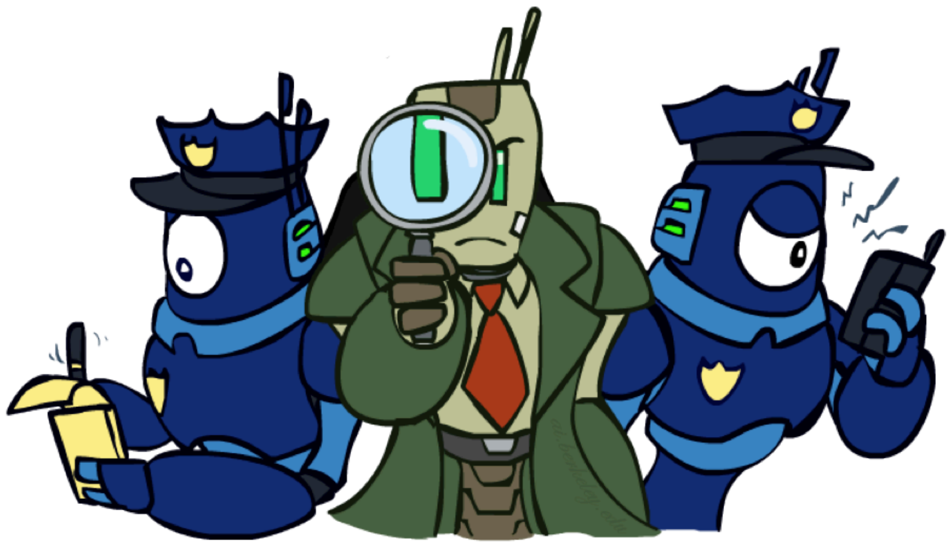
CS 188: Artificial Intelligence

Constraint Satisfaction Problems



Instructor: Nicholas Tomlin

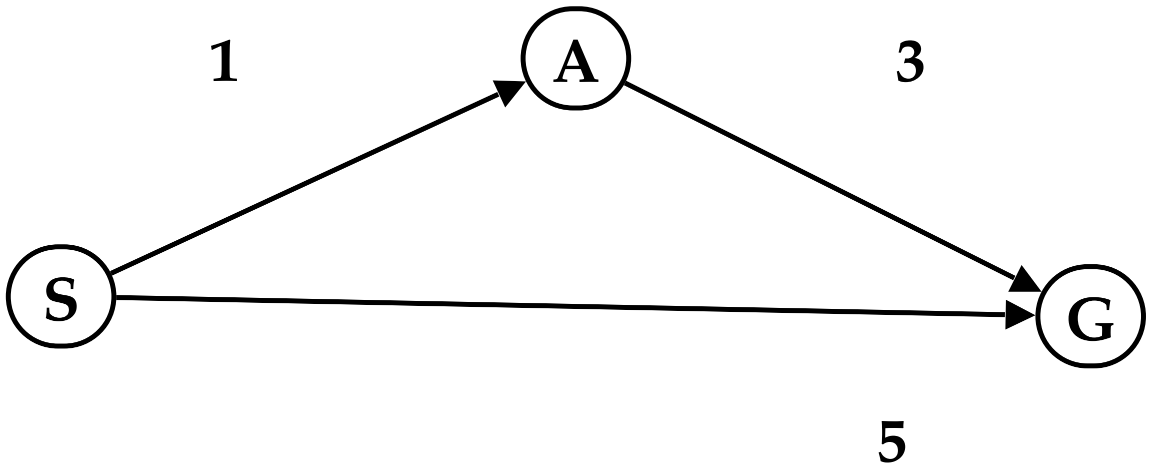
University of California, Berkeley

[These slides adapted from Dan Klein, Pieter Abbeel, and Anca Dragan]

Announcements

- Waitlist has been emptied
- Lecture notes should be posted tonight
- Project 0 and Homework 1 due tomorrow, 11PM

Last time…



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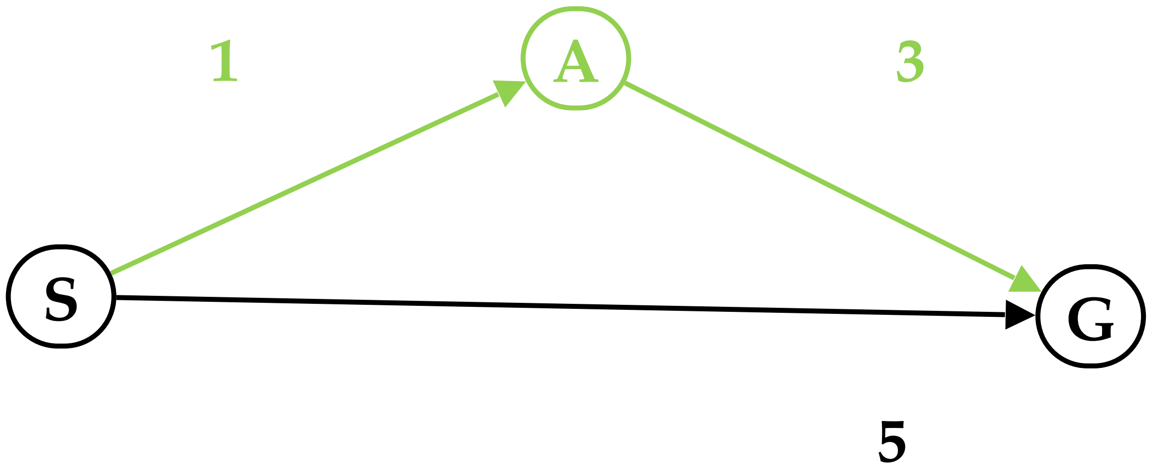

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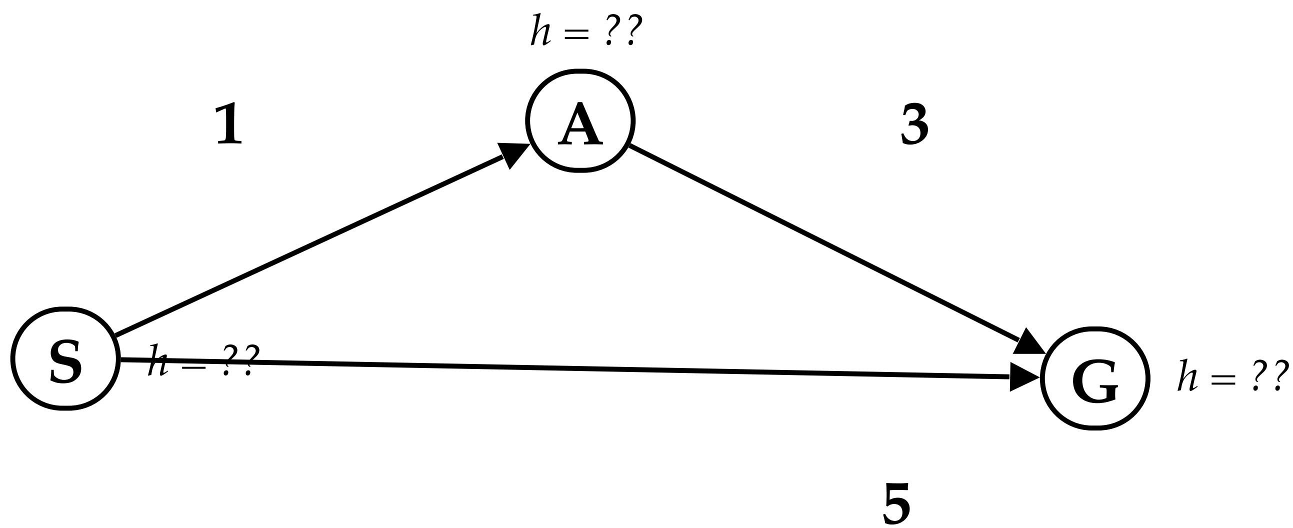
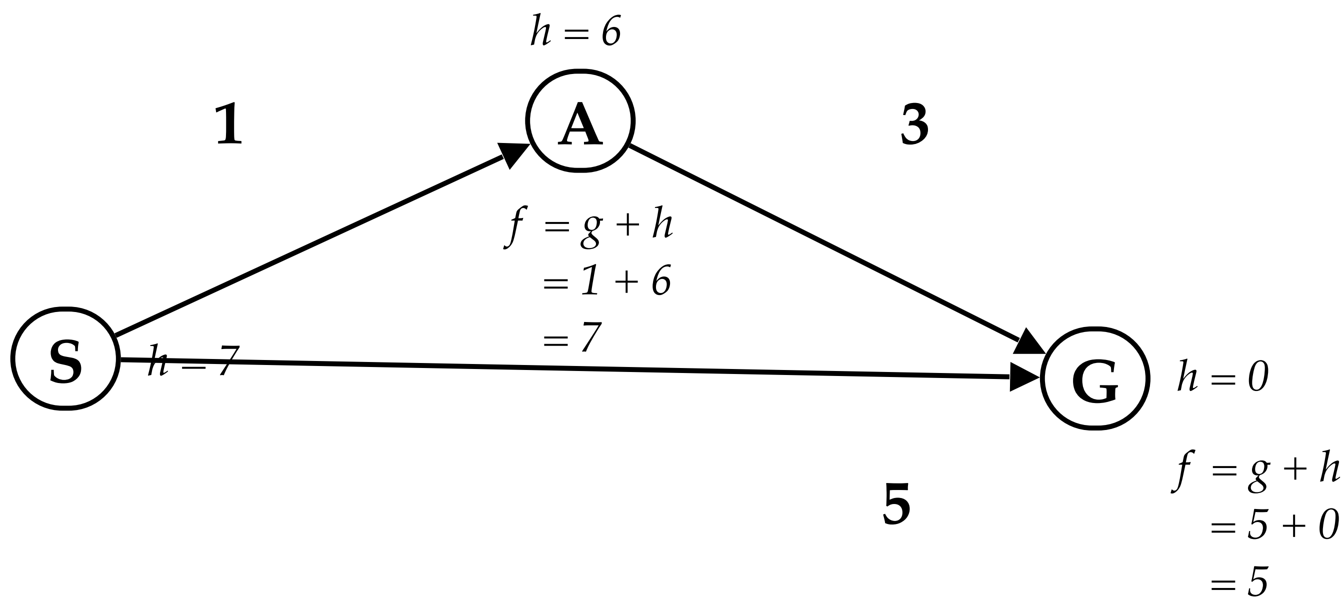


Last time…

Q: Where do heuristics come from?

A: We have to create them!



Not the best heuristic…

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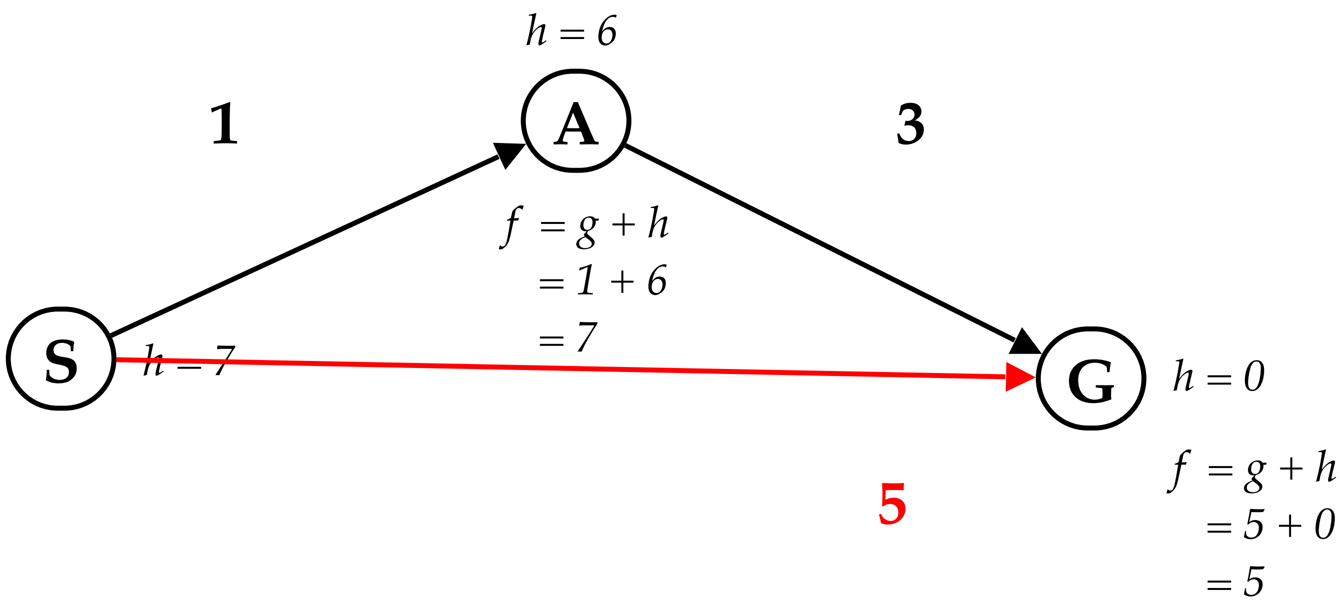

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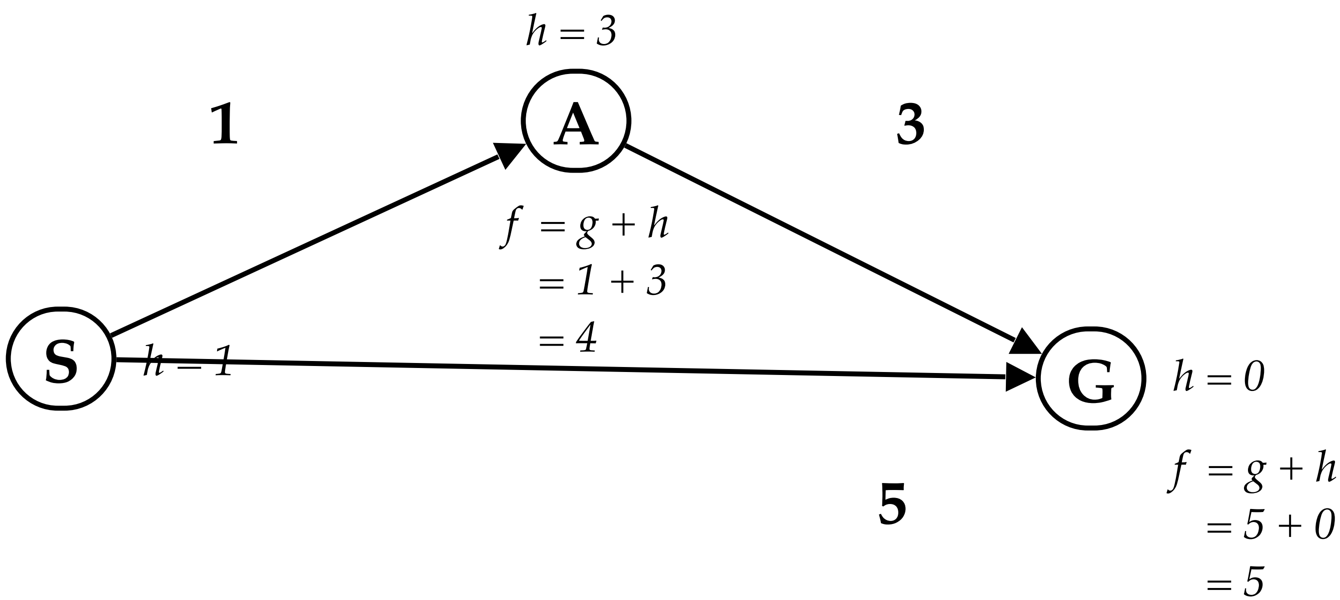

What's a better heuristic?

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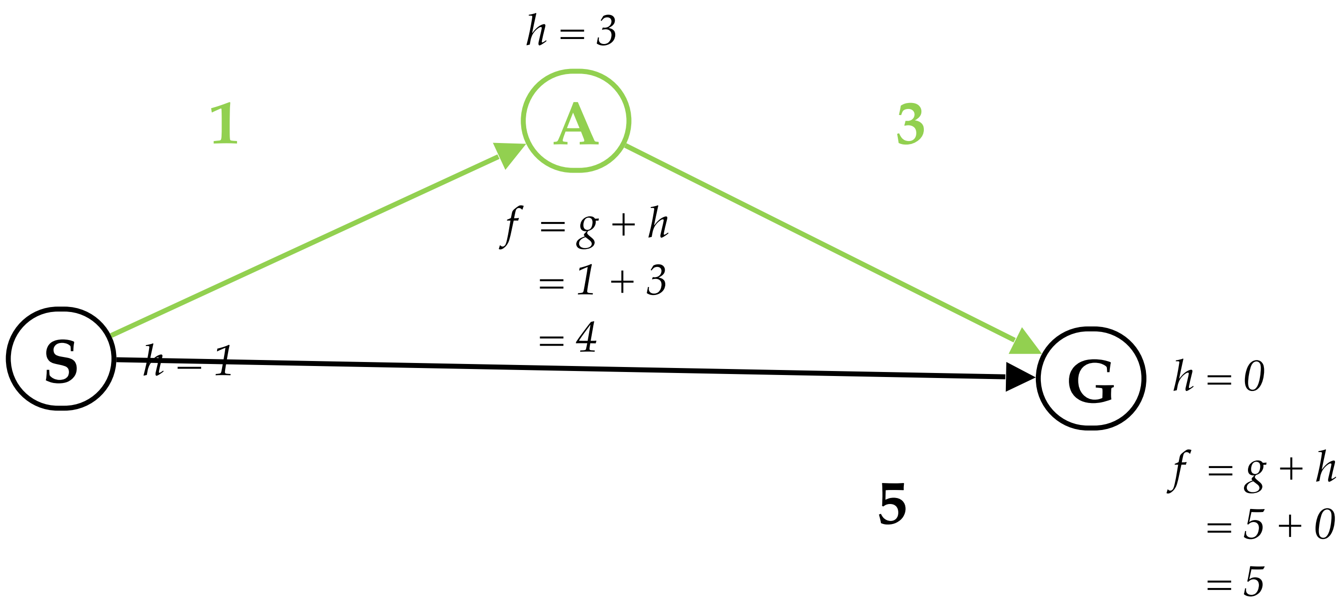
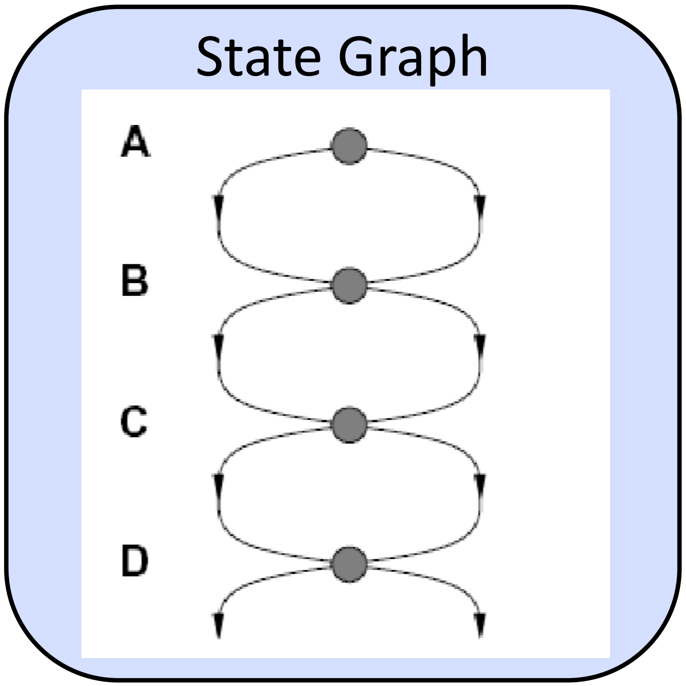
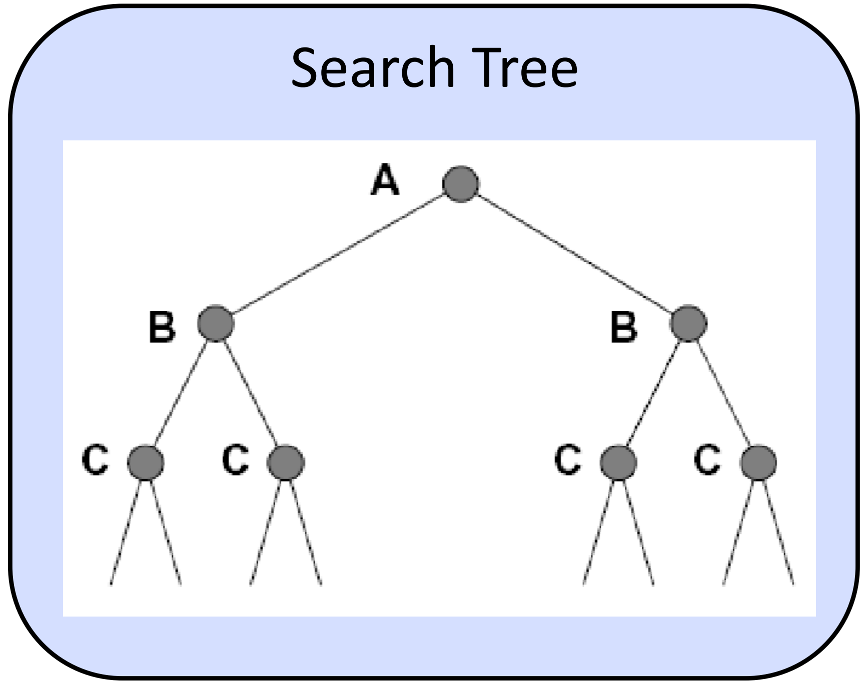


What's a better heuristic?

Last time…

- Failure to detect repeated states can cause exponentially more work.

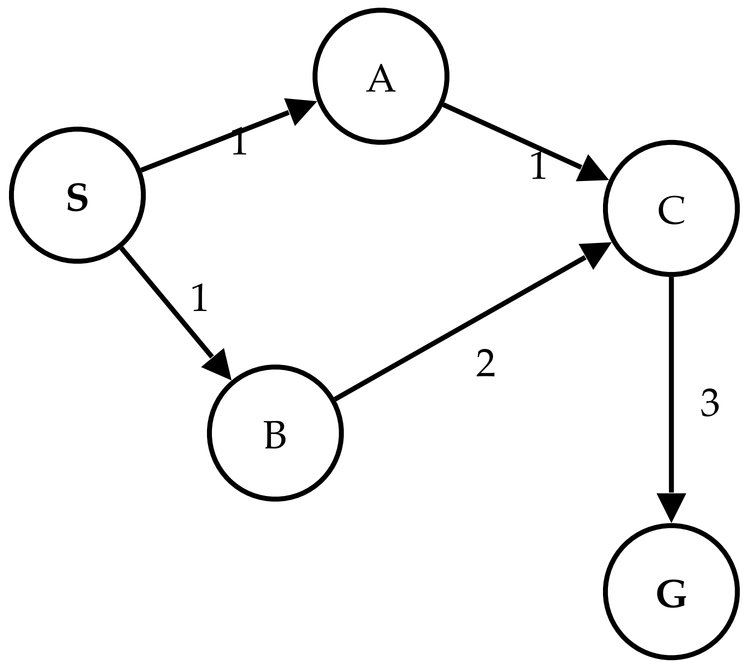




Last time…

- Idea: never expand a state twice
- How to implement:
  - Tree search + set of expanded states (“closed set”)
  - Expand the search tree node-by-node, but…
  - Before expanding a node, check to make sure its state has never been expanded before
  - If not new, skip it, if new add to closed set

Last time…



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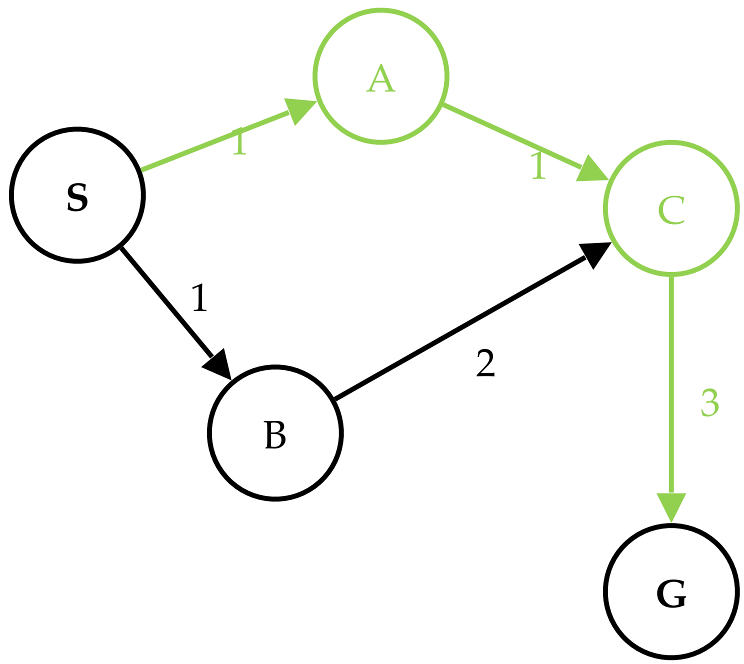

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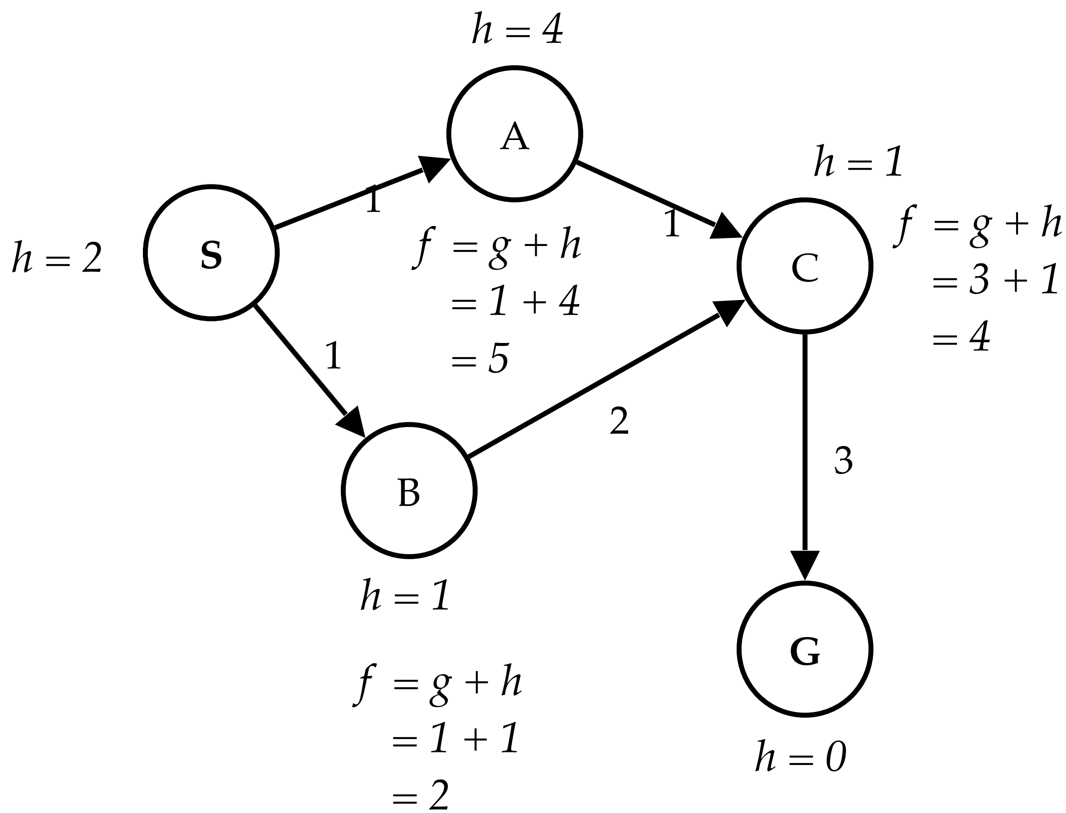
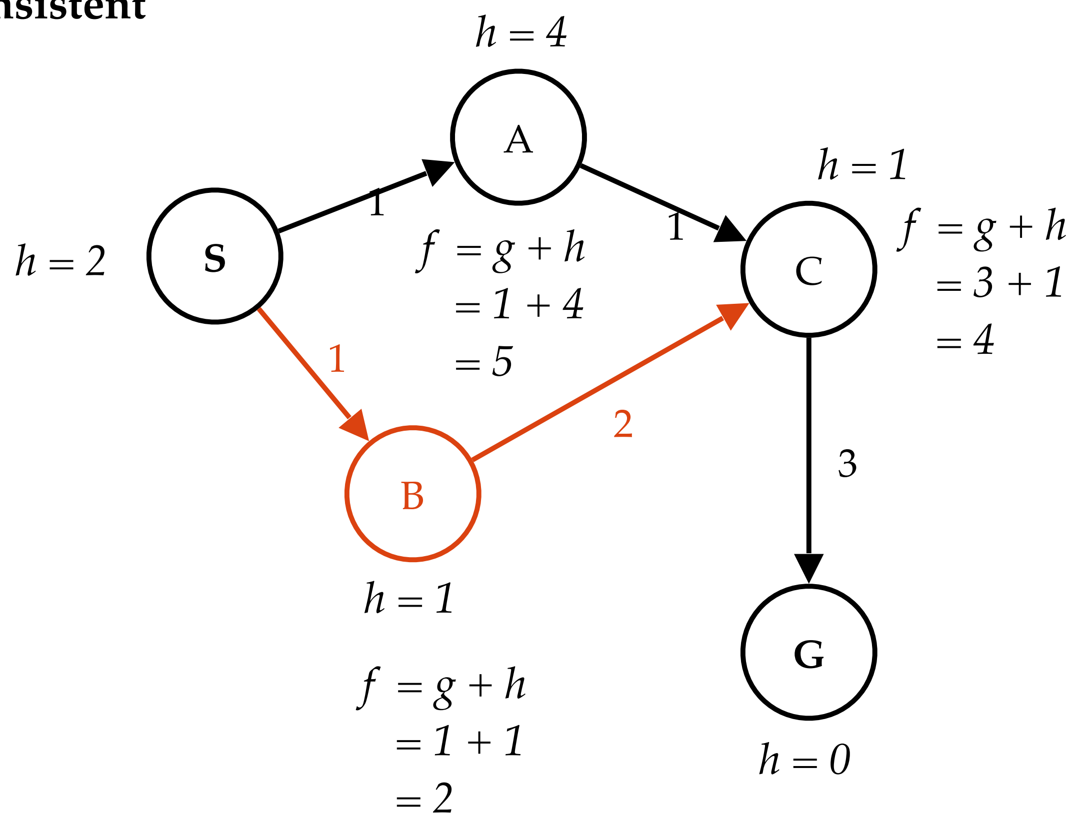


Last time…

This heuristic isn't **consistent**

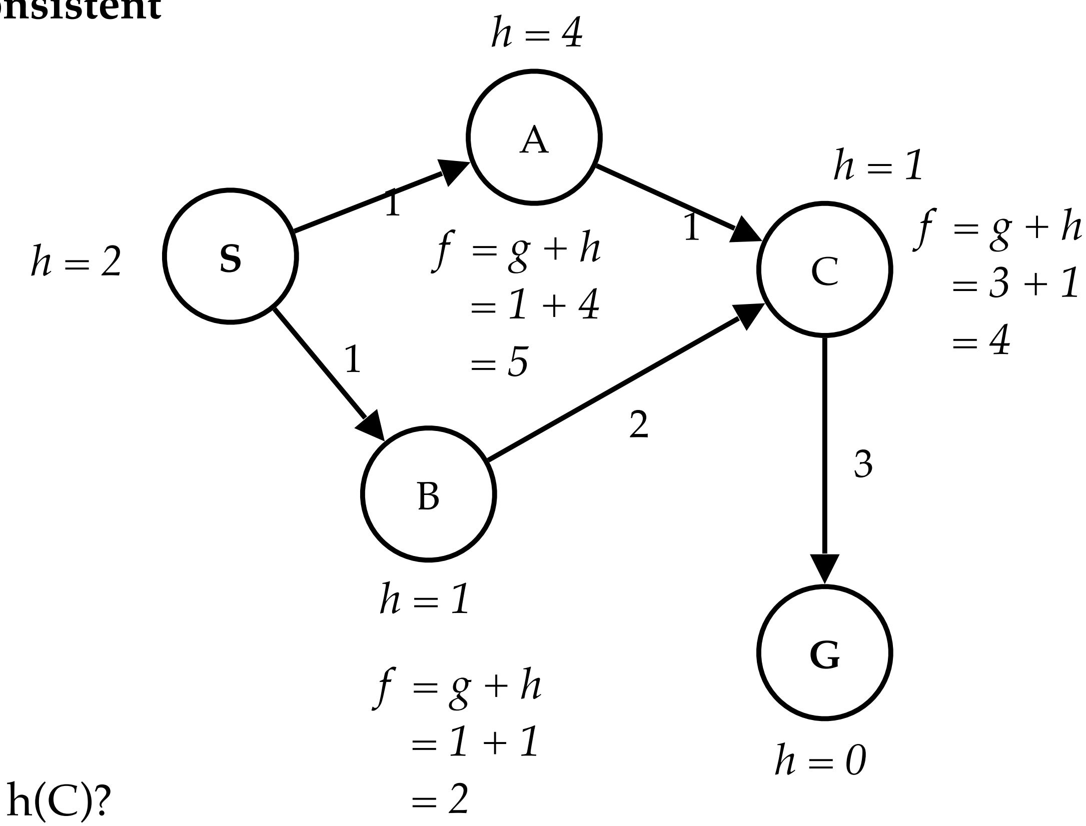


"Triangle inequality"

h(u) ≤ d(u,v) + h(v)

Last time…

This heuristic isn't **consistent**



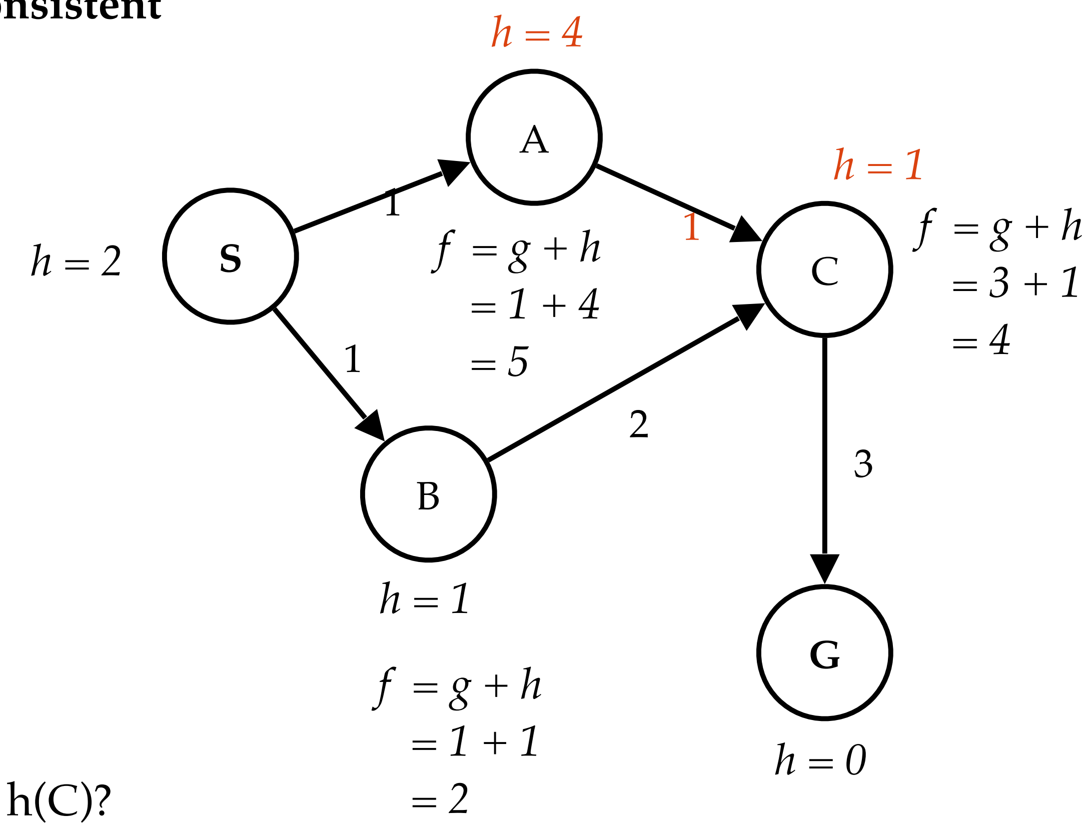
"Triangle inequality"

$h(u) \leq d(u,v) + h(v)$

Q: Is $h(A) \leq d(A,C) + h(C)$?

Last time…

This heuristic isn't **consistent**



"Triangle inequality"

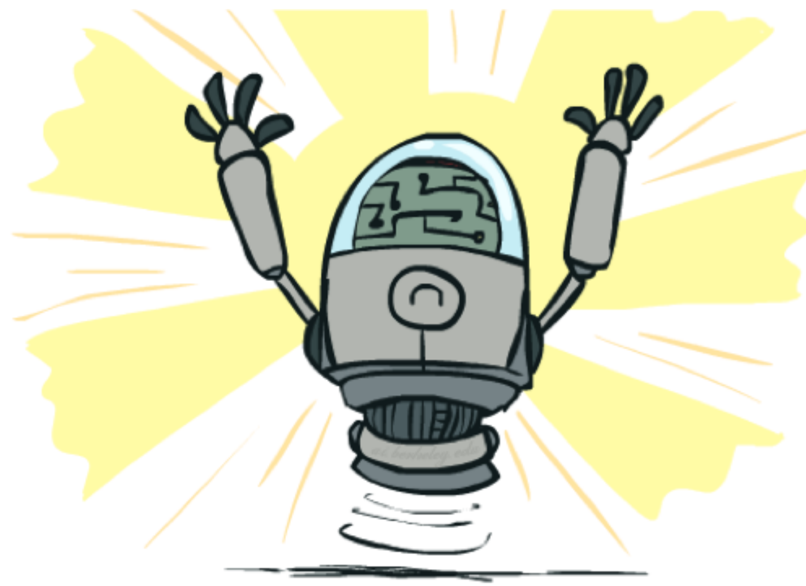
$h(u) \leq d(u,v) + h(v)$

Q: Is $h(A) \leq d(A,C) + h(C)$?

A: No: $4 \nleq 1 + 1$

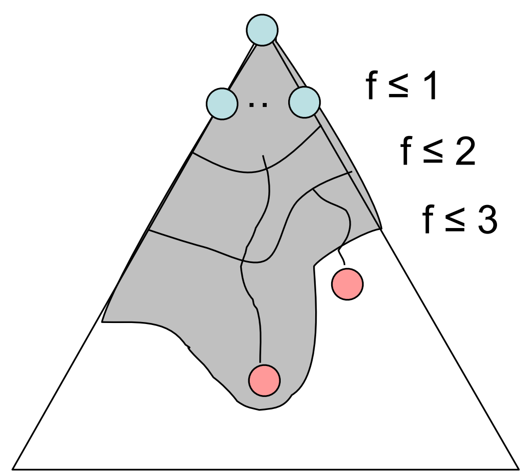
Summary of A*

- Tree search:
  - A* is optimal if heuristic is admissible
  - UCS is a special case (h = 0)
- Graph search:
  - A* optimal if heuristic is consistent
  - UCS optimal (h = 0 is consistent)
- Consistency implies admissibility
- In general, most natural admissible heuristics tend to be consistent, especially if it comes from a relaxed problem



Bonus: Optimality of A* Graph Search

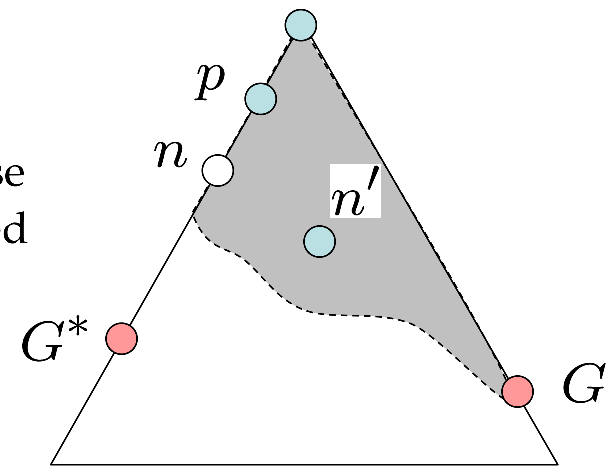
- Consider what A* does:
  - Expands nodes in increasing total f value (f-contours)
    Reminder: $f(n) = g(n) + h(n)$ = cost to n + heuristic
  - Proof idea: the optimal goal(s) have the lowest f value, so it must get expanded first



Bonus: Optimality of A* Graph Search

Proof by contradiction:

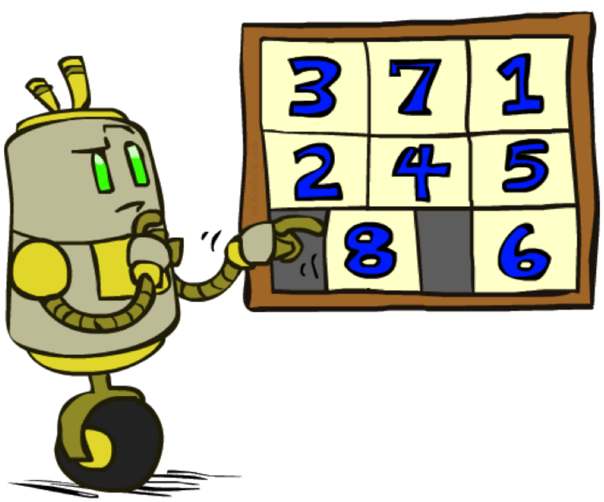
- New possible problem: some *n* on path to G* isn't in queue when we need it, because some worse *n'* for the same state dequeued and expanded first (disaster!)
- Take the highest such *n* in tree
- Let *p* be the ancestor of *n* that was on the queue when *n'* was popped
- $f(p) < f(n)$ because of consistency
- $f(n) < f(n')$ because *n'* is suboptimal
- *p* would have been expanded before *n'*
- Contradiction!

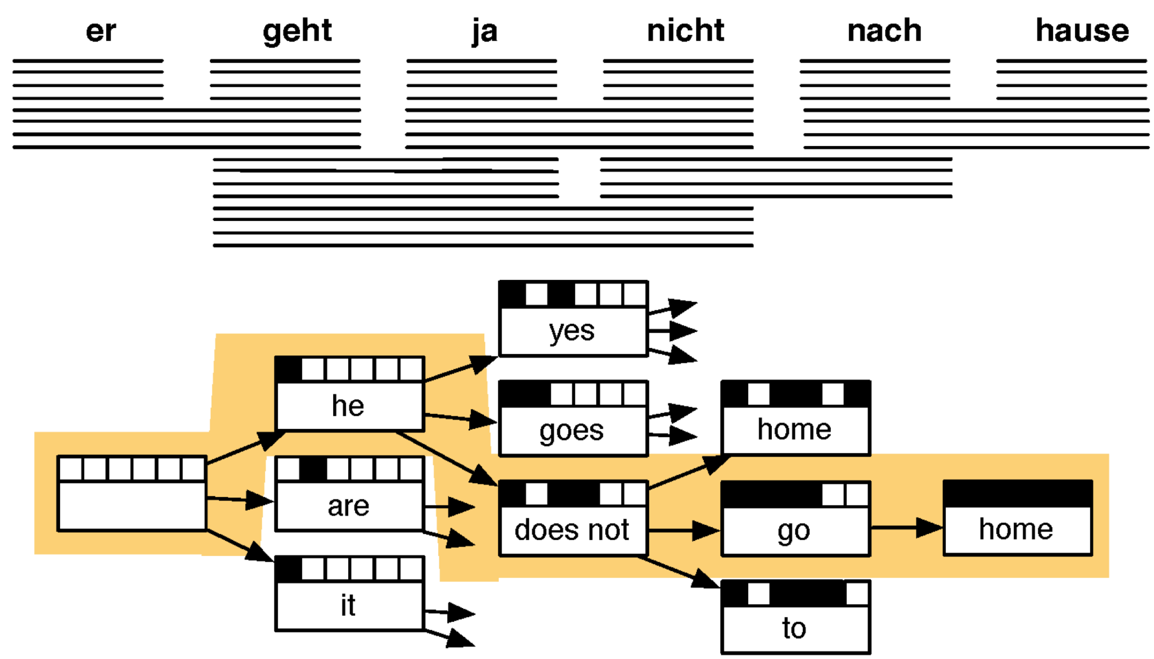


Beyond Pathfinding

A* can be used in a variety of domains besides path planning

Even has applications to LLMs!



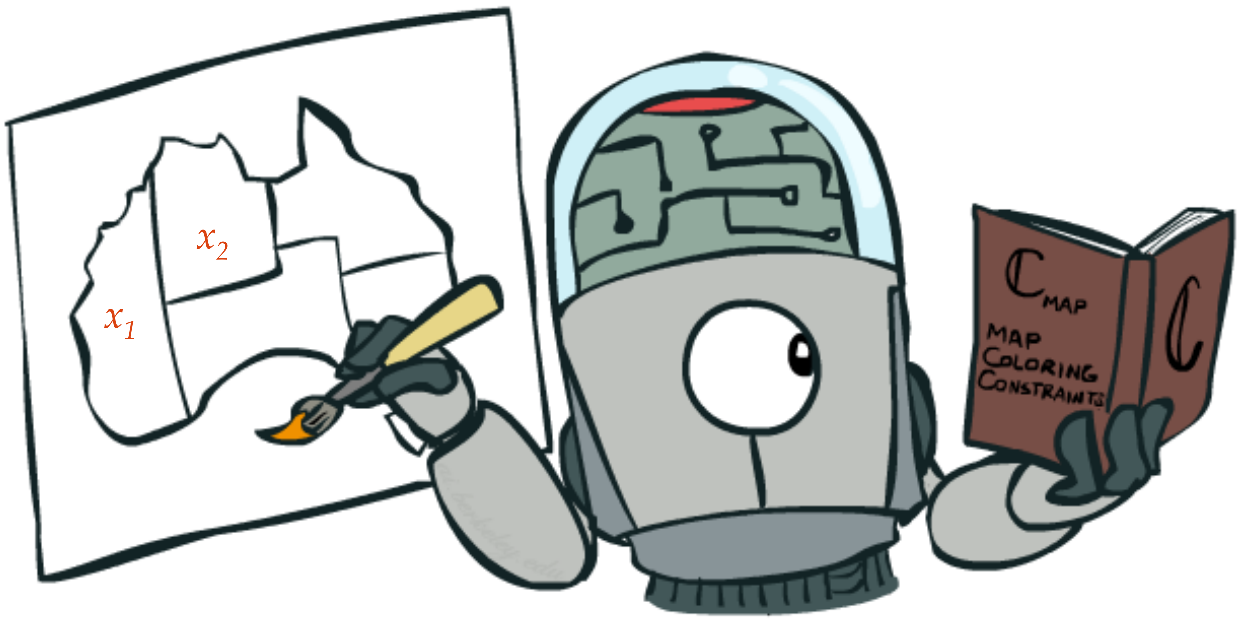


Constraint Satisfaction Problems

N variables

domain D

constraints

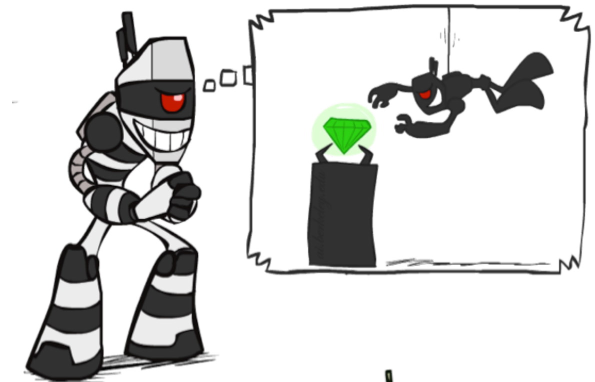


states	*goal test*	*successor function*
partial assignment	*complete; satisfies constraints*	*assign an unassigned variable*

What is Search For?

- Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space

- Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics give problem-specific guidance



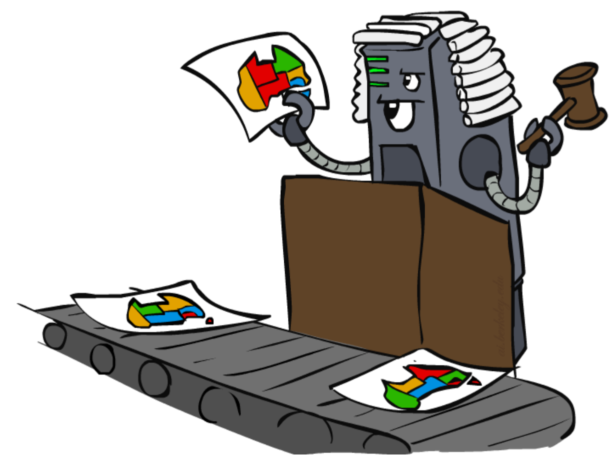
- Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are specialized for identification problems

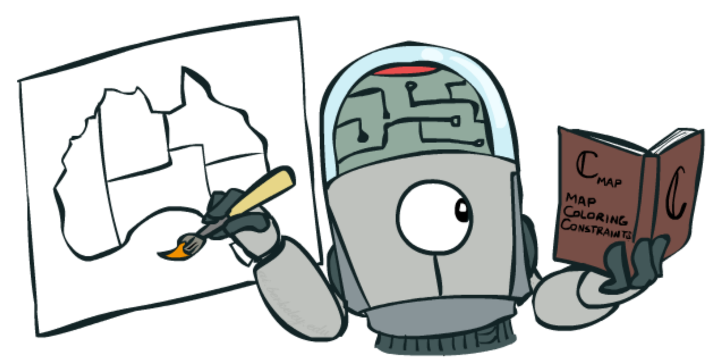
Constraint Satisfaction Problems

- Standard search problems:
  - State is a “black box”: arbitrary data structure
  - Goal test can be any function over states
  - Successor function can also be anything

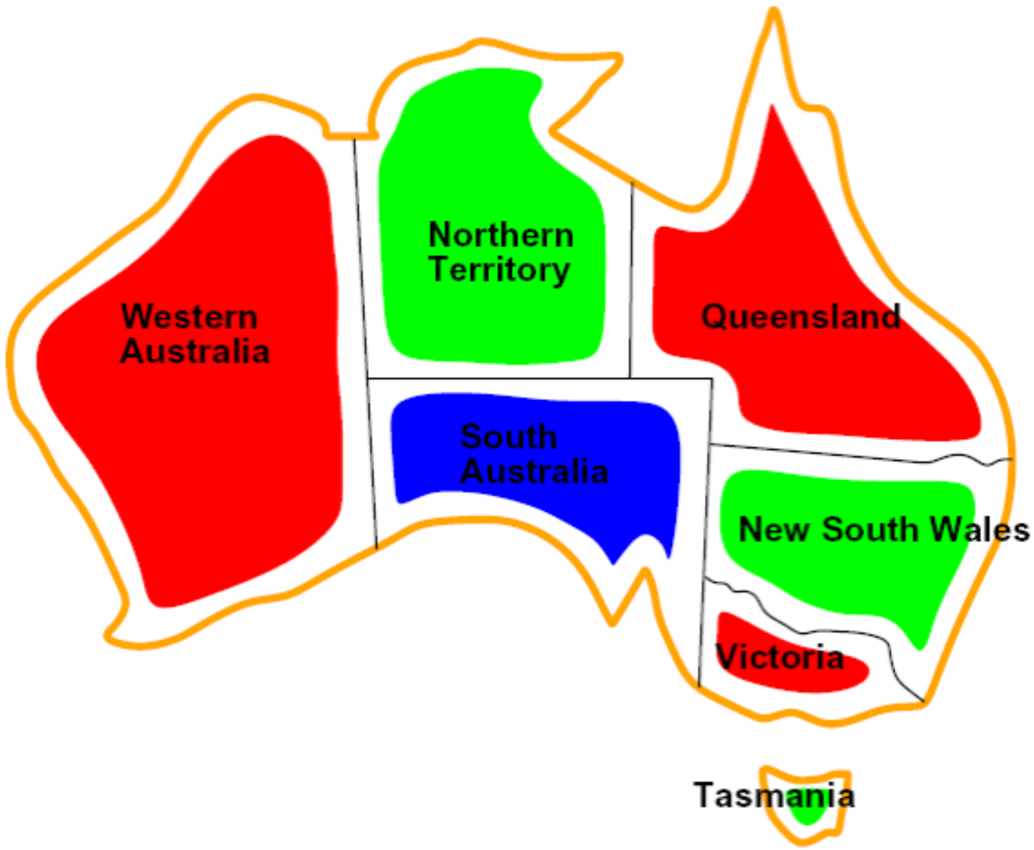
- Constraint satisfaction problems (CSPs):
  - A special subset of search problems
  - State is defined by variables X_i with values from a domain D (sometimes D depends on i)
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables

- Allows useful general-purpose algorithms with more power than standard search algorithms

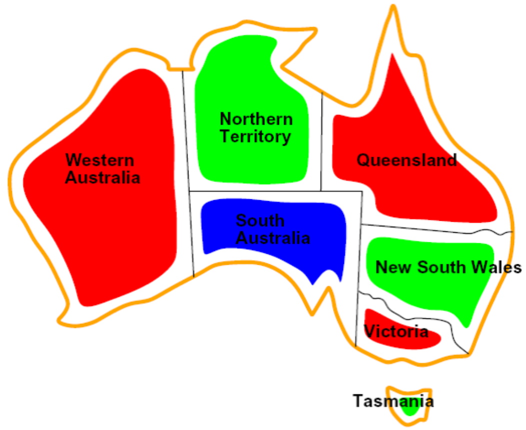




CSP Examples



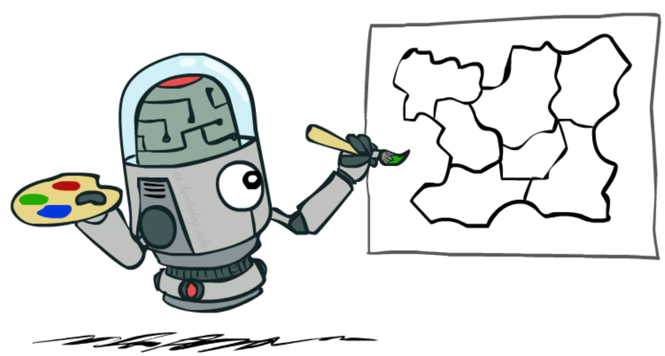
Example: Map Coloring



- Variables: $\mathsf{WA,\ NT,\ Q,\ NSW,\ V,\ SA,\ T}$
- Domains: $D = \{\text{red}, \text{green}, \text{blue}\}$
- Constraints: adjacent regions must have different colors

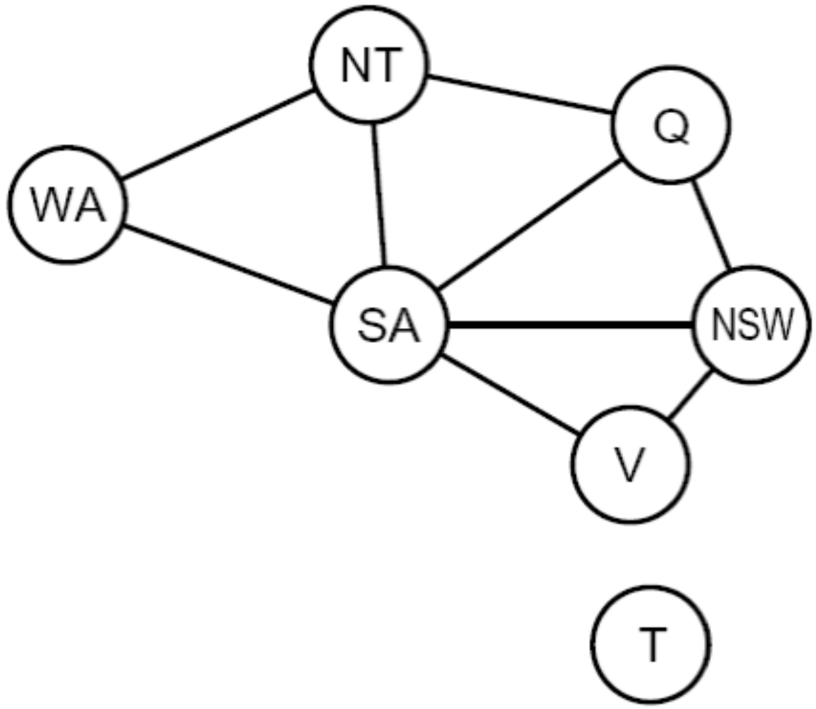
  Implicit: $\mathsf{WA} \neq \mathsf{NT}$

  Explicit: $(\mathsf{WA}, \mathsf{NT}) \in \{(\text{red}, \text{green}), (\text{red}, \text{blue}), \ldots\}$
- Solutions are assignments satisfying all constraints, e.g.:



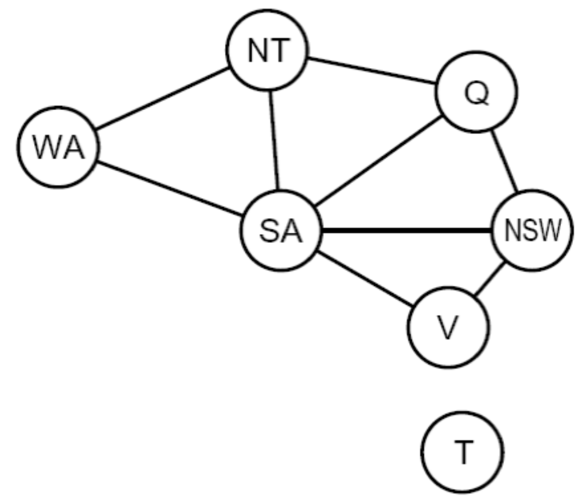
$\{\mathsf{WA}=\text{red},\ \mathsf{NT}=\text{green},\ \mathsf{Q}=\text{red},\ \mathsf{NSW}=\text{green},$
$\mathsf{V}=\text{red},\ \mathsf{SA}=\text{blue},\ \mathsf{T}=\text{green}\}$

Constraint Graphs



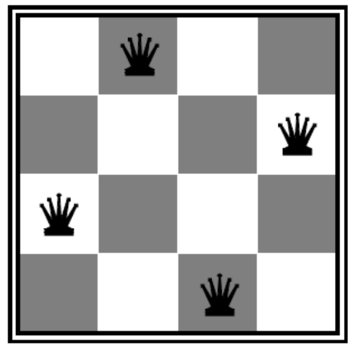
Constraint Graphs

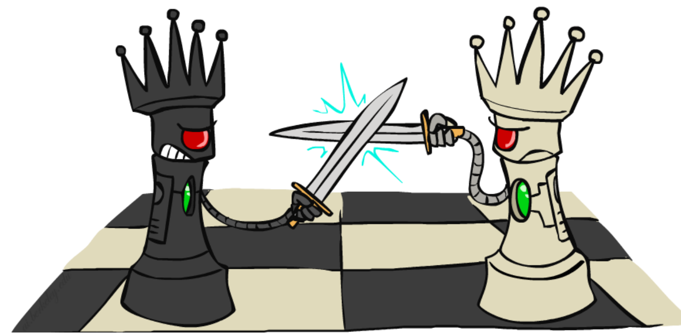
- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



Example: N-Queens

- Formulation 1:
  - Variables: X_{ij}
  - Domains: $\{0, 1\}$
  - Constraints



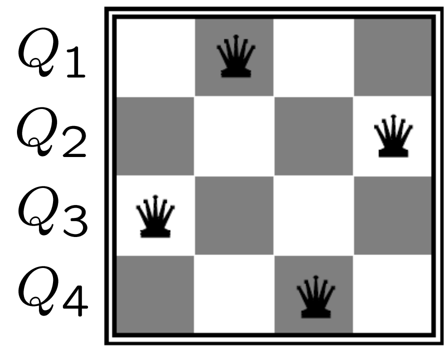


$$\forall i, j, k \ \ (X_{ij}, X_{ik}) \in \{(0,0), (0,1), (1,0)\}$$
$$\forall i, j, k \ \ (X_{ij}, X_{kj}) \in \{(0,0), (0,1), (1,0)\}$$
$$\forall i, j, k \ \ (X_{ij}, X_{i+k,j+k}) \in \{(0,0), (0,1), (1,0)\}$$
$$\forall i, j, k \ \ (X_{ij}, X_{i+k,j-k}) \in \{(0,0), (0,1), (1,0)\}$$

$$\sum_{i,j} X_{ij} = N$$

Example: N-Queens

- Formulation 2:
  - Variables: Q_k
  - Domains: $\{1, 2, 3, \ldots N\}$
  - Constraints:



Implicit: $\forall i, j$ non-threatening(Q_i, Q_j)

Explicit: $(Q_1, Q_2) \in \{(1,3), (1,4), \ldots\}$

$\ldots$

Example: Cryptarithmetic

- Variables:

  $F \ T \ U \ W \ R \ O \ X_1 \ X_2 \ X_3$

- Domains:

  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

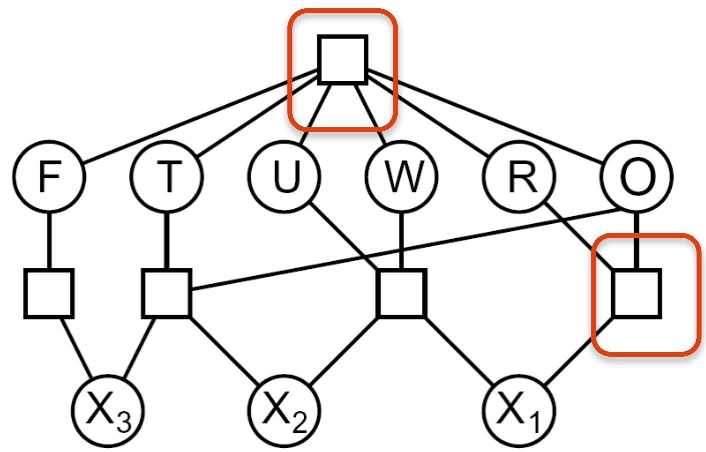
- Constraints:

  $\text{alldiff}(F, T, U, W, R, O)$

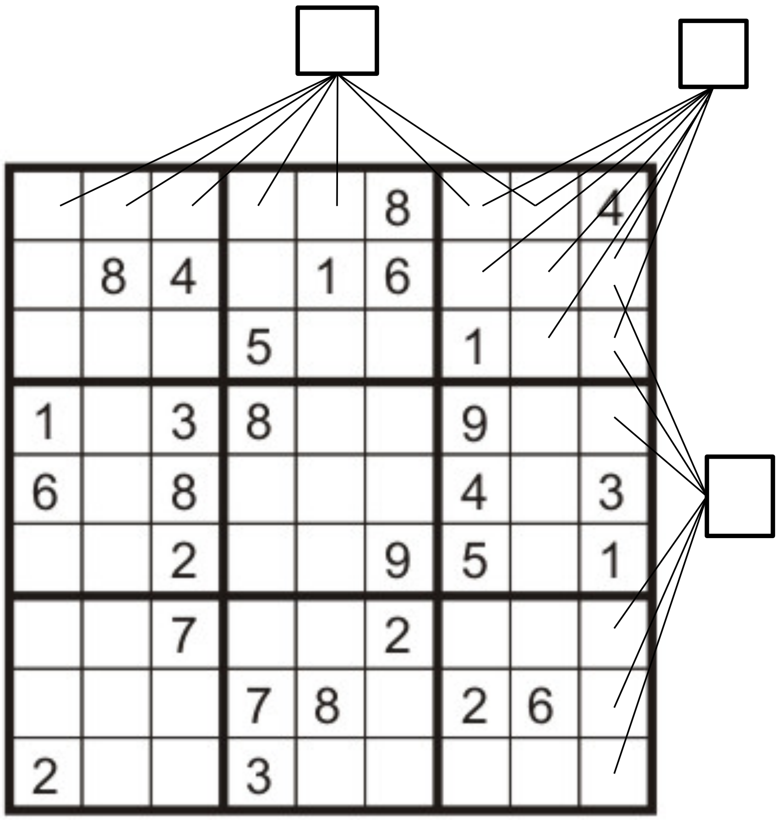
  $O + O = R + 10 \cdot X_1$

  $\ldots$

$$\begin{array}{cccc} & & & X_1 \\ & T & W & O \\ + & T & W & O \\ \hline F & O & U & R \end{array}$$



Example: Sudoku



- Variables:
  - Each (open) square
- Domains:
  - {1,2,…,9}
- Constraints:

9-way alldiff for each column

9-way alldiff for each row

9-way alldiff for each region

(or can have a bunch of pairwise inequality constraints)

Varieties of Constraints

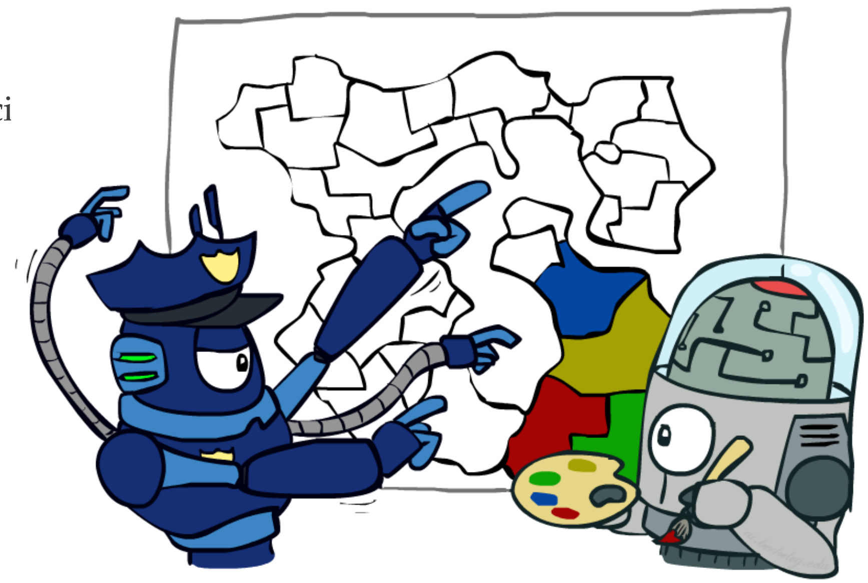
- Varieties of Constraints
  - Unary constraints involve a single variable (equivalent to reduci domains), e.g.:

$$SA \neq green$$

  - Binary constraints involve pairs of variables, e.g.:

$$SA \neq WA$$

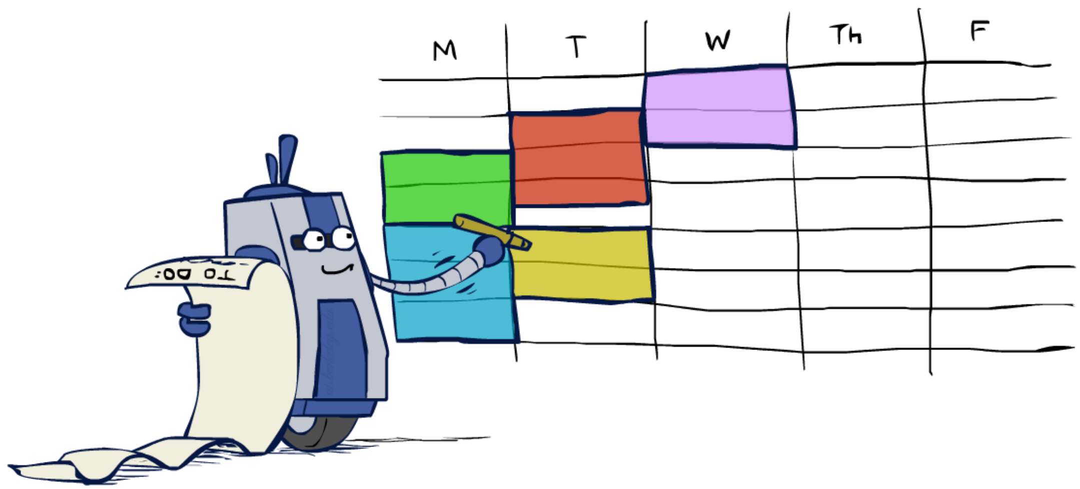
  - Higher-order constraints involve 3 or more variables:
    e.g., cryptarithmetic column constraints



- Preferences (soft constraints):
  - E.g., red is better than green
  - Often representable by a cost for each variable assignment
  - Gives constrained optimization problems
  - (We'll ignore these until we get to Bayes' nets)

Real-World CSPs

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- … lots more!



- Many real-world problems involve real-valued variables…

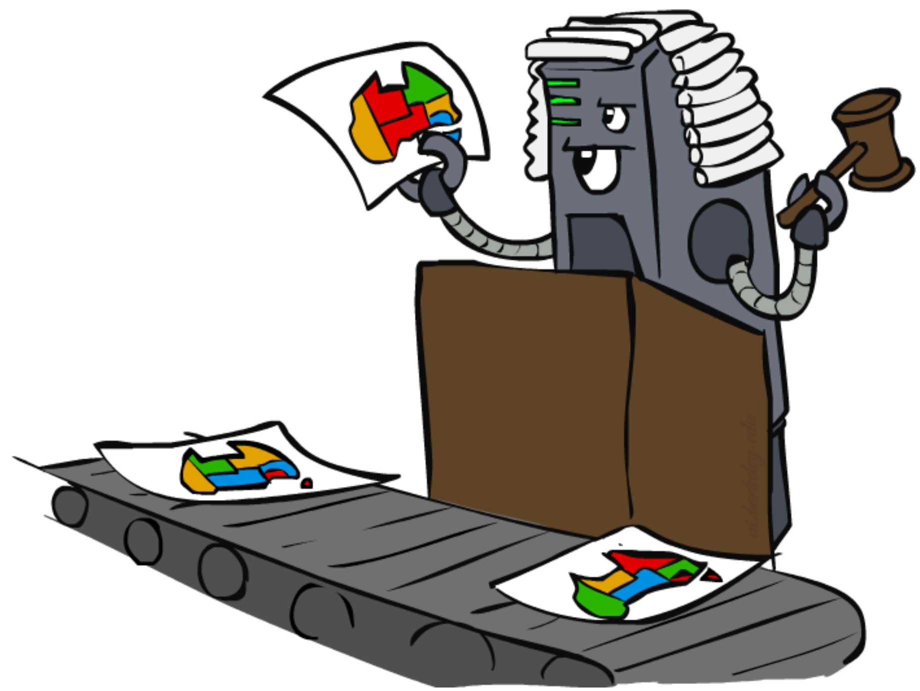
Solving CSPs

Standard Search Formulation

- Standard search formulation of CSPs

- States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment, {}
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints

- We'll start with the straightforward, naïve approach, then improve it

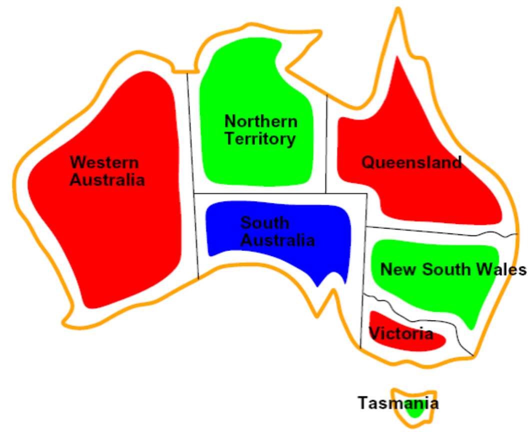


Search Methods

- What would BFS do?

{}

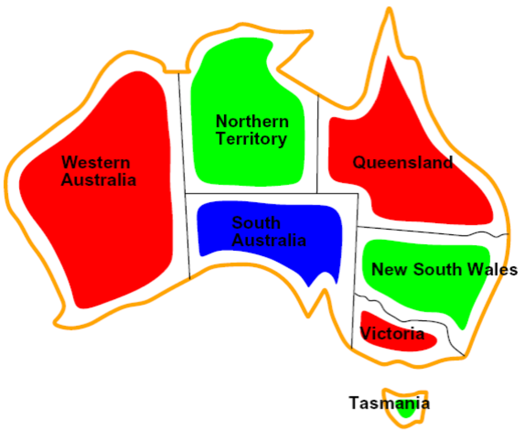
{WA=g} {WA=r} … {NT=g} …



[Demo: coloring -- dfs]

Search Methods

- What would BFS do?



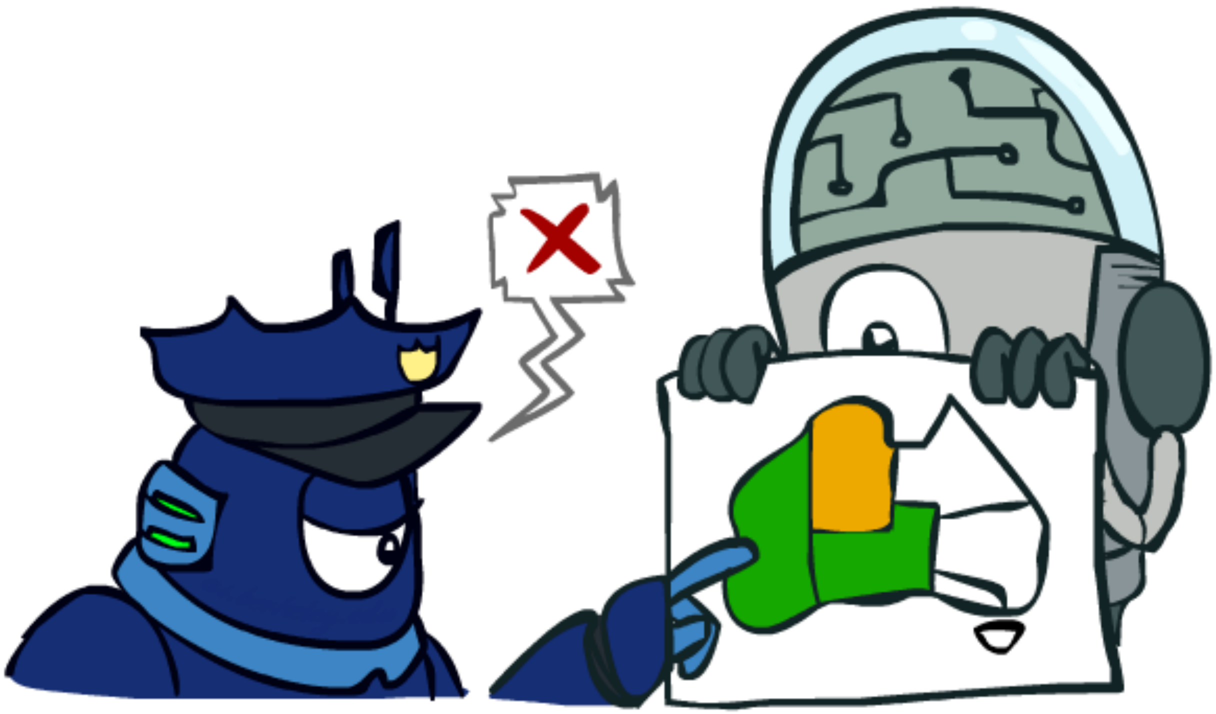
- What would DFS do?
  - let's see!

- What problems does naïve search have?

[Demo: coloring -- dfs]

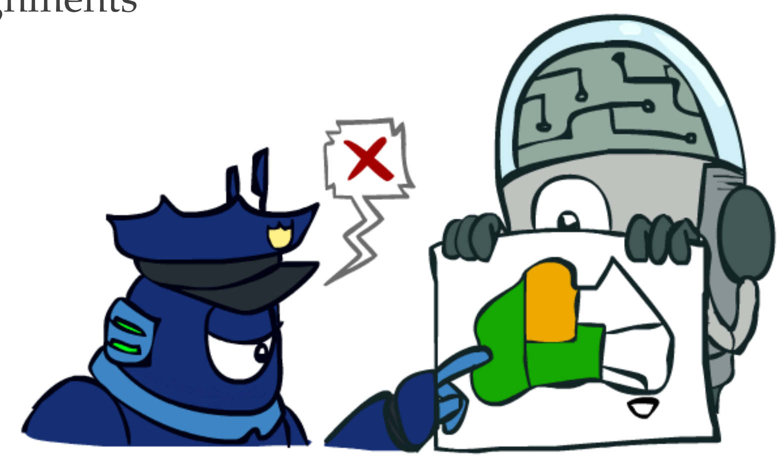
Video of Demo Coloring -- DFS

Backtracking Search

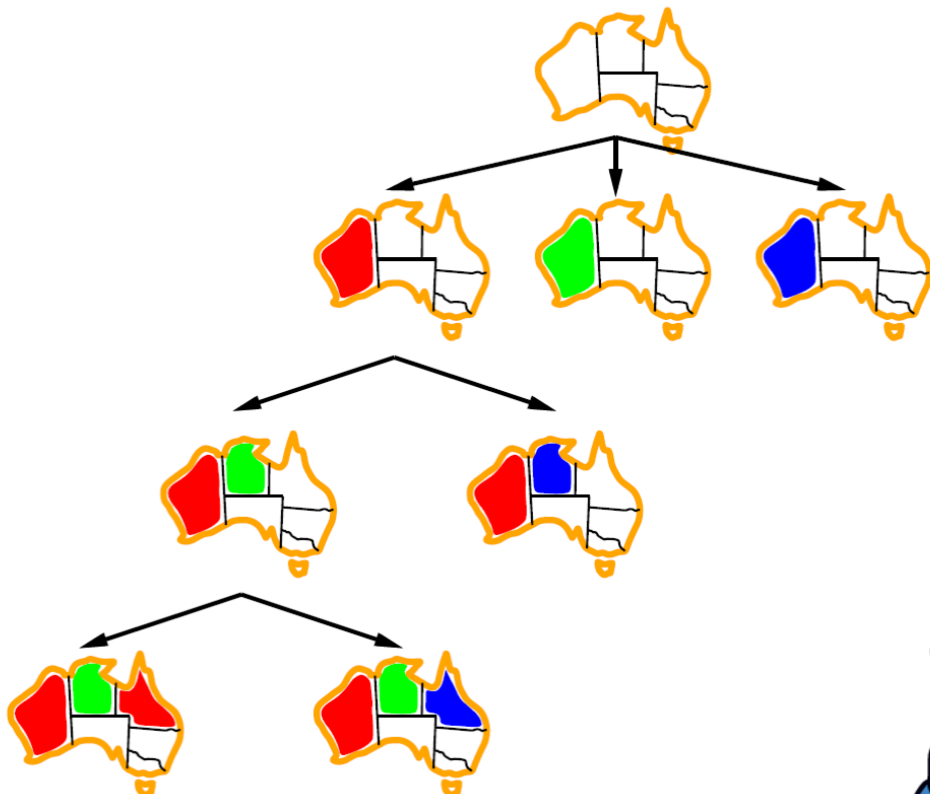


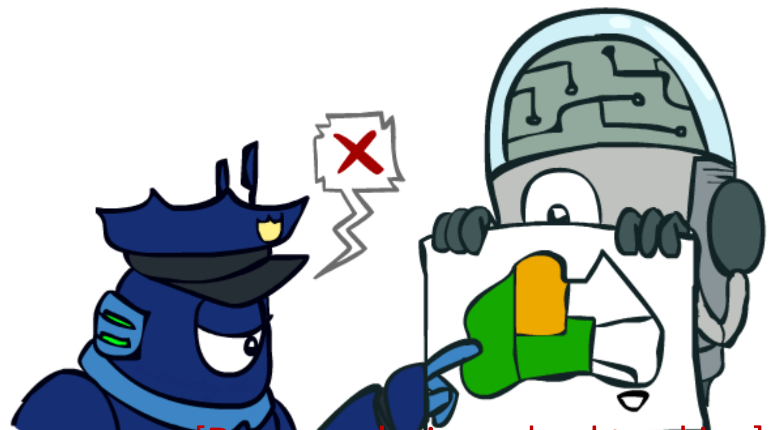
Backtracking Search

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea 1: One variable at a time
  - Variable assignments are commutative, so fix ordering -> better branching factor!
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Check constraints as you go
  - I.e. consider only values which do not conflict previous assignments
  - Might have to do some computation to check the constraints
  - "Incremental goal test"
- Depth-first search with these two improvements is called *backtracking search* (not the best name)
- Can solve n-queens for n ≈ 25



Backtracking Example





[Demo: coloring -- backtracking]

Video of Demo Coloring – Backtracking

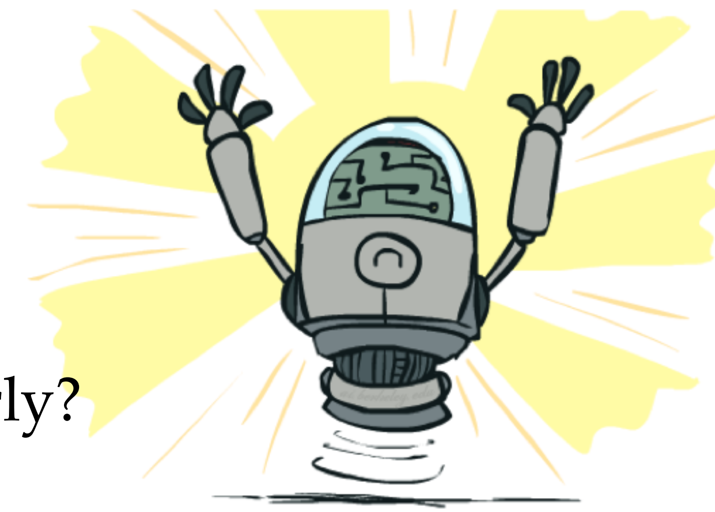
Backtracking Search

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
   return RECURSIVE-BACKTRACKING({ }, csp)
function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
   if assignment is complete then return assignment
   var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
   for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
       if value is consistent with assignment given CONSTRAINTS[csp] then
           add {var = value} to assignment
           result ← RECURSIVE-BACKTRACKING(assignment, csp)
           if result ≠ failure then return result
           remove {var = value} from assignment
   return failure
```

- Backtracking = DFS + variable-ordering + fail-on-violation
- What are the choice points?

Improving Backtracking

- General-purpose ideas give huge gains in speed
- Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- Filtering: Can we detect inevitable failure early?



Filtering

Keep track of domains for unassigned variables and cross off bad options

Filtering: Forward Checking

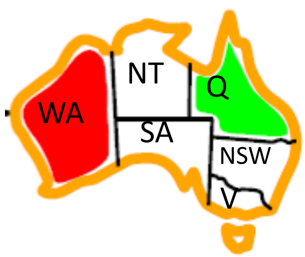
- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: Cross off values that violate a constraint when added to the existing assignment

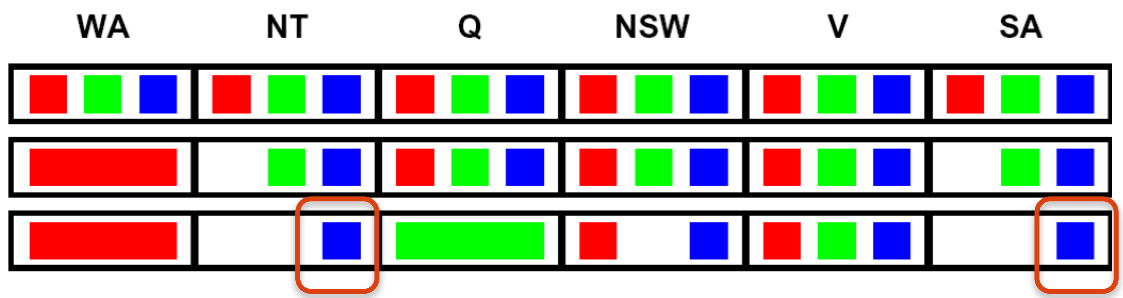
[Demo: coloring -- forward checking]

Video of Demo Coloring – Backtracking with Forward Checking

Filtering: Constraint Propagation

- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:

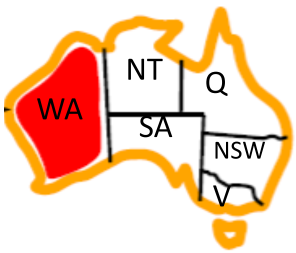


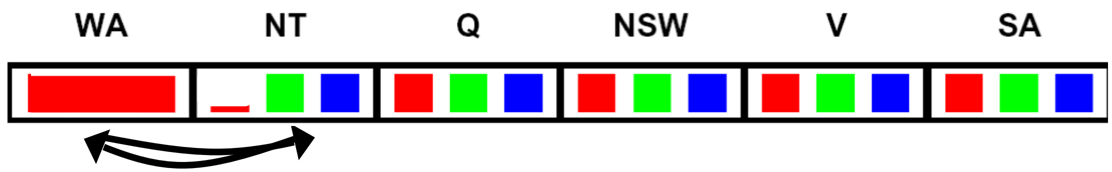


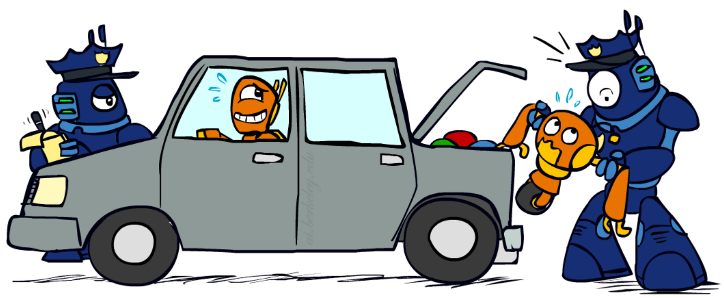
- NT and SA cannot both be blue!
- Why didn't we detect this yet?
- *Constraint propagation:* reason from constraint to constraint

Consistency of A Single Arc

- An arc X → Y is consistent iff for *every* x in the tail there is *some* y in the head which could be assigned without violating a constraint







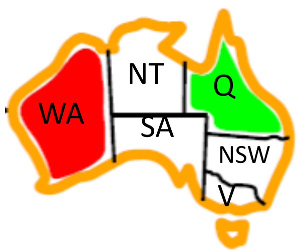
Delete from the tail!

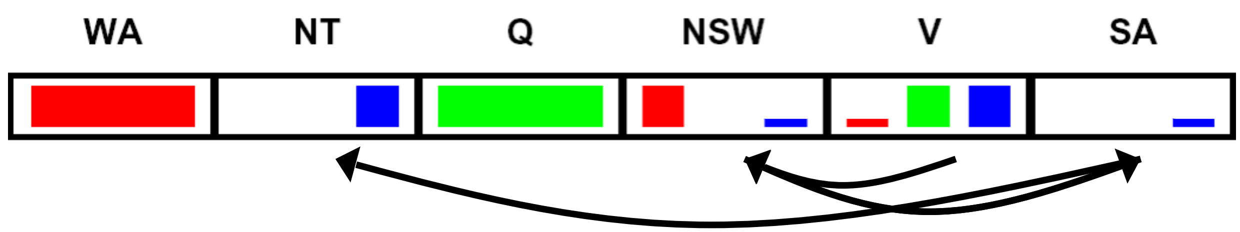
Forward checking?

Enforcing consistency of arcs pointing to each new assignment

Arc Consistency of an Entire CSP

- A simple form of propagation makes sure all arcs are consistent:





- Important: If X loses a value, neighbors of X need to be rechecked!
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment
- What's the downside of enforcing arc consistency?

Remember: Delete from the tail!

Enforcing Arc Consistency in a CSP

```
function AC-3( csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables {X1, X2, ..., Xn}
   local variables: queue, a queue of arcs, initially all the arcs in csp

   while queue is not empty do
      (Xi, Xj) ← REMOVE-FIRST(queue)
      if REMOVE-INCONSISTENT-VALUES(Xi, Xj) then
         for each Xk in NEIGHBORS[Xi] do
            add (Xk, Xi) to queue
```

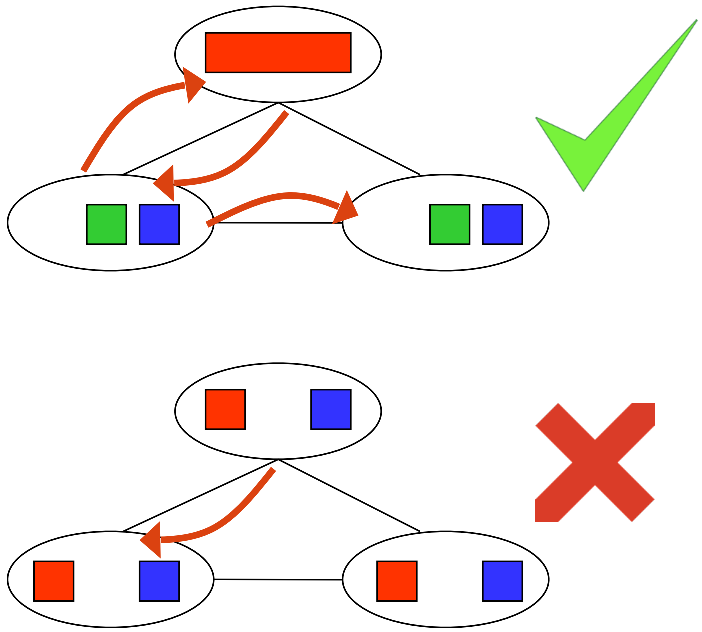
```
function REMOVE-INCONSISTENT-VALUES( Xi, Xj) returns true iff succeeds
   removed ← false
   for each x in DOMAIN[Xi] do
      if no value y in DOMAIN[Xj] allows (x,y) to satisfy the constraint Xi ↔ Xj
         then delete x from DOMAIN[Xi];  removed ← true
   return removed
```

- Runtime: $O(n^2d^3)$, can be reduced to $O(n^2d^2)$
- … but detecting all possible future problems is NP-hard – why?

Limitations of Arc Consistency

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)

- Arc consistency still runs inside a backtracking search!



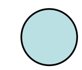
[Demo: coloring -- forward checking]
[Demo: coloring -- arc consistency]

Video of Demo Coloring – Backtracking with Forward Checking – Complex Graph

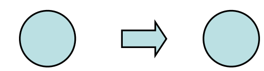
Video of Demo Coloring – Backtracking with Arc Consistency – Complex Graph

K-Consistency

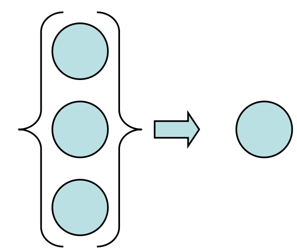
- Increasing degrees of consistency
  - 1-Consistency (Node Consistency): Each single node's domain has a value which meets that node's unary constraints



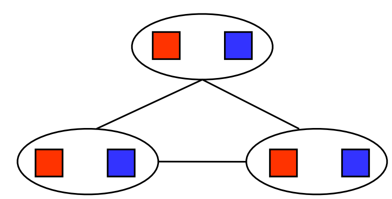
  - 2-Consistency (Arc Consistency): For each pair of nodes, any consistent assignment to one can be extended to the other



  - K-Consistency: For each k nodes, any consistent assignment to k-1 can be extended to the k^{th} node.



- Higher k more expensive to compute



- (You need to know the k=2 case: arc consistency)

Strong K-Consistency

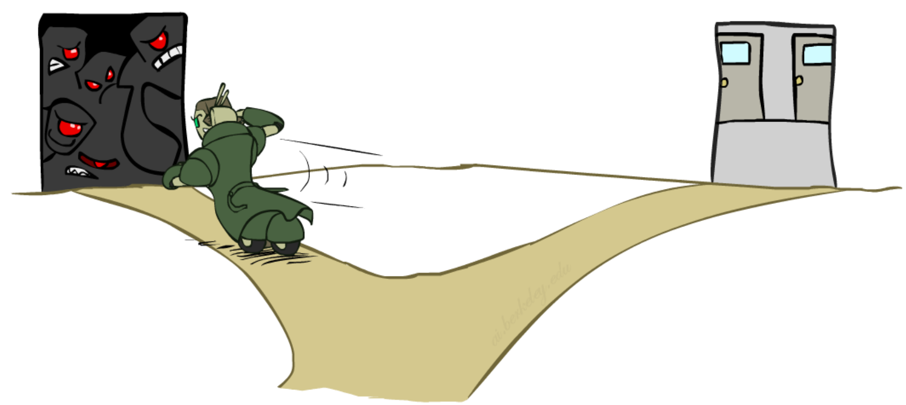
- Strong k-consistency: also k-1, k-2, … 1 consistent
- Claim: strong n-consistency means we can solve without backtracking!
- Why?
  - Choose any assignment to any variable
  - Choose a new variable
  - By 2-consistency, there is a choice consistent with the first
  - Choose a new variable
  - By 3-consistency, there is a choice consistent with the first 2
  - …
- Lots of middle ground between arc consistency and n-consistency! (e.g. k=3, called path consistency)

Ordering

Ordering: Minimum Remaining Values

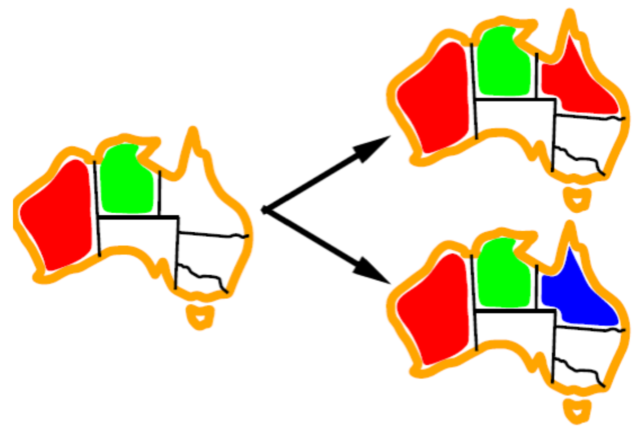
- Variable Ordering: Minimum remaining values (MRV):
  - Choose the variable with the fewest legal left values in its domain

- Why min rather than max?
- Also called "most constrained variable"
- "Fail-fast" ordering



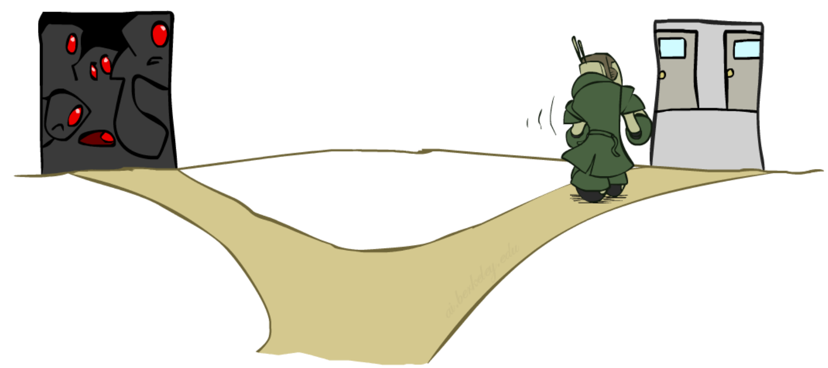
Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
  - Given a choice of variable, choose the *least constraining value*
  - I.e., the one that rules out the fewest values in the remaining variables
  - Note that it may take some computation to determine this! (E.g., rerunning filtering)



- Why least rather than most?

- Combining these ordering ideas makes 1000 queens feasible

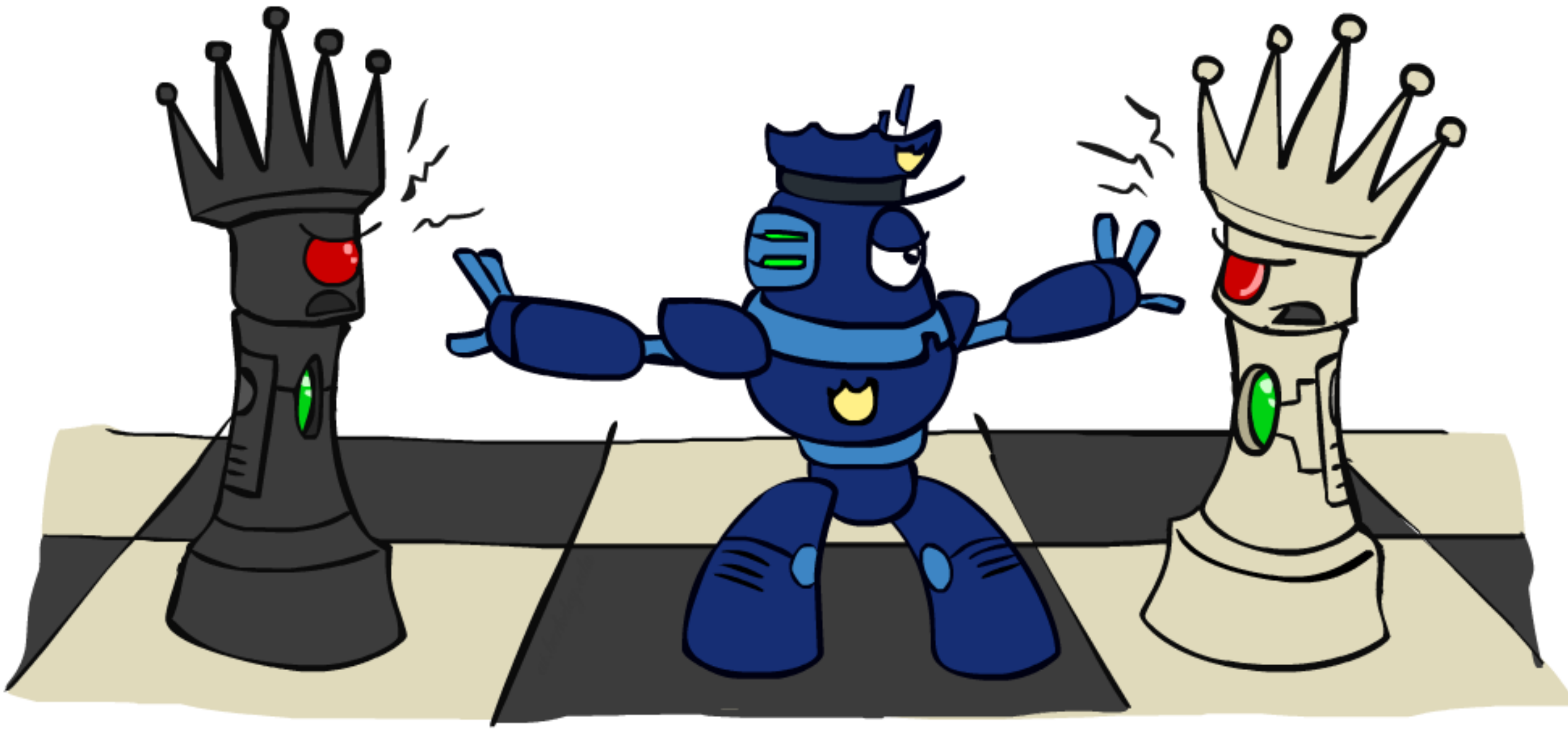


Demo: Coloring -- Backtracking + Forward Checking + Ordering

Summary

- Work with your rubber duck to write down:
  - How we order variables and why
  - How we order values and why

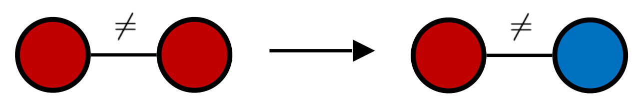
Iterative Improvement



Iterative Algorithms for CSPs

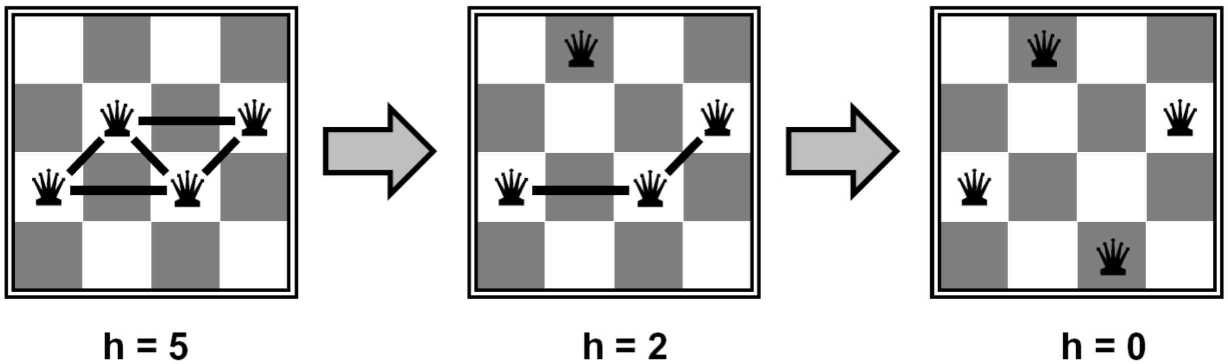
- Local search methods typically work with "complete" states, i.e., all variables assigned

- To apply to CSPs:
  - Take an assignment with unsatisfied constraints
  - Operators *reassign* variable values
  - No fringe! Live on the edge.



- Algorithm: While not solved,
  - Variable selection: randomly select any conflicted variable
  - Value selection: min-conflicts heuristic:
    - Choose a value that violates the fewest constraints
    - I.e., hill climb with h(x) = total number of violated constraints

Example: 4-Queens



- States: 4 queens in 4 columns (4^4 = 256 states)
- Operators: move queen in column
- Goal test: no attacks
- Evaluation: c(n) = number of attacks

Iterative Improvement – n Queens

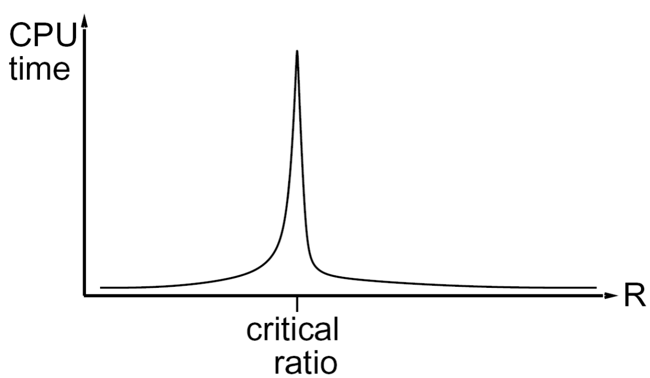
Iterative Improvement – Coloring

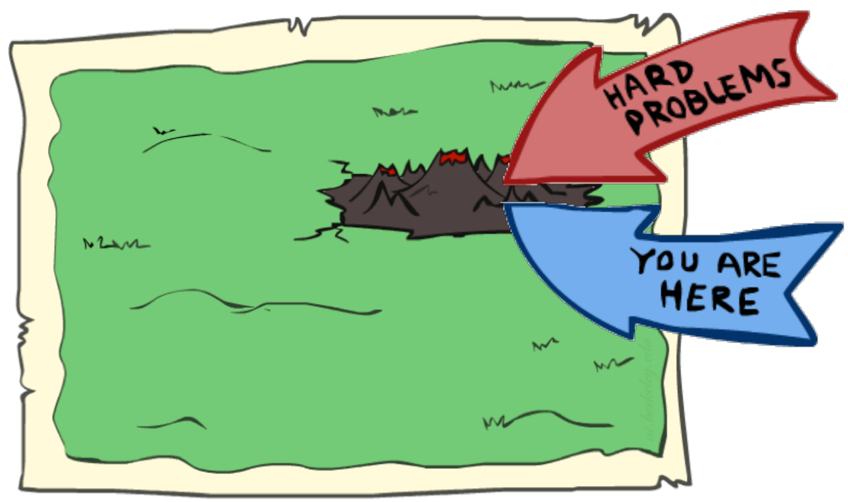
Performance of Min-Conflicts

- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)!

- The same appears to be true for any randomly-generated CSP *except* in a narrow range of the ratio

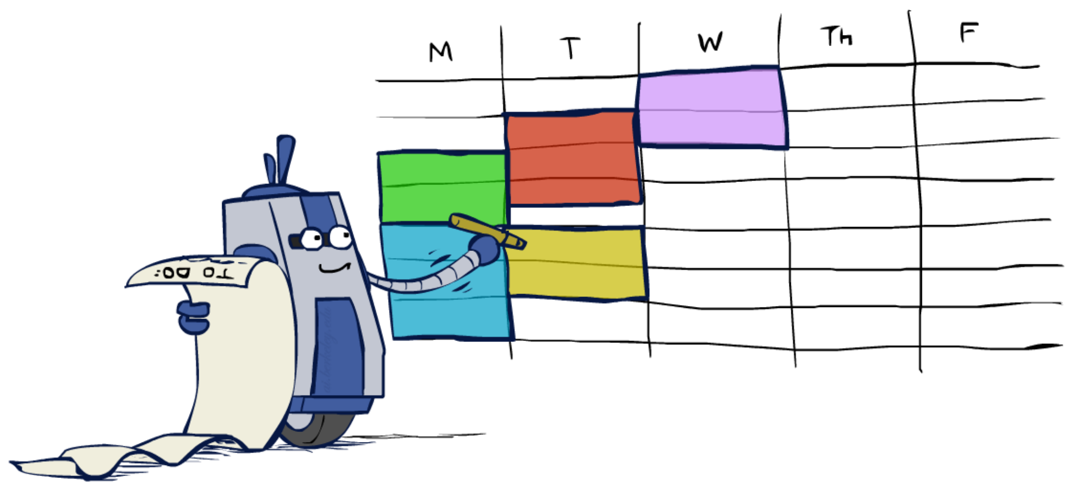
$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$





Summary: CSPs

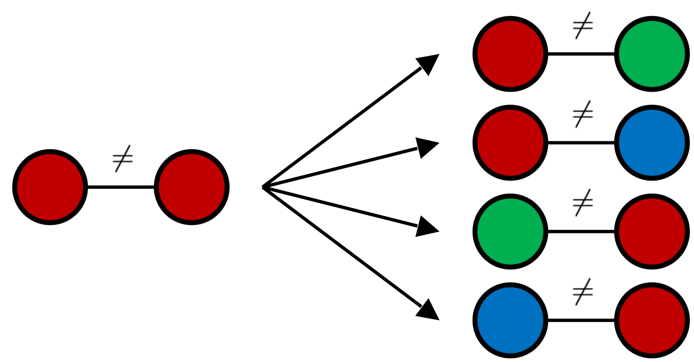
- CSPs are a special kind of search problem:
  - States are partial assignments
  - Goal test defined by constraints
- Basic solution: backtracking search
- Speed-ups:
  - Ordering
  - Filtering
  - Structure – turns out trees are easy!
- Iterative min-conflicts is often effective in practice



Local Search

Local Search

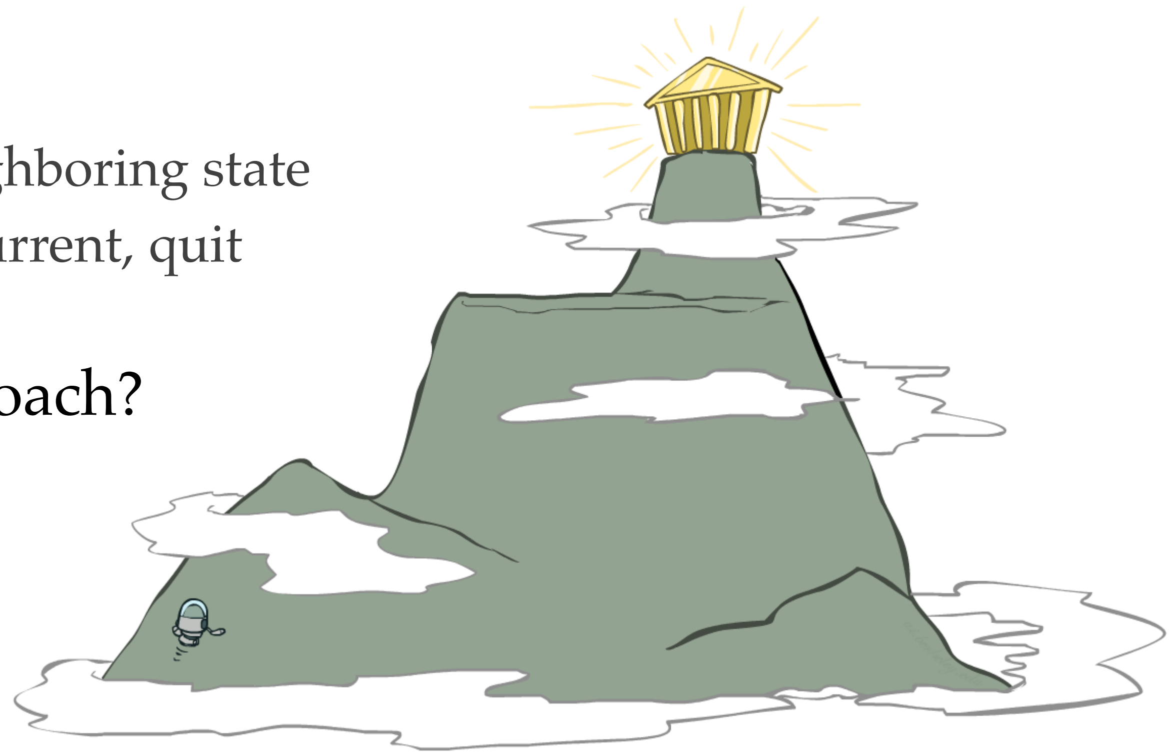
- Tree search keeps unexplored alternatives on the fringe (ensures completeness)
- Local search: improve a single option until you can't make it better (no fringe!)
- New successor function: local changes



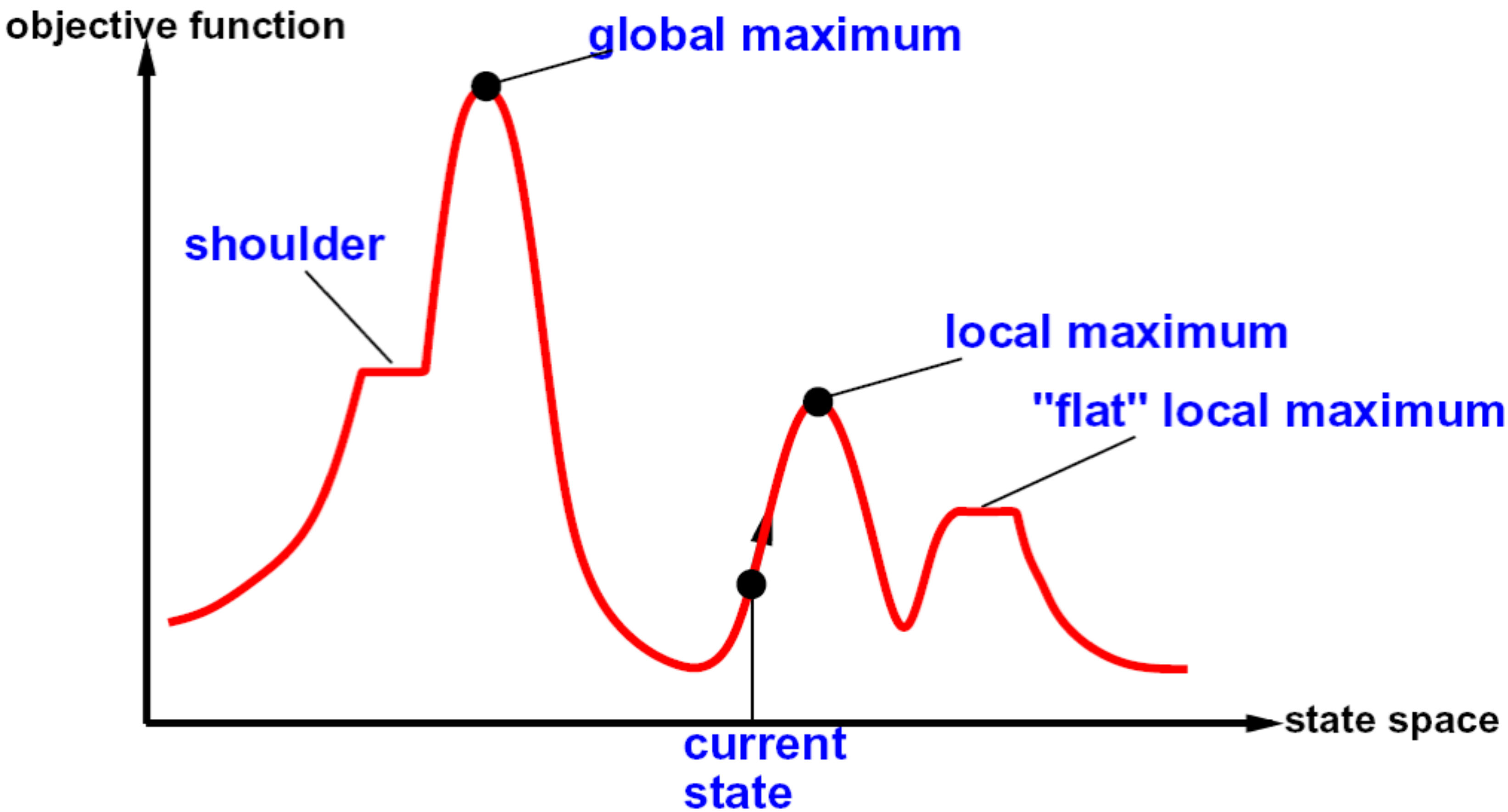
- Generally much faster and more memory efficient (but incomplete and suboptimal)

Hill Climbing

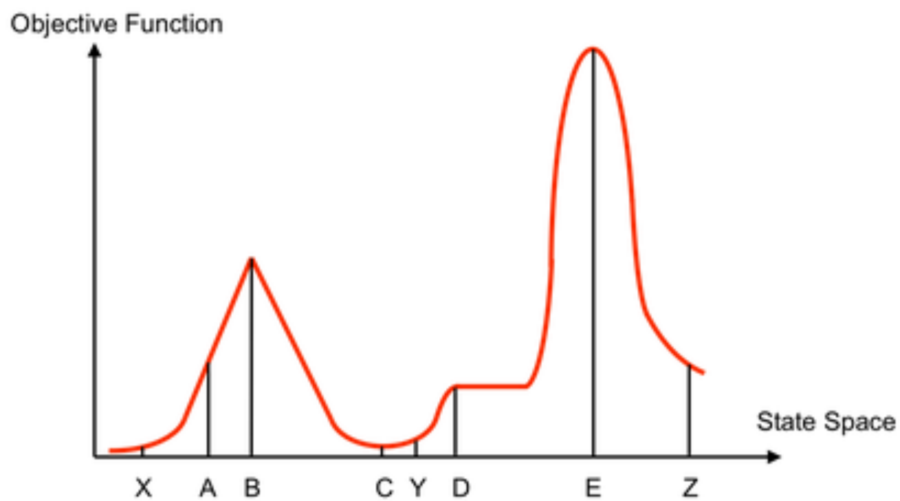
- Simple, general idea:
  - Start wherever
  - Repeat: move to the best neighboring state
  - If no neighbors better than current, quit
- What's bad about this approach?
- What's good about it?



Hill Climbing Diagram



Hill Climbing Quiz



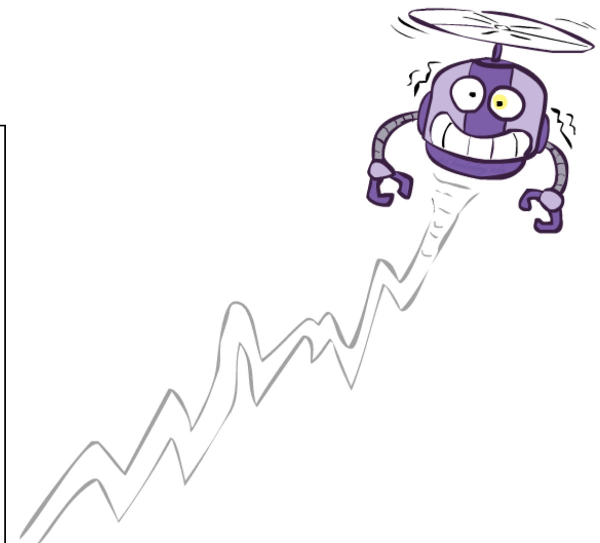
Starting from X, where do you end up ?

Starting from Y, where do you end up ?

Starting from Z, where do you end up ?

Simulated Annealing

- Idea: Escape local maxima by allowing downhill moves
  - But make them rarer as time goes on

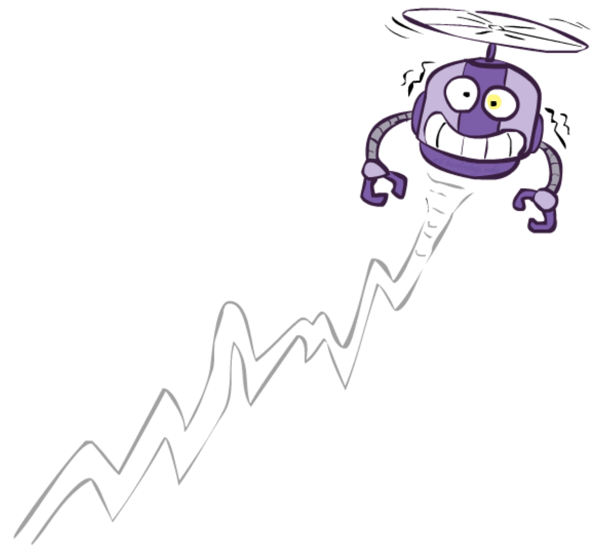


```
function SIMULATED-ANNEALING(problem, schedule) returns a solution state
    inputs: problem, a problem
            schedule, a mapping from time to "temperature"
    local variables: current, a node
                     next, a node
                     T, a "temperature" controlling prob. of downward steps

    current ← MAKE-NODE(INITIAL-STATE[problem])
    for t ← 1 to ∞ do
        T ← schedule[t]
        if T = 0 then return current
        next ← a randomly selected successor of current
        ΔE ← VALUE[next] – VALUE[current]
        if ΔE > 0 then current ← next
        else current ← next only with probability e^(ΔE/T)
```

Simulated Annealing

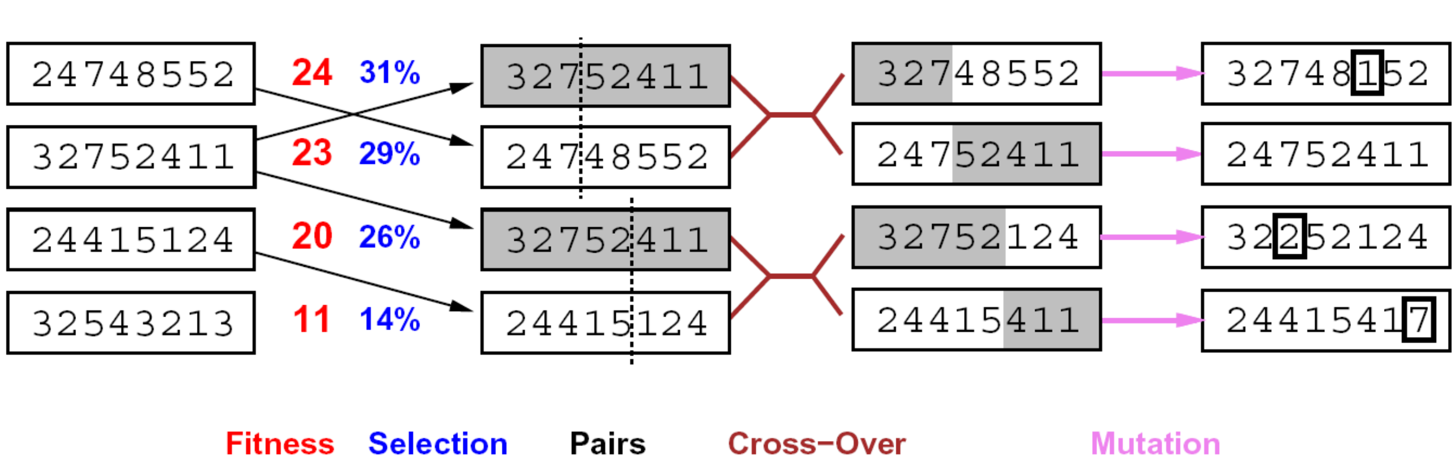
- Theoretical guarantee:
  - Stationary distribution: $p(x) \propto e^{\frac{E(x)}{kT}}$
  - If T decreased slowly enough,
    will converge to optimal state!

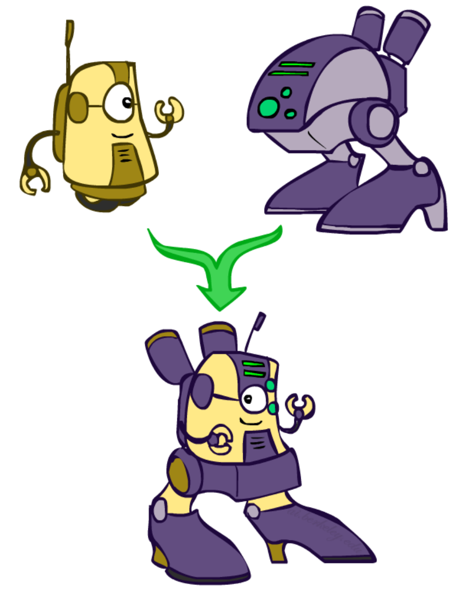


- Is this an interesting guarantee?

- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row
  - People think hard about *ridge operators* which let you jump around the space in better ways

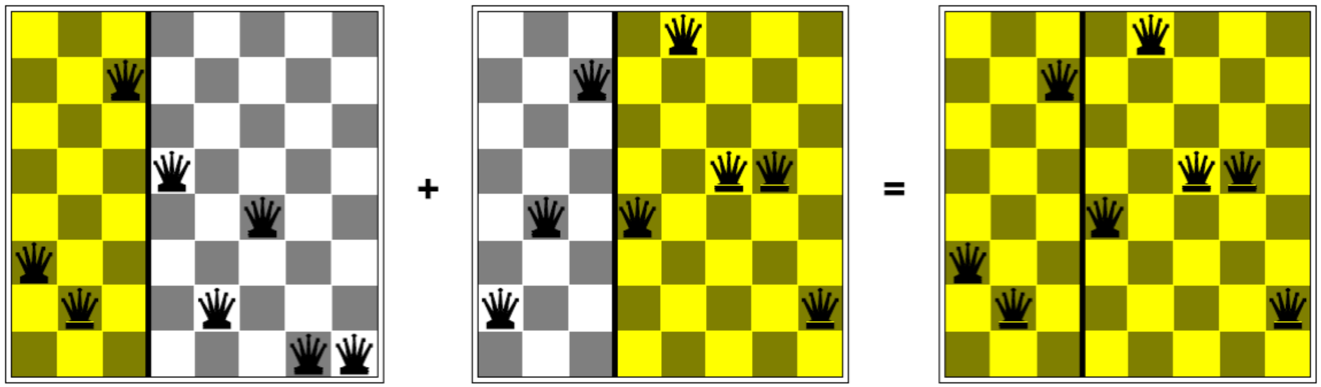
Genetic Algorithms





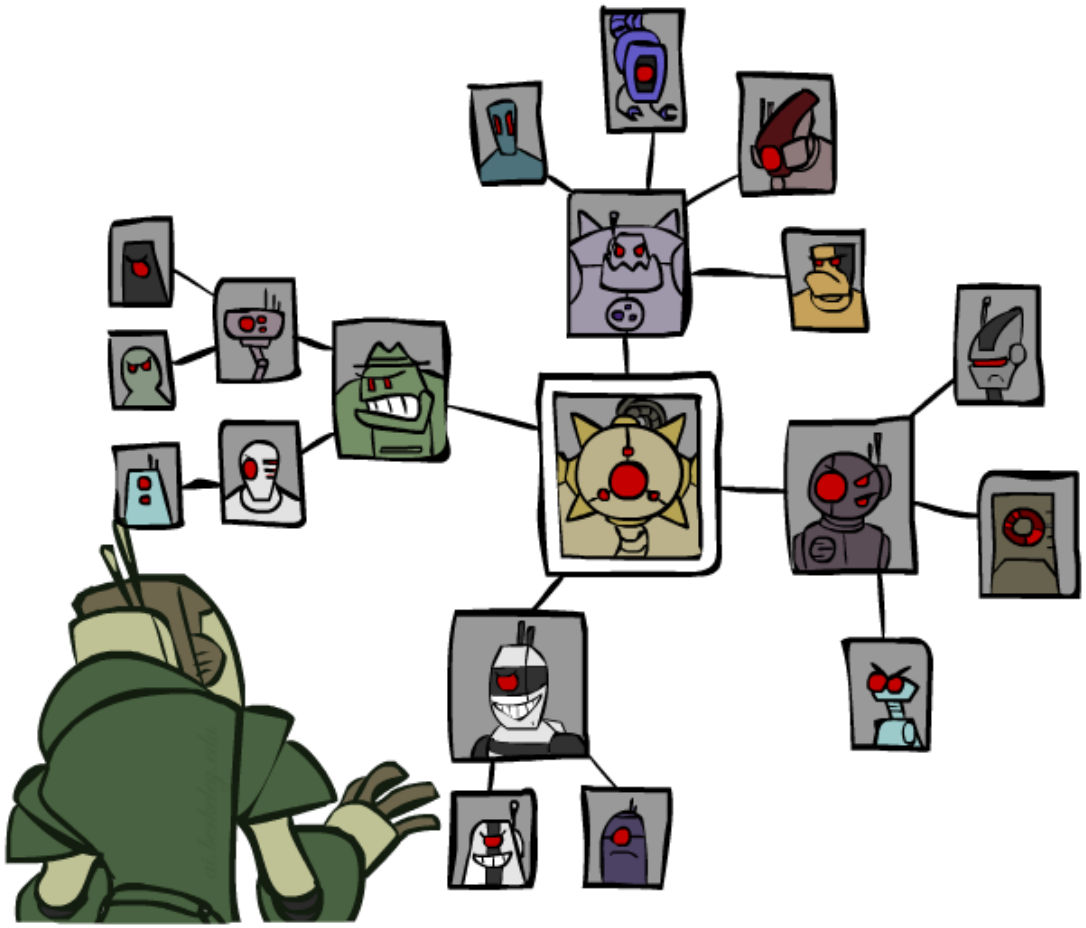
- Genetic algorithms use a natural selection metaphor
  - Keep best N hypotheses at each step (selection) based on a fitness function
  - Also have pairwise crossover operators, with optional mutation to give variety
- Possibly the most misunderstood, misapplied (and even maligned) technique around

Example: N-Queens



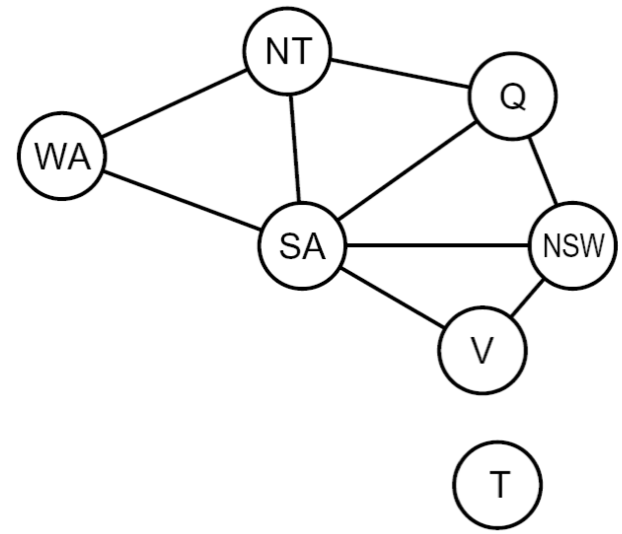
- Why does crossover make sense here?
- When wouldn't it make sense?
- What would mutation be?
- What would a good fitness function be?

Bonus (time permitting): Structure

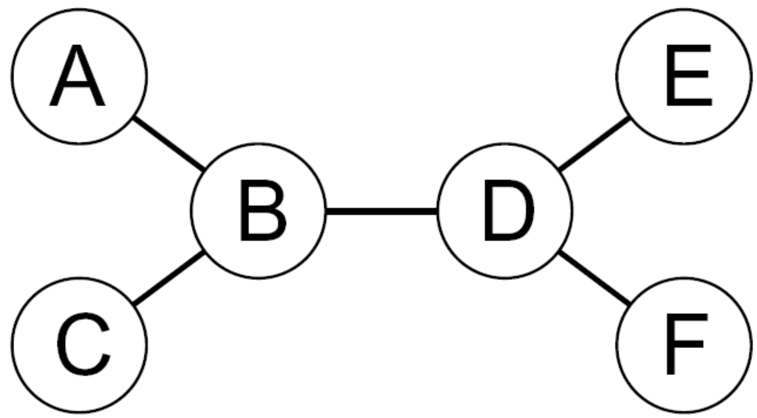


Problem Structure

- Extreme case: independent subproblems
  - Example: Tasmania and mainland do not interact
- Independent subproblems are identifiable as connected components of constraint graph
- Suppose a graph of n variables can be broken into subproblems of only c variables:
  - Worst-case solution cost is $O((n/c)(d^c))$, linear in n
  - E.g., $n = 80$, $d = 2$, $c = 20$
  - 2^{80} = 4 billion years at 10 million nodes/sec
  - $(4)(2^{20})$ = 0.4 seconds at 10 million nodes/sec



Tree-Structured CSPs

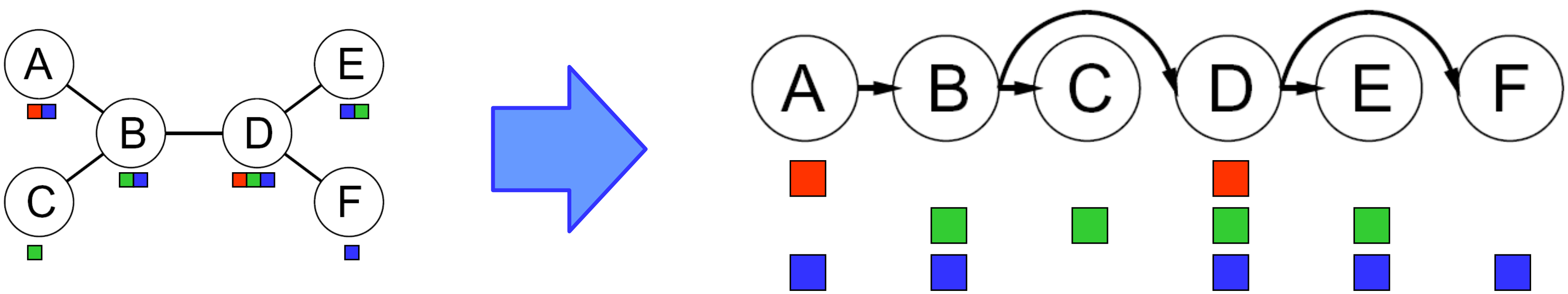


- Theorem: if the constraint graph has no loops, the CSP can be solved in $O(n\ d^2)$ time
  - Compare to general CSPs, where worst-case time is $O(d^n)$

- This property also applies to probabilistic reasoning (later): an example of the relation between syntactic restrictions and the complexity of reasoning

Tree-Structured CSPs

- Algorithm for tree-structured CSPs:
  - Order: Choose a root variable, order variables so that parents precede children

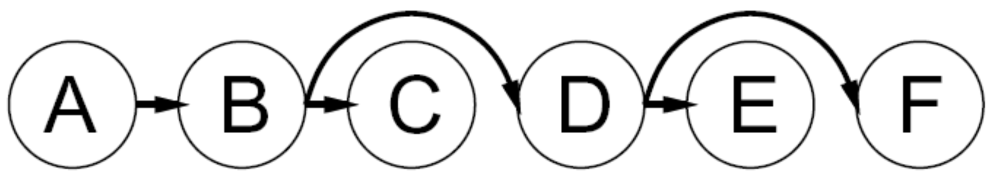


  - Remove backward: For $i = n : 2$, apply RemoveInconsistent(Parent(X_i),X_i)
  - Assign forward: For $i = 1 : n$, assign X_i consistently with Pa

- Runtime: $O(n\ d^2)$ (why?)

Tree-Structured CSPs

- Claim 1: After backward pass, all root-to-leaf arcs are consistent
- Proof: Each X→Y was made consistent at one point and Y's domain could not have been reduced thereafter (because Y's children were processed before Y)

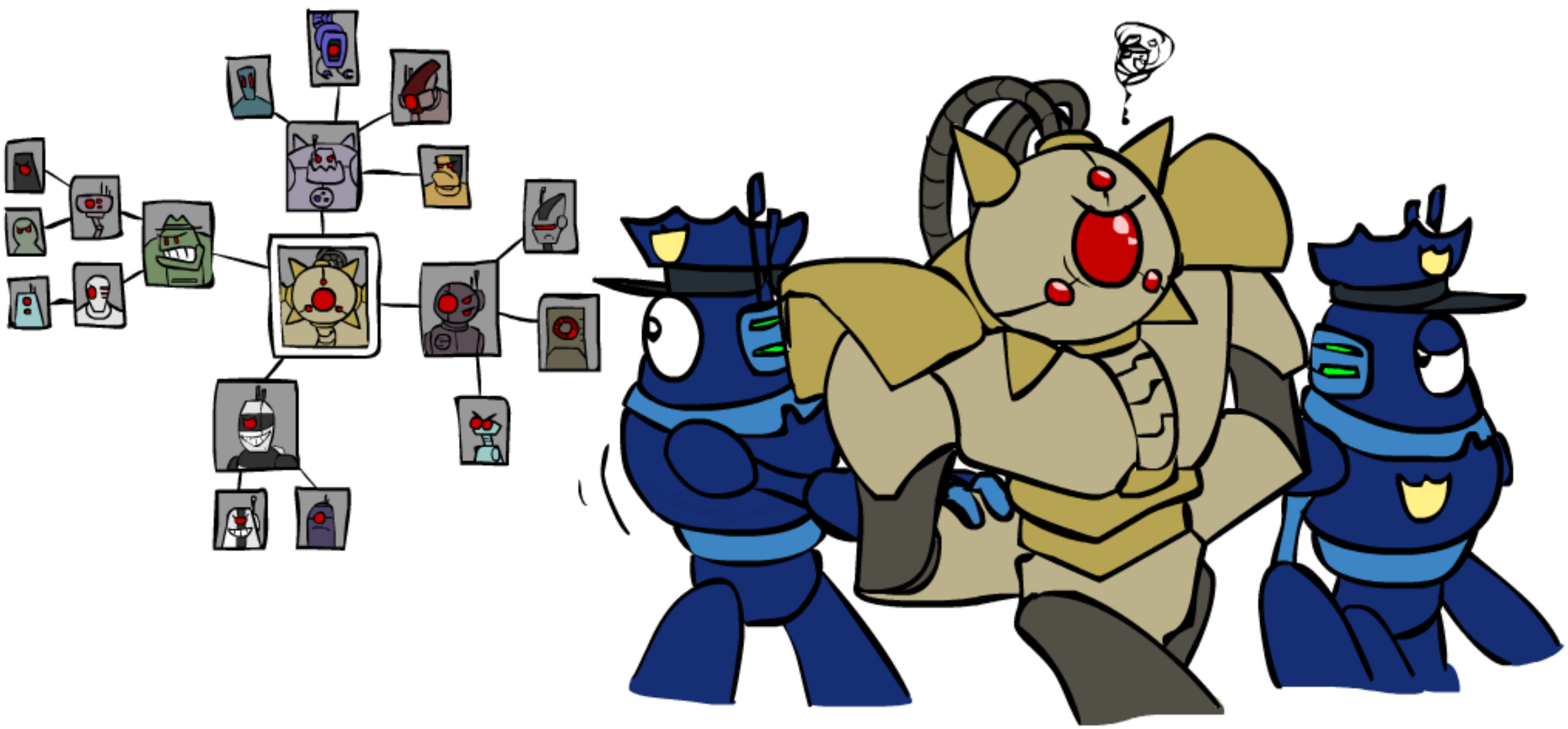


- Claim 2: If root-to-leaf arcs are consistent, forward assignment will not backtrack
- Proof: Induction on position

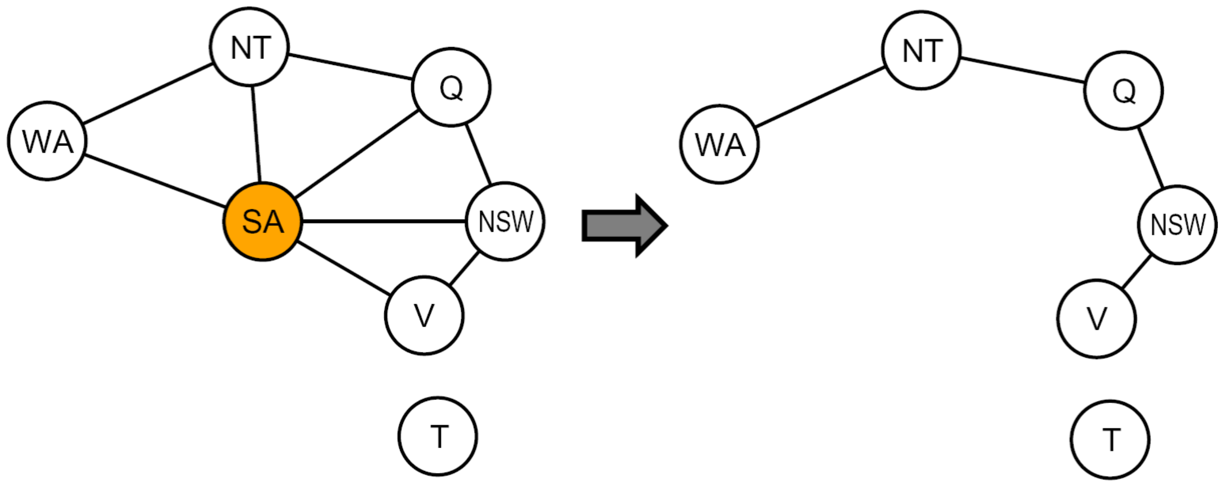
- Why doesn't this algorithm work with cycles in the constraint graph?

- Note: we'll see this basic idea again with Bayes' nets

Improving Structure



Nearly Tree-Structured CSPs



- Conditioning: instantiate a variable, prune its neighbors' domains
- Cutset conditioning: instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree
- Cutset size c gives runtime $O((d^c) (n-c) d^2)$, very fast for small c

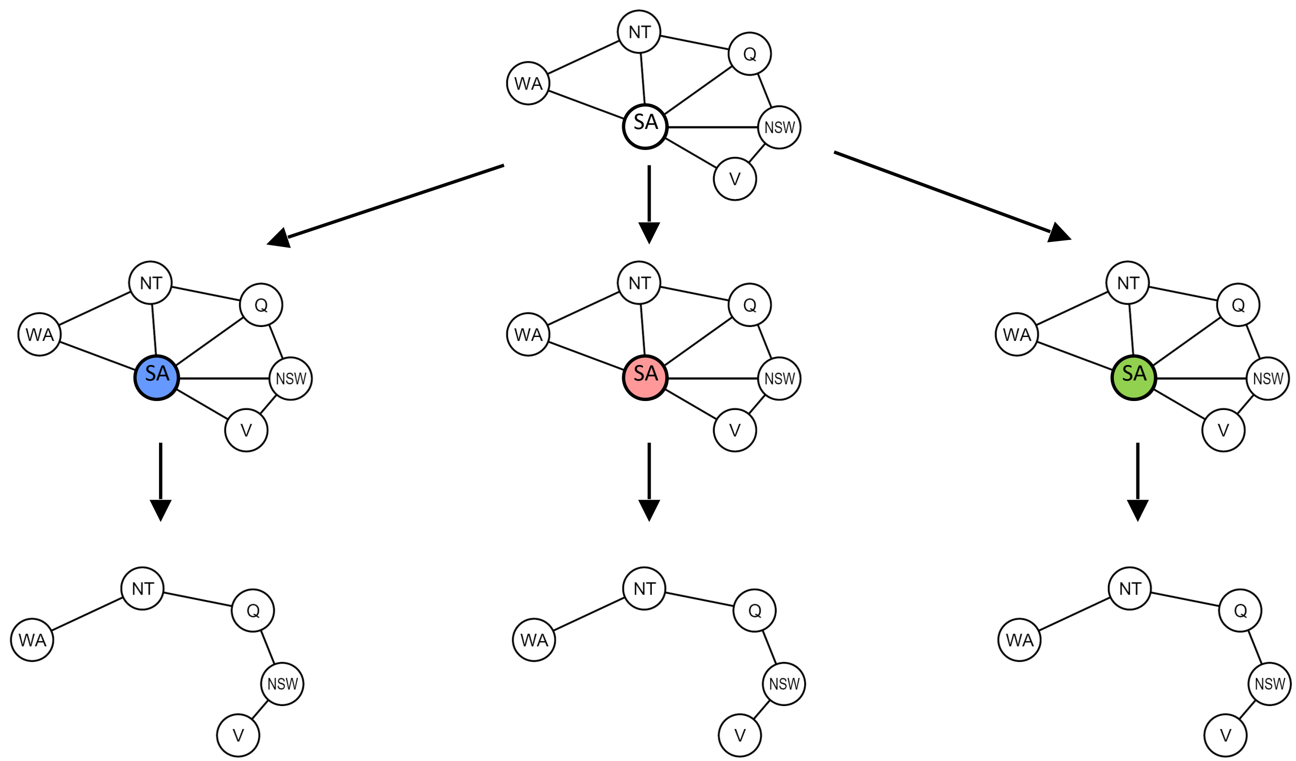
Cutset Conditioning

Choose a cutset

Instantiate the cutset (all possible ways)

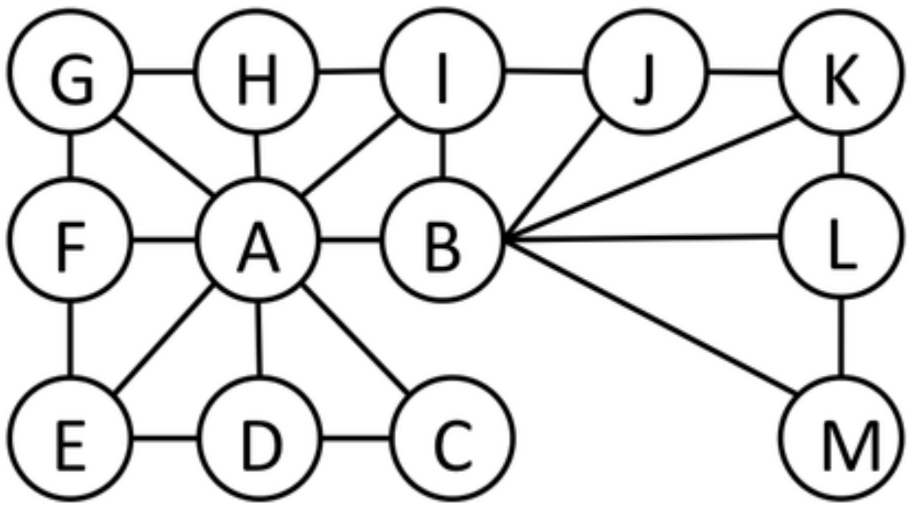
Compute residual CSP for each assignment

Solve the residual CSPs (tree structured)



Cutset Quiz

- Find the smallest cutset for the graph below.



Tree Decomposition*

- Idea: create a tree-structured graph of mega-variables
- Each mega-variable encodes part of the original CSP
- Subproblems overlap to ensure consistent solutions