

Self-assessment due: Monday 3/11/2019 at 11:59pm (submit via Gradescope)

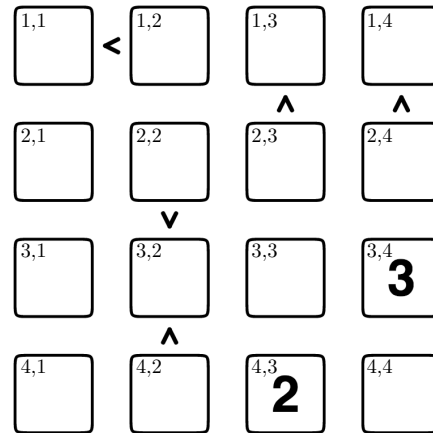
Instructions for self-assessment: Take your original submission and annotate any differences from the provided solutions using a **different color** of ink. For **each subpart** where your original answer was correct, write **“correct” in a different color** of ink to demonstrate that you have checked your work. If you need to, you can download a PDF copy of your submission from Gradescope.

Your submission should be a 3-page PDF that follows the template (page 1 has name/collaborators, question 1 begins on page 2, etc.). Do not reorder, split, combine, or add extra pages. If your original HW2 submission did not follow the correct format, please fix the format when submitting your self-assessment.

Q1. CSP Futoshiki

Futoshiki is a Japanese logic puzzle that is very simple, but can be quite challenging. You are given an $n \times n$ grid, and must place the numbers $1, \dots, n$ in the grid such that every row and column has exactly one of each. Additionally, the assignment must satisfy the inequalities placed between some adjacent squares.

To the right is an instance of this problem, for size $n = 4$. Some of the squares have known values, such that the puzzle has a unique solution. (The letters mean nothing to the puzzle, and will be used only as labels with which to refer to certain squares). Note also that inequalities apply only to the two adjacent squares, and do not directly constrain other squares in the row or column.



Let's formulate this puzzle as a CSP. We will use 4^2 variables, one for each cell, with X_{ij} as the variable for the cell in the i th row and j th column (each cell contains its i, j label in the top left corner). The only unary constraints will be those assigning the known initial values to their respective squares (e.g. $X_{34} = 3$).

- (a) Complete the formulation of the CSP using only binary constraints (in addition to the unary constraints specified above). In particular, describe the domains of the variables, and all binary constraints you think are necessary. You do not need to enumerate them all, just describe them using concise mathematical notation. You are not permitted to use n -ary constraints where $n \geq 3$.

Domains: $X_{ij} \in \{1, 2, 3, 4\}, \forall i, j$

Unary constraints: $X_{34} = 3, X_{43} = 2$

Inequality binary constraints: $X_{11} < X_{12}, X_{13} < X_{23}, X_{14} < X_{24}, X_{32} < X_{22}, X_{32} < X_{42}$

Row binary constraints: $X_{ij} \neq X_{ik}, \forall i, j, k, j \neq k$

Column binary constraints: $X_{ij} \neq X_{kj}, \forall i, j, k, i \neq k$

- (b) After enforcing unary constraints, consider the binary constraints involving X_{14} and X_{24} . Enforce arc consistency on just these constraints and state the resulting domains for the two variables. $X_{14} \in \{1, 2\}, X_{24} \in \{2, 4\}$. Note that both threes are removed from the column constraint with X_{34} .
- (c) Suppose we enforced unary constraints and ran arc consistency on this CSP, pruning the domains of all variables as much as possible. After this, what is the maximum possible domain size for any variable? [Hint: consider the least constrained variable(s); you should *not* have to run every step of arc consistency.] The maximum possible domain size is 4 (ie, no values are removed from the original domain). Consider X_{21} – we will not be able to eliminate any values from its domain through arc consistency.
- (d) Suppose we enforced unary constraints and ran arc consistency on the initial CSP in the figure above. What is the maximum possible domain size for a variable adjacent to an inequality? The maximum domain size is 3 – you must always eliminate either 1 or 4 from a variable participating in an inequality constraint.
- (e) By inspection of column 2, we find it is necessary that $X_{32} = 1$, despite not having found an assignment to any of the other cells in that column. Would running arc consistency find this requirement? Explain why or why not. No, arc consistency would not find this requirement. Enforcing the $X_{32} \rightarrow X_{42}$ and the $X_{42} \rightarrow X_{43}$ arc leaves X_{42} with a domain of $\{3, 4\}$. Enforcing the $X_{32} < X_{22}$ constraints leaves $X_{32} \in \{1, 2, 3\}$ and $X_{22} \in \{2, 3, 4\}$. Enforcing that they are all different does not remove any values. After this point, every arc in this column is consistent and X_{32} is not required to be 1.

Q2. CSPs: Properties

- (a) When enforcing arc consistency in a CSP, the set of values which remain when the algorithm terminates does not depend on the order in which arcs are processed from the queue.

True False

- (b) In a general CSP with n variables, each taking d possible values, what is the maximum number of times a backtracking search algorithm might have to backtrack (i.e. the number of the times it generates an assignment, partial or complete, that violates the constraints) before finding a solution or concluding that none exists? (circle one)

0 $O(1)$ $O(nd^2)$ $O(n^2d^3)$ $O(d^n)$ ∞

In general, the search might have to examine all possible assignments.

- (c) What is the maximum number of times a backtracking search algorithm might have to backtrack in a general CSP, if it is running arc consistency and applying the MRV and LCV heuristics? (circle one)

0 $O(1)$ $O(nd^2)$ $O(n^2d^3)$ $O(d^n)$ ∞

The MRV and LCV heuristics are often helpful to guide the search, but are not guaranteed to reduce backtracking in the worst case.

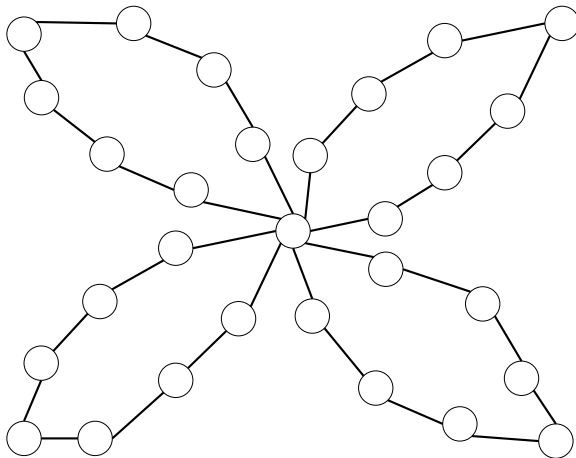
In fact, CSP solving is NP-complete, so any polynomial-time method for solving general CSPs would constitute a proof of $P = NP$ (worth a million dollars from the Clay Mathematics Institute!).

- (d) What is the maximum number of times a backtracking search algorithm might have to backtrack in a *tree-structured* CSP, if it is running arc consistency and using an optimal variable ordering? (circle one)

0 $O(1)$ $O(nd^2)$ $O(n^2d^3)$ $O(d^n)$ ∞

Applying arc consistency to a tree-structured CSP guarantees that no backtracking is required, if variables are assigned starting at the root and moving down towards the leaves.

- (e) **Constraint Graph** Consider the following constraint graph:



In two sentences or less, describe a strategy for efficiently solving a CSP with this constraint structure.

Loop over assignments to the variable in the middle of the constraint graph. Treating this node as a cutset, the graph becomes four independent tree-structured CSPs, each of which can be solved efficiently.