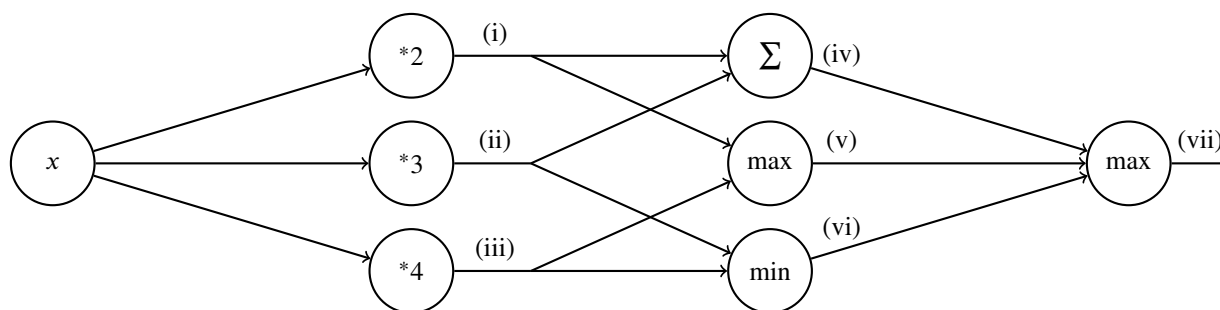


Q1. Deep Learning

(a) Perform forward propagation on the neural network below for $x = 1$ by filling in the values in the table. Note that (i), ..., (vii) are outputs after performing the appropriate operation as indicated in the node.

| | | | | | | |
|-----|------|-------|------|-----|------|-------|
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) |
| | | | | | | |

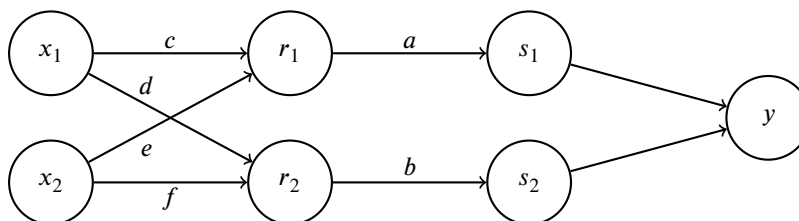


(b) [Optional] Below is a neural network with weights a, b, c, d, e, f . The inputs are x_1 and x_2 . The first hidden layer computes $r_1 = \max(c \cdot x_1 + e \cdot x_2, 0)$ and $r_2 = \max(d \cdot x_1 + f \cdot x_2, 0)$. The second hidden layer computes $s_1 = \frac{1}{1+\exp(-a \cdot r_1)}$ and $s_2 = \frac{1}{1+\exp(-b \cdot r_2)}$. The output layer computes $y = s_1 + s_2$. Note that the weights a, b, c, d, e, f are indicated along the edges of the neural network here.

Suppose the network has inputs $x_1 = 1, x_2 = -1$.

The weight values are $a = 1, b = 1, c = 4, d = 1, e = 2, f = 2$.

Forward propagation then computes $r_1 = 2, r_2 = 0, s_1 = 0.9, s_2 = 0.5, y = 1.4$. Note: some values are rounded.



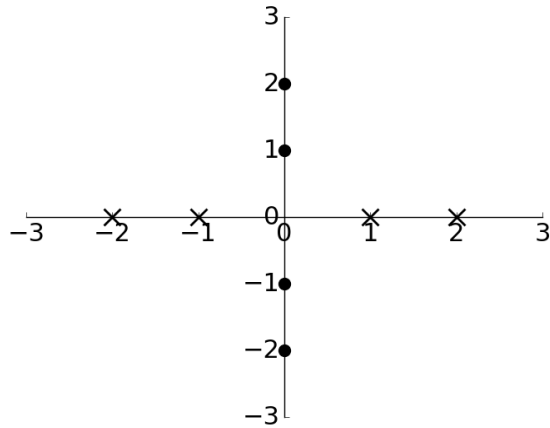
Using the values computed from forward propagation, use backpropagation to numerically calculate the following partial derivatives. Write your answers as a single number (not an expression). You do not need a calculator. Use scratch paper if needed.

Hint: For $g(z) = \frac{1}{1+\exp(-z)}$, the derivative is $\frac{\partial g}{\partial z} = g(z)(1 - g(z))$.

| | | | | | |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| $\frac{\partial y}{\partial a}$ | $\frac{\partial y}{\partial b}$ | $\frac{\partial y}{\partial c}$ | $\frac{\partial y}{\partial d}$ | $\frac{\partial y}{\partial e}$ | $\frac{\partial y}{\partial f}$ |
| | | | | | |

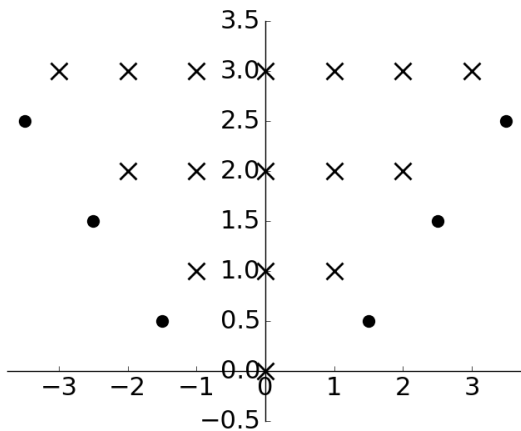
(c) Below are two plots with horizontal axis x_1 and vertical axis x_2 containing data labelled \times and \bullet . For each plot, we wish to find a function $f(x_1, x_2)$ such that $f(x_1, x_2) \geq 0$ for all data labelled \times and $f(x_1, x_2) < 0$ for all data labelled \bullet .

Below each plot is the function $f(x_1, x_2)$ for that specific plot. Complete the expressions such that all the data is labelled correctly. If not possible, mark "No valid combination".



$$f(x_1, x_2) = \max(\underline{\text{(i)}} + \underline{\text{(ii)}}, \underline{\text{(iii)}} + \underline{\text{(iv)}}) + \underline{\text{(v)}}$$

- (i) x_1 $-x_1$ 0
- (ii) x_2 $-x_2$ 0
- (iii) x_1 $-x_1$ 0
- (iv) x_2 $-x_2$ 0
- (v) 1 -1 0
- No valid combination



$$f(x_1, x_2) = \underline{\text{(vi)}} - \max(\underline{\text{(vii)}} + \underline{\text{(viii)}}, \underline{\text{(ix)}} + \underline{\text{(x)}})$$

- (vi) x_2 $-x_2$ 0
- (vii) x_1 $-x_1$ 0
- (viii) x_2 $-x_2$ 0
- (ix) x_1 $-x_1$ 0
- (x) x_2 $-x_2$ 0
- No valid combination

Q2. Q-learning

Consider the following gridworld (rewards shown on left, state names shown on right).

| Rewards | |
|---------|----|
| | |
| +10 | +1 |

| State names | |
|-------------|----|
| A | B |
| G1 | G2 |

From state A, the possible actions are right(\rightarrow) and down(\downarrow). From state B, the possible actions are left(\leftarrow) and down(\downarrow). For a numbered state (G1, G2), the only action is to exit. Upon exiting from a numbered square we collect the reward specified by the number on the square and enter the end-of-game absorbing state X . We also know that the discount factor $\gamma = 1$, and in this MDP all actions are **deterministic** and always succeed.

Consider the following episodes:

| Episode 1 ($E1$) | Episode 2 ($E2$) | Episode 3 ($E3$) | Episode 4 ($E4$) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|---|---|--------------|----|---|----|------|---|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|----|---|---|--------------|----|---|----|------|---|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|----|---|---|---------------|---|---|---|--------------|----|---|----|------|---|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|----|---|---|--------------|---|---|---|--------------|----|---|----|------|---|----|
| <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="border: none;">s</th> <th style="border: none;">a</th> <th style="border: none;">s'</th> <th style="border: none;">r</th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black;">A</td> <td style="border: 1px solid black;">\downarrow</td> <td style="border: 1px solid black;">G1</td> <td style="border: 1px solid black;">0</td> </tr> <tr> <td style="border: 1px solid black;">G1</td> <td style="border: 1px solid black;">exit</td> <td style="border: 1px solid black;">X</td> <td style="border: 1px solid black;">10</td> </tr> </tbody> </table> | s | a | s' | r | A | \downarrow | G1 | 0 | G1 | exit | X | 10 | <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="border: none;">s</th> <th style="border: none;">a</th> <th style="border: none;">s'</th> <th style="border: none;">r</th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black;">B</td> <td style="border: 1px solid black;">\downarrow</td> <td style="border: 1px solid black;">G2</td> <td style="border: 1px solid black;">0</td> </tr> <tr> <td style="border: 1px solid black;">G2</td> <td style="border: 1px solid black;">exit</td> <td style="border: 1px solid black;">X</td> <td style="border: 1px solid black;">1</td> </tr> </tbody> </table> | s | a | s' | r | B | \downarrow | G2 | 0 | G2 | exit | X | 1 | <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="border: none;">s</th> <th style="border: none;">a</th> <th style="border: none;">s'</th> <th style="border: none;">r</th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black;">A</td> <td style="border: 1px solid black;">\rightarrow</td> <td style="border: 1px solid black;">B</td> <td style="border: 1px solid black;">0</td> </tr> <tr> <td style="border: 1px solid black;">B</td> <td style="border: 1px solid black;">\downarrow</td> <td style="border: 1px solid black;">G2</td> <td style="border: 1px solid black;">0</td> </tr> <tr> <td style="border: 1px solid black;">G2</td> <td style="border: 1px solid black;">exit</td> <td style="border: 1px solid black;">X</td> <td style="border: 1px solid black;">1</td> </tr> </tbody> </table> | s | a | s' | r | A | \rightarrow | B | 0 | B | \downarrow | G2 | 0 | G2 | exit | X | 1 | <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="border: none;">s</th> <th style="border: none;">a</th> <th style="border: none;">s'</th> <th style="border: none;">r</th> </tr> </thead> <tbody> <tr> <td style="border: 1px solid black;">B</td> <td style="border: 1px solid black;">\leftarrow</td> <td style="border: 1px solid black;">A</td> <td style="border: 1px solid black;">0</td> </tr> <tr> <td style="border: 1px solid black;">A</td> <td style="border: 1px solid black;">\downarrow</td> <td style="border: 1px solid black;">G1</td> <td style="border: 1px solid black;">0</td> </tr> <tr> <td style="border: 1px solid black;">G1</td> <td style="border: 1px solid black;">exit</td> <td style="border: 1px solid black;">X</td> <td style="border: 1px solid black;">10</td> </tr> </tbody> </table> | s | a | s' | r | B | \leftarrow | A | 0 | A | \downarrow | G1 | 0 | G1 | exit | X | 10 |
| s | a | s' | r | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| A | \downarrow | G1 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| G1 | exit | X | 10 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| s | a | s' | r | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| B | \downarrow | G2 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| G2 | exit | X | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| s | a | s' | r | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| A | \rightarrow | B | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| B | \downarrow | G2 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| G2 | exit | X | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| s | a | s' | r | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| B | \leftarrow | A | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| A | \downarrow | G1 | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| G1 | exit | X | 10 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

- (a) Consider using temporal-difference learning to learn $V(s)$. When running TD-learning, all values are initialized to zero. For which sequences of episodes, if repeated infinitely often, does $V(s)$ converge to $V^*(s)$ for all states s ?

(Assume appropriate learning rates such that all values converge.)

Write the correct sequence under "Other" if no correct sequences of episodes are listed.

- | | | | |
|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|
| <input type="checkbox"/> $E1, E2, E3, E4$ | <input type="checkbox"/> $E1, E2, E1, E2$ | <input type="checkbox"/> $E1, E2, E3, E1$ | <input type="checkbox"/> $E4, E4, E4, E4$ |
| <input type="checkbox"/> $E4, E3, E2, E1$ | <input type="checkbox"/> $E3, E4, E3, E4$ | <input type="checkbox"/> $E1, E2, E4, E1$ | |
| <input type="checkbox"/> Other _____ | | | |

- (b) Consider using Q-learning to learn $Q(s, a)$. When running Q-learning, all values are initialized to zero. For which sequences of episodes, if repeated infinitely often, does $Q(s, a)$ converge to $Q^*(s, a)$ for all state-action pairs (s, a) ?

(Assume appropriate learning rates such that all Q-values converge.)

Write the correct sequence under "Other" if no correct sequences of episodes are listed.

- | | | | |
|-------------------------------------------|-------------------------------------------|-------------------------------------------|-------------------------------------------|
| <input type="checkbox"/> $E1, E2, E3, E4$ | <input type="checkbox"/> $E1, E2, E1, E2$ | <input type="checkbox"/> $E1, E2, E3, E1$ | <input type="checkbox"/> $E4, E4, E4, E4$ |
| <input type="checkbox"/> $E4, E3, E2, E1$ | <input type="checkbox"/> $E3, E4, E3, E4$ | <input type="checkbox"/> $E1, E2, E4, E1$ | |
| <input type="checkbox"/> Other _____ | | | |