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[These slides adapted from Stuart Russell and Dawn Song]

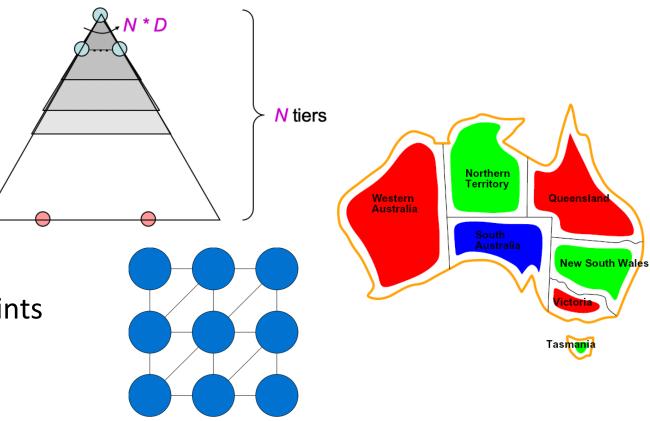
# Search Methods

#### BFS

- Tries all possible partial assignments; like brute force search
- All solutions are on deepest level of tree

#### DFS

- Cannot catch obvious violated constraints in partial assignments due to atomic state representation
- Backtracking Search
  - Fix order of variables
  - Check constraints as you go with "incremental goal test"



# Backtracking Search Improvements: Filtering

- Forward checking: Cross off values that violate a constraint when added to the existing assignment
  - Checks all arcs *into* the assigned variable
- Arc Consistency: constraint propagation to ensure all arcs are consistent



Delete from the tail!

- Any time a variable X loses a value, all arcs *into* X need to be rechecked
- K-Consistency: any consistent assignment to k-1 variables can extend to kth node
  - Strong K-Consistency: ensuring 1-consistency, 2-consistency, 3-consistency, ..., k-consistency

# Backtracking Search Improvements: Ordering

- Variable Ordering: Minimum remaining values (MRV)
  - Choose the variable with the fewest legal values left in its domain
  - Tie-break using the variable involved in most constraints
  - "Fail-fast" to prune search tree

- Value Ordering: Least Constraining Value (LCV)
  - Choose the value that rules out the fewest values in the remaining variables
  - May require additional computation (forward-checking/AC3)
  - Leave highest flexibility for later variable assignments





#### Algorithm Pseudocode

```
function BACKTRACK(csp, assignment) returns a solution or failure
  if assignment is complete then return assignment
  var \leftarrow SELECT-UNASSIGNED-VARIABLE(csp, assignment)
  for each value in ORDER-DOMAIN-VALUES(csp, var, assignment) do
     if value is consistent with assignment then
        add {var = value} to assignment
        inferences \leftarrow INFERENCE(csp, var, assignment)
        if inferences \neq failure then
          add inferences to csp
          result \leftarrow BACKTRACK(csp, assignment)
          if result \neq failure then return result
          remove inferences from csp
        remove {var = value} from assignment
  return failure
```



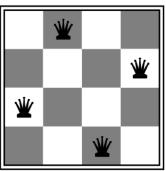
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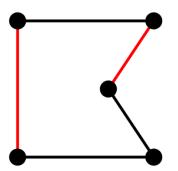
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## Local search algorithms

- In many optimization problems, *path* is irrelevant; the goal state *is* the solution
- Then state space = set of "complete" configurations; find configuration satisfying constraints, e.g., n-queens problem; or, find optimal configuration, e.g., travelling salesperson problem





- In such cases, can use *iterative improvement* algorithms: keep a single "current" state, try to improve it
- Constant space, suitable for online as well as offline search
- More or less unavoidable if the "state" is yourself (i.e., learning)

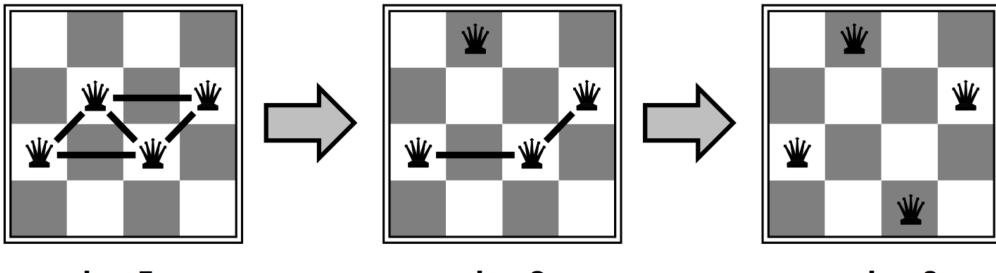
# Hill Climbing

- Simple, general idea:
  - Start wherever
  - Repeat: move to the best neighboring state
  - If no neighbors better than current, quit



## Heuristic for *n*-queens problem

- Goal: n queens on board with no *conflicts*, i.e., no queen attacking another
- States: n queens on board, one per column
- Actions: move a queen in its column
- Heuristic value function: number of conflicts



h = 5

h = 0

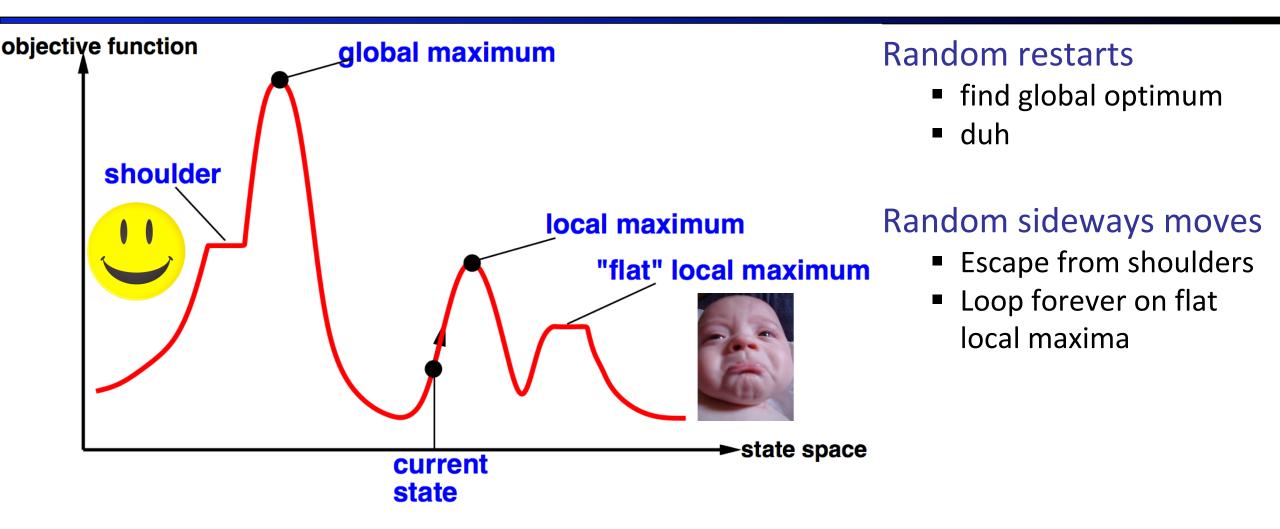
## Hill-climbing algorithm

function HILL-CLIMBING(problem) returns a state
 current ← make-node(problem.initial-state)
 loop do

neighbor ← a highest-valued successor of current
if neighbor.value ≤ current.value then
 return current.state
current ← neighbor

"Like climbing Everest in thick fog with amnesia"

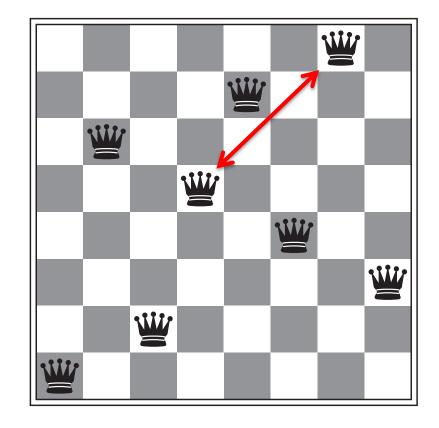
#### Global and local maxima



# Hill-climbing on the 8-queens problem

#### No sideways moves:

- Succeeds w/ prob. 0.14
- Average number of moves per trial:
  - 4 when succeeding, 3 when getting stuck
- Expected total number of moves needed:
  - 3(1-p)/p + 4 =~ 22 moves
- Allowing 100 sideways moves:
  - Succeeds w/ prob. 0.94
  - Average number of moves per trial:
    - 21 when succeeding, 65 when getting stuck
  - Expected total number of moves needed:
    - 65(1-p)/p + 21 =~ 25 moves



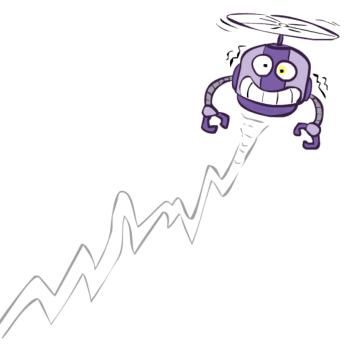


## Simulated annealing

- Resembles the annealing process used to cool metals slowly to reach an ordered (low-energy) state
- Basic idea:
  - Allow "bad" moves occasionally, depending on "temperature"
  - High temperature => more bad moves allowed, shake the system out of its local minimum
  - Gradually reduce temperature according to some schedule
  - Sounds pretty flaky, doesn't it?

## Simulated annealing algorithm

- function SIMULATED-ANNEALING(problem, schedule) returns a state
- current ← problem.initial-state
- for t = 1 to  $\infty$  do
  - $T \leftarrow schedule(t)$
  - if T = 0 then return current
  - $\mathsf{next} \leftarrow \mathsf{a} \text{ randomly selected successor of } \mathsf{current}$
  - $\Delta E \leftarrow next.value current.value$
  - **if**  $\Delta E > 0$  **then** current  $\leftarrow$  next
    - else current  $\leftarrow$  next only with probability  $e^{\Delta E/T}$



## **Simulated Annealing**

- Theoretical guarantee:
  - Stationary distribution (Boltzmann):  $P(x) \propto e^{E(x)/T}$
  - If T decreased slowly enough, will converge to optimal state!
- Proof sketch
  - Consider two adjacent states x, y with E(y) > E(x) [high is good]
  - Assume  $x \rightarrow y$  and  $y \rightarrow x$  and outdegrees D(x) = D(y) = D
  - Let P(x), P(y) be the equilibrium occupancy probabilities at T
  - Let  $P(x \rightarrow y)$  be the probability that state x transitions to state y



## **Simulated Annealing**

- Is this convergence an interesting guarantee?
- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row
  - "Slowly enough" may mean exponentially slowly
  - Random restart hillclimbing also converges to optimal state...
- Simulated annealing and its relatives are a key workhorse in VLSI layout and other optimal configuration problems



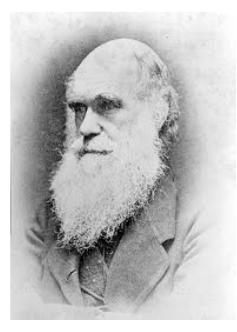
#### Local beam search

#### Basic idea:

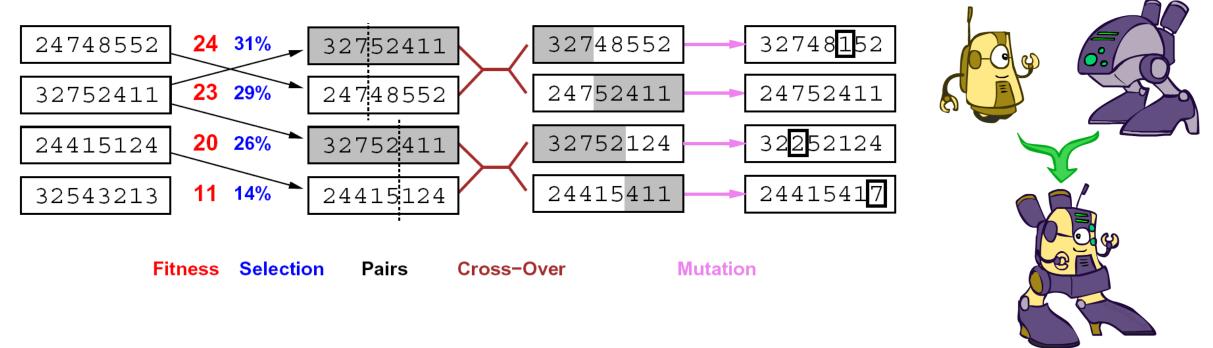
- K copies of a local search algorithm, initialized randomly
- For each iteration
  - Generate ALL successors from K current states
  - Choose best K of these to be the new current states
- Why is this different from *K* local searches in parallel?
  - The searches communicate! "Come over here, the grass is greener!"

Or, K chosen randomly with

- What other well-known algorithm does this remind you of?
  - Evolution!

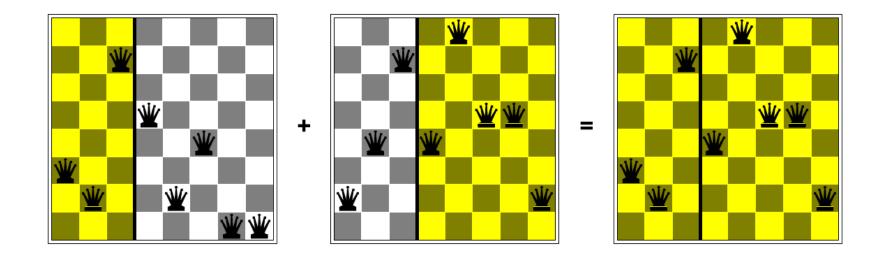


#### Genetic algorithms



- Genetic algorithms use a natural selection metaphor
  - Resample K individuals at each step (selection) weighted by fitness function
  - Combine by pairwise crossover operators, plus mutation to give variety

#### **Example: N-Queens**



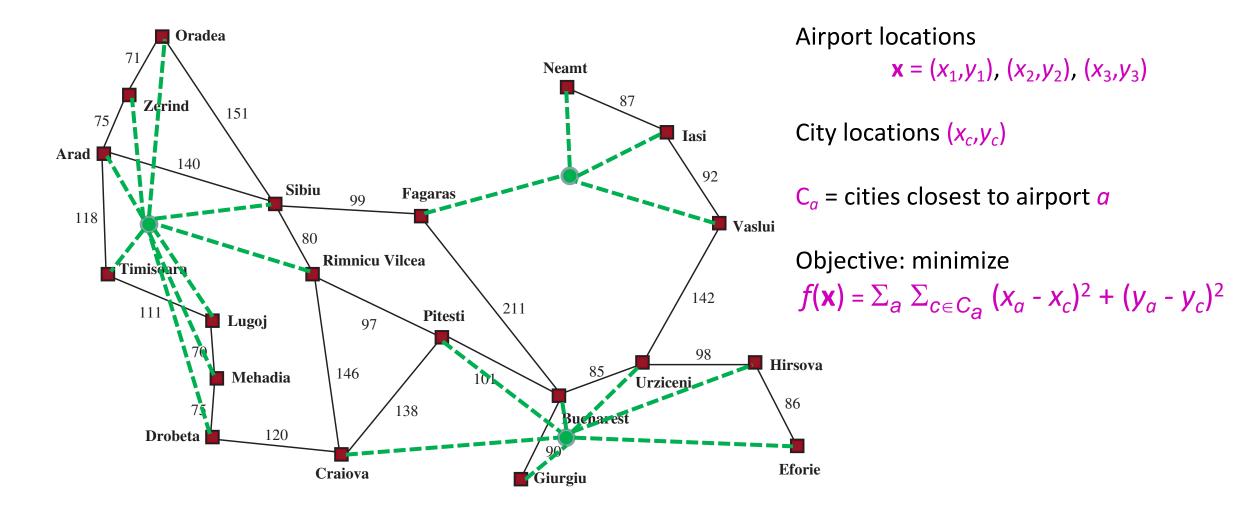
- Does crossover make sense here?
- What would mutation be?
- What would a good fitness function be?

#### Local search in continuous spaces



#### Example: Siting airports in Romania

Place 3 airports to minimize the sum of squared distances from each city to its nearest airport



## Handling a continuous state/action space

#### 1. Discretize it!

- Define a grid with increment  $\delta$ , use any of the discrete algorithms
- 2. Choose random perturbations to the state
  - a. First-choice hill-climbing: keep trying until something improves the state
  - b. Simulated annealing
- 3. Compute gradient of  $f(\mathbf{x})$  analytically

#### Finding extrema in continuous space

- Gradient vector  $\nabla f(\mathbf{x}) = (\partial f / \partial x_1, \partial f / \partial y_1, \partial f / \partial x_2, ...)^{\mathsf{T}}$
- For the airports,  $f(\mathbf{x}) = \sum_{a} \sum_{c \in C_a} (x_a x_c)^2 + (y_a y_c)^2$
- $\partial f/\partial x_1 = \sum_{c \in C_1} 2(x_1 x_c)$
- At an extremum,  $\nabla f(\mathbf{x}) = 0$
- Can sometimes solve in closed form:  $x_1 = (\sum_{c \in C_1} x_c) / |C_1|$
- Is this a local or global minimum of *f*?
- Gradient descent:  $\mathbf{x} \leftarrow \mathbf{x} \alpha \nabla f(\mathbf{x})$ 
  - Huge range of algorithms for finding extrema using gradients

#### Summary

- Many configuration and optimization problems can be formulated as local search
- General families of algorithms:
  - Hill-climbing, continuous optimization
  - Simulated annealing (and other stochastic methods)
  - Local beam search: multiple interaction searches
  - Genetic algorithms: break and recombine states

Many machine learning algorithms are local searches