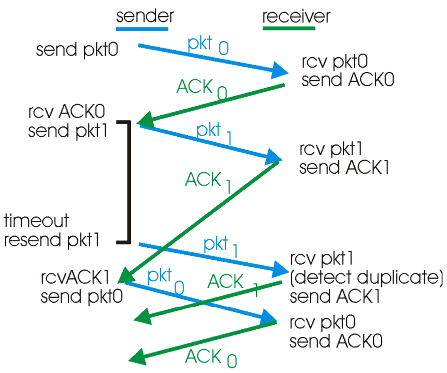


(b) lost packet

rdt3.0 in action



(c) lost ACK



(d) premature timeout

Performance of rdt3.0

- rdt3.0 works, but performance stinks
- example: 1 Gbps link, 15 ms e-e prop. delay, 1KB packet:

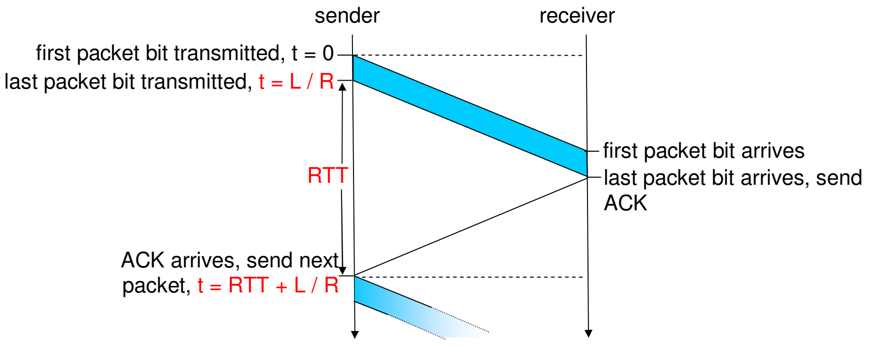
$$T_{\text{transmit}} = \frac{L \text{ (packet length in bits)}}{R \text{ (transmission rate, bps)}} = \frac{8\text{kb/pkt}}{10^{**}9 \text{ b/sec}} = 8 \text{ microsec}$$

- U_{sender}: utilization – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

- 1KB pkt every 30 msec -> 33kB/sec thruput over 1 Gbps link
- network protocol limits use of physical resources!

rdt3.0: stop-and-wait operation

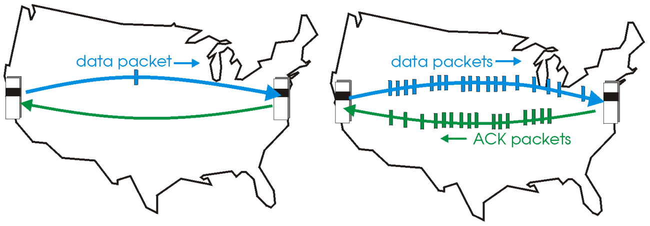

sender
receiver
first packet bit transmitted, t = 0
last packet bit transmitted, t = L / R
RTT
first packet bit arrives
last packet bit arrives, send ACK
ACK arrives, send next packet, t = RTT + L / R

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

Pipelined protocols

Pipelining: sender allows multiple, “in-flight”, yet-to-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver

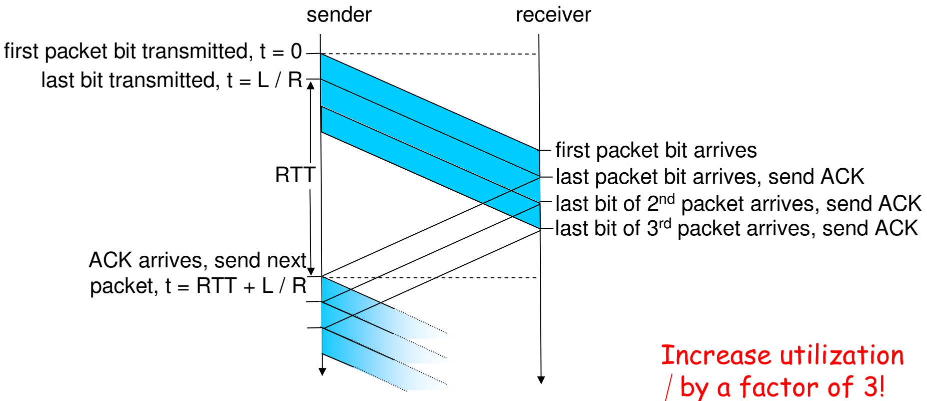


(a) a stop-and-wait protocol in operation

(b) a pipelined protocol in operation

- Two generic forms of pipelined protocols: *go-Back-N, selective repeat*

Pipelining: increased utilization



sender

receiver

first packet bit transmitted, t = 0

last bit transmitted, t = L / R

RTT

ACK arrives, send next packet, t = RTT + L / R

first packet bit arrives

last packet bit arrives, send ACK

last bit of 2nd packet arrives, send ACK

last bit of 3rd packet arrives, send ACK

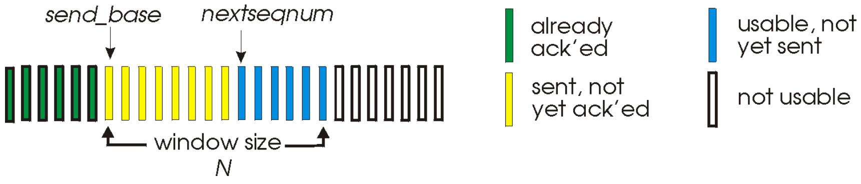
Increase utilization by a factor of 3!

$$U_{sender} = \frac{3 * L / R}{RTT + L / R} = \frac{.024}{30.008} = 0.0008$$

Go-Back-N

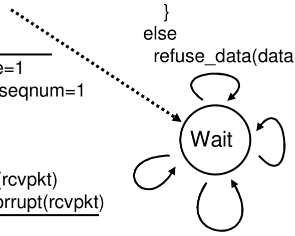
Sender:

- k-bit seq # in pkt header
- "window" of up to N, consecutive unack'ed pkts allowed



- ACK(n): ACKs all pkts up to, including seq # n - "cumulative ACK"
  - may receive duplicate ACKs (see receiver)
- timer for each in-flight pkt
- *timeout(n):* retransmit pkt n and all higher seq # pkts in window

GBN: sender extended FSM



```
rdt_send(data)
________________
if (nextseqnum < base+N) {
   sndpkt[nextseqnum] = make_pkt(nextseqnum,data,chksum)
   udt_send(sndpkt[nextseqnum])
   if (base == nextseqnum)
     start_timer
   nextseqnum++
   }
else
  refuse_data(data)
```

```
Λ
________
base=1
nextseqnum=1
```

```
timeout
________________
start_timer
udt_send(sndpkt[base])
udt_send(sndpkt[base+1])
…
udt_send(sndpkt[nextseqnum-1])
```

```
rdt_rcv(rcvpkt)
  && corrupt(rcvpkt)
________________
```

```
rdt_rcv(rcvpkt) &&
   notcorrupt(rcvpkt)
________________
base = getacknum(rcvpkt)+1
If (base == nextseqnum)
    stop_timer
  else
    start_timer
```

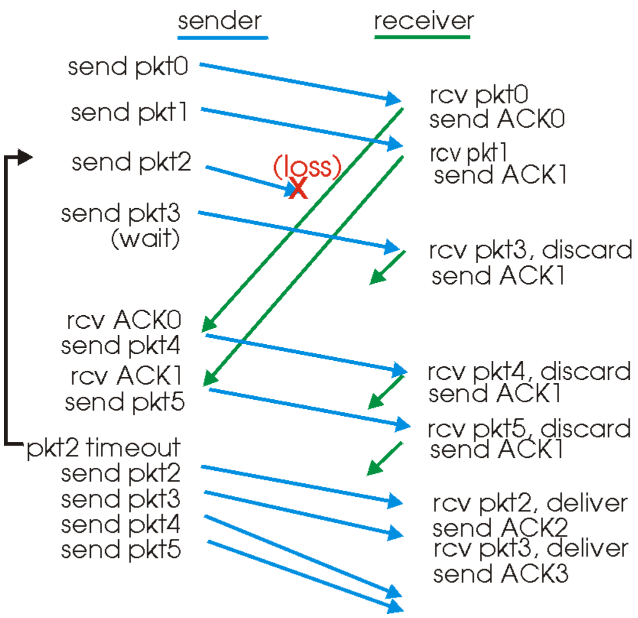
GBN: receiver

ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #

- may generate duplicate ACKs
- need only remember **`expectedseqnum`**

- out-of-order pkt:
  - discard (don't buffer) -> no receiver buffering!
  - Re-ACK pkt with highest in-order seq #

GBN in action



sender

receiver

send pkt0

rcv pkt0
send ACK0

send pkt1

rcv pkt1
send ACK1

send pkt2

(loss)

X

send pkt3
(wait)

rcv pkt3, discard
send ACK1

rcv ACK0
send pkt4

rcv pkt4, discard
send ACK1

rcv ACK1
send pkt5

rcv pkt5, discard
send ACK1

pkt2 timeout
send pkt2
send pkt3
send pkt4
send pkt5

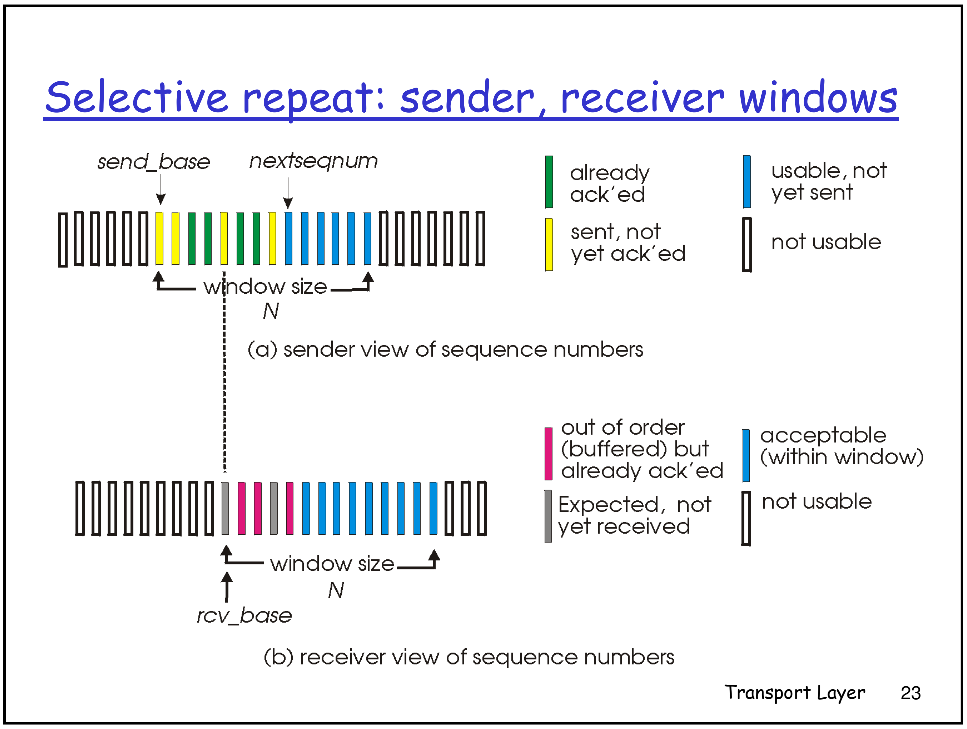
rcv pkt2, deliver
send ACK2
rcv pkt3, deliver
send ACK3

Window Size

- The window size limits actual throughput.
- Why bother with having a window at all?
- It is used to do flow control and congestion control.
- This will be discussed next week.

Selective Repeat

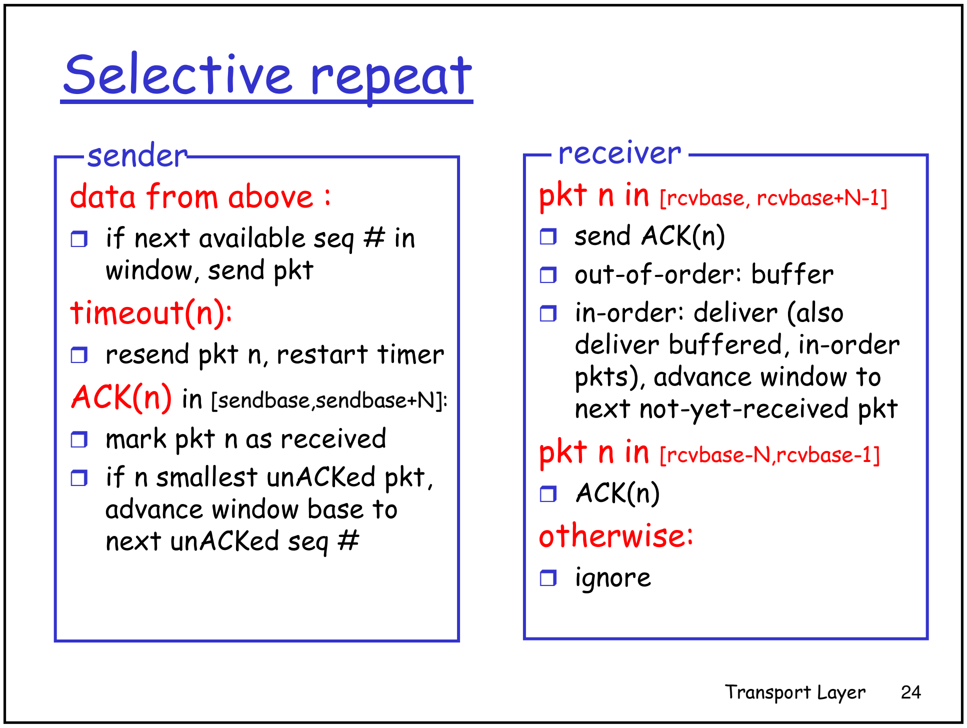
- GBN is somewhat wasteful, as correctly received but out-of-order packets are sent again.
- In selective repeat, receiver *individually* acknowledges all correctly received pkts
  - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
  - sender timer for each unACKed pkt
- sender window
  - N consecutive seq #'s
  - again limits seq #s of sent, unACKed pkts



Selective repeat: sender, receiver windows

(a) sender view of sequence numbers

(b) receiver view of sequence numbers



Selective repeat

sender

data from above :

- if next available seq # in window, send pkt

timeout(n):

- resend pkt n, restart timer

ACK(n) in [sendbase,sendbase+N]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

receiver

pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

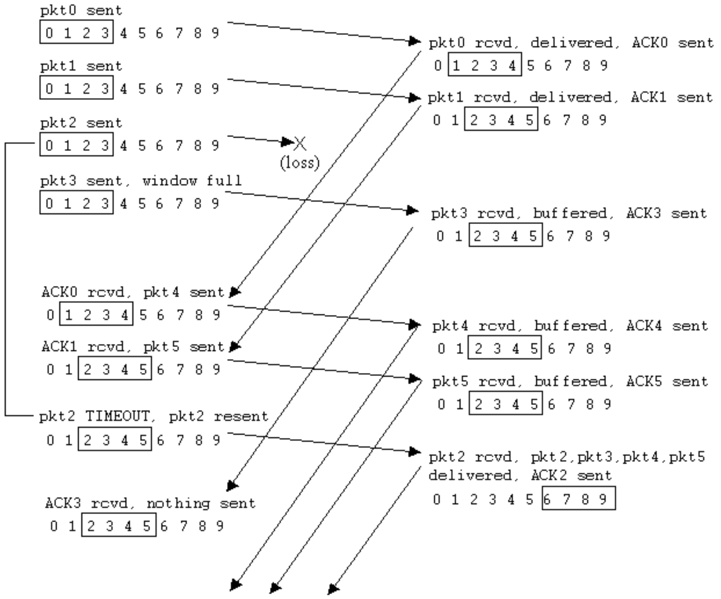
pkt n in [rcvbase-N,rcvbase-1]

- ACK(n)

otherwise:

- ignore

Selective repeat in action



pkt0 sent
0 1 2 3 4 5 6 7 8 9

pkt1 sent
0 1 2 3 4 5 6 7 8 9

pkt2 sent
0 1 2 3 4 5 6 7 8 9

X (loss)

pkt3 sent, window full
0 1 2 3 4 5 6 7 8 9

ACK0 rcvd, pkt4 sent
0 1 2 3 4 5 6 7 8 9

ACK1 rcvd, pkt5 sent
0 1 2 3 4 5 6 7 8 9

pkt2 TIMEOUT, pkt2 resent
0 1 2 3 4 5 6 7 8 9

ACK3 rcvd, nothing sent
0 1 2 3 4 5 6 7 8 9

pkt0 rcvd, delivered, ACK0 sent
0 1 2 3 4 5 6 7 8 9

pkt1 rcvd, delivered, ACK1 sent
0 1 2 3 4 5 6 7 8 9

pkt3 rcvd, buffered, ACK3 sent
0 1 2 3 4 5 6 7 8 9

pkt4 rcvd, buffered, ACK4 sent
0 1 2 3 4 5 6 7 8 9

pkt5 rcvd, buffered, ACK5 sent
0 1 2 3 4 5 6 7 8 9

pkt2 rcvd, pkt2,pkt3,pkt4,pkt5 delivered, ACK2 sent
0 1 2 3 4 5 6 7 8 9

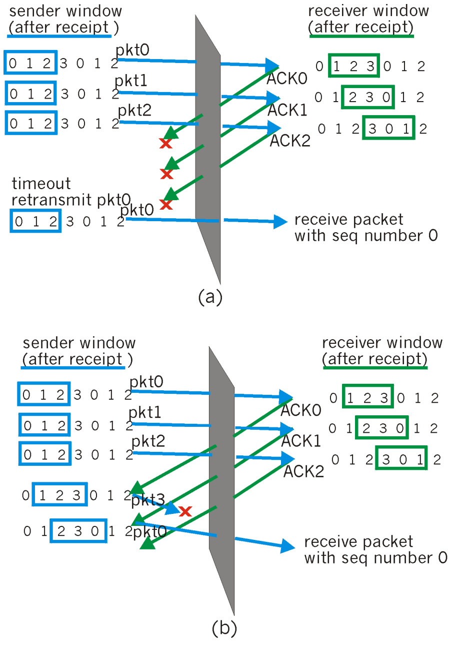
Selective repeat: dilemma

Example:

- seq #'s: 0, 1, 2, 3
- window size=3

- receiver sees no difference in two scenarios!
- incorrectly passes duplicate data as new in (a)

Q: what relationship between seq # size and window size?



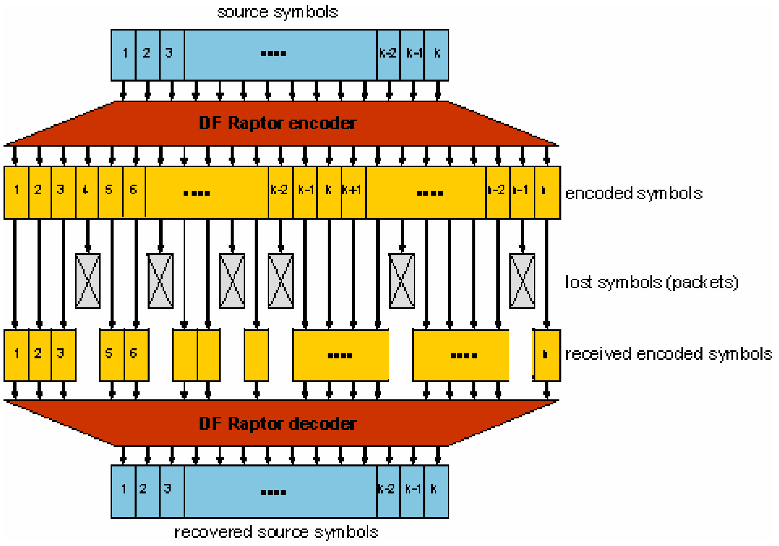
sender window (after receipt)

receiver window (after receipt)

Forward Erasure/Error Correction: A Different Approach to RDT

- Our approach to reliable data delivery is based on ACKs and retransmissions, i.e. feedback.
- Long RTTs => long delays and/or low throughput
- An alternative approach is via forward corrections for errors and losses.

Example: Fountain Codes


source symbols
1
2
3
....
k-2
k-1
k
DF Raptor encoder
1 2 3 4 5 6 .... k-2 k-1 k k+1 .... n-2 n-1 n
encoded symbols
lost symbols (packets)
1 2 3 5 6 .... n
received encoded symbols
DF Raptor decoder
1 2 3 .... k-2 k-1 k
recovered source symbols