

# Lecture #13: Type Inference and Unification

## Administrivia.

- Deadline on project #1 was pushed 24 hours to compensate for SVN glitch.
- Be sure to check your instructional mail accounts!
- Project #2 spec and files released.
- Review session Sunday at 1700 (place TBA).
- Reader containing notes and lecture slides will be at Vick Copy (corner Euclid and Hearst) this weekend.

# Typing In the Language ML

- Examples from the language ML:

```
fun map f [] = []
  | map f (a :: y) = (f a) :: (map f y)
fun reduce f init [] = init
  | reduce f init (a :: y) = reduce f (f init a) y
fun count [] = 0
  | count (_ :: y) = 1 + count y
fun addt [] = 0
  | addt ((a,_,c) :: y) = (a+c) :: addt y
```

- Despite lack of explicit types here, this language is statically typed!
- Compiler will reject the calls `map 3 [1, 2]` and `reduce (op +) [] [3, 4, 5]`.
- Does this by *deducing* types from their uses.

# Type Inference

- In simple case:

```
fun add [] = 0
  | add (a :: L) = a + add L
```

compiler deduces that `add` has type `int list → int`.

- Uses facts that (a) `0` is an `int`, (b) `[]` and `a::L` are lists (`::` is `cons`), (c) `+` yields `int`.
- More interesting case:

```
fun count [] = 0
  | count (_ :: y) = 1 + count y
```

(`_` means “don’t care” or “wildcard”). In this case, compiler deduces that `count` has type  `$\alpha$  list → int`.

- Here,  $\alpha$  is a type parameter (we say that `count` is *polymorphic*).

# Doing Type Inference

- Given a definition such as

```
fun add [] = 0
  | add (a :: L) = a + add L
```

- First give each named entity here an unbound type parameter as its type:  $add : \alpha, a : \beta, L : \gamma$ .
- Now use the type rules of the language to give types to everything and to *relate* the types:
  - $0 : \text{int}, [] : \delta \text{ list}$ .
  - Since `add` is function and applies to `int`, must be that  $\alpha = \iota \rightarrow \kappa$ , and  $\iota = \delta \text{ list}$
  - etc.
- Gives us a large set of *type equations*, which can be solved to give types.
- Solving involves *pattern matching*, known formally as *type unification*.

# Type Expressions

- For this lecture, a type expression can be
  - A *primitive type* (int, bool);
  - A *type variable* (today we'll use ML notation: 'a, 'b, 'c<sub>1</sub>, etc.);
  - The *type constructor*  $T$  list, where  $T$  is a type expression;
  - A *function type*  $D \rightarrow C$ , where  $D$  and  $C$  are type expressions.
- Will formulate our problems as systems of *type equations* between pairs of type expressions.
- Need to find the substitution

# Solving Simple Type Equations

- Simple example: solve

`'a list = int list`

- Easy: `'a = int`.

- How about this:

`'a list = 'b list list; 'b list = int list`

- Also easy: `'a = int list; 'b = int`.

- On the other hand:

`'a list = 'b → 'b`

is unsolvable: lists are not functions.

- Also, if we require *finite* solutions, then

`'a = 'b list; 'b = 'a list`

is unsolvable.

# Most General Solutions

- Rather trickier:

'a list = 'b list list

- Clearly, there are lots of solutions to this: e.g.,

'a = int list; 'b = int

'a = (int → int) list; 'b = int → int

etc.

- But prefer a *most general* solution that will be compatible with any possible solution.
- Any substitution for 'a must be some kind of list, and 'b must be the type of element in 'a, but otherwise, no constraints
- Leads to solution

'a = 'b list

where 'b remains a free type variable.

- In general, our solutions look like a bunch of equations  $'a_i = T_i$ , where the  $T_i$  are type expressions and none of the  $'a_i$  appear in any of the  $T$ 's.

# Finding Most-General Solution by Unification

- To *unify* two type expressions is to find substitutions for all type variables that make the expressions identical.
- The set of substitutions is called a *unifier*.
- Represent substitutions by giving each type variable,  $\tau$ , a *binding* to some type expression.
- Initially, each variable is *unbound*.



# Unification Algorithm

- For any type expression, define

$$\text{binding}(T) = \begin{cases} \text{binding}(T'), & \text{if } T \text{ is a type variable bound to } T' \\ T, & \text{otherwise} \end{cases}$$

- Now proceed recursively:

```
unify (T1,T2):
  T1 = binding(T1); T2 = binding(T2);
  if T1 = T2: return true;
  if T1 is a type variable and does not appear in T2:
    bind T1 to T2; return true
  if T2 is a type variable and does not appear in T1:
    bind T2 to T1; return true
  if T1 and T2 are S1 list and S2 list: return unify (S1,S2)
  if T1 and T2 are D1→ C1 and D2→ C2:
    return unify(D1,D2) and unify(C1,C2)
  else: return false
```

# Example of Unification

- Try to solve

`'b list = 'a list; 'a → 'b = 'c;`

`'c → bool = (bool → bool) → bool`

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

`'a:`

`'b:`

`'c:`

# Example of Unification

- Try to solve

`'b list = 'a list; 'a → 'b = 'c;`

`'c → bool = (bool → bool) → bool`

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

`'a:                   Unify 'b list, 'a list:`

`'b:`

`'c:`

# Example of Unification

- Try to solve

$'b \text{ list} = 'a \text{ list}; 'a \rightarrow 'b = 'c;$

$'c \rightarrow \text{bool} = (\text{bool} \rightarrow \text{bool}) \rightarrow \text{bool}$

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a:                      Unify 'b list, 'a list:

                            Unify 'b, 'a

'b: 'a

'c:

# Example of Unification

- Try to solve

$'b \text{ list} = 'a \text{ list}; 'a \rightarrow 'b = 'c;$

$'c \rightarrow \text{bool} = (\text{bool} \rightarrow \text{bool}) \rightarrow \text{bool}$

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

$'a:$  Unify  $'b \text{ list}, 'a \text{ list}:$

Unify  $'b, 'a$

$'b:$   $'a$  Unify  $'a \rightarrow 'b, 'c$

$'c:$   $'a \rightarrow 'b$

# Example of Unification

- Try to solve

'b list = 'a list; 'a → 'b = 'c;

'c → bool = (bool → bool) → bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a: Unify 'b list, 'a list:

Unify 'b, 'a

'b: 'a Unify 'a → 'b, 'c

Unify 'c → bool, (bool → bool) → bool

'c: 'a → 'b

# Example of Unification

- Try to solve

'b list = 'a list; 'a → 'b = 'c;  
'c → bool = (bool → bool) → bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a:                   Unify 'b list, 'a list:  
                          Unify 'b, 'a  
'b: 'a                Unify 'a → 'b, 'c  
                          Unify 'c → bool, (bool → bool) → bool  
                          Unify 'c, bool → bool:  
'c: 'a → 'b

# Example of Unification

- Try to solve

'b list = 'a list; 'a → 'b = 'c;  
'c → bool = (bool → bool) → bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a:                   Unify 'b list, 'a list:  
                          Unify 'b, 'a  
'b:  'a               Unify 'a → 'b, 'c  
                          Unify 'c → bool, (bool → bool) → bool  
                          Unify 'c, bool → bool:  
'c:  'a → 'b           Unify 'a → 'b, bool → bool:



# Example of Unification

- Try to solve

'b list = 'a list; 'a → 'b = 'c;  
'c → bool = (bool → bool) → bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a: bool	Unify 'b list, 'a list: Unify 'b, 'a
'b: 'a	Unify 'a → 'b, 'c Unify 'c → bool, (bool → bool) → bool Unify 'c, bool → bool:
'c: 'a → 'b	Unify 'a → 'b, bool → bool: Unify 'a, bool

# Example of Unification

- Try to solve

'b list = 'a list; 'a  $\rightarrow$  'b = 'c;  
'c  $\rightarrow$  bool = (bool  $\rightarrow$  bool)  $\rightarrow$  bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a: bool	Unify 'b list, 'a list: Unify 'b, 'a
'b: 'a	Unify 'a $\rightarrow$ 'b, 'c Unify 'c $\rightarrow$ bool, (bool $\rightarrow$ bool) $\rightarrow$ bool Unify 'c, bool $\rightarrow$ bool:
'c: 'a $\rightarrow$ 'b	Unify 'a $\rightarrow$ 'b, bool $\rightarrow$ bool: Unify 'a, bool Unify 'b, bool:

# Example of Unification

- Try to solve

'b list = 'a list; 'a  $\rightarrow$  'b = 'c;  
'c  $\rightarrow$  bool = (bool  $\rightarrow$  bool)  $\rightarrow$  bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a: bool	Unify 'b list, 'a list: Unify 'b, 'a
'b: 'a	Unify 'a $\rightarrow$ 'b, 'c Unify 'c $\rightarrow$ bool, (bool $\rightarrow$ bool) $\rightarrow$ bool Unify 'c, bool $\rightarrow$ bool:
'c: 'a $\rightarrow$ 'b	Unify 'a $\rightarrow$ 'b, bool $\rightarrow$ bool: Unify 'a, bool Unify 'b, bool: Unify bool, bool

# Example of Unification

- Try to solve

'b list= 'a list; 'a → 'b = 'c;  
'c → bool= (bool → bool) → bool

- We unify both sides of each equation (in any order), keeping the bindings from one unification to the next.

'a: bool	Unify 'b list, 'a list: Unify 'b, 'a
'b: 'a bool	Unify 'a → 'b, 'c Unify 'c → bool, (bool → bool) → bool Unify 'c, bool → bool:
'c: 'a → 'b bool → bool	Unify 'a → 'b, bool → bool: Unify 'a, bool Unify 'b, bool: Unify bool, bool Unify bool, bool

## Some Type Rules (reprise)

Construct	Type	Conditions
<i>Integer literal</i>	int	
<code>[]</code>	'a list	
<code>hd (L)</code>	'a	$L: 'a \text{ list}$
<code>tl (L)</code>	'a list	$L: 'a \text{ list}$
$E_1 + E_2$	int	$E_1: \text{int}, E_2: \text{int}$
$E_1 :: E_2$	'a list	$E_1: 'a, E_2: 'a \text{ list}$
$E_1 = E_2$	bool	$E_1: 'a, E_2: 'a$
$E_1 \neq E_2$	bool	$E_1: 'a, E_2: 'a$
<code>if <math>E_1</math> then <math>E_2</math> else <math>E_3</math> fi</code>	'a	$E_1: \text{bool}, E_2: 'a, E_3: 'a$
$E_1 E_2$	'b	$E_1: 'a \rightarrow 'b, E_2: 'a$
<code>def f x1 ...xn = E</code>		$x1: 'a_1, \dots, xn: 'a_n \ E: 'a_0,$ $f: 'a_1 \rightarrow \dots \rightarrow 'a_n \rightarrow 'a_0.$

# Using the Type Rules

- Apply these rules to a program to get a bunch of Conditions.
- Whenever two Conditions ascribe a type to the same expression, equate those types.
- Solve the resulting equations.

## Aside: Currying

- Writing

```
def sqr x = x*x;
```

means essentially that `sqr` is defined to have the value  $\lambda x. x*x$ .

- To get more than one argument, write

```
def f x y = x + y;
```

and `f` will have the value  $\lambda x. \lambda y. x+y$

- It's type will be `int → int → int` (Note: `→` is right associative).
- So, `f 2 3 = (f 2) 3 = ( $\lambda y. 2 + y$ ) (3) = 5`
- Zounds! It's the CS61A substitution model!
- This trick of turning multi-argument functions into one-argument functions is called *currying* (after Haskell Curry).

# Example

```
def f x L = if L = [] then [] else
            if x != hd(L) then f x (tl L)
            else x :: f x (tl L) fi
fi
```

- Let's initially use 'f, 'x, 'L, etc. as the fresh type variables.
- Using the rules then generates equations like this:

```
'f = 'a0 → 'a1 → 'a2      # def rule
'L = 'a3 list              # = rule, [] rule
'L = 'a4 list              # hd rule,
'x = 'a4                    # != rule
'x = 'a0                    # call rule
'L = 'a5 list              # tl rule
'a1 = 'a5 list              # tl rule, call rule
...
```