

EE247

Lecture 3

- Summary- last week
- Integrator based filters
 - Signal flowgraph concept
 - First order integrator based filter
 - Second order integrator based filter & biquads
- High order & high Q filters
 - Cascaded biquads
 - Cascaded biquad sensitivity
 - Ladder type filters

Summary Last Week

- Major success in CMOS technology scaling:
 - Inexpensive DSPs technology
 - Resulted in the need for high performance Analog/Digital interface circuitry
- Analog/Digital interface building blocks includes
 - Analog filters
 - D/A converters
 - A/D converters

Summary Last Week

Analog filters:

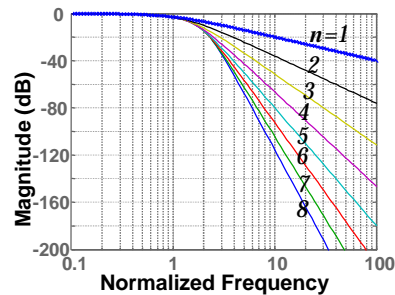
- Filter specifications
 - Quality factor
 - Frequency characteristics
 - Group delay- no phase distortion → constant group delay
- Filter types
 - Butterworth → Maximally flat passband amplitude
 - Chebyshev I → Ripple in the passband
 - Chebyshev II → Ripple in the stopband
 - Elliptic → Ripple in both passband & stopband – highest rolloff/pole
 - Bessel → Maximally flat group delay
- Group delay comparison example → phase distortion effects on BER

Summary

LPF Out-of-Band Signal Attenuation Versus Filter Order

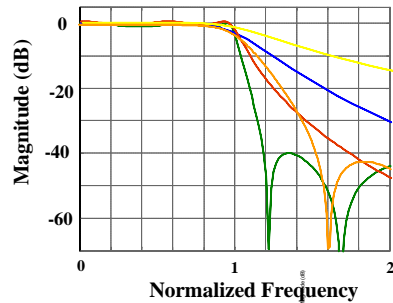
- Example: Butterworth low-pass filter
 - Magnitude roll-off versus filter order (n):

*Out of band magnitude
rolloff →
 $n \times 20 \text{ dB/Decade}$*



Summary

Comparison of Various LPF Magnitude Response



All 5th order filters with same corner freq.

Bessel	—
Butterworth	—
Chebyshev I	—
Chebyshev II	—
Elliptic	—

Summary Last Week

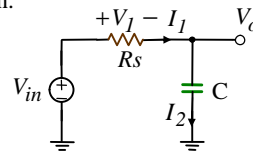
Monolithic LC Filters

- Monolithic inductor in CMOS tech.
 - Integrated $L < 10\text{nH}$ with $Q < 10$ combined with max. cap. 10pF
 - LC filters in the monolithic form feasible: $\text{freq} > 500\text{MHz}$
- Analog/Digital interface circuitry require fully integrated filters with critical frequencies $\ll 500\text{MHz}$
- Good alternative:

⇒ Integrator based filters

Integrator Based Filters First Order LPF

- Start from RC prototype
- Use KCL & KVL to derive state space description:



$$\frac{V_o}{V_{in}} = \frac{1}{1+sRC}$$

- Use state space description to draw signal flowgraph (SFG)

→ What is Signal Flowgraph (SFG)?

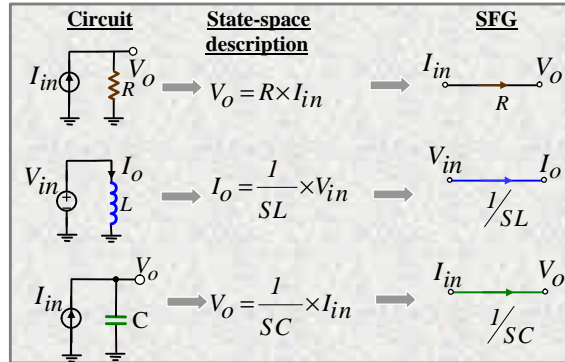
What is a Signal Flowgraph (SFG)?

- SFG → Topological network representation consisting of nodes & branches- used to convert one form of network to a more suitable form (e.g. passive RLC filters to integrator based filters)
- Any network described by a set of linear diff. equations can be expressed in SFG form.
- For a given network, many different SFGs exists.
- Choice of a particular SFG is based on practical considerations such as type of available components.

*Ref: W.Heinlein & W. Holmes, "Active Filters for Integrated Circuits", Prentice Hall, Chap. 8, 1974.

What is a Signal Flowgraph (SFG)?

- SFG nodes represent variables (V & I in our case), branches represent transfer functions (we will call these transfer functions branch multiplication factor *BMF*)
- To convert a network to its SFG form, *KCL* & *KVL* is used to derive state space description:
- Example:

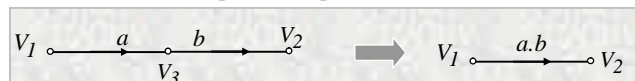


Signal Flowgraph (SFG) Rules

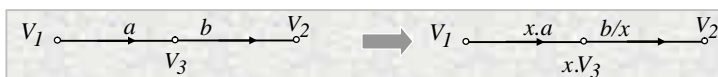
- Two parallel branches can be replaced by a single branch with BMF equal to sum of two BMFs



- A node with only one incoming branch & one outgoing branch can be replaced by a single branch with BMF equal to the product of the two BMFs

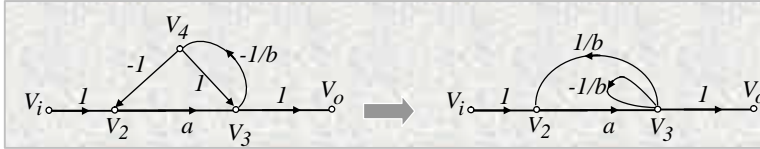


- An intermediate node can be multiplied by a factor (x). BMFs for incoming branches have to be multiplied by x and outgoing branches divided by x

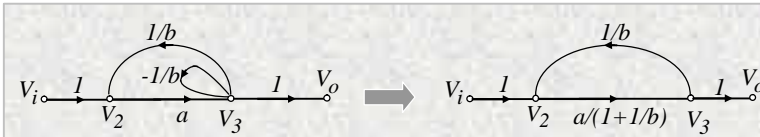


Signal Flowgraph (SFG) Rules

- Simplifications can often be achieved by shifting or eliminating nodes



- A self-loop branch with BMF y can be eliminated by multiplying the BMF of incoming branches by $1/(1-y)$



Integrator Based Filters First Order LPF

- Start from RC prototype
- Use KCL & KVL to derive state space description:

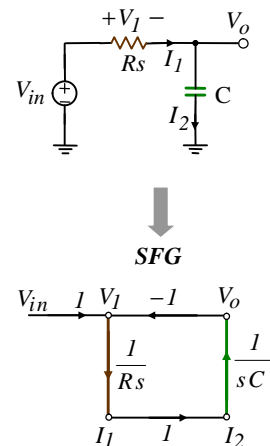
$$V_1 = V_{in} - V_o$$

$$V_o = \frac{I_2}{sC}$$

$$I_1 = \frac{V_1}{Rs}$$

$$I_2 = I_1$$

- Use state space description to draw signal flowgraph (SFG)

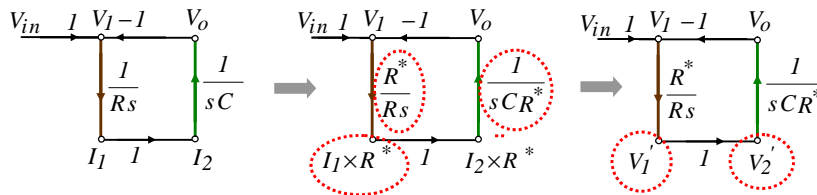


Normalize

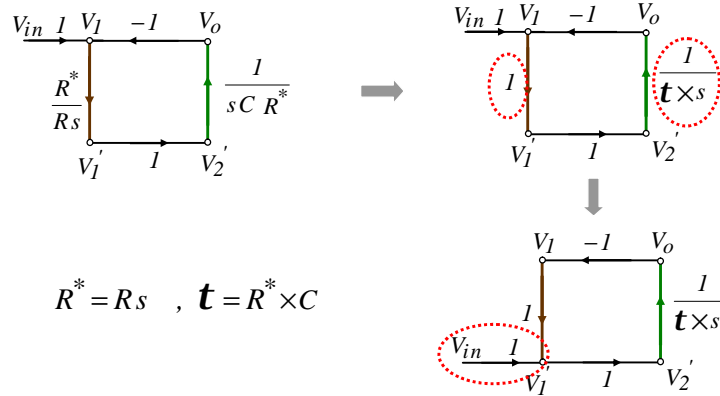
- Since integrators - the main building blocks- require in & out signals in the voltage form (not current)
 - Convert all currents to voltages by multiplying current nodes by a scaling resistance R^*
 - Branches should then be scaled accordingly

$$\begin{array}{l}
 V_1 = V_{in} - V_o \\
 I_1 = \frac{V_1}{R_s} \\
 V_o = \frac{I_2}{sC} \\
 I_2 = I_1
 \end{array}
 \quad \rightarrow \quad
 \boxed{I_x R^* = V_x'} \quad \rightarrow \quad
 \begin{array}{l}
 V_1 = V_{in} - V_o \\
 I_1 R^* = \frac{R^*}{R_s} V_1 \\
 V_o = \frac{I_2 R^*}{sC R^*} \\
 I_2 R^* = I_1 R^*
 \end{array}
 \quad \rightarrow \quad
 \begin{array}{l}
 V_1 = V_{in} - V_o \\
 V_1' = \frac{R^*}{R_s} V_1 \\
 V_o = \frac{V_2'}{sC R^*} \\
 V_1' = V_2'
 \end{array}$$

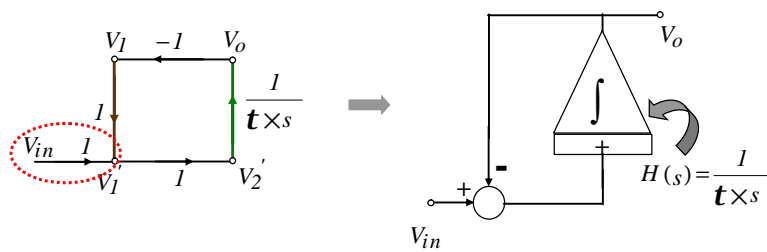
Normalize



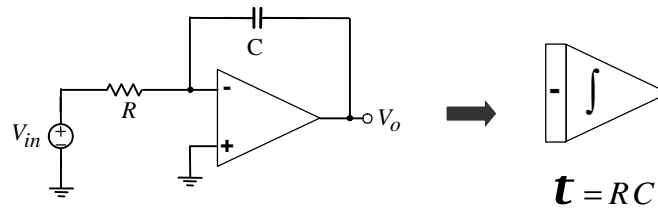
Synthesis



First Order Integrator Based Filter



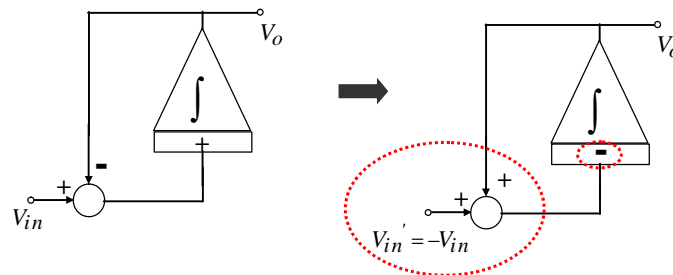
Opamp-RC Single-Ended Integrator



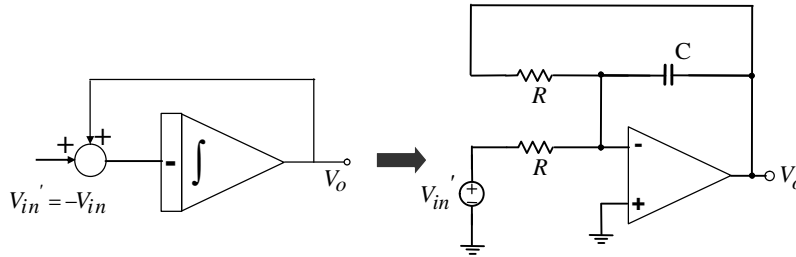
$$V_o = -\frac{1}{RC} \int V_{in} dt, \quad \frac{V_o}{V_{in}} = -\frac{1}{sRC}$$

Opamp-RC Integrator

- Single-ended Opamp-RC integrator has a sign inversion from input to output
 → Convert SFG accordingly by modifying BMF



Opamp-RC 1st Order Filter



$$\frac{V_o}{V_{in'}} = -\frac{1}{1+sRC}$$

Opamp-RC First Order Filter Noise

Identify noise sources (here it is resistors & opamp)
 Find transfer function from each noise source
 to the output (opamp noise next page)

$$\overline{v_o^2} = \sum_{m=1}^n \int_0^{\infty} |H_m(f)|^2 S_i(f) df$$

$S_i(f) \rightarrow$ Input referred noise spectral density

$$|H_1(f)|^2 = |H_2(f)|^2 = \frac{1}{1+(2\pi fRC)^2}$$

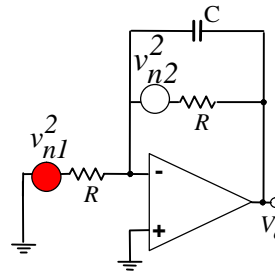
$$v_{n1}^2 = v_{n2}^2 = 4KTR\Delta f$$

$$\sqrt{\overline{v_o^2}} = \sqrt{2 \frac{kT}{C}}$$

$a=2$

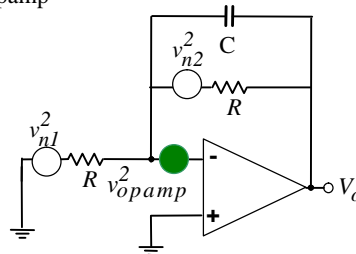
α

Typically, α increases as filter order increases



Opamp-RC Filter Noise Opamp Contribution

So far only the fundamental noise sources are considered.
 In reality, noise associated with the opamp increases the overall noise.
 The bandwidth of the opamp affects the opamp noise contribution to the total noise



Integrator Based Filters Second Order RLC Filter

• State space description:

$$V_R = V_L = V_C = V_o$$

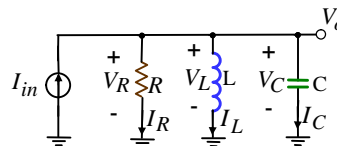
$$V_C = \frac{I_C}{sC}$$

$$I_R = \frac{V_R}{R} \quad \text{Integrator form}$$

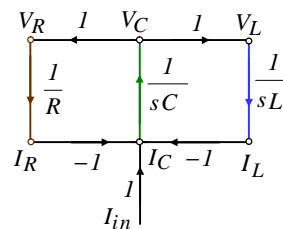
$$I_L = \frac{V_L}{sL}$$

$$I_C = I_{in} - I_R - I_L$$

• Draw signal flowgraph (SFG)

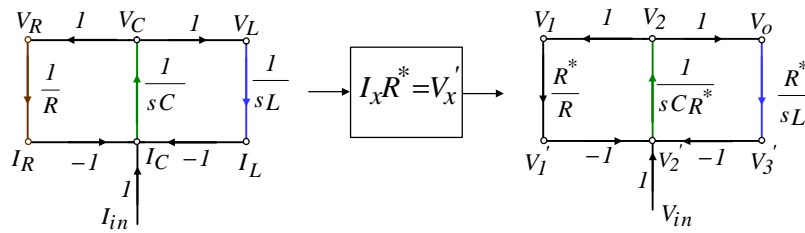


↓ SFG

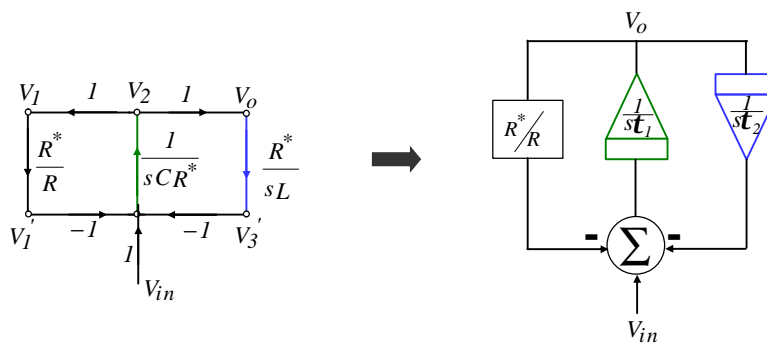


Normalize

- Convert currents to voltages by multiplying all current nodes by the scaling resistance R^*

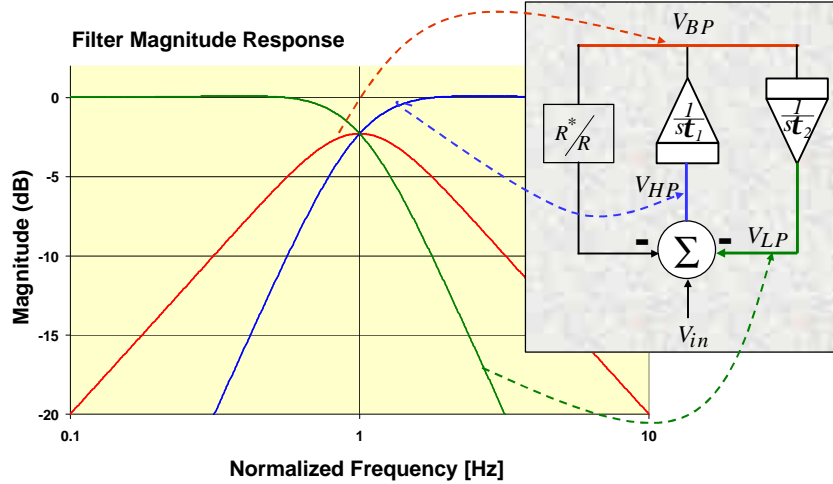


Synthesis



$$t_1 = R^* \times C \quad t_2 = L / R^*$$

Second Order Integrator Based Filter



Second Order Integrator Based Filter

$$\frac{V_{BP}}{V_{in}} = \frac{t_2 s}{t_1 t_2 s^2 + b t_2 s + 1}$$

$$\frac{V_{LP}}{V_{in}} = \frac{1}{t_1 t_2 s^2 + b t_2 s + 1}$$

$$\frac{V_{HP}}{V_{in}} = \frac{t_1 t_2 s^2}{t_1 t_2 s^2 + b t_2 s + 1}$$

$$t_1 = R^* \times C \quad t_2 = L / R^*$$

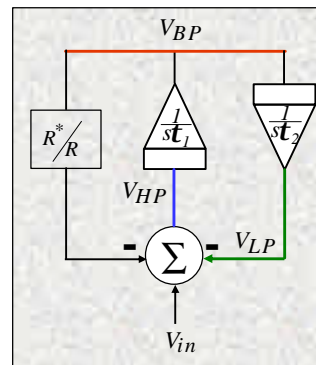
$$b = R^* / R$$

$$\omega_0 = 1 / \sqrt{t_1 t_2} = 1 / \sqrt{L C}$$

$$Q = 1 / b \times \sqrt{t_1 / t_2}$$

From matching point of view desirable:

$$t_1 = t_2 \rightarrow Q = R / R^*$$



Second Order Bandpass Filter Noise

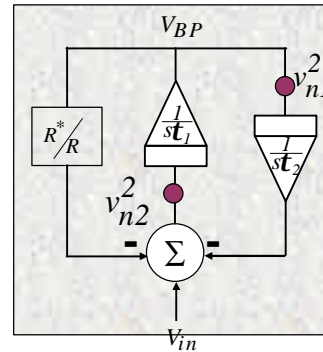
$$\overline{v_o^2} = \sum_{m=1}^n \int_0^{\infty} |H_m(f)|^2 S_i(f) df$$

- Find transfer function of each noise source to the output
- Integrate contribution of all noise sources
- Here it is assumed that opamps are noise free (not usually the case!)

$$v_{n1}^2 = v_{n2}^2 = 4KTR$$

$$\sqrt{\overline{v_o^2}} = \sqrt{2} \underset{\alpha}{Q} \frac{kT}{C}$$

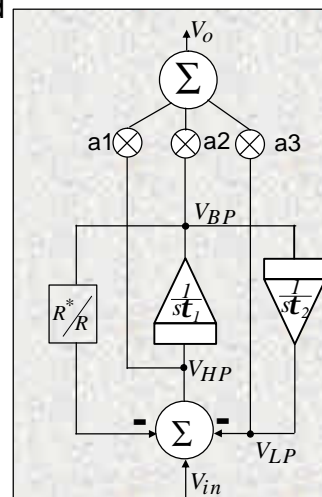
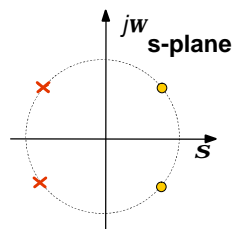
Typically, α increases as filter order increases
Note the noise power is directly proportion to Q



Second Order Integrator Based Filter Biquad

- By combining outputs can generate biquad function:

$$\frac{V_o}{V_{in}} = \frac{a_1 t_1 t_2 s^2 + a_2 t_2 s + a_3}{t_1 t_2 s^2 + b t_2 s + 1}$$



Summary Integrator Based Monolithic Filters

- Signal flowgraph techniques utilized to convert RLC networks to all integrator active filters
- Each reactive element (L & C) replaced by an integrator
- Fundamental noise limitation determined by integrating capacitor:

– For lowpass filter: $\sqrt{v_o^2} = \sqrt{\mathbf{a} \frac{k T}{C}}$

– Bandpass filter: $\sqrt{v_o^2} = \sqrt{\mathbf{a} Q \frac{k T}{C}}$

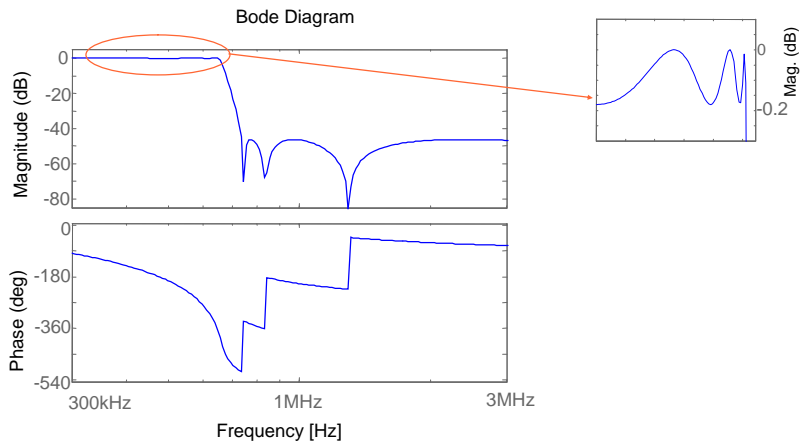
where \mathbf{a} is a function of filter order and topology

Higher Order Filters Cascade of Biquads

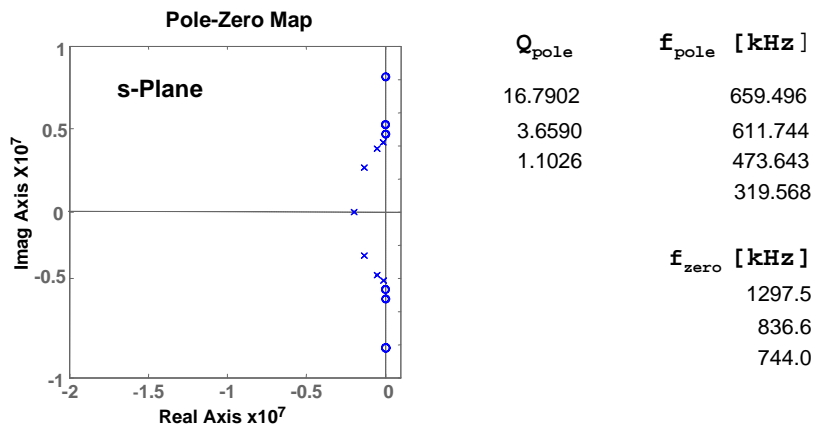
Example: LPF filter for CDMA RX baseband

- LPF with
 - $f_{\text{pass}} = 650 \text{ kHz}$ $R_{\text{pass}} = 0.2 \text{ dB}$
 - $f_{\text{stop}} = 750 \text{ kHz}$ $R_{\text{stop}} = 45 \text{ dB}$
- 7th order Elliptic Filter
- Implementation with Biquads
 - Goal: Maximize dynamic range
 - Pair poles and zeros
 - highest Q poles with closest zeros is a good starting point, but not necessarily optimum
 - Ordering:
 - Lowest Q poles first is a good start

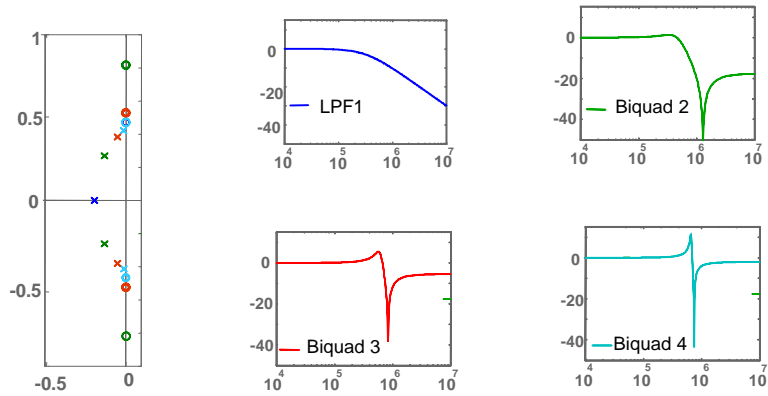
Filter Frequency Response



Pole-Zero Map

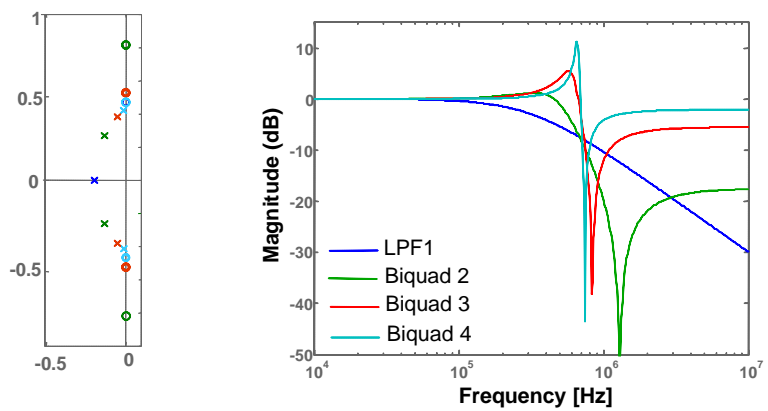


Biquad Response

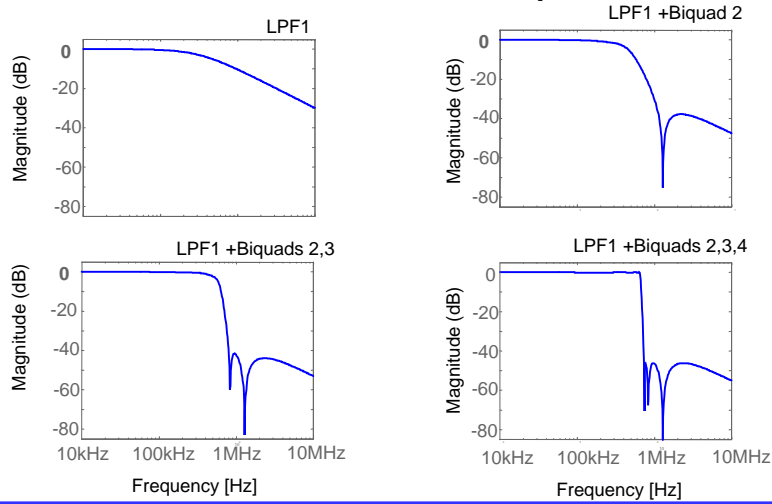


Biquad Response

Bode Magnitude Diagram



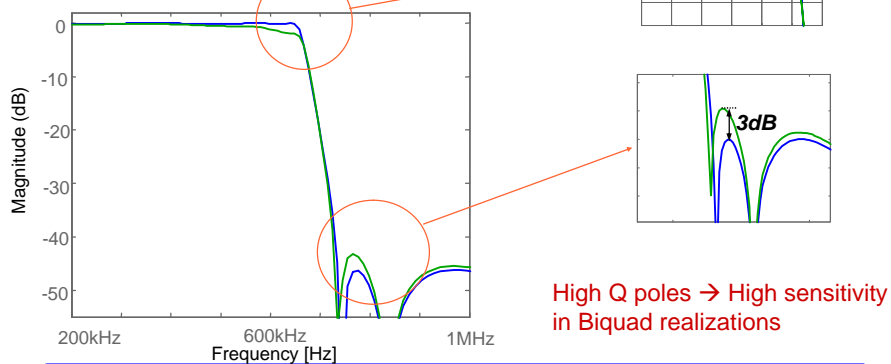
Intermediate Outputs



Sensitivity

Component variation in Biquad 4 (highest Q pole):

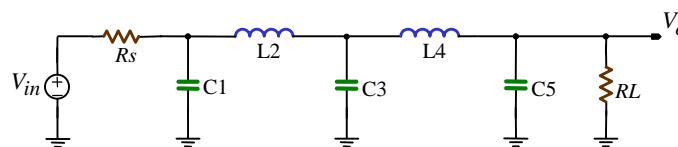
- Increase W_{p4} by 1%
- Decrease W_{z4} by 1%



High Q & High Order Filters

- Cascade of biquads
 - Highly sensitive to component variations → not suitable for implementation of high Q & high order filters
 - Cascade of biquads only used in cases where required Q for all biquads < 4 (e.g. filters for disk drives)
- LC ladder filters more appropriate for high Q & high order filters (next topic)
 - Less sensitive to component variations

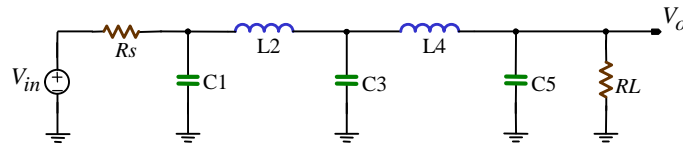
LC Ladder Filters



- Made of resistors, inductors, and capacitors
- Doubly terminated or singly terminated

Doubly terminated LC ladder filters ⇒ Lowest sensitivity to component variations

LC Ladder Filters



- Design:
 - CAD tools
 - Matlab
 - Spice
 - Filter tables
 - A. Zverev, *Handbook of filter synthesis*, Wiley, 1967.
 - A. B. Williams and F. J. Taylor, *Electronic filter design*, 3rd edition, McGraw-Hill, 1995.

LC Ladder Filter Design Example

Design a LPF with maximally flat passband:

$$f_{-3dB} = 10\text{MHz}, f_{stop} = 20\text{MHz}$$

$$R_s > 27\text{dB}$$

Maximally flat passband \Leftrightarrow Butterworth

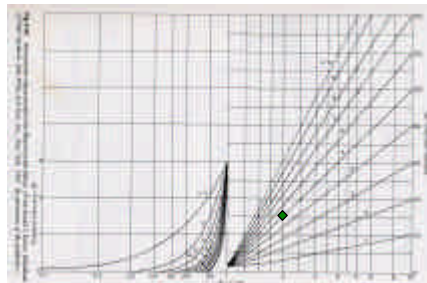
$$f_{stop} / f_{-3dB} = 2$$

$$R_s > 27\text{dB}$$

Determine minimum filter order :

- Use of Matlab
- or Tables

\Leftrightarrow 5th order Butterworth



Stopband Attenuation dB

Normalized w
From: Williams and Taylor, p. 2-37

LC Ladder Filter Design Example

NORMALIZED FILTER DESIGN TABLES

11.3

TABLE 11-2 Butterworth LC Element Values (Continued)

n	R _r	C ₃	L ₂	C ₁	L ₄	C ₅	L ₆	C ₇
5	1.0000	0.6180	1.6180	2.0000	1.6180	0.6180		
0.9000	0.4416	1.0265	1.9095	1.7562	1.5887			
0.8000	0.4698	0.8660	2.0605	1.5443	1.7380			
0.7000	0.5173	0.7315	2.2849	1.3356	2.1083			
0.6000	0.5860	0.6094	2.5998	1.1255	2.5524			
0.5000	0.6857	0.4955	3.0510	0.9237	3.1331			
0.4000	0.8376	0.3877	3.7357	0.7274	3.8648			
0.3000	1.0937	0.2848	4.8835	0.5367	5.3073			
0.2000	1.6077	0.1861	7.1849	0.3518	7.9343			
0.1000	3.1522	0.0912	14.9345	0.1727	15.7105			
Inf.	1.5451	1.6944	1.3820	0.8944	0.3050			
6	1.0000	0.5176	1.4142	1.9319	1.9319	1.4142	0.5176	
1.1111	0.2890	1.0403	1.3217	2.0539	1.7443	1.3347		
1.2500	0.2445	1.1163	1.1257	2.2389	1.5498	1.6881		
1.4286	0.2072	1.2363	0.9567	2.4991	1.3464	2.0618		
1.6667	0.1732	1.4071	0.8011	2.8580	1.1431	2.5092		
2.0000	0.1412	1.6531	0.6542	3.3687	0.9433	3.0938		
2.5000	0.1108	2.0275	0.5139	4.1408	0.7450	3.9305		
3.3333	0.0816	2.6559	0.3788	5.4325	0.5517	5.2804		
5.0000	0.0335	3.9176	0.2484	8.0291	0.3628	7.9216		
10.0000	0.0263	7.7053	0.1222	15.7855	0.1788	15.7375		
Inf.	1.5529	1.7593	1.5529	1.2016	0.7579	0.2588		
7	1.0000	0.4450	1.2470	1.8019	2.0000	1.8019	1.2470	0.4450
0.9000	0.2885	0.7111	1.4043	1.4861	2.1249	1.7268	1.2961	
0.8000	0.3215	0.6057	1.5174	1.2777	2.3338	1.5461	1.6520	
0.7000	0.3571	0.5154	1.6883	1.0910	2.6177	1.3498	2.0277	
0.6000	0.4073	0.4322	1.9284	0.9170	3.0050	1.1503	2.4771	
0.5000	0.4799	0.3536	2.2726	0.7512	3.5332	0.9513	3.0640	
0.4000	0.5899	0.2782	2.7950	0.5917	4.3799	0.7542	3.9037	
0.3000	0.7743	0.2055	3.6706	0.4373	5.7612	0.5600	5.2583	
0.2000	1.1448	0.1350	5.4267	0.2874	8.5263	0.3692	7.9079	
0.1000	2.2571	0.0665	10.7004	0.1417	16.8222	0.1823	15.7480	
Inf.	1.5576	1.7988	1.6588	1.3972	1.0550	0.6560	0.2225	
n	1/R _r	L ₁	C ₂	L ₃	C ₄	L ₅	C ₆	L ₇

Find values for L & C from Table: →

Note L & C values normalized to

$$W_{-3dB} = 1$$

Denormalization:

Multiply all L_{Norm}, C_{Norm} by:

$$L_r = R/W_{-3dB}$$

$$C_r = 1/(RXW_{-3dB})$$

R is the value of the source and termination resistor (choose both 1Ω for now)

$$\text{Then: } L = L_r \times L_{\text{Norm}}$$

$$C = C_r \times C_{\text{Norm}}$$

From: Williams and Taylor, p. 11.3

LC Ladder Filter Design Example

NORMALIZED FILTER DESIGN TABLES

11.3

TABLE 11-2 Butterworth LC Element Values (Continued)

n	R _r	C ₃	L ₂	C ₁	L ₄	C ₅	L ₆	C ₇
5	1.0000	0.6180	1.6180	2.0000	1.6180	0.6180		
0.9000	0.4416	1.0265	1.9095	1.7562	1.5887			
0.8000	0.4698	0.8660	2.0605	1.5443	1.7380			
0.7000	0.5173	0.7315	2.2849	1.3356	2.1083			
0.6000	0.5860	0.6094	2.5998	1.1255	2.5524			
0.5000	0.6857	0.4955	3.0510	0.9237	3.1331			
0.4000	0.8376	0.3877	3.7357	0.7274	3.8648			
0.3000	1.0937	0.2848	4.8835	0.5367	5.3073			
0.2000	1.6077	0.1861	7.1849	0.3518	7.9343			
0.1000	3.1522	0.0912	14.9345	0.1727	15.7105			
Inf.	1.5451	1.6944	1.3820	0.8944	0.3050			
6	1.0000	0.5176	1.4142	1.9319	1.9319	1.4142	0.5176	
1.1111	0.2890	1.0403	1.3217	2.0539	1.7443	1.3347		
1.2500	0.2445	1.1163	1.1257	2.2389	1.5498	1.6881		
1.4286	0.2072	1.2363	0.9567	2.4991	1.3464	2.0618		
1.6667	0.1732	1.4071	0.8011	2.8580	1.1431	2.5092		
2.0000	0.1412	1.6531	0.6542	3.3687	0.9433	3.0938		
2.5000	0.1108	2.0275	0.5139	4.1408	0.7450	3.9305		
3.3333	0.0816	2.6559	0.3788	5.4325	0.5517	5.2804		
5.0000	0.0335	3.9176	0.2484	8.0291	0.3628	7.9216		
10.0000	0.0263	7.7053	0.1222	15.7855	0.1788	15.7375		
Inf.	1.5529	1.7593	1.5529	1.2016	0.7579	0.2588		
7	1.0000	0.4450	1.2470	1.8019	2.0000	1.8019	1.2470	0.4450
0.9000	0.2885	0.7111	1.4043	1.4861	2.1249	1.7268	1.2961	
0.8000	0.3215	0.6057	1.5174	1.2777	2.3338	1.5461	1.6520	
0.7000	0.3571	0.5154	1.6883	1.0910	2.6177	1.3498	2.0277	
0.6000	0.4073	0.4322	1.9284	0.9170	3.0050	1.1503	2.4771	
0.5000	0.4799	0.3536	2.2726	0.7512	3.5332	0.9513	3.0640	
0.4000	0.5899	0.2782	2.7950	0.5917	4.3799	0.7542	3.9037	
0.3000	0.7743	0.2055	3.6706	0.4373	5.7612	0.5600	5.2583	
0.2000	1.1448	0.1350	5.4267	0.2874	8.5263	0.3692	7.9079	
0.1000	2.2571	0.0665	10.7004	0.1417	16.8222	0.1823	15.7480	
Inf.	1.5576	1.7988	1.6588	1.3972	1.0550	0.6560	0.2225	
n	1/R _r	L ₁	C ₂	L ₃	C ₄	L ₅	C ₆	L ₇

Find values for L & C from Table: →

Normalized values:

$$C1_{\text{Norm}} = C5_{\text{Norm}} = 0.618$$

$$C3_{\text{Norm}} = 2.0$$

$$L2_{\text{Norm}} = L4_{\text{Norm}} = 1.618$$

Denormalization:

Since $w_{-3dB} = 2\pi \times 10\text{MHz}$

$$L_r = R/w_{-3dB} = 14.1 \text{ nH}$$

$$C_r = 1/(RXw_{-3dB}) = 14.1 \text{ nF}$$

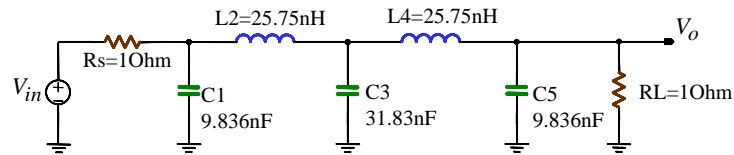
$$R = 1$$

$$\Rightarrow C1 = C5 = 9.836 \text{ nF}, C3 = 31.83 \text{ nF}$$

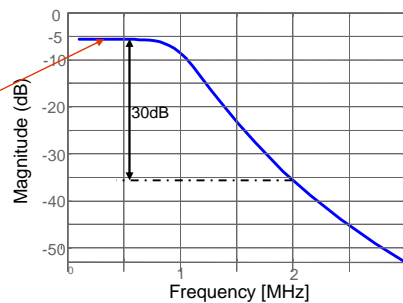
$$\Rightarrow L2 = L4 = 25.75 \text{ nH}$$

From: Williams and Taylor, p. 11.3

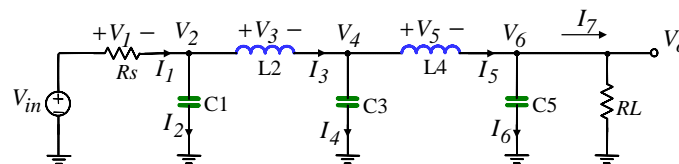
Magnitude Response Simulation



-6 dB passband attenuation
due to double termination



LC Ladder Filter Conversion to Integrator Based Active Filter



- Use KCL & KVL to derive equations:

$$V_1 = V_{in} - V_2, \quad V_2 = \frac{I_2}{sC_1}, \quad V_3 = V_2 - V_4$$

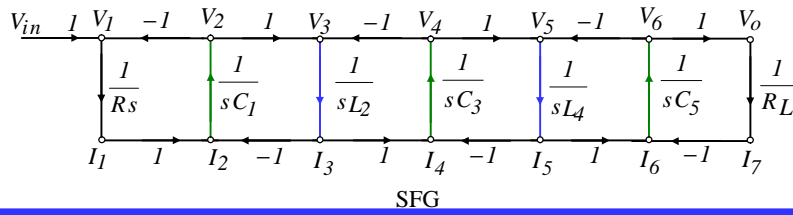
$$V_4 = \frac{I_4}{sC_3}, \quad V_5 = V_4 - V_6, \quad V_6 = \frac{I_6}{sC_5}, \quad V_o = V_6$$

$$I_1 = \frac{V_1}{R_s}, \quad I_2 = I_1 - I_3, \quad I_3 = \frac{V_3}{sL_2}$$

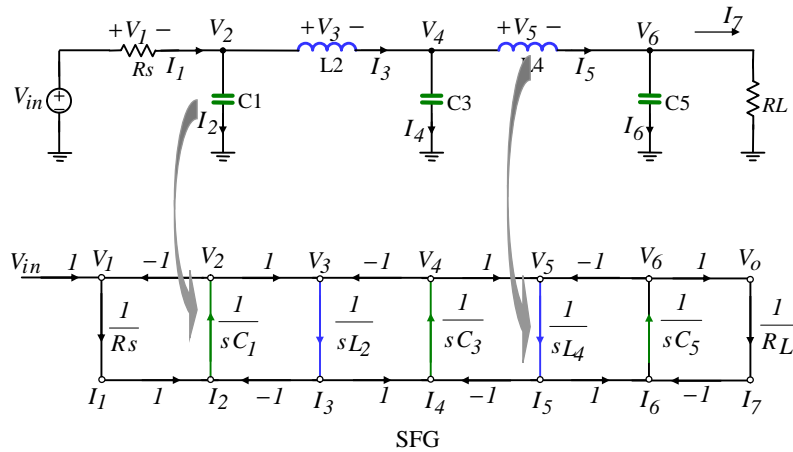
$$I_4 = I_3 - I_5, \quad I_5 = \frac{V_5}{sL_4}, \quad I_6 = I_5 - I_7, \quad I_7 = \frac{V_6}{R_L}$$

LC Ladder Filter Signal Flowgraph

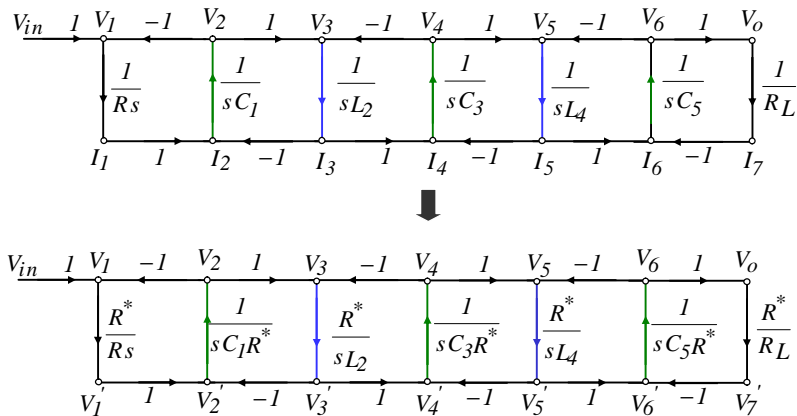
$$\begin{aligned}
 V_1 &= V_{in} - V_2, & V_2 &= \frac{I_2}{sC_1}, & V_3 &= V_2 - V_4 \\
 V_4 &= \frac{I_4}{sC_3}, & V_5 &= V_4 - V_6, & V_6 &= \frac{I_6}{sC_5}, & V_o &= V_6 \\
 I_1 &= \frac{V_1}{R_s}, & I_2 &= I_1 - I_3, & I_3 &= \frac{V_3}{sL_2} \\
 I_4 &= I_3 - I_5, & I_5 &= \frac{V_5}{sL_4}, & I_6 &= I_5 - I_7, & I_7 &= \frac{V_6}{R_L}
 \end{aligned}$$



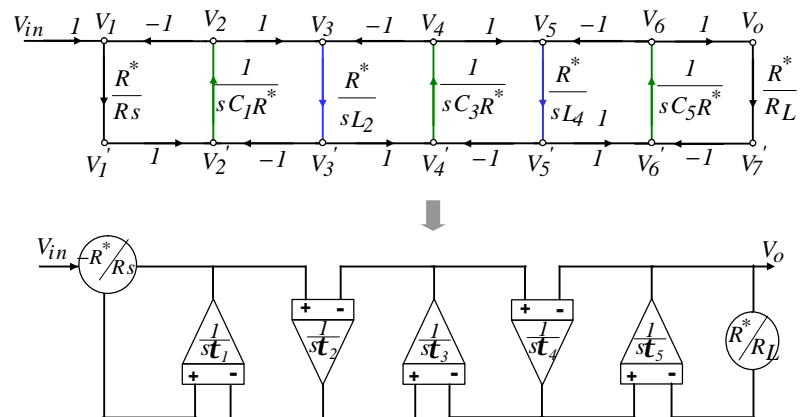
LC Ladder Filter Signal Flowgraph



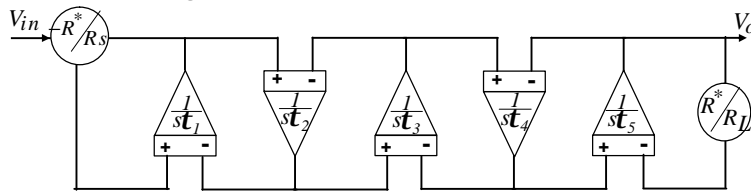
LC Ladder Filter Normalize



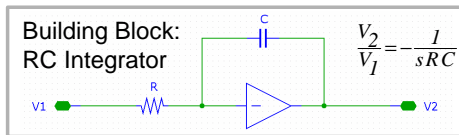
LC Ladder Filter Synthesize



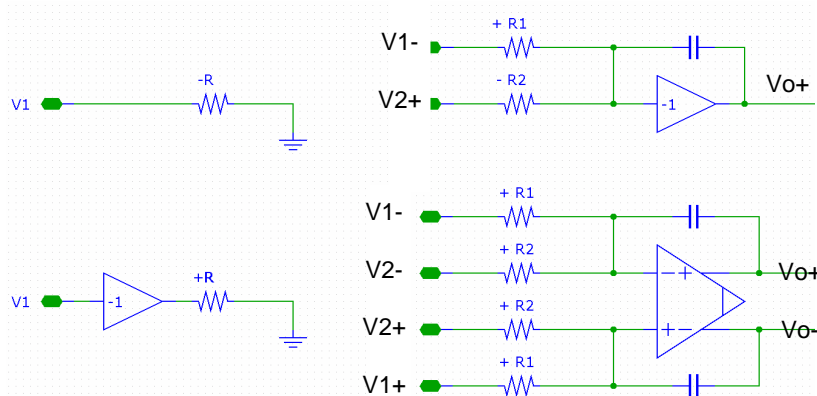
LC Ladder Filter Integrator Based Implementation



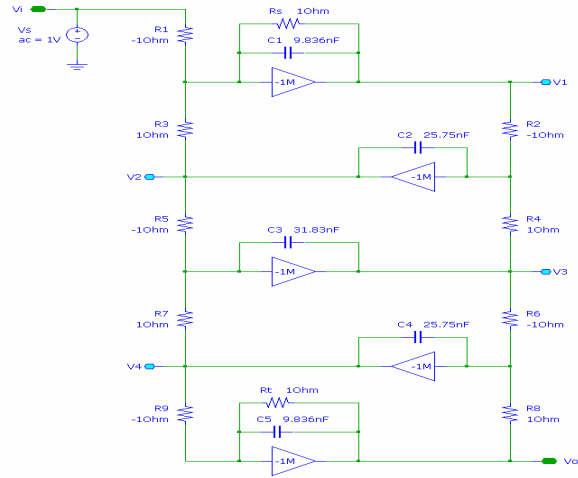
$$t_1 = C_1.R^* \quad , \quad t_2 = \frac{L_2}{R^*} = C_2.R^* \quad , \quad t_3 = C_3.R^* \quad , \quad t_4 = \frac{L_4}{R^*} = C_4.R^* \quad , \quad t_5 = C_5.R^*$$



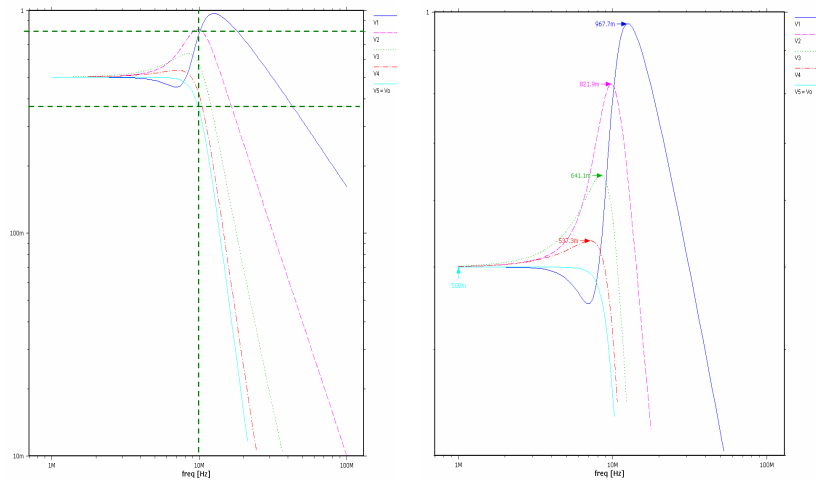
Negative Resistors



Synthesize

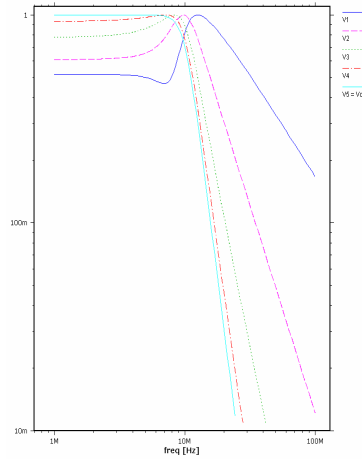
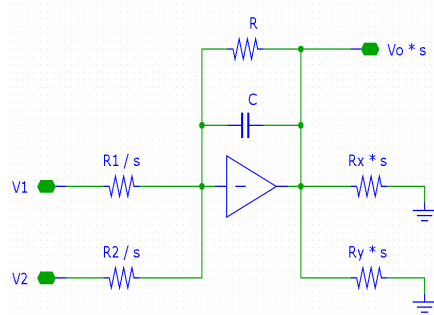


Frequency Response

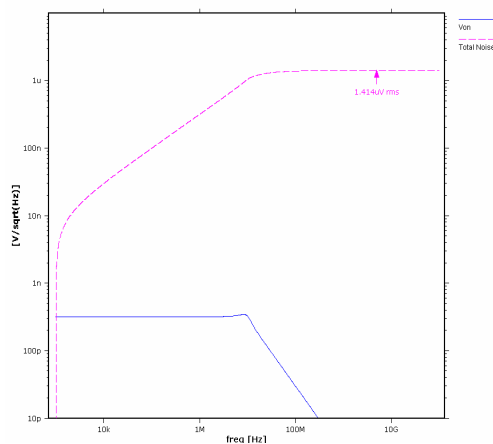


Scale Node Voltages

Scale V_o by factor "s"



Noise



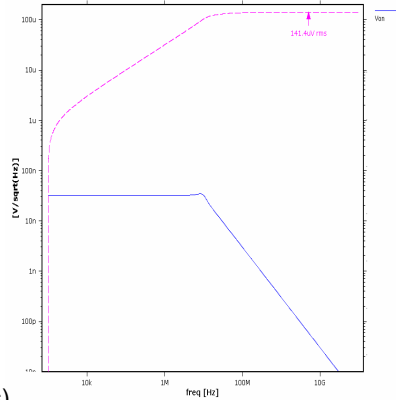
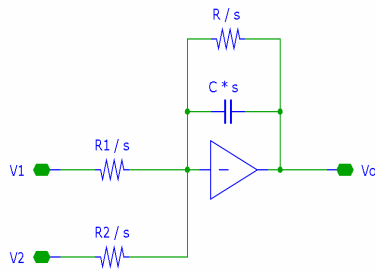
Total noise: 1.4 μV rms
(noiseless opamps)

That's excellent, but the capacitors are very large (and the resistors small). Not possible to integrate.

Suppose our application calls for 140 μV rms ...

Scale to Meet Noise Target

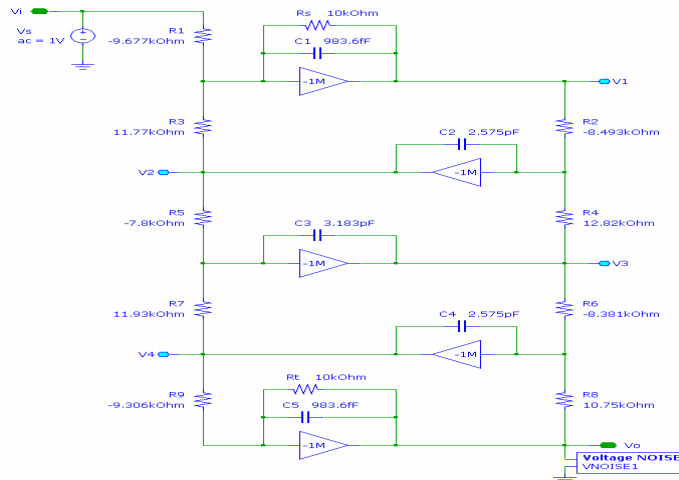
Scale capacitors and resistors to meet noise objective



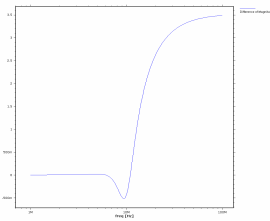
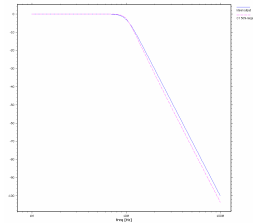
$s = 10^{-4}$

Noise: 141 μ V rms (noiseless opamps)

Completed Design



Sensitivity



- C_1 made (arbitrarily) 50% (!) larger than its nominal value
- 0.5 dB error at band edge
- 3.5 dB error in stopband
- Looks like very low sensitivity