

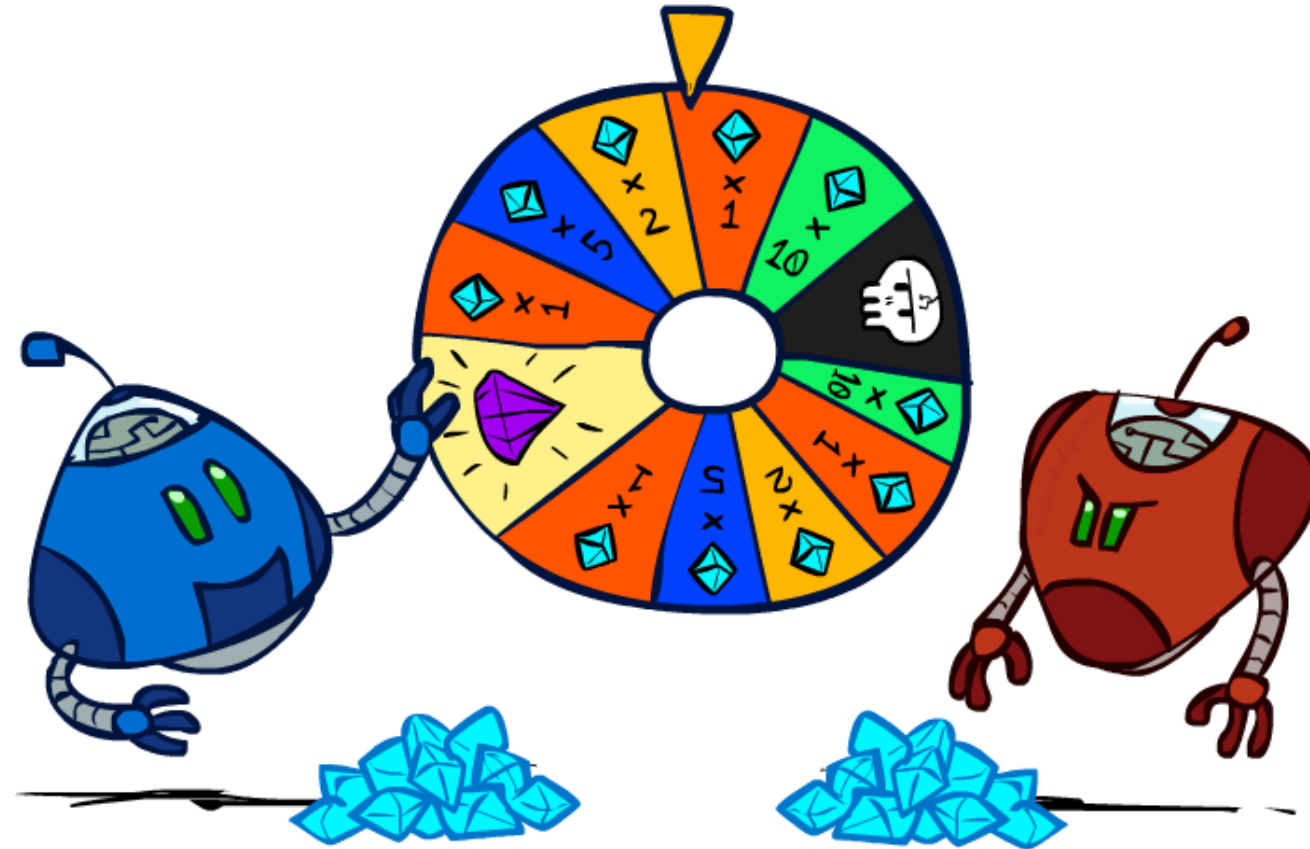
# Announcements

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- Project 3 is due **Tuesday, February 28, 11:59 PM PT**
- HW3 is due **Friday, February 17, 11:59 PM PT**

# CS 188: Artificial Intelligence

## Expectimax, Monte Carlo Tree Search



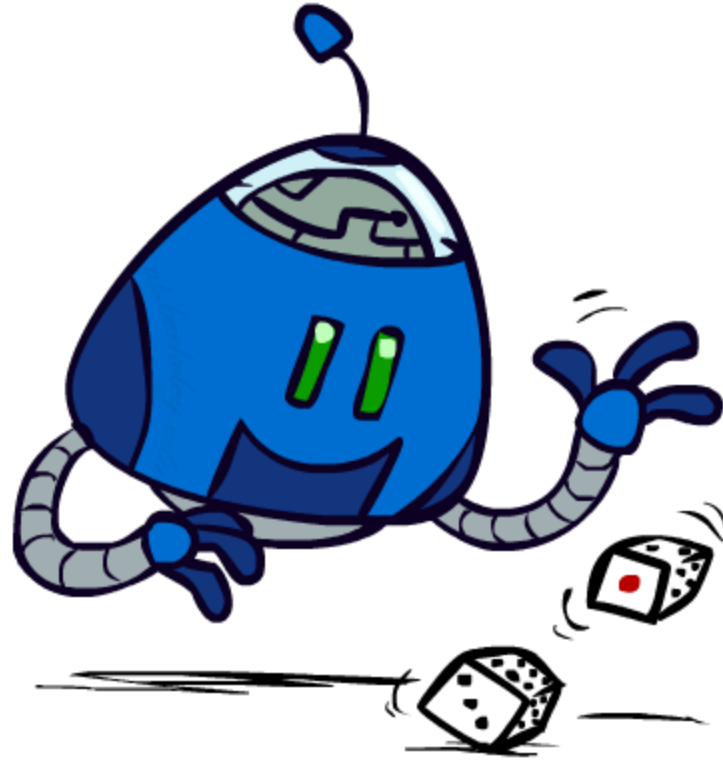
Spring 2023

University of California, Berkeley

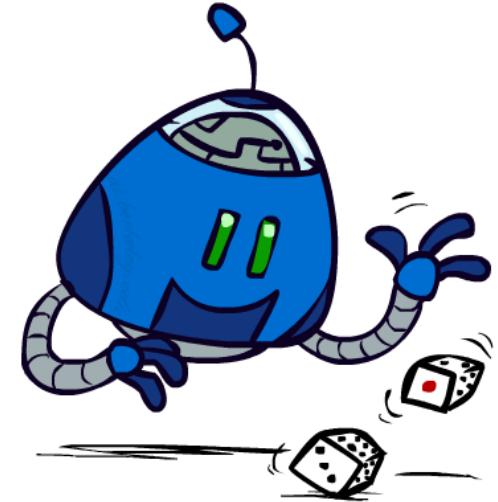
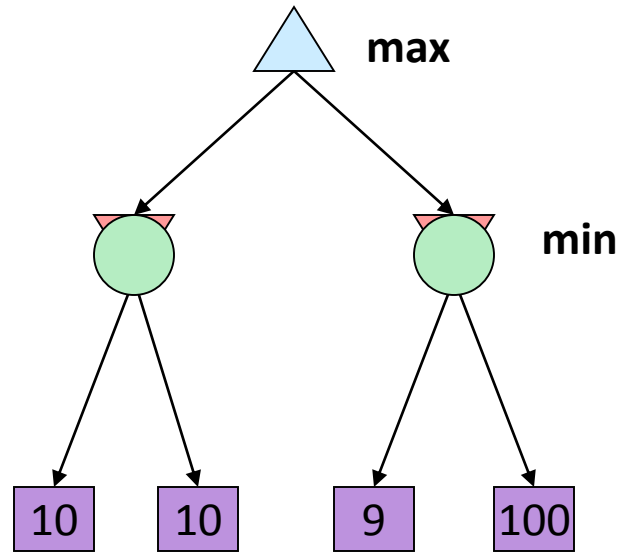
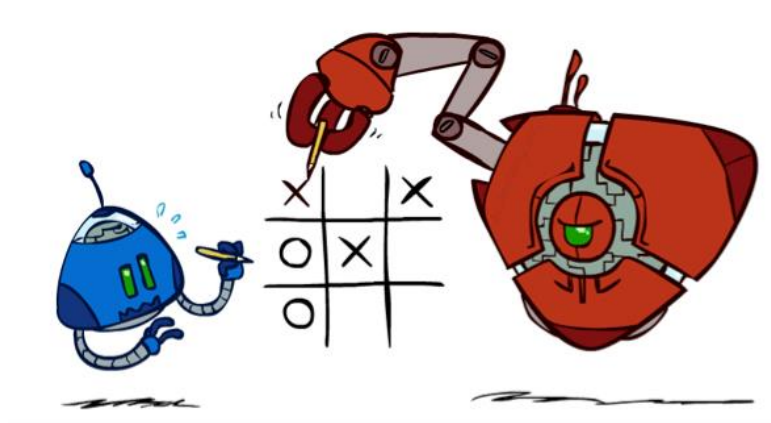
[These slides were created by Dan Klein, Pieter Abbeel for CS188 Intro to AI at UC Berkeley (ai.berkeley.edu).]

# Uncertain Outcomes

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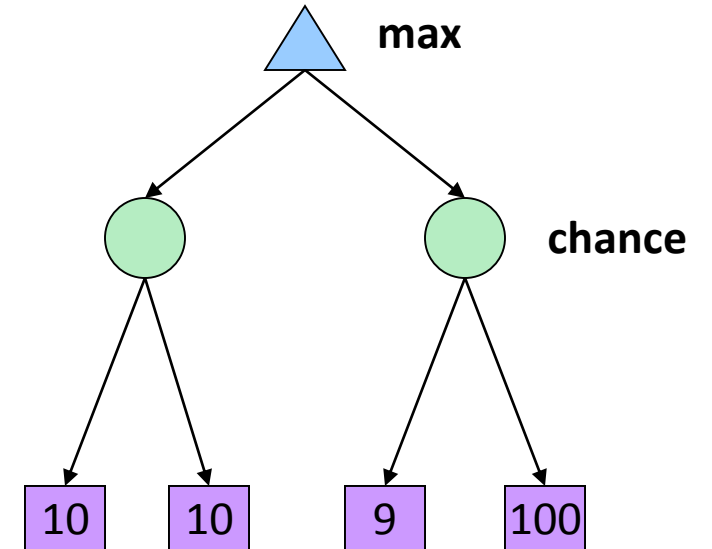
# Worst-Case vs. Average Case



Idea: Uncertain outcomes controlled by chance, not an adversary!

# Expectimax Search

- Why wouldn't we know what the result of an action will be?
  - Explicit randomness: rolling dice
  - Unpredictable opponents: the ghosts respond randomly
  - Actions can fail: when moving a robot, wheels might slip
- Values should now reflect average-case (expectimax) outcomes, not worst-case (minimax) outcomes
- **Expectimax search**: compute the average score under optimal play
  - Max nodes as in minimax search
  - Chance nodes are like min nodes but the outcome is uncertain
  - Calculate their **expected utilities**
  - I.e. take weighted average (expectation) of children
- Later, we'll learn how to formalize the underlying uncertain-result problems as **Markov Decision Processes**



# Expectimax Pseudocode

```
def value(state):
```

```
    if the state is a terminal state: return the state's utility
```

```
    if the next agent is MAX: return max-value(state)
```

```
    if the next agent is EXP: return exp-value(state)
```

```
def max-value(state):
```

```
    initialize v =  $-\infty$ 
```

```
    for each successor of state:
```

```
        v = max(v, value(successor))
```

```
    return v
```

```
def exp-value(state):
```

```
    initialize v = 0
```

```
    for each successor of state:
```

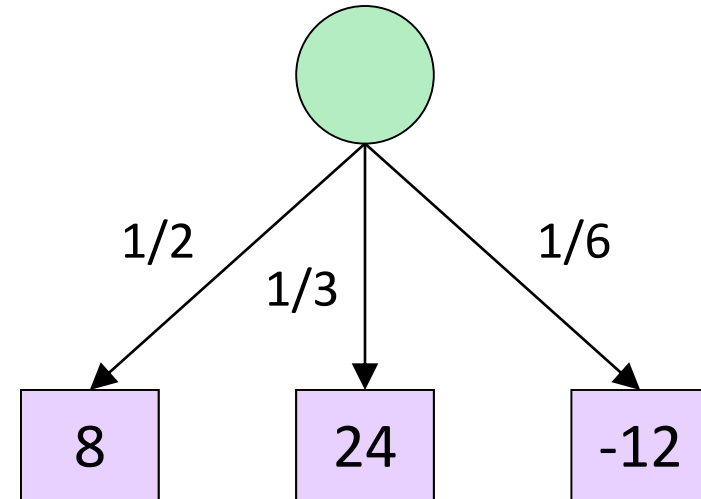
```
        p = probability(successor)
```

```
        v += p * value(successor)
```

```
    return v
```

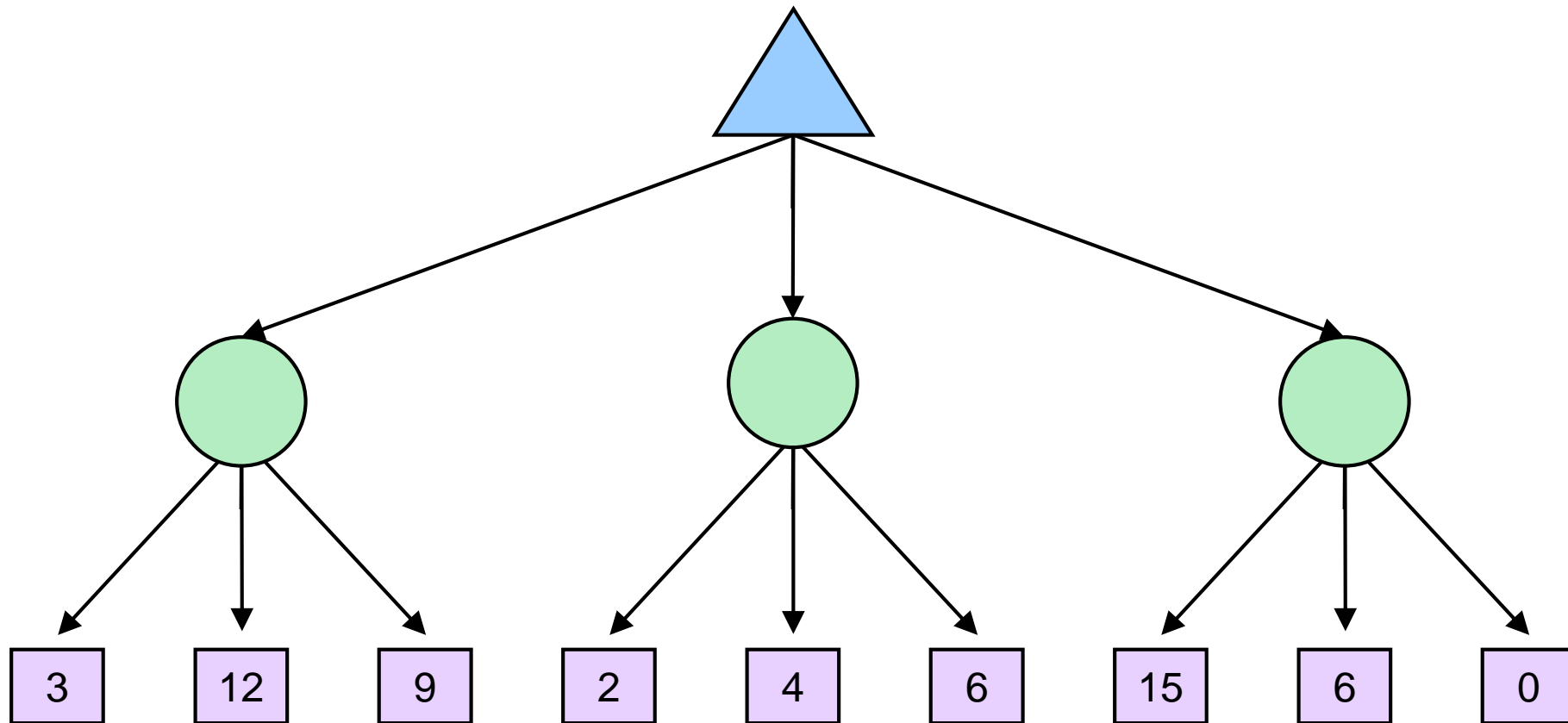
# Expectimax Pseudocode

```
def exp-value(state):  
    initialize v = 0  
    for each successor of state:  
        p = probability(successor)  
        v += p * value(successor)  
    return v
```



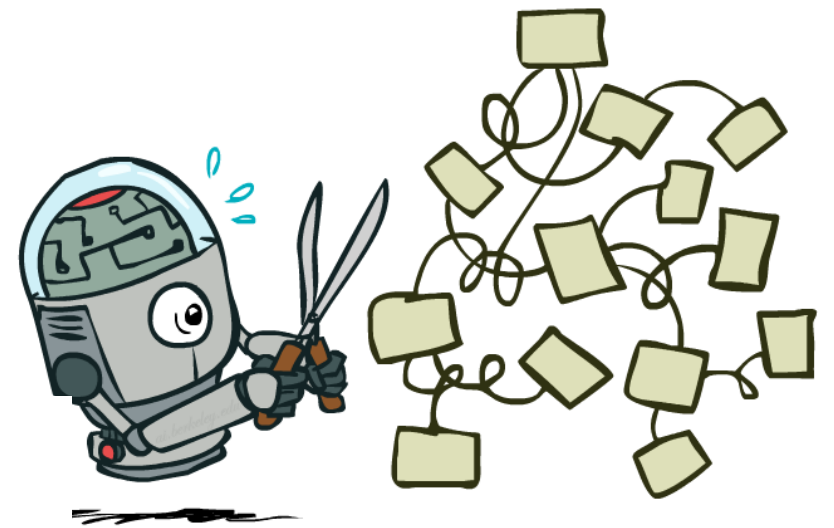
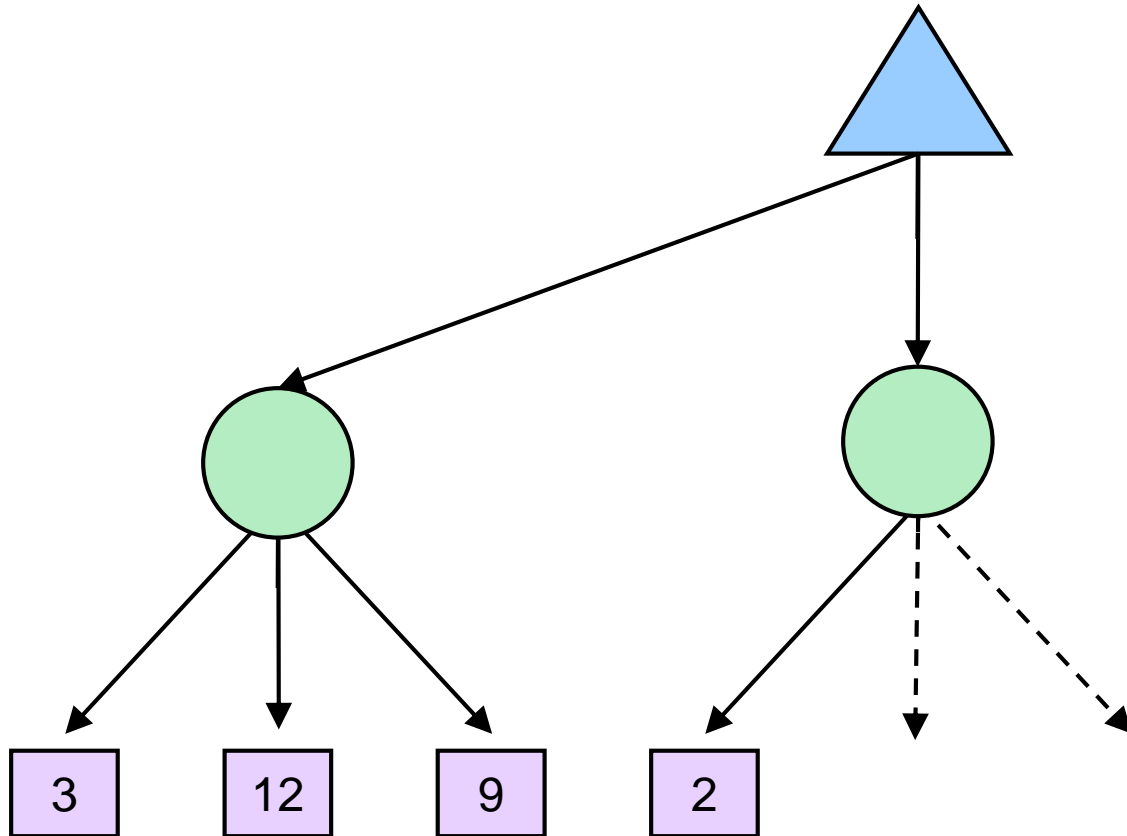
$$v = (1/2) (8) + (1/3) (24) + (1/6) (-12) = 10$$

# Expectimax Example

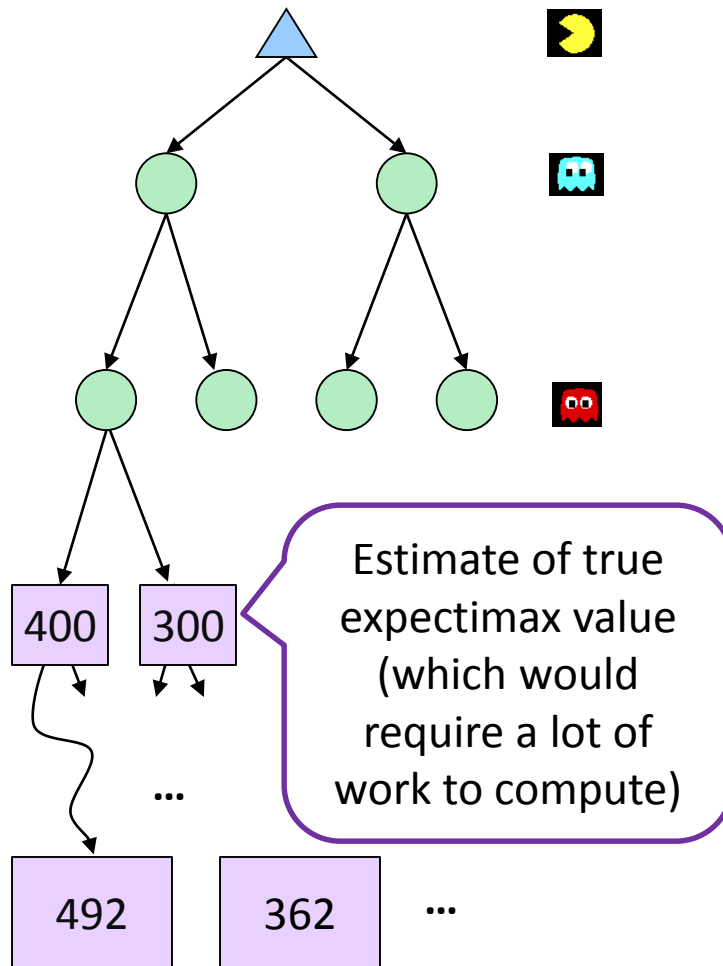




# Expectimax Pruning?

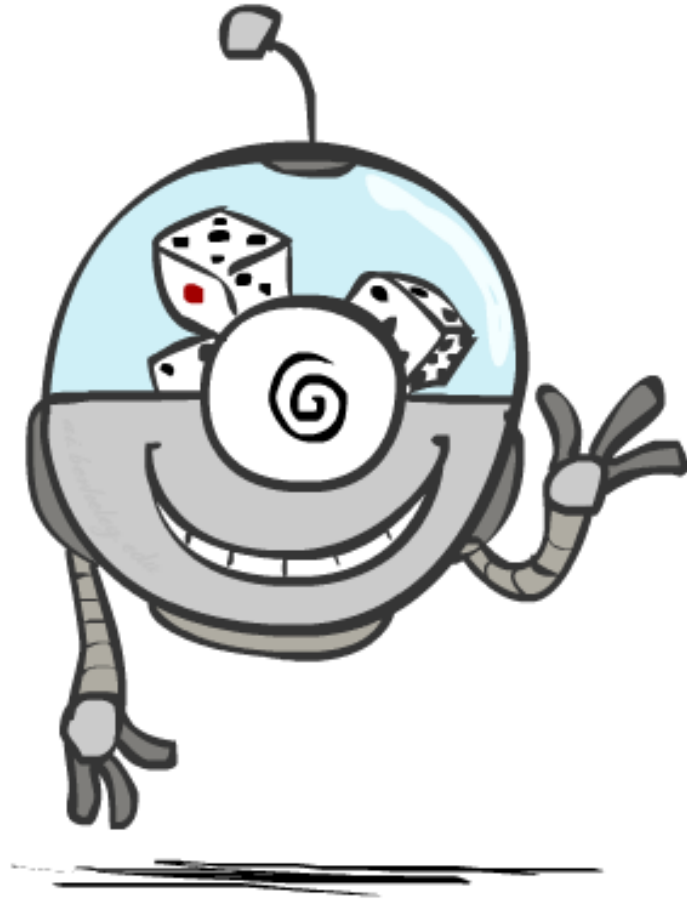


# Depth-Limited Expectimax



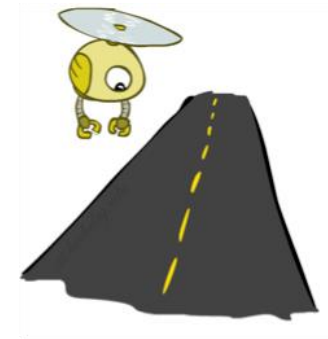
# Probabilities

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# Reminder: Probabilities

- A **random variable** represents an event whose outcome is unknown
- A **probability distribution** is an assignment of weights to outcomes
- Example: Traffic on freeway
  - Random variable:  $T$  = whether there's traffic
  - Outcomes:  $T$  in {none, light, heavy}
  - Distribution:  $P(T=\text{none}) = 0.25$ ,  $P(T=\text{light}) = 0.50$ ,  $P(T=\text{heavy}) = 0.25$
- Some laws of probability (more later):
  - Probabilities are always non-negative
  - Probabilities over all possible outcomes sum to one
- As we get more evidence, probabilities may change:
  - $P(T=\text{heavy}) = 0.25$ ,  $P(T=\text{heavy} \mid \text{Hour}=8\text{am}) = 0.60$
  - We'll talk about methods for reasoning and updating probabilities later



0.25



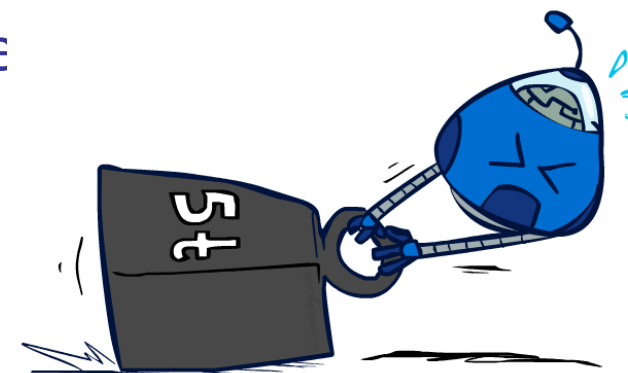
0.50



0.25

# Reminder: Expectations

- The expected value of a function of a random variable is the average, weighted by the probability distribution over outcomes
- Example: How long to get to the airport?

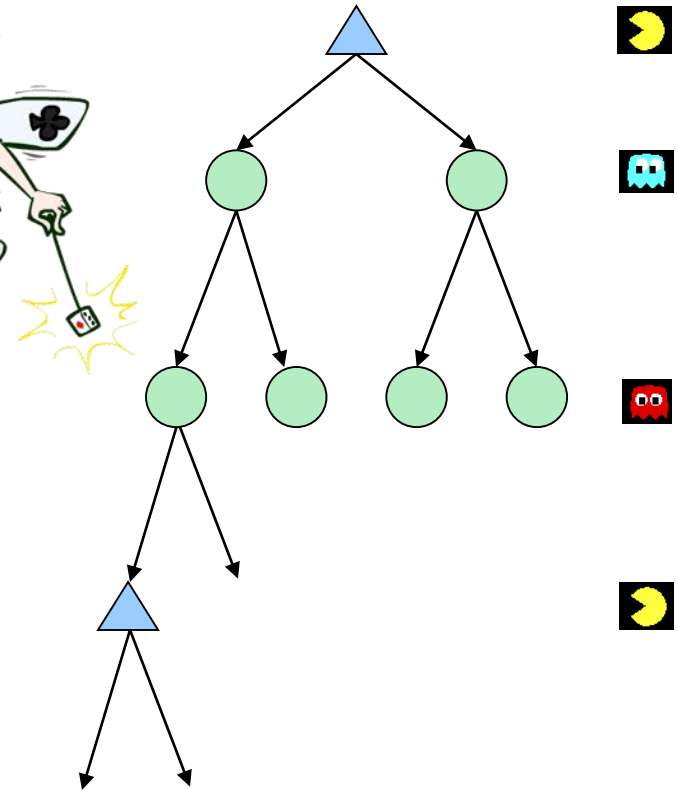


Time:	20 min		30 min		60 min			
	x	+	x	+	x			
Probability:	0.25		0.50		0.25			35 min



# What Probabilities to Use?

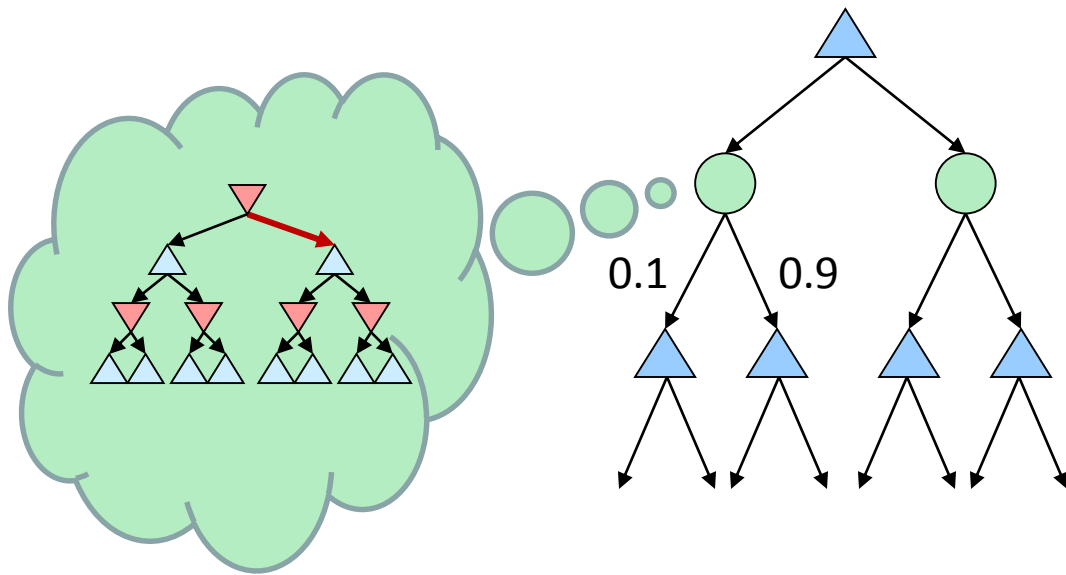
- In expectimax search, we have a probabilistic model of how the opponent (or environment) will behave in any state
  - Model could be a simple uniform distribution (roll a die)
  - Model could be sophisticated and require a great deal of computation
  - We have a chance node for any outcome out of our control: opponent or environment
  - The model might say that adversarial actions are likely!
- For now, assume each chance node magically comes along with probabilities that specify the distribution over its outcomes



*Having a probabilistic belief about another agent's action does not mean that the agent is flipping any coins!*

# Quiz: Informed Probabilities

- Let's say you know that your opponent is actually running a depth 2 minimax, using the result 80% of the time, and moving randomly otherwise
- Question: What tree search should you use?



- Answer: Expectimax!

- To figure out EACH chance node's probabilities, you have to run a simulation of your opponent
- This kind of thing gets very slow very quickly
- Even worse if you have to simulate your opponent simulating you...
- ... except for minimax, which has the nice property that it all collapses into one game tree

# Modeling Assumptions

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# The Dangers of Optimism and Pessimism

## Dangerous Optimism

Assuming chance when the world is adversarial

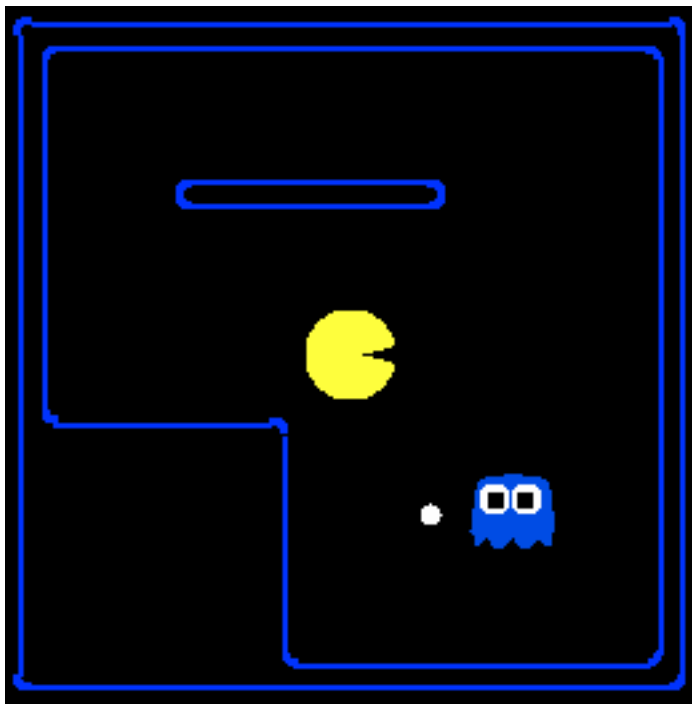


## Dangerous Pessimism

Assuming the worst case when it's not likely



# Assumptions vs. Reality



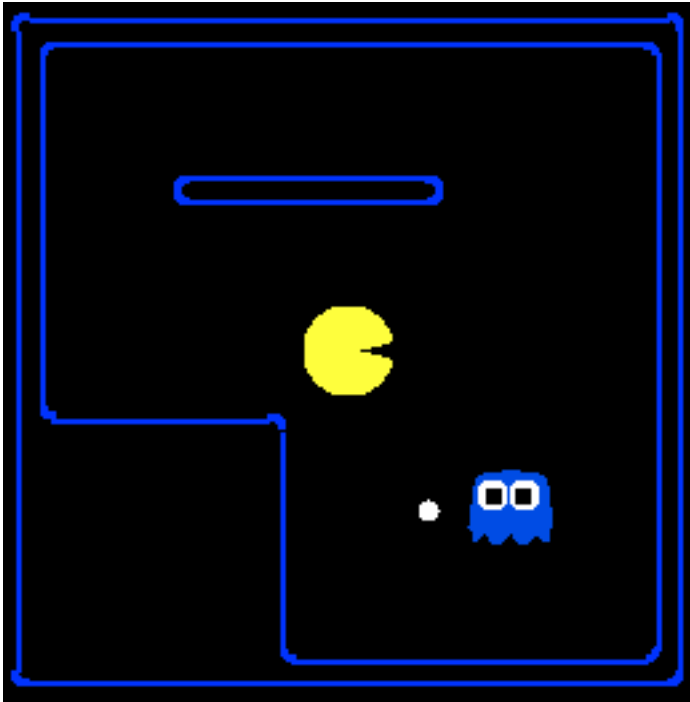
	Adversarial Ghost	Random Ghost
Minimax Pacman		
Expectimax Pacman		

Results from playing 5 games

Pacman used depth 4 search with an eval function that avoids trouble  
Ghost used depth 2 search with an eval function that seeks Pacman

[Demos: world assumptions (L7D3,4,5,6)]

# Assumptions vs. Reality



	Adversarial Ghost	Random Ghost
Minimax Pacman	Won 5/5 Avg. Score: 483	Won 5/5 Avg. Score: 493
Expectimax Pacman	Won 1/5 Avg. Score: -303	Won 5/5 Avg. Score: 503

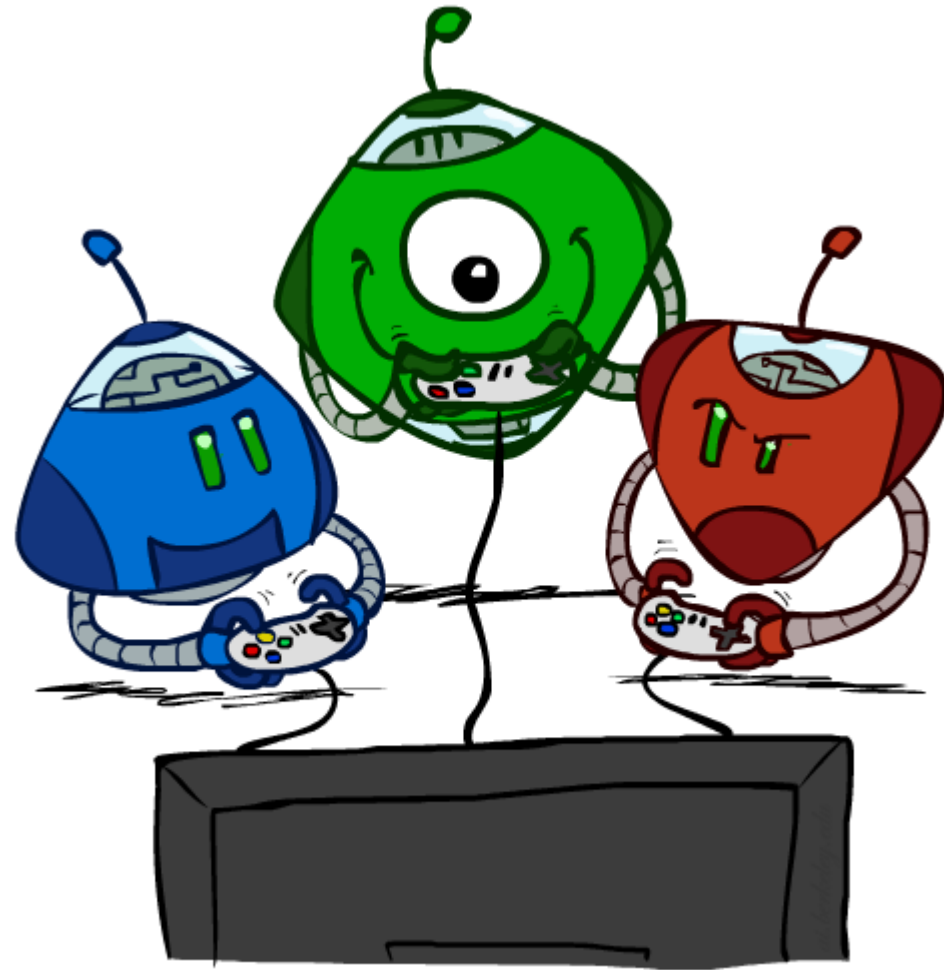
Results from playing 5 games

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[Demos: world assumptions (L7D3,4,5,6)]

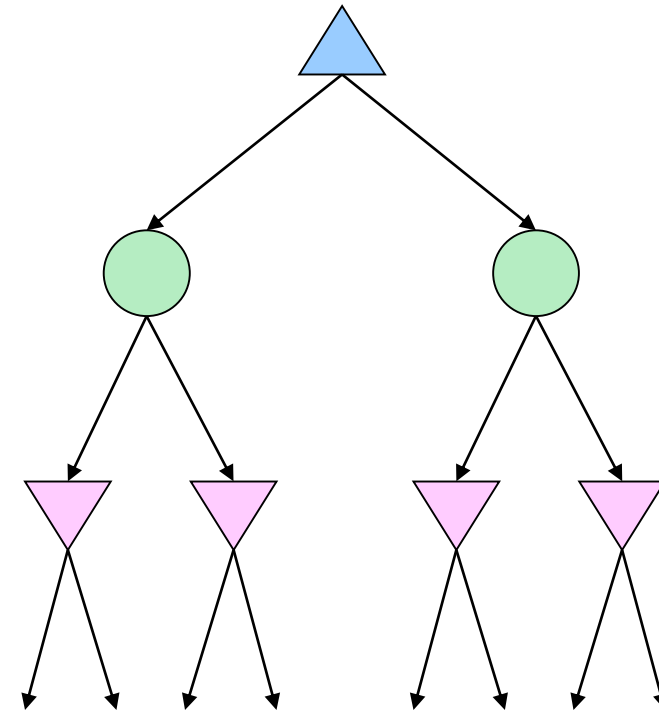
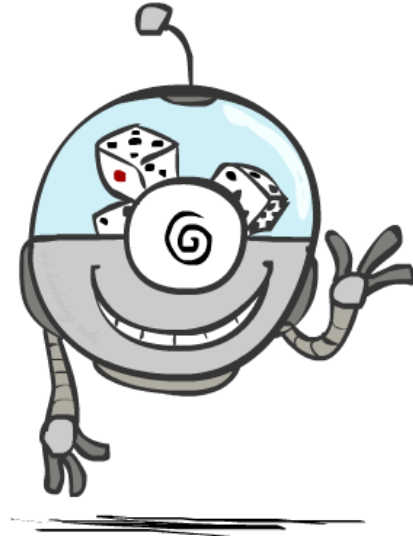
# Other Game Types

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# Mixed Layer Types

- E.g. Backgammon
- Expectiminimax
  - Environment is an extra “random agent” player that moves after each min/max agent
  - Each node computes the appropriate combination of its children

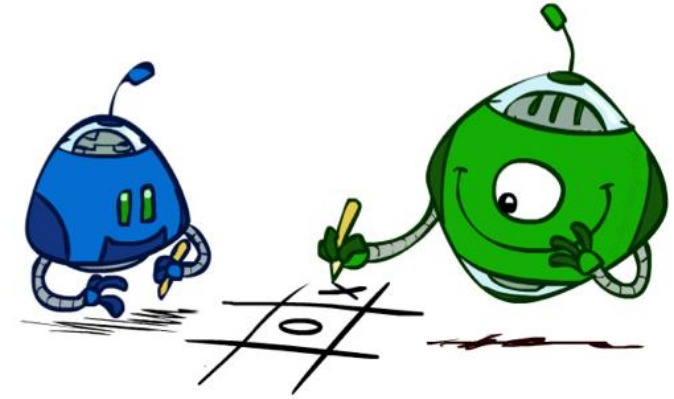


# Example: Backgammon

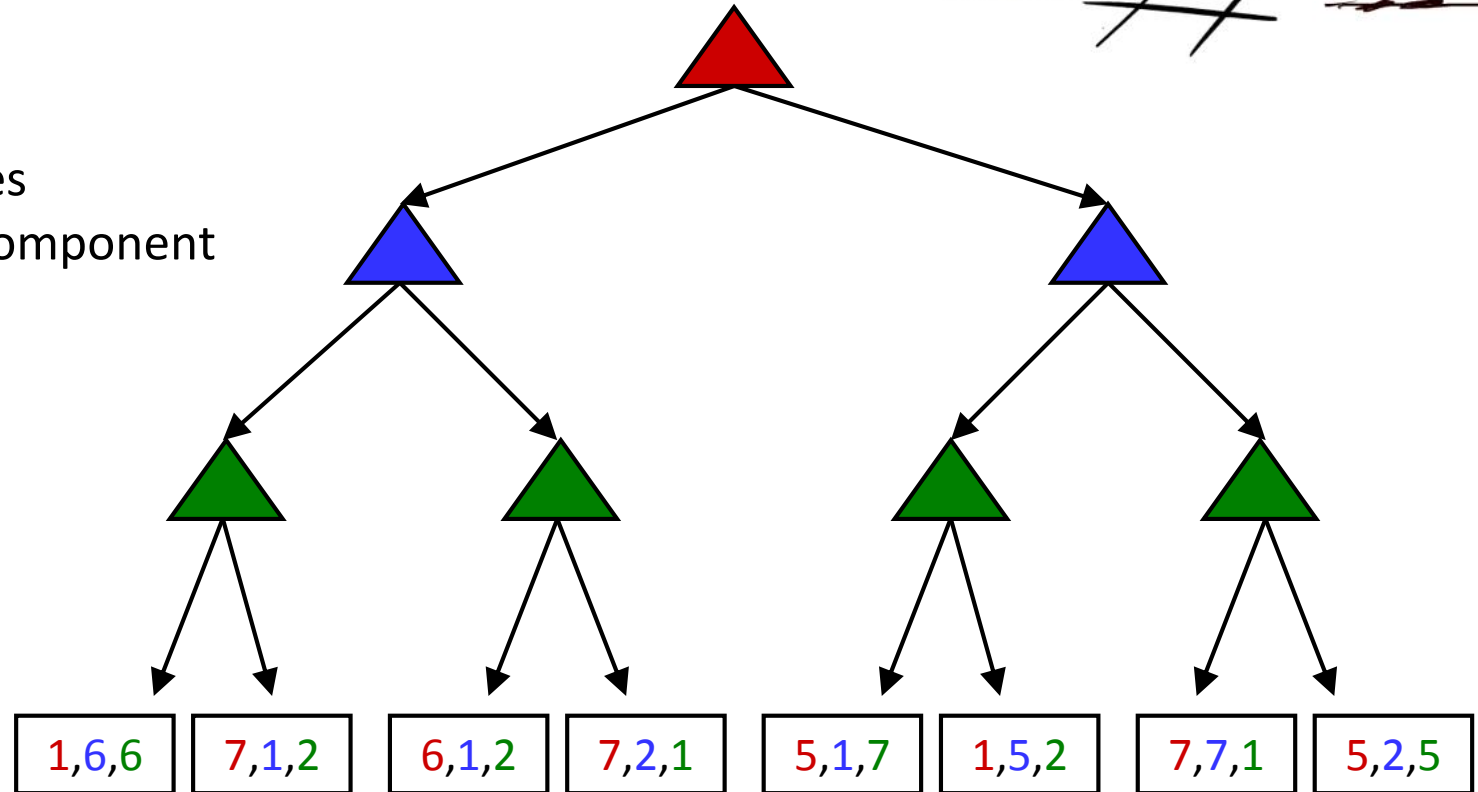
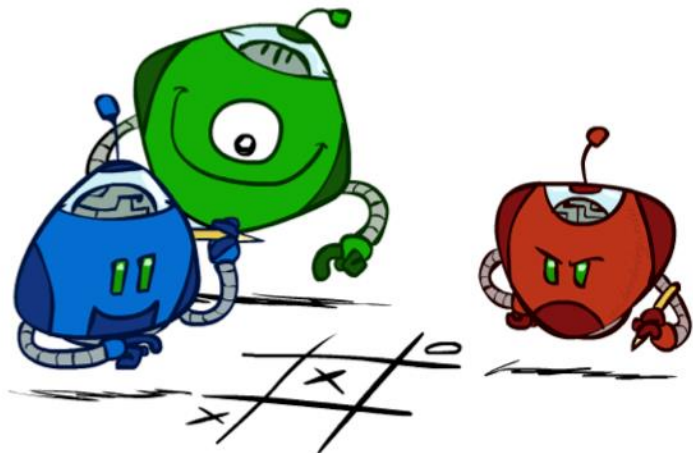
- Dice rolls increase  $b$ : 21 possible rolls with 2 dice
  - Backgammon  $\approx 20$  legal moves
  - Depth 2 =  $20 \times (21 \times 20)^3 = 1.2 \times 10^9$
- As depth increases, probability of reaching a given search node shrinks
  - So usefulness of search is diminished
  - So limiting depth is less damaging
  - But pruning is trickier...
- Historic AI: TDGammon uses depth-2 search + very good evaluation function + reinforcement learning: world-champion level play
- 1<sup>st</sup> AI world champion in any game!



# Multi-Agent Utilities



- What if the game is not zero-sum, or has multiple players?
- Generalization of minimax:
  - Terminals have utility tuples
  - Node values are also utility tuples
  - Each player maximizes its own component
  - Can give rise to cooperation and competition dynamically...



# Monte Carlo Tree Search





# Monte Carlo Tree Search

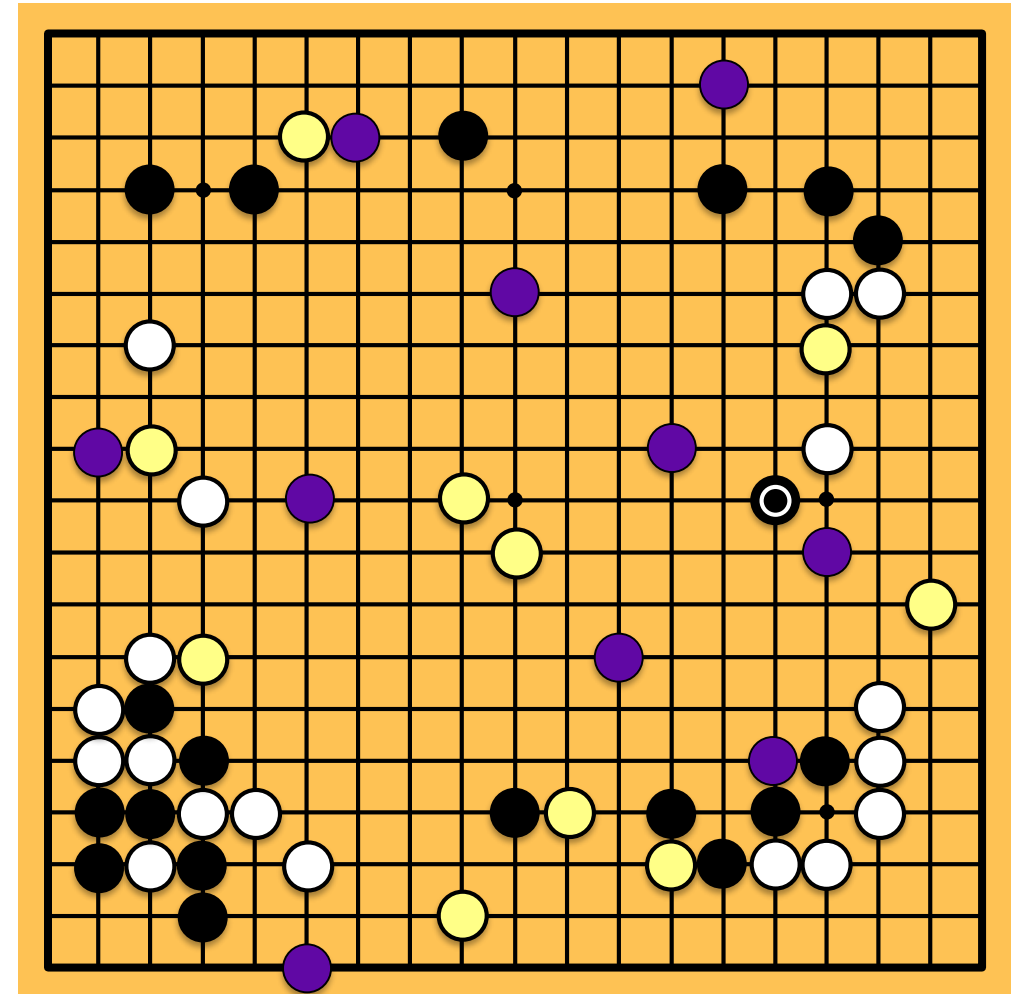
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- Methods based on alpha-beta search assume a fixed horizon
  - Pretty hopeless for Go, with  $b > 300$
- MCTS combines two important ideas:
  - ***Evaluation by rollouts*** – play multiple games to termination from a state  $s$  (using a simple, fast rollout policy) and count wins and losses
  - ***Selective search*** – explore parts of the tree that will help improve the decision at the root, regardless of depth

# Rollouts

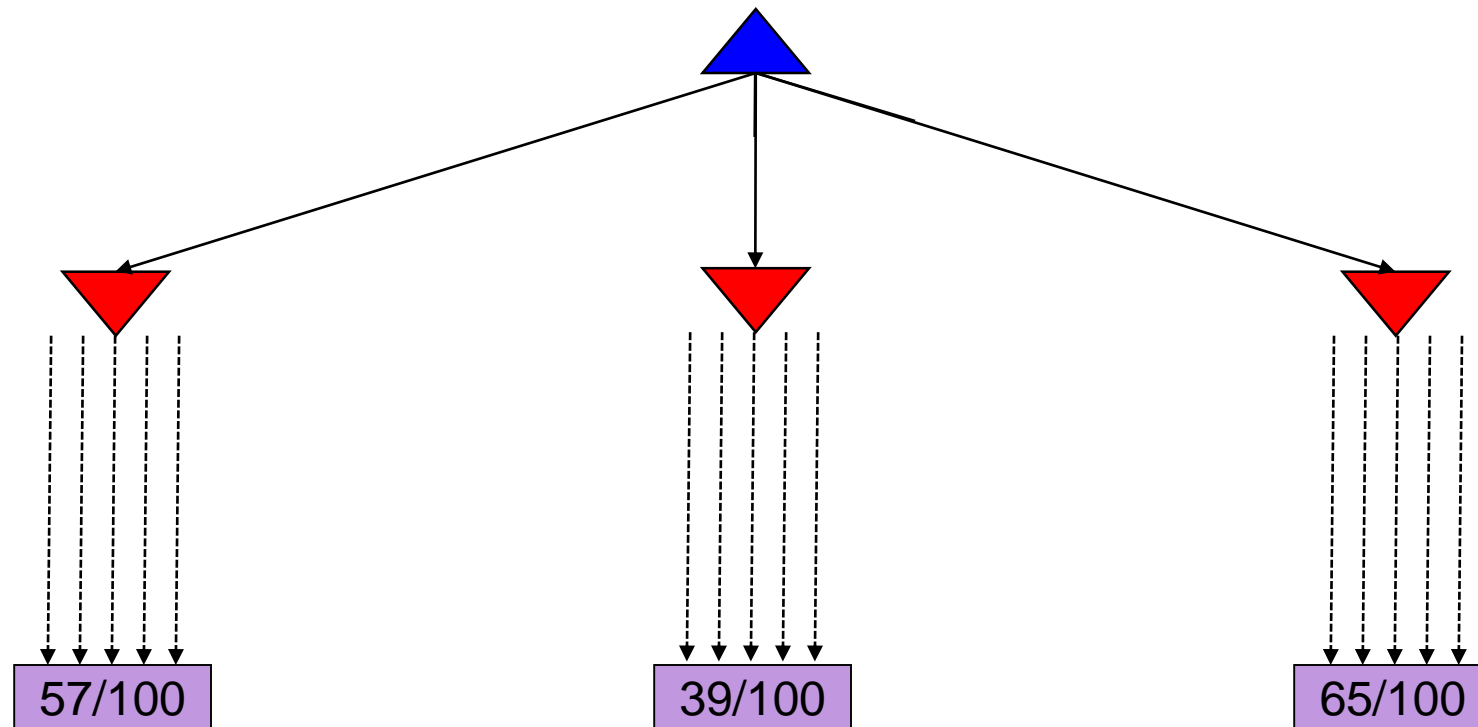
- For each rollout:
  - Repeat until terminal:
    - Play a move according to a fixed, fast rollout policy
  - Record the result
- Fraction of wins correlates with the true value of the position!
- Having a “better” rollout policy helps

“Move 37”



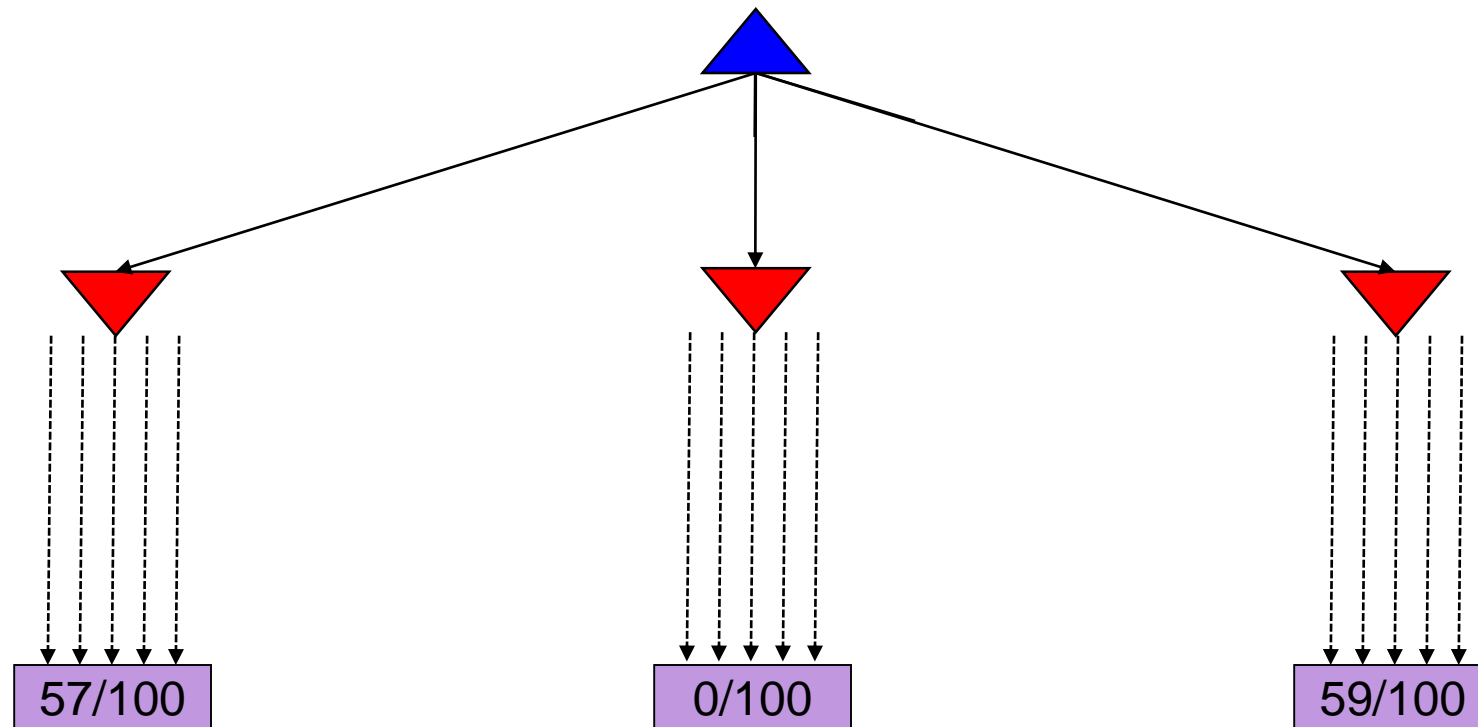
# MCTS Version 0

- Do  $N$  rollouts from each child of the root, record fraction of wins
- Pick the move that gives the best outcome by this metric



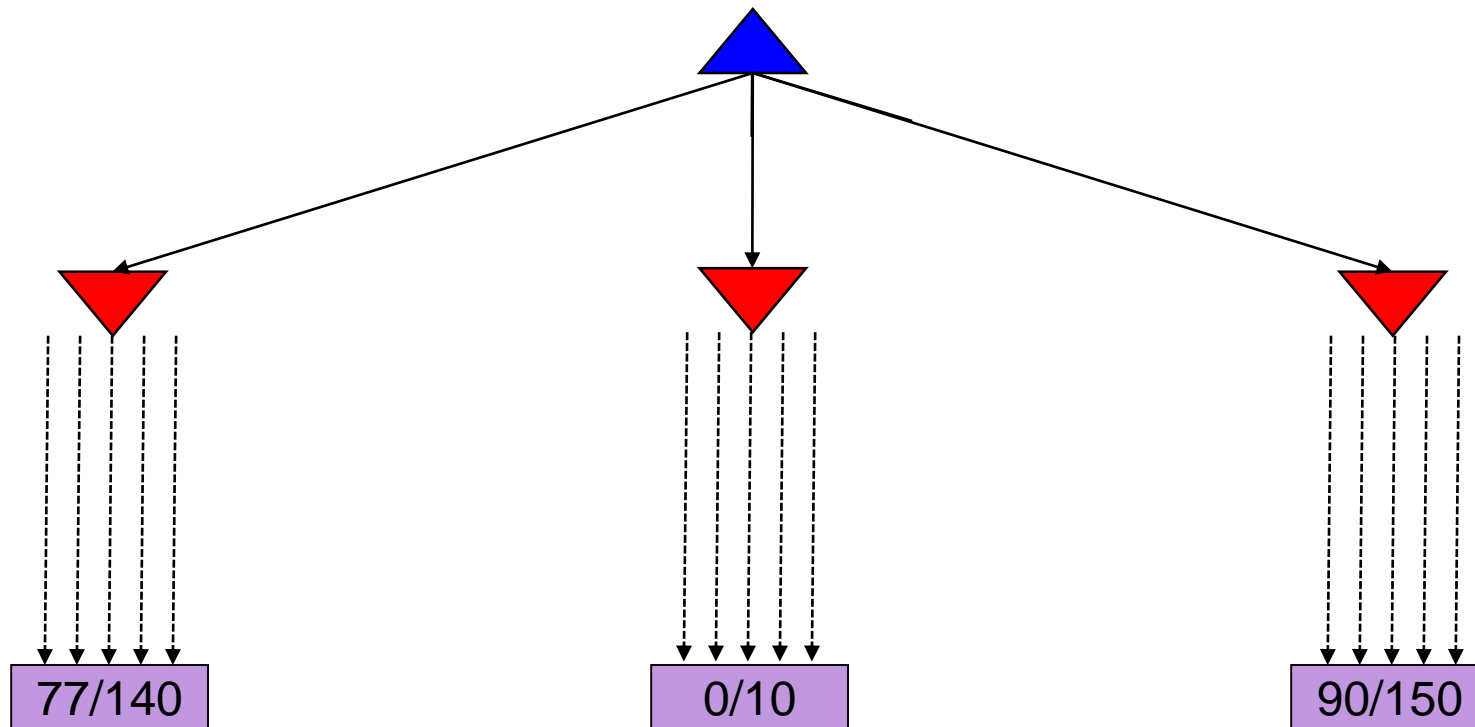
# MCTS Version 0

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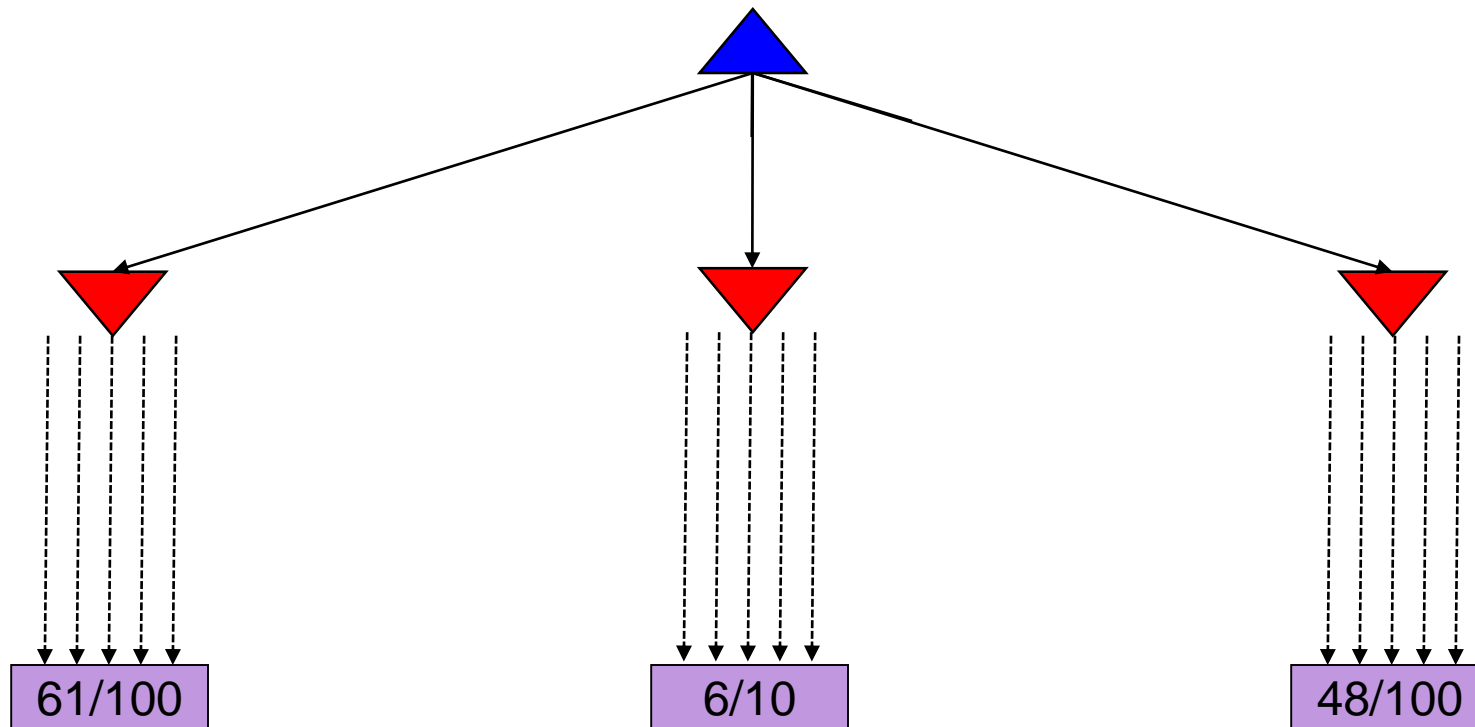
# MCTS Version 0.9

- Allocate rollouts to more promising nodes



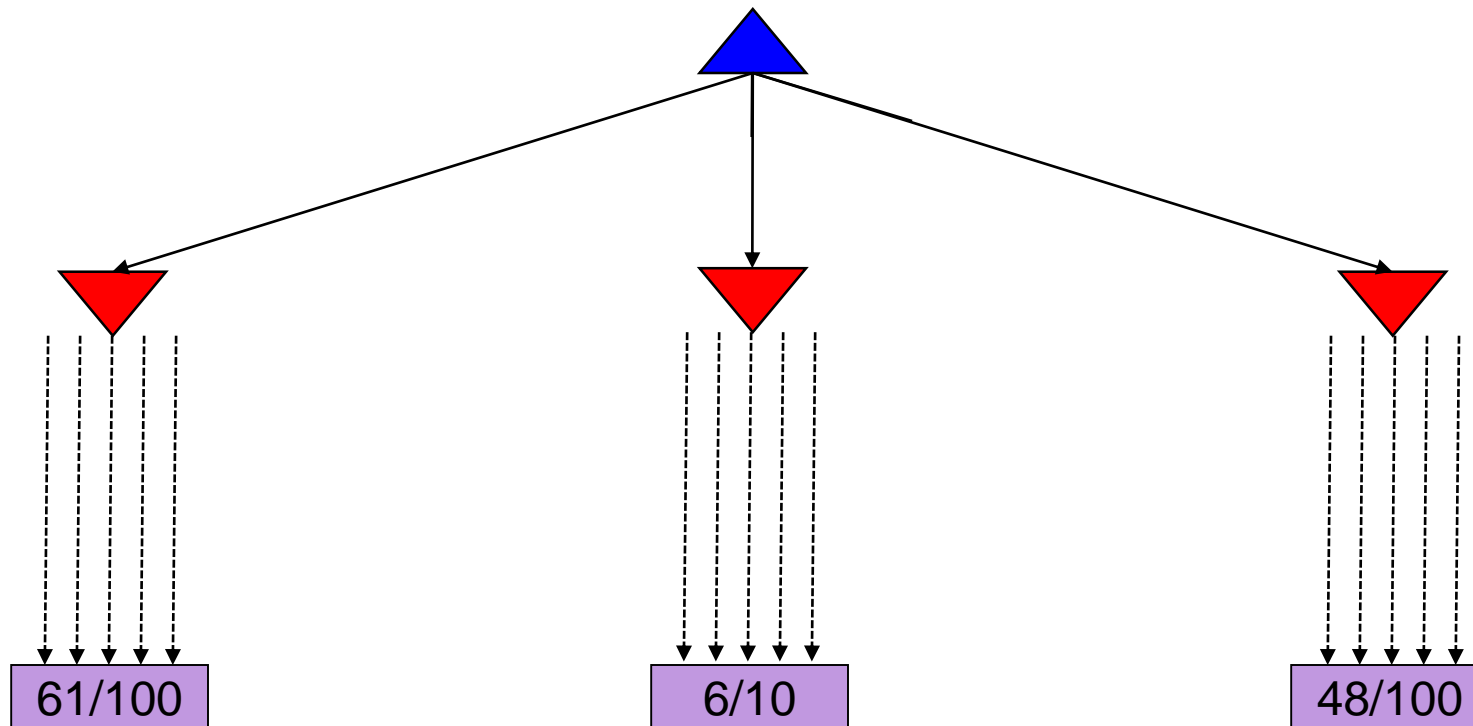
# MCTS Version 0.9

- Allocate rollouts to more promising nodes



# MCTS Version 1.0

- Allocate rollouts to more promising nodes
- Allocate rollouts to more uncertain nodes



# UCB heuristics

- UCB1 formula combines “promising” and “uncertain”:

$$UCB1(n) = \frac{U(n)}{N(n)} + C \times \sqrt{\frac{\log N(\text{PARENT}(n))}{N(n)}}$$

- $N(n)$  = number of rollouts from node  $n$
- $U(n)$  = total utility of rollouts (e.g., # wins) for  $\text{Player}(\text{Parent}(n))$
- A provably not terrible heuristic for ***bandit problems***
  - (which are not the same as the problem we face here!)

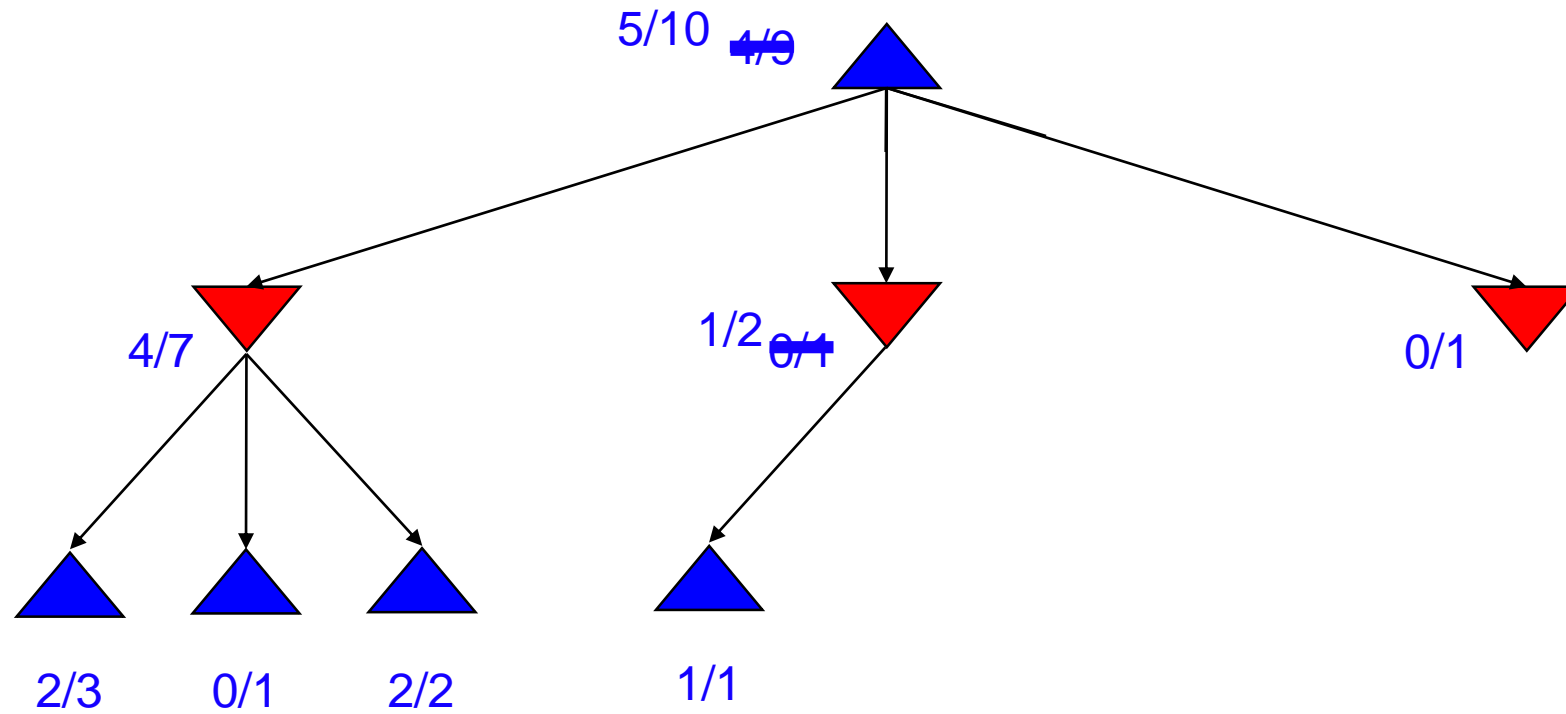


# MCTS Version 2.0: UCT

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- Repeat until out of time:
  - Given the current search tree, recursively apply UCB to choose a path down to a leaf (not fully expanded) node  $n$
  - Add a new child  $c$  to  $n$  and run a rollout from  $c$
  - Update the win counts from  $c$  back up to the root
- Choose the action leading to the child with highest  $N$

# UCT Example



# Why is there no min or max?

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- “Value” of a node,  $U(n)/N(n)$ , is a weighted *sum* of child values!
- Idea: as  $N \rightarrow \infty$ , the vast majority of rollouts are concentrated in the best child(ren), so weighted average  $\rightarrow$  max/min
- Theorem: as  $N \rightarrow \infty$  UCT selects the minimax move
  - (but  $N$  never approaches infinity!)

# Summary

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- Games require decisions when optimality is impossible
  - Bounded-depth search and approximate evaluation functions
- Games force efficient use of computation
  - Alpha-beta pruning, MCTS
- Game playing has produced important research ideas
  - Reinforcement learning (checkers)
  - Iterative deepening (chess)
  - Rational metareasoning (Othello)
  - Monte Carlo tree search (chess, Go)
  - Solution methods for partial-information games in economics (poker)
- Video games present much greater challenges – lots to do!
  - $b = 10^{500}$ ,  $|S| = 10^{4000}$ ,  $m = 10,000$ , partially observable, often  $> 2$  players

# Next Time: MDPs!

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