## CS 170 DIS 11

#### Released on 2018-11-13

## 1 Local Search for Max Cut

Sometimes, local search algorithms can give good approximations to NP-hard problems. In the Max-Cut problem, we have a graph G(V, E) and want to find a cut (S, T) with as many edges crossing as possible. One local search algorithm is as follows: Start with any cut, and while some vertex v in S has more neighbors in S than T, we move v from S to T (we do the same for any vertex v in T with more neighbors in T than S). Note that any time we move a vertex across the cut, the number of edges crossing the cut increases.

- (a) Give an upper bound on the number of iterations this algorithm can run for (i.e. the total number of times we move a vertex).
- (b) Show that when all vertices have more neighbors on the opposite side of the cut, at least half the edges in the graph cross the cut.

#### Solution:

- (a) |E| iterations. Each iteration increases the number of edges crossing the cut by at least
  1. The number of edges crossing the cut is between 0 and |E|, so there must be at most
  |E| iterations.
- (b) Let  $\delta_{in}(v)$  be the number of edges from v to other vertices on the same side of the cut, and  $\delta_{out}(v)$  be the number of edges from v to vertices on the opposite side of the cut. Then, the total number of edges crossing the cut is  $\frac{1}{2} \sum_{v \in V} \delta_{out}(v)$  whereas the total number of edges in the graph is  $\frac{1}{2} \sum_{v \in V} (\delta_{in}(v) + \delta_{out}(v))$ . We know that  $\delta_{out}(v) > \delta_{in}(v)$ for all v, so the former is at least half as large as the latter.

## 2 Multiway Cut

In the multiway cut problem, we are given a graph G(V, E) with k special vertices  $s_1, s_2 \dots s_k$ . Our goal is to find the smallest set of edges F which when removed from the graph disconnect the graph into at least k components where each  $s_i$  is in a different component. When k = 2, this is exactly the min s-t cut problem, but if  $k \geq 3$  the problem becomes NP-hard.

Consider the following algorithm: Let  $F_i$  be the set of edges in the minimum cut with  $s_i$  one one side and all other special vertices on the other side. Output F, the union of all  $F_i$ . Note that this is a multiway cut because removing  $F_i$  from G isolates  $s_i$  in its own component.

- (a) Explain how each  $F_i$  can be found in polynomial time.
- (b) Let  $F^*$  be the smallest multiway cut. Consider the components that removing  $F^*$  disconnects G into, and let  $C_i$  be the vertices in the component with  $s_i$ . Let  $F_i^*$  be the set of edges in  $F^*$  with exactly one endpoint in  $C_i$ . How many different  $F_i^*$  does each edge in  $F^*$  appear in? How do the size of  $F_i$  and  $F_i^*$  compare?

(c) Using your answer to the previous part, show that  $|F| \leq 2|F^*|$ . (Challenge: How could you modify this algorithm to output F such that  $|F| \leq (2 - \frac{2}{k})|F^*|$ ?)

(As an aside, consider the minimum k-cut problem, where we want to find the smallest set of edges F whose removal disconnects the graph into at least k components. The following greedy algorithm for minimum k-cut gets a  $(2 - \frac{2}{k})$ -approximation: Initialize F to the empty set. While G(V, E - F) has less than k components, find the minimum cut within each component of G(V, E - F), and add the edges in the smallest of these cuts to F. Showing this is a  $(2 - \frac{2}{k})$ -approximation is fairly difficult.)

Solution:

- (a) Consider adding a vertex t to the graph and connecting t to all special vertices except  $s_i$  with infinite capacity edges. Then  $F_i$  is the minimum  $s_i$ -t cut, which we know how to find in polynomial time.
- (b) Each edge in  $F^*$  appears in exactly two of the sets  $F_i^*$ .

Note that  $F_i^*$  is the set of edges in a cut which disconnects  $s_i$  from the other special vertices. Then by definition  $F_i$  has fewer edges than  $F_i^*$  since  $F_i$  is the minimum cut disconnecting  $s_i$  from all other special vertices.

(c) We combine the answers to the previous part and note that F's size is at most the total size of all  $F_i$  to get:

$$|F| \le \sum_i |F_i| \le \sum_i |F_i^*| = 2|F^*|$$

To get the  $(2 - \frac{2}{k})$ -approximation, after computing all  $F_i$ , we instead output F as the union of all  $F_i$  except for the one with the most edges. Let this be  $F_j$ . This is still a multiway cut because each  $s_j$  is still disconnected from all other  $s_i$ . Then:

$$|F| \le \sum_{i \ne j} |F_i| \le (1 - \frac{1}{k}) \sum_i |F_i| \le (1 - \frac{1}{k}) \sum_i |F_i^*| = (2 - \frac{2}{k}) |F^*|$$

## **3** Fast Modular Exponentiation

Give a polynomial time algorithm for computing  $a^{b^c} \mod p$  for prime p and integers a, b, and c.

**Solution:** We know how to compute  $x^y \mod z$  efficiently for any x, y, z: Square x and apply mod z repeatedly to compute  $x, x^2, x^4 \ldots$  all mod z. Then  $x^y$  can be written as some product of these (e.g.  $x^5 = x * x^4$ ), so we can compute  $x^y$  easily.

Then, we show how to reduce this problem to two instances of finding  $x^y \mod z$ :

- Since p is prime, by Fermat's Little Theorem, we know  $a^{p-1} \mod p = 1$ . So we first find  $d = b^c \mod (p-1)$ .
- We then note that  $a^{b^c} \mod p = a^d \mod p$ . Then, we just compute  $a^d \mod p$ .

# 4 Fermat's Little Theorem as a Primality Test

Recall that Fermat's Little Theorem states the following:

"For a prime p and a coprime with p,  $a^{p-1} \equiv 1 \pmod{p}$ ."

Assume for a general (not necessarily prime) p, we want to determine if p is prime. It may be tempting to try to use Fermat's Little Theorem as a test for primality. That is, pick some random a and compute  $a^{p-1} \pmod{p}$ . If this is equal to 1, return that p is prime, else return that it is composite. In this question we will investigate how effective this method actually is.

- (a) Suppose we wanted to test if 15 was prime. What is a choice of a that would trick us into thinking it is prime? What is a choice of a that would lead us to the correct answer? For choices of a that trick us into believing p is prime, we often say that p is "Fermat pseudoprime" to base a.
- (b) Suppose there exists some a in  $\{1, \dots p-1\}$  such that  $a^{p-1} \not\equiv 1 \pmod{p}$ , where a is coprime with p. Show that p is not Fermat pseudoprime to at least half the numbers in  $\pmod{p}$ . How might we use this to make our algorithm more effective?
- (c) Given the improvement from the previous question, why might our algorithm still fail to be a good primality test?

#### Solution:

- (a) A choice of a that would trick us into thinking 15 is prime is 4. There are a few other numbers we could have used here. A choice of a that would lead us to the correct answer is 7.
- (b) Let's assume there is at least one number b such that  $b^{p-1} \equiv 1 \pmod{p}$ .  $(a * b)^{p-1} \not\equiv 1 \pmod{p}$ . Further more, for each possible choice of b, a \* b will be a unique number. This is the case since a necessarily has an inverse in mod p, making the function  $f(x) = a * x \pmod{p}$  a bijection. For every b that p is Fermat pseudoprime to, we have a unique a that would have led us to the correct answer. Thus at least half the numbers  $\pmod{p}$  would lead us to the correct answer.

We can improve our algorithm by checking multiple a rather than just 1. This doesn't increase our runtime substantially, but will sharply decrease the probability of a false positive.

(c) For prime p we will always arrive at the correct answer. For non-prime p, we know that when there exists an a coprime with p such that  $a^{p-1} \not\equiv 1 \pmod{p}$ , we will probably arrive at the correct answer. However, we are not guaranteed the existence of such an a in the first place. There are potentially numbers where no such a exists. These numbers are called Carmichael numbers.