Announcements

- Project 0 (Python tutorial) is due today
  - If you don’t have a class account yet, pick one up after lecture
- Written 1 (Search) is due today
- Project 1 (Search) is out and due next week Thursday
- Section/Lecture

Recap: Search

- Search problem:
  - States (configurations of the world)
  - Successor function: a function from states to lists of (state, action, cost) triples; drawn as a graph
  - Start state and goal test

General Tree Search

- Important ideas:
  - Fringe
  - Expansion
  - Exploration strategy

- Main question: which fringe nodes to explore?

A* Review

- A* uses both backward costs $g$ and forward estimate $h$: $f(n) = g(n) + h(n)$
- A* tree search is optimal with admissible heuristics (optimistic future cost estimates)
- Heuristic design is key: relaxed problems can help

Admissible Heuristics

- A heuristic $h$ is admissible (optimistic) if:
  $$h(n) \leq h^*(n)$$
  where $h^*(n)$ is the true cost to a nearest goal
- Example:

  Coming up with admissible heuristics is most of what’s involved in using A* in practice.
### Optimality of A*: Blocking

**Notation:**
- \( g(n) \) = cost to node \( n \)
- \( h(n) \) = estimated cost from \( n \) to the nearest goal (heuristic)
- \( f(n) = g(n) + h(n) \) = estimated total cost via \( n \)
- \( G^* \) = a lowest cost goal node
- \( G \) = another goal node

**Proof:**
- **What could go wrong?**
- We’d have to pop a suboptimal goal \( G \) off the fringe before \( G^* \)
- This can’t happen:
  - Imagine a suboptimal goal \( G \) is on the queue
  - Some node \( n \) which is a subpath of \( G^* \) must also be on the fringe (why?)
  - \( n \) will be popped before \( G \)

### Tree Search: Extra Work!

- Failure to detect repeated states can cause exponentially more work. Why?

### Graph Search

- Very simple fix: never expand a state twice

### Optimality of A* Graph Search

**Proof:**
- New possible problem: nodes on path to \( G^* \) that would have been in queue aren’t, because some worse \( n \) for the same state as some \( n \) was dequeued and expanded first (disaster!)
- Take the highest such \( n \) in tree
- Let \( p \) be the ancestor which was on the queue when \( n \) was expanded
- Assume \( f(p) < f(n) \)
- \( f(n) < f(n') \) because \( n' \) is suboptimal
- \( p \) would have been expanded before \( n' \)
- Contradiction!

### Consistency

- Wait, how do we know parents have better f-values than their successors?
- Couldn’t we pop some node \( n \), and find its child \( n' \) to have lower f-value?
- **YES:**
  - \( g = 10 \)
  - \( h = 10 \)
- What can we require to prevent these inversions?
- Consistency: \( c(n, a, n') \geq h(n) - h(n') \)
- Real cost must always exceed reduction in heuristic
A* Graph Search Gone Wrong

State space graph

1. S (0+2)
2. A (1+4)
3. B (1+1)
4. C (3+1)
5. G (6+0)

Search tree

1. S
   - h=2
   - g(S) = 0
   - S is a closed node, hence not placed in priority queue

A B

B C

C G

h=1

h=4

h=1

h=0

h=1

Consistency

The story on Consistency:

- Definition: cost(A to C) + h(C) ≥ h(A)
- Consequence in search tree:
  Two nodes along a path: N_A, N_C
  g(N_C) = g(N_A) + cost(A to C)
  g(N_C) + h(C) ≥ g(N_A) + h(A)
- The f value along a path never decreases
- Non-decreasing f means you're optimal to every state (not just goals)

Optimality Summary

- Tree search:
  - A* optimal if heuristic is admissible (and non-negative)
  - Uniform Cost Search is a special case (h = 0)
- Graph search:
  - A* optimal if heuristic is consistent
  - UCS optimal (h = 0 is consistent)
- Consistency implies admissibility
- Challenge: Try to prove this.
- Hint: try to prove the equivalent statement not admissible implies not consistent
- In general, natural admissible heuristics tend to be consistent
- Remember, costs are always positive in search!

What is Search For?

- Models of the world: single agents, deterministic actions, fully observed state, discrete state space
- Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics to guide, fringe to keep backups
- Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are specialized for identification problems

Constraint Satisfaction Problems

- Standard search problems:
  - State is a "black box": arbitrary data structure
  - Goal test: any function over states
  - Successor function can be anything
- Constraint satisfaction problems (CSPs):
  - A special subset of search problems
  - State is defined by variables X_i with values from a domain D (sometimes D depends on i)
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables
- Simple example of a formal representation language
- Allows useful general-purpose algorithms with more power than standard search algorithms

Example: N-Queens

- Formulation 1:
  - Variables: X_{ij}
  - Domains: \{0, 1\}
  - Constraints
    \forall i, j, k (X_{ij}, X_{ik}) \in \{(0, 0), (0, 1), (1, 0)\}
    \forall i, j, k (X_{ij}, X_{kj}) \in \{(0, 0), (0, 1), (1, 0)\}
    \forall i, j, k (X_{ij}, X_{i+k,j+k}) \in \{(0, 0), (0, 1), (1, 0)\}
    \sum_{i,j} X_{ij} = N
Example: N-Queens

- Formulation 2:
  - Variables: \( Q_k \)
  - Domains: \{1, 2, 3, \ldots N\}
- Constraints:
  - Implicit: \( \forall i, j \) non-threatening(\( Q_i, Q_j \))
  - Explicit: \( (Q_1, Q_2) \in \{(1, 3), (1, 4), \ldots\} \)

Example: Map-Coloring

- Variables: \( WA, NT, Q, NSW, V, SA, T \)
- Domain: \( D = \{\text{red, green, blue}\} \)
- Constraints: adjacent regions must have different colors
  - \( WA \neq NT \)
  - \( (WA, NT) \in \{(\text{red, green}), (\text{red, blue}), (\text{green, red}), \ldots\} \)
- Solutions are assignments satisfying all constraints, e.g.:
  - \( \{WA = \text{red}, NT = \text{green}, Q = \text{red}, NSW = \text{green}, V = \text{red}, SA = \text{blue}, T = \text{green}\} \)

Constraint Graphs

- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!

Example: Cryptarithmetic

- Variables (circles):
  - \( F T U W R O X_1 X_2 X_3 \)
- Domains:
  - \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}
- Constraints (boxes):
  - \( \text{alldiff}(F, T, U, W, R, O) \)
  - \( O + O = R + 10 \cdot X_1 \)
  - \( \ldots \)

Example: Sudoku

- Variables:
  - Each (open) square
  - Domains:
    - \{1, 2, 3, \ldots, 9\}
  - Constraints:
    - 9-way alldiff for each column
    - 9-way alldiff for each row
    - 9-way alldiff for each region

Example: The Waltz Algorithm

- The Waltz algorithm is for interpreting line drawings of solid polyhedra
- An early example of a computation posed as a CSP
  - Look at all intersections
  - Adjacent intersections impose constraints on each other
Varieties of CSPs

- **Discrete Variables**
  - Finite domains
    - Size of means $O(d^n)$ complete assignments
    - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
    - Infinite domains (integers, strings, etc.)
    - E.g., job scheduling, variables are start/end times for each job
  - Linear constraints solvable, nonlinear undecidable

- **Continuous variables**
  - E.g., start-end state of a robot
  - Linear constraints solvable in polynomial time by LP methods
  (see cs170 for a bit of this theory)

Varieties of Constraints

- **Varieties of Constraints**
  - Unary constraints involve a single variable (equiv. to shrinking domains):
    - $SA \neq green$
  - Binary constraints involve pairs of variables:
    - $SA \neq WA$
  - Higher-order constraints involve 3 or more variables:
    - e.g., cryptarithmetic column constraints
  - Preferences (soft constraints):
    - E.g., red is better than green
    - Often representable by a cost for each variable assignment
    - Gives constrained optimization problems
    - (We'll ignore these until we get to Bayes' nets)

Real-World CSPs

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Fault diagnosis
- ... lots more!
- Many real-world problems involve real-valued variables...

Standard Search Formulation

- Standard search formulation of CSPs (incremental)
- Let's start with the straightforward, dumb approach, then fix it
- States are defined by the values assigned so far
  - Initial state: the empty assignment, {}
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints
- Simplest CSP ever: two bits, constrained to be equal

Search Methods

- **What does BFS do?**
- **What does DFS do?**
- **What’s the obvious problem here?**
- **What’s the slightly-less-obvious problem?**

Backtracking Search

- **Idea 1**: Only consider a single variable at each point
  - Variable assignments are commutative, so fix ordering
  - i.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
  - How many leaves are there?
- **Idea 2**: Only allow legal assignments at each point
  - i.e. consider only values which do not conflict previous assignments
  - Might have to do some computation to figure out whether a value is ok
  - “Incremental goal test”
  - Depth-first search for CSPs with these two improvements is called backtracking search
  (useless name, really)
  - Backtracking search is the basic uninformed algorithm for CSPs
  - Can solve n-queens for n = 25
Backtracking Search

Function BACKTRACKING-SOLUTION(cp) returns solution/failure
function BACKTRACKING-EXAMPLE(assignment, cp) returns solution/failure
if assignment is complete then return assignment
v — SELECT-UNASSIGNED-VARIABLE(assignment, cp)
for each value in ORDER-DOMAIN-VALUES(v, assignment, cp) do
  if value is consistent with assignment gives CONSTRAINTS[v] then
    add (value, v) to assignment
    result — BACKTRACKING-EXAMPLE(assignment, cp)
    if result is failure then return result
    remove (value — v) from assignment
return failure

- What are the choice points?

Improving Backtracking

- General-purpose ideas can give huge gains in speed:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?
  - Can we take advantage of problem structure?

Minimum Remaining Values

- Minimum remaining values (MRV):
  - Choose the variable with the fewest legal values

- Why min rather than max?
- Also called “most constrained variable”
- “Fail-fast” ordering

Degree Heuristic

- Tie-breaker among MRV variables
- Degree heuristic:
  - Choose the variable participating in the most constraints on remaining variables

- Why most rather than fewest constraints?
Least Constraining Value

- Given a choice of variable:
  - Choose the least constraining value
  - The one that rules out the fewest values in the remaining variables
  - Note that it may take some computation to determine this!
- Why least rather than most?
- Combining these heuristics makes 1000 queens feasible

Forward Checking

- Idea: Keep track of remaining legal values for unassigned variables (using immediate constraints)
- Idea: Terminate when any variable has no legal values

Constraint Propagation

- Forward checking propagates information from assigned to adjacent unassigned variables, but doesn’t detect more distant failures:
- NT and SA cannot both be blue?
- Why didn’t we detect this yet?
- Constraint propagation repeatedly enforces constraints (locally)

Arc Consistency

- Simplest form of propagation makes each arc consistent
  - $X \rightarrow Y$ is consistent iff for every value $x$ there is some allowed $y$
  - If $X$ loses a value, neighbors of $X$ need to be rechecked!
  - Arc consistency detects failure earlier than forward checking
  - What’s the downside of arc consistency?
  - Can be run as a preprocessor or after each assignment

Arc Consistency

- After running arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)

Limitations of Arc Consistency

- Runtime: $O(n^2P)$, can be reduced to $O(n^3P)$
- … but detecting all possible future problems is NP-hard — why?
Problem Structure

- Tasmania and mainland are independent subproblems
- Identifiable as connected components of constraint graph
- Suppose each subproblem has \( c \) variables out of \( n \) total
- Worst-case solution cost is \( O\left(\frac{n}{c}d^c\right) \), linear in \( n \)
  - E.g., \( n = 80, d = 2, c = 20 \)
  - \( 2^{20} = 4 \text{ billion years} \) at 10 million nodes/sec
  - \( (4)(2^{20}) = 0.4 \text{ seconds} \) at 10 million nodes/sec

Tree-Structured CSPs

- Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering
- For \( i = n : 2 \), apply \( \text{RemoveInconsistent}(\text{Parent}(X_i), X_i) \)
- For \( i = 1 : n \), assign \( X_i \) consistently with \( \text{Parent}(X_i) \)
- Runtime: \( O(n d^2) \)

Tree-Structured CSPs

- Theorem: if the constraint graph has no loops, the CSP can be solved in \( O(d^2) \) time!
- Compare to general CSPs, where worst-case time is \( O(d^n) \)
- This property also applies to logical and probabilistic reasoning: an important example of the relation between syntactic restrictions and the complexity of reasoning.

Nearly Tree-Structured CSPs

- Conditioning: instantiate a variable, prune its neighbors' domains
- Cutset conditioning: instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree
- Cutset size \( c \) gives runtime \( O\left( (d^2)(n-c) d^c \right) \), very fast for small \( c \)
Iterative Algorithms for CSPs

- Greedy and local methods typically work with “complete” states, i.e., all variables assigned
- To apply to CSPs:
  - Allow states with unsatisfied constraints
  - Operators reassign variable values
- Variable selection: randomly select any conflicted variable
- Value selection by min-conflicts heuristic:
  - Choose value that violates the fewest constraints
  - I.e., hill climb with \( h(n) = \) total number of violated constraints

Example: 4-Queens

- States: 4 queens in 4 columns (\( 4^4 = 256 \) states)
- Operators: move queen in column
- Goal test: no attacks
- Evaluation: \( h(n) = \) number of attacks

Performance of Min-Conflicts

- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)
- The same appears to be true for any randomly-generated CSP except in a narrow range of the ratio

\[
R = \frac{\text{number of constraints}}{\text{number of variables}}
\]

Summary

- CSPs are a special kind of search problem:
  - States defined by values of a fixed set of variables
  - Goal test defined by constraints on variable values
- Backtracking = depth-first search with one legal variable assigned per node
- Variable ordering and value selection heuristics help significantly
- Forward checking prevents assignments that guarantee later failure
- Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- The constraint graph representation allows analysis of problem structure
- Tree-structured CSPs can be solved in linear time
- Iterative min-conflicts is usually effective in practice

Local Search Methods

- Queue-based algorithms keep fallback options (backtracking)
- Local search: improve what you have until you can’t make it better
- Generally much more efficient (but incomplete)

Types of Problems

- Planning problems:
  - We want a path to a solution (examples?)
  - Usually want an optimal path
  - Incremental formulations
- Identification problems:
  - We actually just want to know what the goal is (examples?)
  - Usually want an optimal goal
  - Complete-state formulations
  - Iterative improvement algorithms
Hill Climbing

- Simple, general idea:
  - Start wherever
  - Always choose the best neighbor
  - If no neighbors have better scores than current, quit
- Why can this be a terrible idea?
  - Complete?
  - Optimal?
- What’s good about it?

Simulated Annealing

- Idea: Escape local maxima by allowing downhill moves
  - But make them rarer as time goes on

```python
function SIMULATED-ANNEALING(problem, schedule) returns a solution state
  inputs: problem, a problem
           schedule, a mapping from time to “temperature”
  local variables: current, a node
  next, a node

  T, a “temperature” controlling prob. of downward steps

  current ← MAKE-NODE(INITIAL-STATE[problem])
  for t ← 1 to ∞ do
    T ← schedule(t)
    if T = 0 then return current
    next ← a randomly selected successor of current
    ΔE ← VALUE[next] - VALUE[current]
    if ΔE > 0 then current ← next
    else current ← next only with probability e^(-ΔE/T)
```

Beam Search

- Like greedy search, but keep K states at all times:

- Variables: beam size, encourage diversity?
- The best choice in MANY practical settings
- Complete? Optimal?
- What criteria to order nodes by?