

# CS162 Operating Systems and Systems Programming Lecture 14

## File Systems (Part 2)

October 23, 2013  
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<http://inst.eecs.berkeley.edu/~cs162>

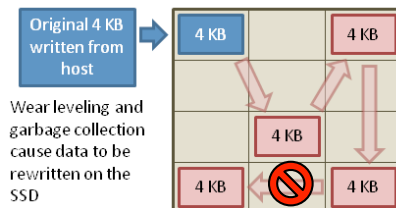
## Review: Storage Performance

- Hard (Magnetic) Disk Performance:
  - Latency = Queuing time + Controller + Seek + Rotational + Transfer
  - Rotational latency: on average  $\frac{1}{2}$  rotation
  - Transfer time: depends on rotation speed and bit density
- SSD Performance:
  - Read: Queuing time + Controller + Transfer
  - Write: Queuing time + Controller (Find Free Block) + Transfer
  - Find Free Block time: depends on how full SSD is (available empty pages), write burst duration, ...
  - Limited drive lifespan

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## SSD Issues

- Wear leveling and background garbage collection
  - Writes/Erase damage memory cells, limits SSD lifespan
  - Controller uses ECC, performs wear leveling



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## SSD Firmware Challenges

Apple releases firmware fix for Toshiba SSDs in 2012 MacBook Airs

by Michael Grothaus

Oct 18th 2013 at 12:00PM



MacBook Air Flash Storage Firmware Update

1.1

Download

Apple has discovered that a small percentage of flash storage drives in these MacBook Air models have an issue that may result in data loss. This update tests your drive and, in the majority of cases, installs new firmware to resolve the issue. If your drive cannot be updated, Apple will replace it, free of charge.

cases, installs new firmware to resolve the issue. If your drive cannot be updated, Apple will replace it, free of charge.

If your drive is found to be faulty, Apple has detailed how to claim a replacement on the [MacBook Air Flash Storage Drive Replacement Program](#) page.

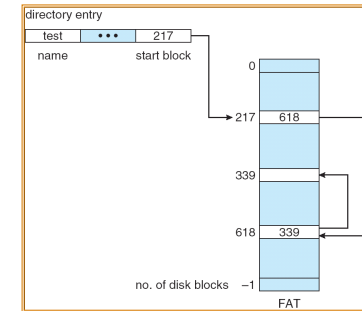
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## Review: File System Goals

- Maximize sequential performance
- Efficient random access to file
- Easy management of files (growth, truncation, etc)

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## Review: Linked Allocation



- MSDOS links pages together to create a file
  - Links not in pages, but in the File Allocation Table (FAT)
    - » FAT contains an entry for each block on the disk
    - » FAT Entries corresponding to blocks of file linked together
  - Access properties:
    - » Sequential access expensive unless FAT cached in memory
    - » Random *really* expensive if FAT not cached

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## Goals for Today

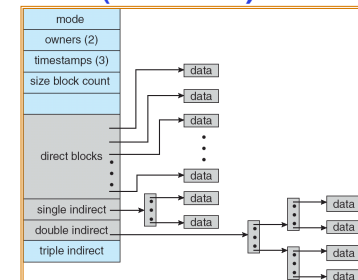
- File Systems Structures (cont'd)
- Naming and Directories

**Note: Some slides and/or pictures in the following are adapted from slides ©2005 Silberschatz, Galvin, and Gagne. Many slides generated from my lecture notes by Kubiawicz.**

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## Multilevel Indexed Files (UNIX 4.1)

- Multilevel Indexed Files: (from UNIX 4.1 BSD)
  - Key idea: efficient for small files, but still allow big files



- File hdr contains 13 pointers
  - Fixed size table, pointers not all equivalent
  - This header is called an “inode” in UNIX
- File Header format:
  - First 10 pointers are to data blocks
  - Ptr 11 points to “indirect block” containing 256 block ptrs
  - Pointer 12 points to “doubly indirect block” containing 256 indirect block ptrs for total of 64K blocks
  - Pointer 13 points to a triply indirect block (16M blocks)

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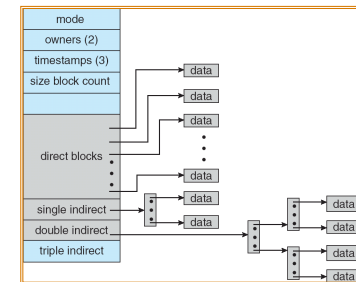
## Multilevel Indexed Files (UNIX 4.1): Discussion

- Basic technique places an upper limit on file size that is approximately 16Gbytes
  - Designers thought this was bigger than anything anyone would need. Much bigger than a disk at the time...
  - Fallacy: today, Facebook gets hundreds of TBs of logs every day!
- Pointers get filled in dynamically: need to allocate indirect block only when file grows > 10 blocks
  - On small files, no indirection needed

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## Example of Multilevel Indexed Files

- Sample file in multilevel indexed format:
  - How many accesses for block #23? (assume file header accessed on open)?
    - » Two: One for indirect block, one for data
  - How about block #5?
    - » One: One for data
  - Block #340?
    - » Three: double indirect block, indirect block, and data



- UNIX 4.1 Pros and cons
  - Pros: Simple (more or less)  
Files can easily expand (up to a point)  
Small files particularly cheap and easy
  - Cons: Lots of seeks  
Very large files must read many indirect blocks (four I/O's per block!)

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## UNIX BSD 4.2

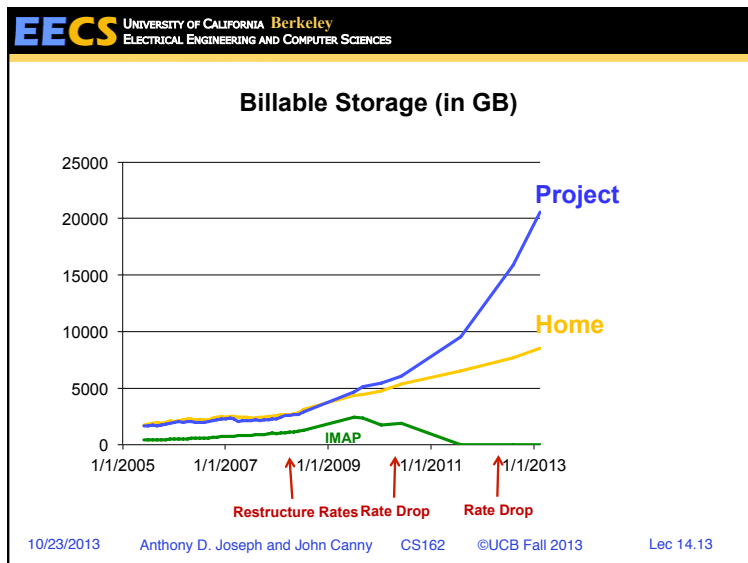
- Same as BSD 4.1 (same file header and triply indirect blocks), except incorporated ideas from Cray-1 DEMOS:
  - Uses bitmap allocation in place of freelist
  - Attempt to allocate files contiguously
  - 10% reserved disk space (mentioned next slide)
  - Skip-sector positioning (mentioned in two slides)
- Problem: When create a file, don't know how big it will become (in UNIX, most writes are by appending)
  - How much contiguous space do you allocate for a file?
  - In BSD 4.2, just find some range of free blocks
    - » Put each new file at the front of different range
    - » To expand a file, you first try successive blocks in bitmap, then choose new range of blocks
  - Also in BSD 4.2: store files from same directory near each other

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## How to Deal with Full Disks?

- In many systems, disks are always full
  - EECS department growth: 300 GB to 1TB in a year (now 10s TB)

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### How to Deal with Full Disks?

- In many systems, disks are always full
  - EECS department growth: 300 GB to 1TB in a year (now 10s TB)
  - How to fix? Announce disk space is low, so please delete files?
    - » Don't really work: people try to store their data faster
  - Sidebar: Perhaps we are getting out of this mode with new disks... However, let's assume disks are full for now
- Solution:
  - Don't let disks get completely full: reserve portion
    - » Free count = # blocks free in bitmap
    - » Scheme: Don't allocate data if count < reserve
  - How much reserve do you need?
    - » In practice, 10% seems like enough
  - Tradeoff: pay for more disk, get contiguous allocation
    - » Since seeks so expensive for performance, this is a very good tradeoff

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### Attack of the Rotational Delay

- Problem: Missing blocks due to rotational delay
  - Issue: Read one block, do processing, and read next block. In meantime, disk has continued turning: missed next block!

The diagram shows a disk with sectors. A 'Skip Sector' is indicated, and a 'Track Buffer' is shown holding a complete track. The buffer is labeled 'Track Buffer (Holds complete track)'.

- Solution 1: Skip sector positioning ("interleaving")
  - » Place the blocks from one file on every other block of a track: give time for processing to overlap rotation
- Solution 2: Read ahead: read next block right after first, even if application hasn't asked for it yet
  - » This can be done either by OS (read ahead)
  - » By disk itself (track buffers). Many disk controllers have internal RAM that allows them to read a complete track
- Important Aside: Modern disks+controllers do many complex things "under the covers"
  - Track buffers, elevator algorithms, bad block filtering

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### Administrivia

- Midterm results: Mean: 69.71, Median: 71.75, Std Dev: 14.24

The histogram shows the distribution of midterm scores. The X-axis represents score ranges (Up To 10, 10 To 20, etc.) and the Y-axis represents the count. The distribution is roughly bell-shaped, centered around 70-80.

- Regrade request deadline: October 25, 2013 by 11:59pm
  - We will regrade the entire exam
- Please fill out the anonymous course survey at <https://www.surveymonkey.com/s/FSW3HVJ>
  - We'll try to make changes *this* semester based on your feedback

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### Quiz 14.1: File Systems

- Q1: True \_ False \_ With FAT, pointers are maintained in the data blocks
- Q2: True \_ False \_ Unix file system is more efficient than FAT for random access
- Q3: True \_ False \_ The “Skip Sector Positioning” technique allows reading consecutive blocks on a track
- Q4: True \_ False \_ Maintaining the free blocks in a list is more efficient than using a bitmap
- Q5: True \_ False \_ In Unix, accessing random data in a large file is on average slower than in a small file

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### 5min Break

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### Quiz 14.1: File Systems

- Q1: True \_ False x With FAT, pointers are maintained in the data blocks
- Q2: True x False \_ Unix file system is more efficient than FAT for random access
- Q3: True x False \_ The “Skip Sector Positioning” technique allows reading consecutive blocks on a track
- Q4: True \_ False x Maintaining the free blocks in a list is more efficient than using a bitmap
- Q5: True x False \_ In Unix, accessing random data in a large file is on average slower than in a small file

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### How do we actually access files?

- All information about a file contained in its file header
  - UNIX calls this an “inode”
    - » Inodes are global resources identified by index (“inumber”)
  - Once you load the header structure, all blocks of file are locatable
- Question: how does the user ask for a particular file?
  - One option: user specifies an inode by a number (index).
    - » Imagine: open(“14553344”)
  - Better option: specify by textual name
    - » Have to map name→inumber
  - Another option: Icon
    - » This is how Apple made its money. Graphical user interfaces. Point to a file and click

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## Naming

- **Naming (name resolution):** process by which a system translates from user-visible names to system resources
- In the case of files, need to translate from strings (textual names) or icons to inumbers/inodes
- For global file systems, data may be spread over globe⇒need to translate from strings or icons to some combination of physical server location and inumber

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## Directories

- **Directory:** a relation used for naming
  - Just a table of (file name, inumber) pairs
- How are directories constructed?
  - Directories often stored in files
    - » Reuse of existing mechanism
    - » Directory named by inode/inumber like other files
  - Needs to be quickly searchable
    - » Options: Simple list or Hashtable
    - » Can be cached into memory in easier form to search
- How are directories modified?
  - Originally, direct read/write of special file
  - System calls for manipulation: `mkdir`, `rmdir`
  - Ties to file creation/destruction
    - » On creating a file by name, new inode grabbed and associated with new file in particular directory

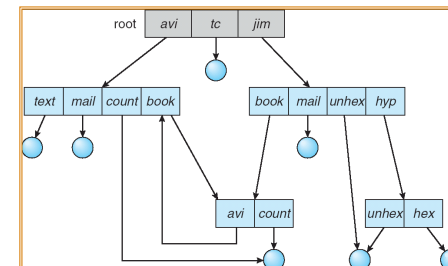
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## Directory Organization

- Directories organized into a hierarchical structure
  - Seems standard, but in early 70's it wasn't
  - Permits much easier organization of data structures
- Entries in directory can be either files or directories
- Files named by ordered set (e.g., `/programs/p/list`)

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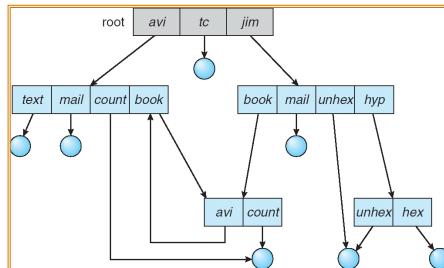
## Directory Structure



- Not really a hierarchy!
  - Many systems allow directory structure to be organized as an acyclic graph or even a (potentially) cyclic graph
  - Hard Links: different names for the same file
    - » Multiple directory entries point at the same file
  - Soft Links: “shortcut” pointers to other files
    - » Implemented by storing the logical name of actual file

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## Directory Structure



- **Name Resolution:** The process of converting a logical name into a physical resource (like a file)
  - Traverse succession of directories until reach target file
  - Global file system: May be spread across the network

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## Directory Structure (Con't)

- How many disk accesses to resolve `"/my/book/count"`?
  - Read in file header for root (fixed spot on disk)
  - Read in first data block for root
    - » Table of file name/index pairs. Search linearly – ok since directories typically very small
  - Read in file header for `"my"`
  - Read in first data block for `"my"`; search for `"book"`
  - Read in file header for `"book"`
  - Read in first data block for `"book"`; search for `"count"`
  - Read in file header for `"count"`
- **Current working directory:** Per-address-space pointer to a directory (inode) used for resolving file names
  - Allows user to specify relative filename instead of absolute path (say CWD=`"/my/book"` can resolve `"count"`)

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## Where are inodes stored?

- In early UNIX and DOS/Windows' FAT file system, headers stored in special array in outermost cylinders
  - Header not stored anywhere near the data blocks. To read a small file, seek to get header, seek back to data.
  - Fixed size, set when disk is formatted. At formatting time, a fixed number of inodes were created (They were each given a unique number, called an "inumber")

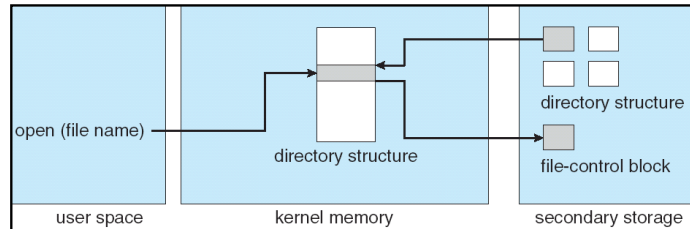
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## Where are inodes stored?

- Later versions of UNIX moved the header information to be closer to the data blocks
  - Often, inode for file stored in same "cylinder group" as parent directory of the file (makes an `ls` of that directory run fast).
  - Pros:
    - » UNIX BSD 4.2 puts a portion of the file header array on each cylinder. For small directories, can fit all data, file headers, etc. in same cylinder  $\Rightarrow$  no seeks!
    - » File headers much smaller than whole block (a few hundred bytes), so multiple headers fetched from disk at same time
    - » Reliability: whatever happens to the disk, you can find many of the files (even if directories disconnected)
  - Part of the Fast File System (FFS)
    - » General optimization to avoid seeks

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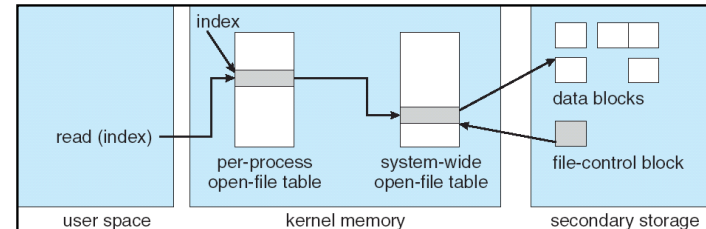
## In-Memory File System Structures



- Open system call:
  - Resolves file name, finds file control block (inode)
  - Makes entries in per-process and system-wide tables
  - Returns index (called “file handle”) in open-file table

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## In-Memory File System Structures



- Read/write system calls:
  - Use file handle to locate inode
  - Perform appropriate reads or writes

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## Quiz 14.2: File Systems

- Q1: True \_ False \_ A hard-link is a pointer to other file
- Q2: True \_ False \_ inumber is the id of a block
- Q3: True \_ False \_ Typically, directories are stored as files
- Q4: True \_ False \_ Storing file headers on the outermost cylinders minimizes the seek time

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## Quiz 14.2: File Systems

- Q1: True \_ False **X** A hard-link is a pointer to other file
- Q2: True \_ False **X** inumber is the id of a block
- Q3: True **X** False \_ Typically, directories are stored as files
- Q4: True \_ False **X** Storing file headers on the outermost cylinders minimizes the seek time

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## File System Summary (1/2)

- File System:
  - Transforms blocks into Files and Directories
  - Optimize for access and usage patterns
  - Maximize sequential access, allow efficient random access
- File (and directory) defined by header, called “inode”
- Multilevel Indexed Scheme
  - Inode contains file info, direct pointers to blocks,
  - indirect blocks, doubly indirect, etc..

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## File System Summary (2/2)

- 4.2 BSD Multilevel index files
  - Inode contains pointers to actual blocks, indirect blocks, double indirect blocks, etc.
  - Optimizations for sequential access: start new files in open ranges of free blocks, rotational Optimization
- Naming: act of translating from user-visible names to actual system resources
  - Directories used for naming for local file systems

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