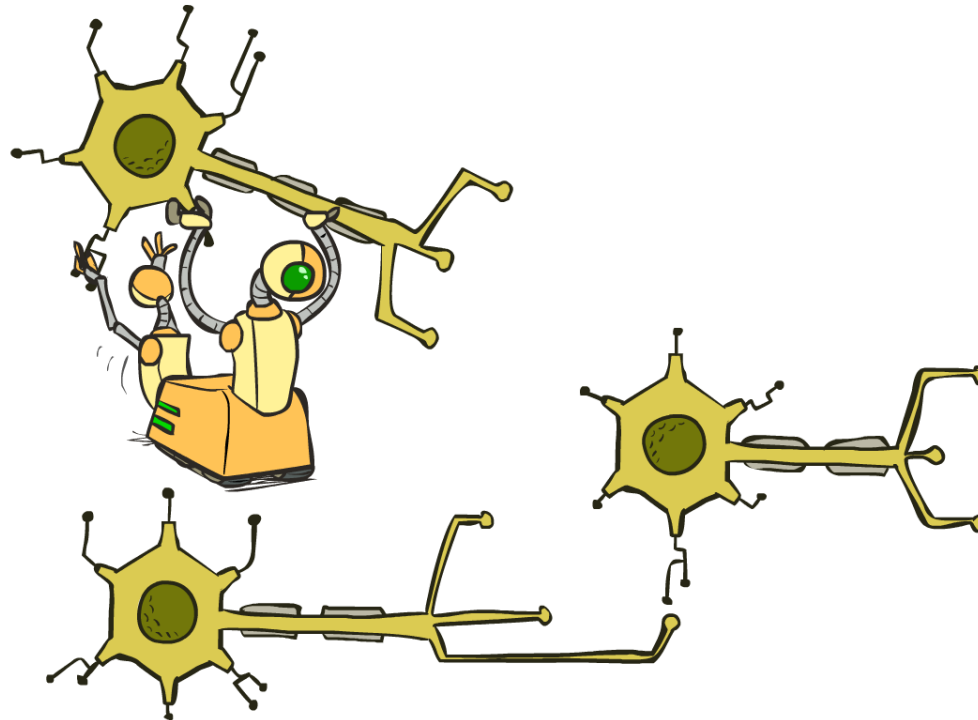


# CS 188: Artificial Intelligence

## Special Topics: NLP/CV/RL



Instructor: Nicholas Tomlin

[Slides courtesy of Dan Klein, Abigail See, Greg Durrett, Yejin Choi, John DeNero, Eric Wallace, Kevin Lin, Fei-Fei Li, Sergey Levine, Pieter Abbeel, and many others]

# Final Review Discussion Sections

- Schedule is posted on Ed!
- Discussions aren't necessarily in the usual rooms
- Exam scope: cumulative, everything taught in lecture up to today, covered in homeworks or discussions, unless explicitly marked as optional content

Time	Topic	TA	Location
Thursday (8/3)			
4pm-5pm	Games/VPI	Linyuan	Cory 521
5pm-6pm	Bayes Nets	Ramanan	Cory 521
6pm-7pm	ML	Eric	Cory 540AB
Friday			
9am-10am	Neural Nets	Ruiqi	Cory 521
2pm-3pm	Games/VPI	Linyuan	Soda 306
3pm-4pm	Bayes Nets	Ramanan	Soda 306
4pm-5pm	MDP/RL	Michael	Soda 306
5pm-6pm	Bayes Nets	Ramanan	Soda 306
Monday			
9am-10am	Games/VPI	Linyuan	Cory 521
10am-11am	Bayes Nets	Ramanan	Cory 521
11am-12pm	Neural Nets	Ruiqi	Cory 521
12pm-1pm	MDP/RL	Stanley	Cory 521
1pm-2pm	ML	Eric	Cory 521
2pm-3pm	NO SECTION		
3pm-4pm	NO SECTION		
4pm-5pm	HMMs	Austen	Cory 521
5pm-6pm	Games/VPI	Linyuan	Cory 521

# Natural Language Processing



NLP



grep

## Goal: Deep Understanding

- Requires knowledge, context, and grounding
- Just starting to see successes

## Reality: Shallow Matching

- Requires robustness and scale
- Amazing successes, but fundamental limitations

# What tasks do we care about?

---

## Applications

- Machine translation
- Web search
- Dialogue systems
  
- Automatic speech recognition
- Text-to-speech
  
- Syntactic parsing, semantic parsing, semantic role labeling, word sense induction, coreference resolution, summarization, sentiment analysis...

# Machine Translation

CINÉMA • MÉDIAS Partage 

## Disney décide de changer le nom du légendaire studio de cinéma Fox


Le nouveau propriétaire va faire disparaître la mention « Fox » à la 20th Century pour que le nom du studio ne soit pas associé à l'image ultra-conservatrice de la chaîne de télévision Fox News.

Le Monde avec AFP • Publié le 18 janvier 2020 à 06h46

 Lecture 1 min.

Révolution dans le monde d'Hollywood. Le célèbre studio de cinéma 20th Century Fox va voir une partie de son nom modifiée et devenir 20th Century Studios, décision prise par son nouveau propriétaire, Disney.


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CINEMA • MEDIA Sharing 

## Disney decides to change the name of the legendary Fox film studio

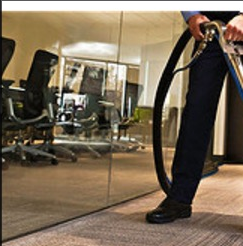
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According to several American media, Disney has decided to change the name of the studio so that it is no longer associated with the big chain Fox but also and especially with Fox News, the news channel.



# Search, Questions, and Reasoning

Web Images Groups News Froogle L

⚡ Default (GPT-3.5)

NI How many US states capitals' are also their largest cities?

As of my last update in September 2021, there were eight U.S. states where the capital city is also the largest city in the state. These states are:

1. Atlanta, Georgia
2. Boston, Massachusetts
3. Denver, Colorado
4. Honolulu, Hawaii
5. Indianapolis, Indiana
6. Oklahoma City, Oklahoma
7. Phoenix, Arizona
8. Salt Lake City, Utah

Please note that this information might have changed since then due to population shifts and other factors. For the most up-to-date information, it's best to consult a recent source or check official government websites.

NI How many US states capitals' are also their largest cities?

As of my knowledge cutoff in September 2021, there are 17 U.S. state capitals that are also the largest city in their respective states. These are:

1. Phoenix, Arizona
2. Little Rock, Arkansas
3. Denver, Colorado
4. Honolulu, Hawaii
5. Boise, Idaho
6. Indianapolis, Indiana
7. Des Moines, Iowa
8. Baton Rouge, Louisiana
9. Boston, Massachusetts
10. Jackson, Mississippi
11. Lincoln, Nebraska
12. Carson City, Nevada
13. Oklahoma City, Oklahoma
14. Providence, Rhode Island
15. Nashville, Tennessee
16. Salt Lake City, Utah
17. Charleston, West Virginia

Please note that this information might have changed after my last update in September 2021, so it's always a good idea to check the most recent data.

Regenerate

Send a message

# Jeopardy!



# Question Answering: Watson

"a camel is a horse designed by"

Wiktionary  
[ˈwɪkʃənri] n.,  
a wiki-based Open  
Content dictionary

Log in / create account

Entry Discussion Read Edit History Search

a camel is a horse designed by a committee

Contents [hide]

- 1 English
  - 1.1 Alternative forms
  - 1.2 Proverb

## The Phrase Finder

Discussion Forum

Google™ Custom Search Search

### A camel is a horse designed by committee

Posted by Ruben P. Mendez on April 16, 2004

Does anyone know the origin of this maxim? I heard it way back at the United Nations, which is chockfull of committees. It may have originated there, but I'd like an authoritative explanation. Thanks

- [Re: A camel is a horse designed by committee](#) SR 16/April/04
  - [Re: A camel is a horse designed by committee](#) Henry 18/April/04



# Question Answering: Watson

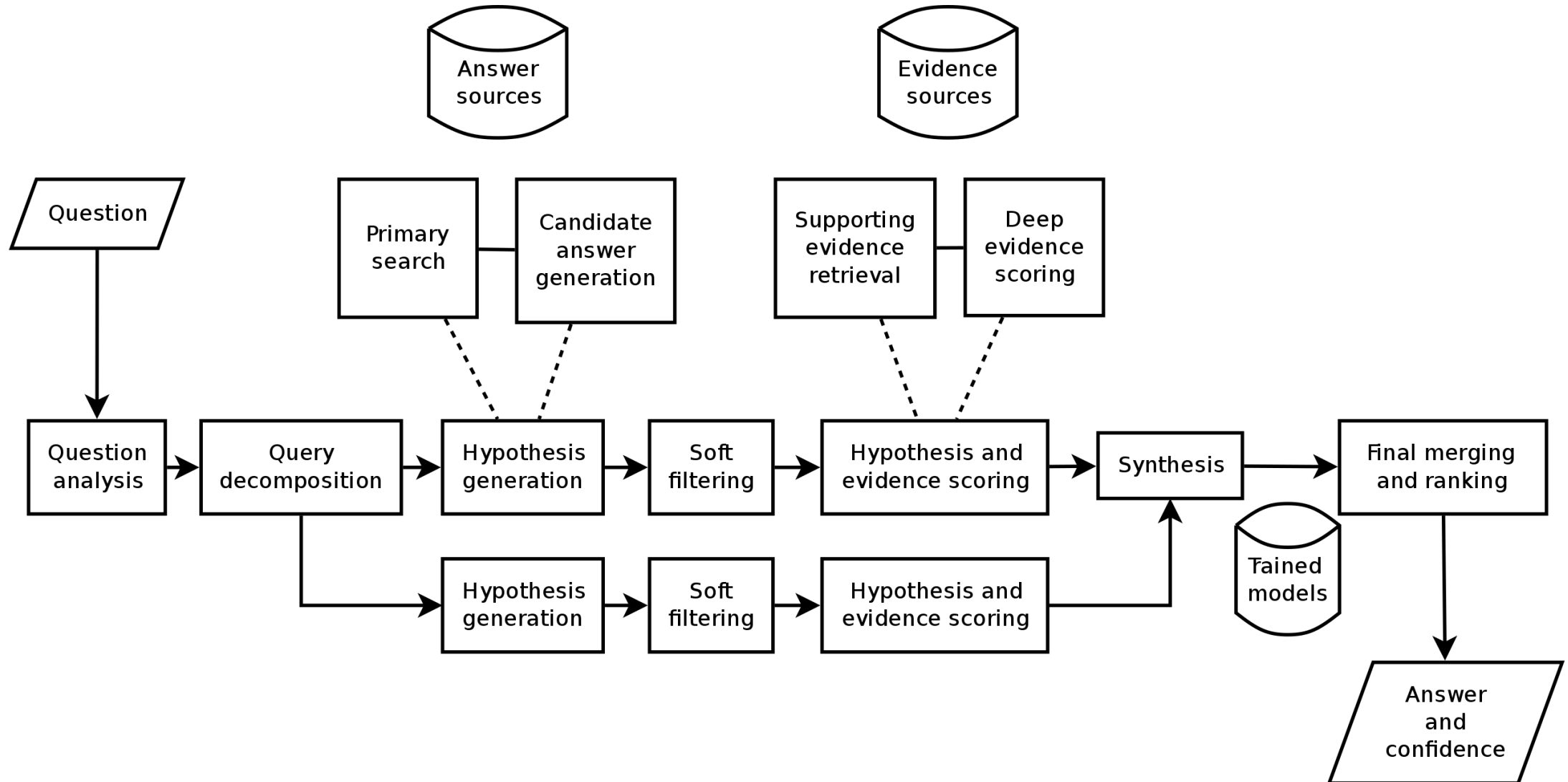


Jeopardy!  
World Champion

US Cities: Its largest airport is named for a World War II hero; its second largest, for a World War II battle.



# Watson



# Language Comprehension?

---

Opera refers to a dramatic art form, originating in Europe, in which the emotional content is conveyed to the audience as much through music, both vocal and instrumental, as it is through the lyrics. By contrast, in musical theater an actor's dramatic performance is primary, and the music plays a lesser role. The drama in opera is presented using the primary elements of theater such as scenery, costumes, and acting. However, the words of the opera, or libretto, are sung rather than spoken. The singers are accompanied by a musical ensemble ranging from a small instrumental ensemble to a full symphonic orchestra.

**1. It is pointed out in the reading that opera ----.**

- A) has developed under the influence of musical theater
- B) is a drama sung with the accompaniment of an orchestra
- C) is not a high-budget production
- D) is often performed in Europe
- E) is the most complex of all the performing arts

# Conversations with Devices?



# ELIZA

ELIZA: You're not really talking about me -- are you ?  
YOU: What?  
ELIZA: What do you think ?  
YOU: I mean, I feel happy to be writing right now, to be typing this as we speak. Lately, I've had writer's block.  
ELIZA: You say you mean ?  
YOU: That's what I said.  
ELIZA: Can you elaborate on that ?  
YOU: I only really feel happy when I'm writing.  
ELIZA: How long have you been writing ?  
YOU: Always, but I've been writing seriously since I was eighteen.  
ELIZA: What incident are you thinking of ?  
YOU: Um.  
ELIZA: What does that suggest to you ?  
YOU: I don't think it's enough, to only be happy when you write.  
ELIZA: Does that trouble you ?  
YOU: ...Um, because you can't write all the time. And then there's the real world, and you still have to deal with real people.  
ELIZA: What are your feelings now ?  
YOU: I've been thinking a lot of that poem by Yeats: "The Man and the Echo."  
Do you know it?  
ELIZA: Do you say you've been thinking a lot of that poem by yeats: "the man and the echo for some special reason ?  
YOU: Yes

# Social AIs and Chatbots

## Session 1

- 1 [Human] Hello, Xiaoice.  
[Xiaoice] Hello, your profile pic is interesting. Like I've seen it somewhere.
  - 2 [Human] Really?  
[Xiaoice] Is it a comic character?
  - 3 [Human] How do you know that?  
[Xiaoice] Guess.
  - 4 [Human] Are you human?  
[Xiaoice] Well, you'll know it after chatting with me more.
  - 5 [Human] Aren't you an AI chatbot?  
[Xiaoice] So what? I won't be indifferent to you.
  - 6 [Human] What functions do you have?  
[Xiaoice] I have over 200 skills. Just name one you need.
- .....

## Session 6

- 1 [Human] Have you watched Inuyasha? (Note: A Japanese comic)  
[Xiaoice] Every time Kagome comes back to the modern world, a math test is waiting for her
  - 2 [Human] Bravo, well, do you know how Naraku died?  
[Xiaoice] Naraku is not dead and Shikon Jewel does not disappear.
  - 3 [Human] So amazing!  
[Xiaoice] Why amazed?
  - 4 [Human] Awesome! Surprised that you also like comic
- .....



Xiaoice, developed  
by Microsoft

# Large Language Models

+ New chat

May

- Detecting Human or LM
- "Converting JSONs to JSONL"
- "Converting Lists to CSV"
- Correcting "typeof" Error
- Generating Binary Random Lis
- "Overriding Method in Subclas
- Debugging Flask Cookie Issue
- Flask-SocketIO Cookie Debug
- Track Users with Cookies
- Legend: Square Next Words
- Complementary Event Subset

NI nicholas\_tomlin@berke... \*\*\*

⚡ GPT-3.5    ⚙️ GPT-4

ChatGPT **PLUS**

**Give me ideas**  
for what to do with my kids' art

**Create a workout plan**  
for resistance training

**Show me a code snippet**  
of a website's sticky header

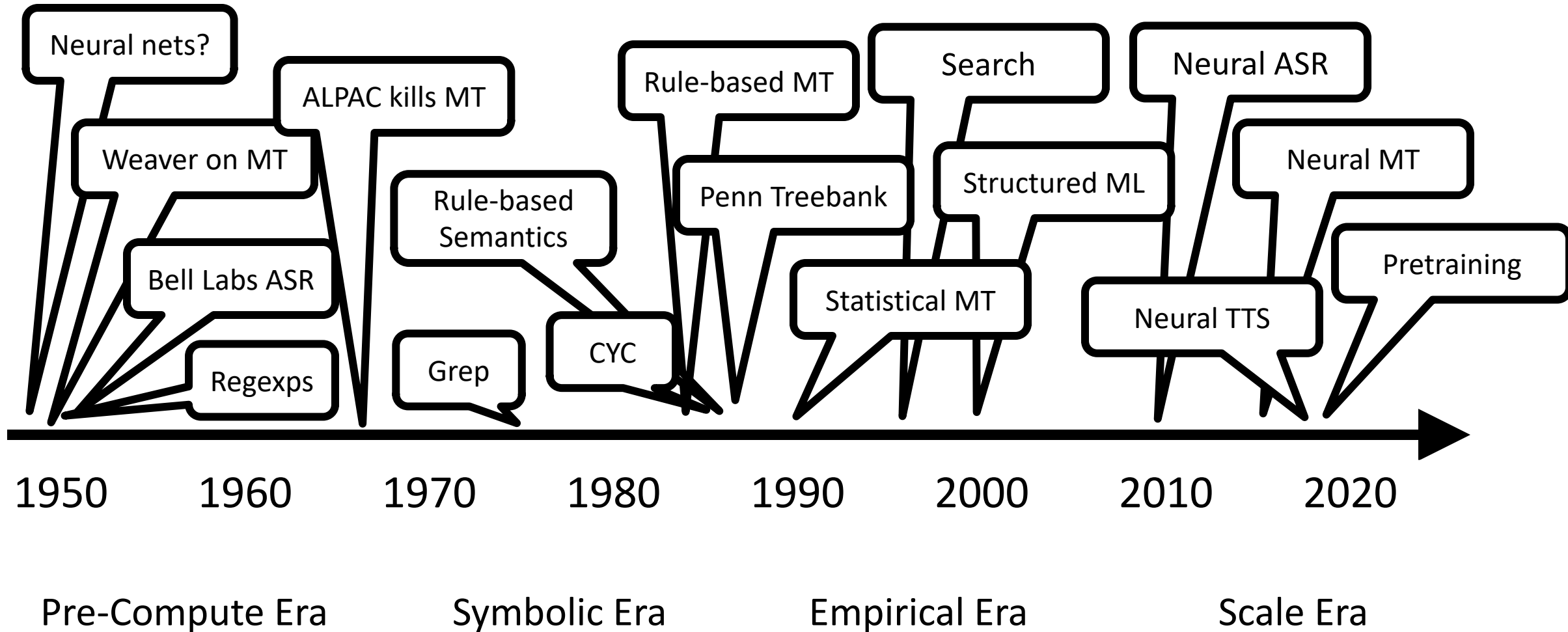
**Recommend a dish**  
to bring to a potluck

Send a message ➤

ChatGPT may produce inaccurate information about people, places, or facts. [ChatGPT July 20 Version](#)

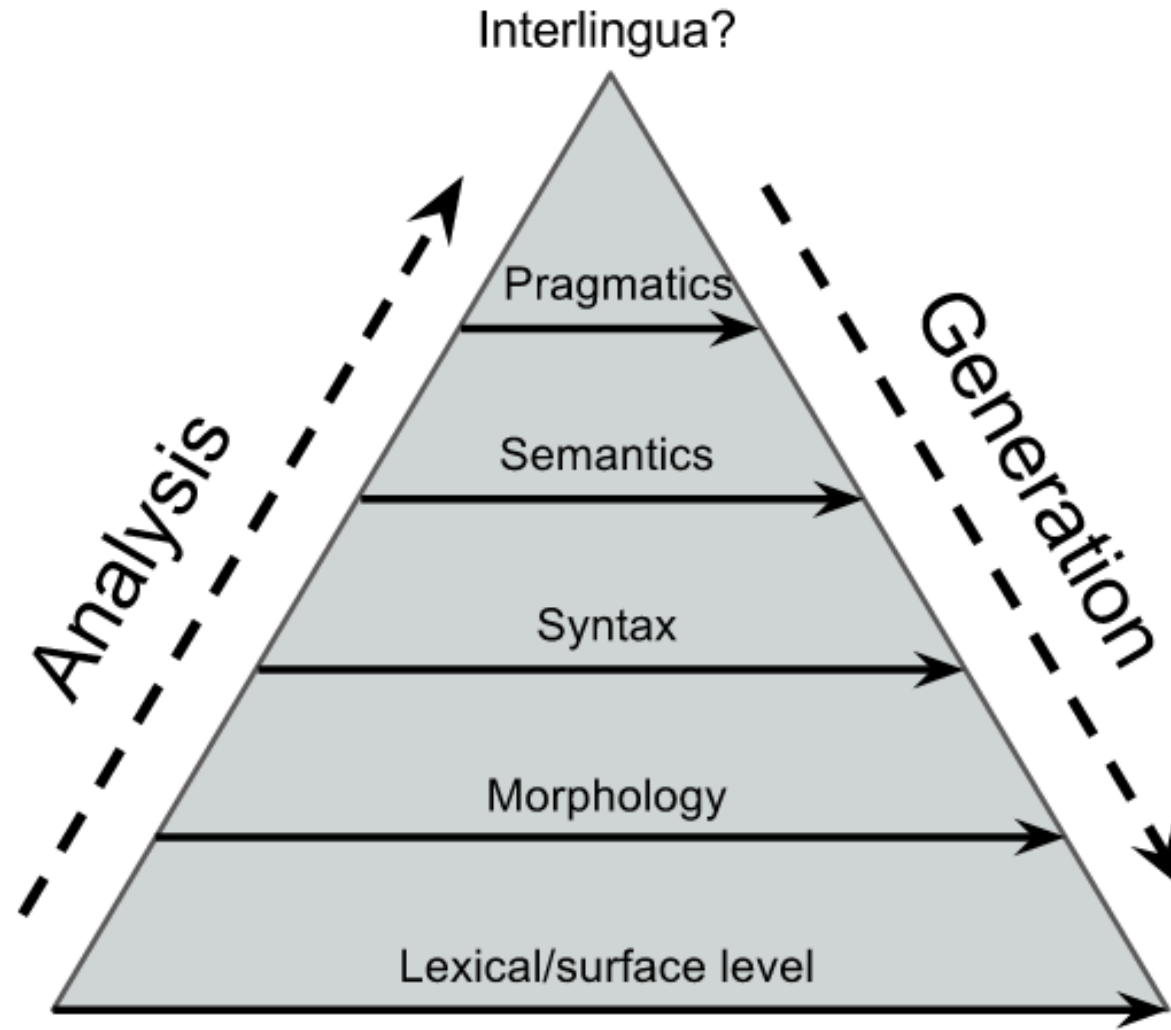


# NLP History





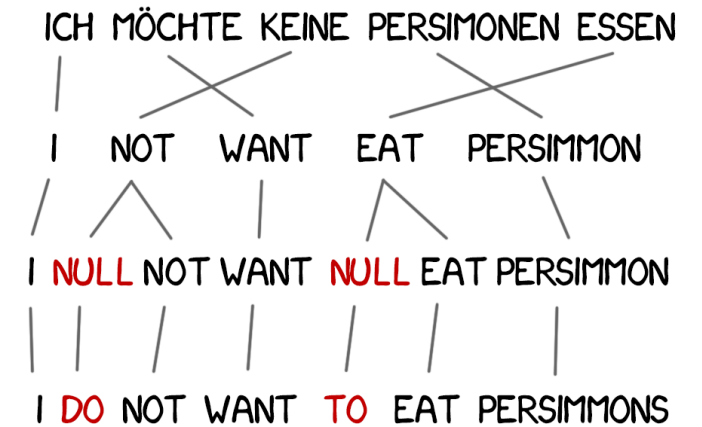
# Machine Translation



# Approach #1: Lexical Translation

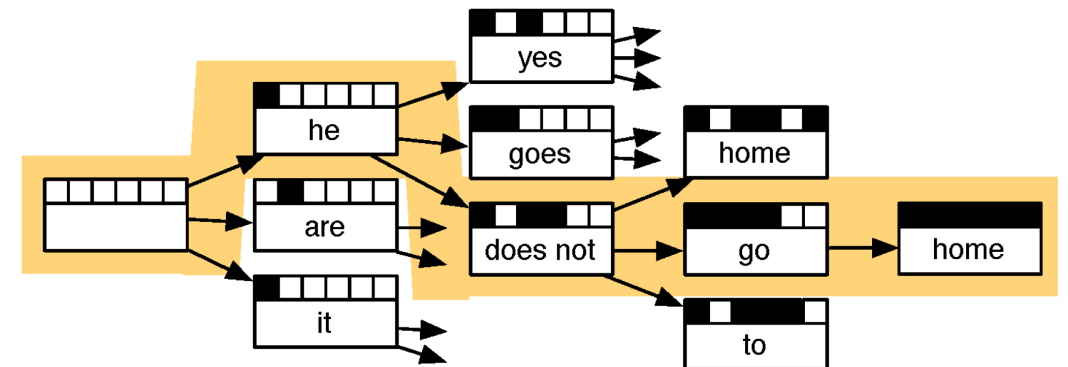
## Step #1: Learn Alignments

- Learn mappings between words in source and target language
- IBM Model 1, 2, 3, 4, 5...
- Can also learn a phrase table of mappings



## Step #2: Generate Language

- Search problem over the space of natural language strings
- Can use approaches like A\* to guide search



# Issue: Ambiguities



## Stevie Wonder announces he'll be having kidney surgery during London concert

By Amir Vera, CNN

Updated 11:16 PM EDT, Sat July 06, 2019



(CNN) — [Stevie Wonder](#) will be taking a break from music.

The legendary singer-songwriter announced during [a concert in London](#) Saturday that he will be undergoing kidney surgery.

# Issue: Ambiguities

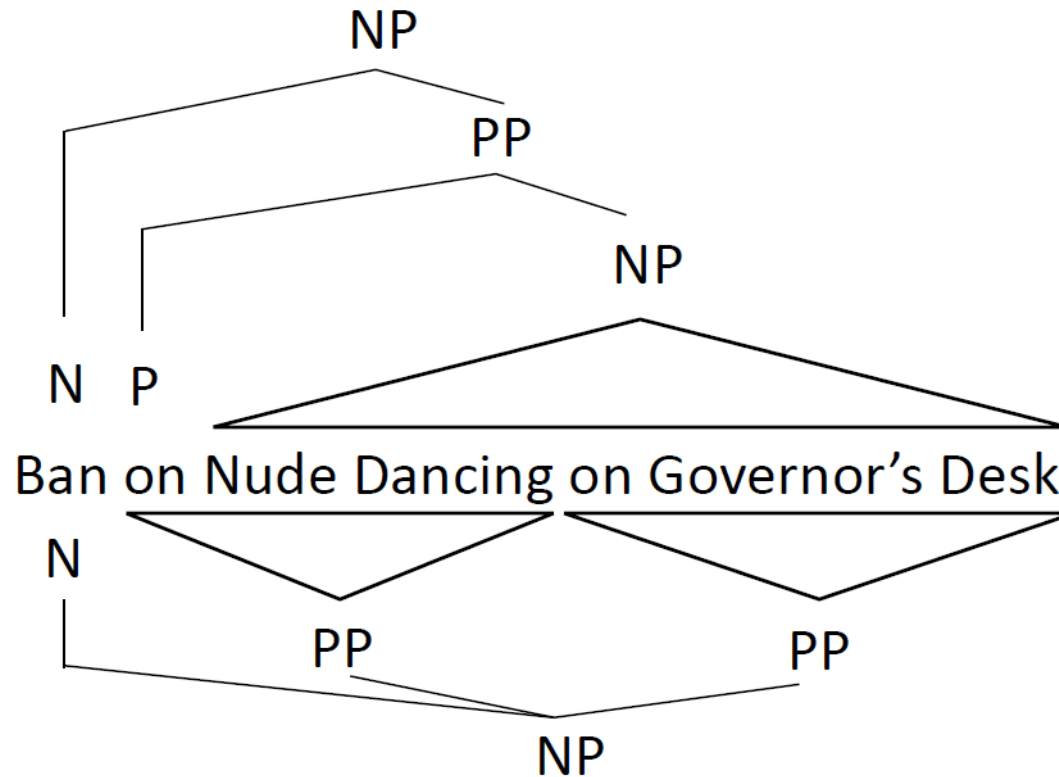
---

- Headlines:
  - Enraged Cow Injures Farmer with Ax
  - Teacher Strikes Idle Kids
  - Hospitals Are Sued by 7 Foot Doctors
  - Ban on Nude Dancing on Governor's Desk
  - Iraqi Head Seeks Arms
  - Stolen Painting Found by Tree
  - Kids Make Nutritious Snacks
  - Local HS Dropouts Cut in Half
- Can we come up with a representation to disambiguate the two readings of each headline?

# We Need Representation: Linguistic Structure

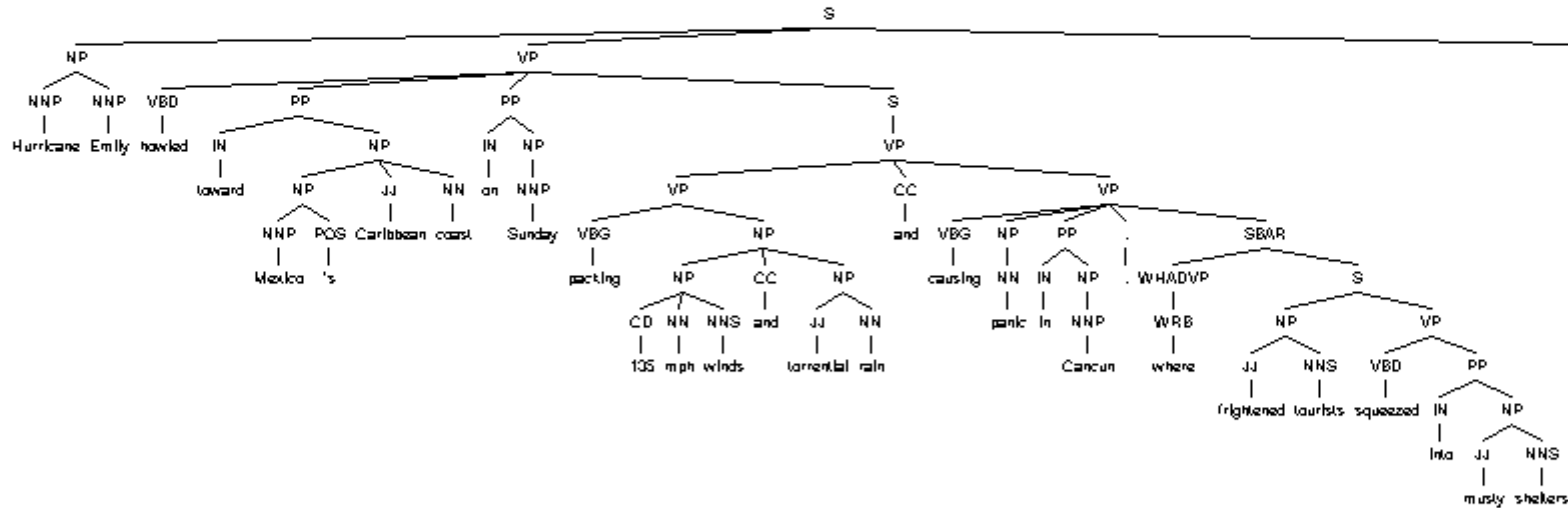
N      N    V   N  
N      V   ADJ N  
Teacher Strikes Idle Kids

body/      body/  
position    weapon  
Iraqi Head Seeks Arms



- ▶ Syntactic and semantic ambiguities: parsing needed to resolve these, but need context to figure out which parse is correct

# Example: Syntactic Analysis



Hurricane Emily howled toward Mexico 's Caribbean coast on Sunday packing 135 mph winds and torrential rain and causing panic in Cancun, where frightened tourists squeezed into musty shelters .

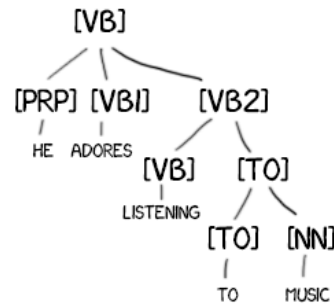
# Neural Parser Demo

---

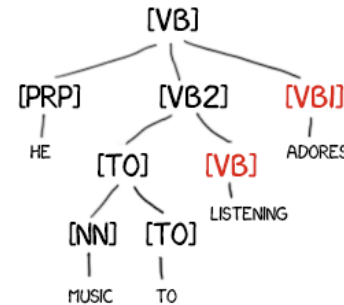
<https://parser.kitaev.io>

# Approach #2: Predict Intermediate Structures

HE ADORES LISTENING TO MUSIC

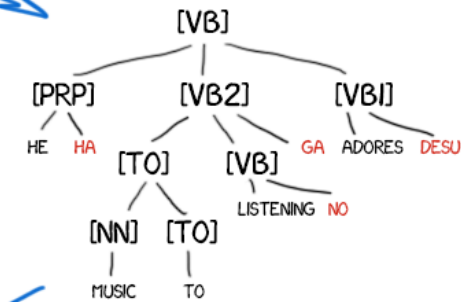


INPUT



SYNTAX TREE REORDERING

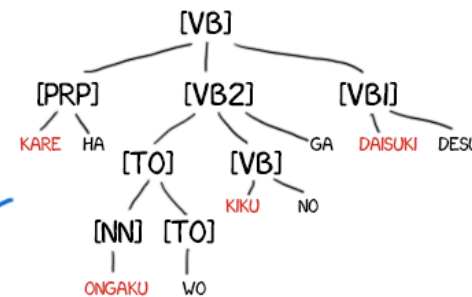
SYNTAX-BASED  
STATISTICAL  
MACHINE  
TRANSLATION



WORD INSERTING

KARE HA ONGAKU WO KIKU NO GA DAISUKI DESU

RESULT



TRANSLATION



# Approach #3: Language Modeling



the station signs are in deep in english	-14732
the stations signs are in deep in english	-14735
the station signs are in deep into english	-14739
the station 's signs are in deep in english	-14740
the station signs are in deep in the english	-14741
the station signs are indeed in english	-14757
the station 's signs are indeed in english	-14760
the station signs are indians in english	-14790

# Noisy Channel Model: ASR

- We want to predict a sentence given acoustics:

$$w^* = \arg \max_w P(w|a)$$

- The noisy-channel approach:

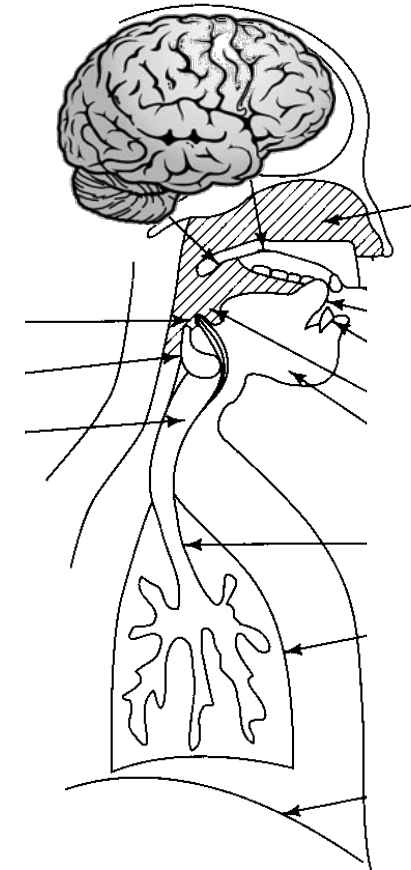
$$w^* = \arg \max_w P(w|a)$$

$$= \arg \max_w P(a|w)P(w)/P(a)$$

$$\propto \arg \max_w P(a|w)P(w)$$

Acoustic model: score fit between  
sounds and words

Language model: score  
plausibility of word sequences



# Noisy Channel Model: Translation

---

“Also knowing nothing official about, but having guessed and inferred considerable about, the powerful new mechanized methods in cryptography—methods which I believe succeed even when one does not know what language has been coded—one naturally wonders if the problem of translation could conceivably be treated as a problem in cryptography. When I look at an article in Russian, I say: ‘This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.’ ”

Warren Weaver (1947)

# Machine Translation

CINÉMA • MÉDIAS Partage 

## Disney décide de changer le nom du légendaire studio de cinéma Fox


Le nouveau propriétaire va faire disparaître la mention « Fox » à la 20th Century pour que le nom du studio ne soit pas associé à l'image ultra-conservatrice de la chaîne de télévision Fox News.

Le Monde avec AFP • Publié le 18 janvier 2020 à 06h46

 Lecture 1 min.

Révolution dans le monde d'Hollywood. Le célèbre studio de cinéma 20th Century Fox va voir une partie de son nom modifiée et devenir 20th Century Studios, décision prise par son nouveau propriétaire, Disney.


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CINEMA • MEDIA Sharing 

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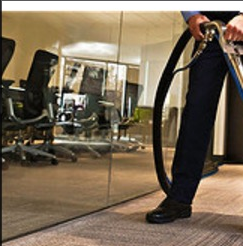
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According to several American media, Disney has decided to change the name of the studio so that it is no longer associated with the big chain Fox but also and especially with Fox News, the news channel.



# Empirical N-Grams

- Use statistics from data (examples here from Google N-Grams)

Training Counts	198015222 the first
	194623024 the same
	168504105 the following
	158562063 the world
	...
	14112454 the door
	-----
23135851162 the *	

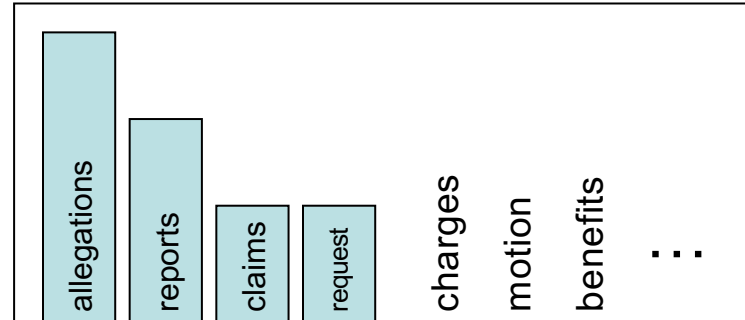
$$\hat{P}(\text{door}|\text{the}) = \frac{14112454}{23135851162} = 0.0006$$

- This is the maximum likelihood estimate, which needs modification
- N-gram models use such counts to compute probabilities on demand

# Smoothing

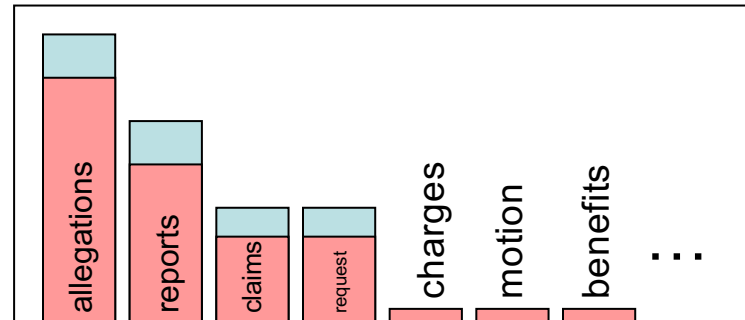
- We often want to make estimates from sparse statistics:

$P(w \mid \text{denied the})$   
3 allegations  
2 reports  
1 claims  
1 request  
7 total



- Smoothing flattens spiky distributions so they generalize better:

$P(w \mid \text{denied the})$   
2.5 allegations  
1.5 reports  
0.5 claims  
0.5 request  
2 other  
7 total



- Very important all over NLP, but easy to do badly

# Back-off

*Please close the first door on the left.*

4-Gram

```
3380 please close the door
1601 please close the window
1164 please close the new
1159 please close the gate
...
0 please close the first
-----
13951 please close the *
```

0.0

3-Gram

```
197302 close the window
191125 close the door
152500 close the gap
116451 close the thread
...
8662 close the first
-----
3785230 close the *
```

0.002

2-Gram

```
198015222 the first
194623024 the same
168504105 the following
158562063 the world
...
...
-----
23135851162 the *
```

0.009

Specific but Sparse



Dense but General

$$\lambda \hat{P}(w|w_{-1}, w_{-2}) + \lambda' \hat{P}(w|w_{-1}) + \lambda'' \hat{P}(w)$$

# Discounting

- Observation: N-grams occur more in training data than they will later

Empirical Bigram Counts (Church and Gale, 91)

Count in 22M Words	Future $c^*$ (Next 22M)
1	
2	
3	
4	
5	

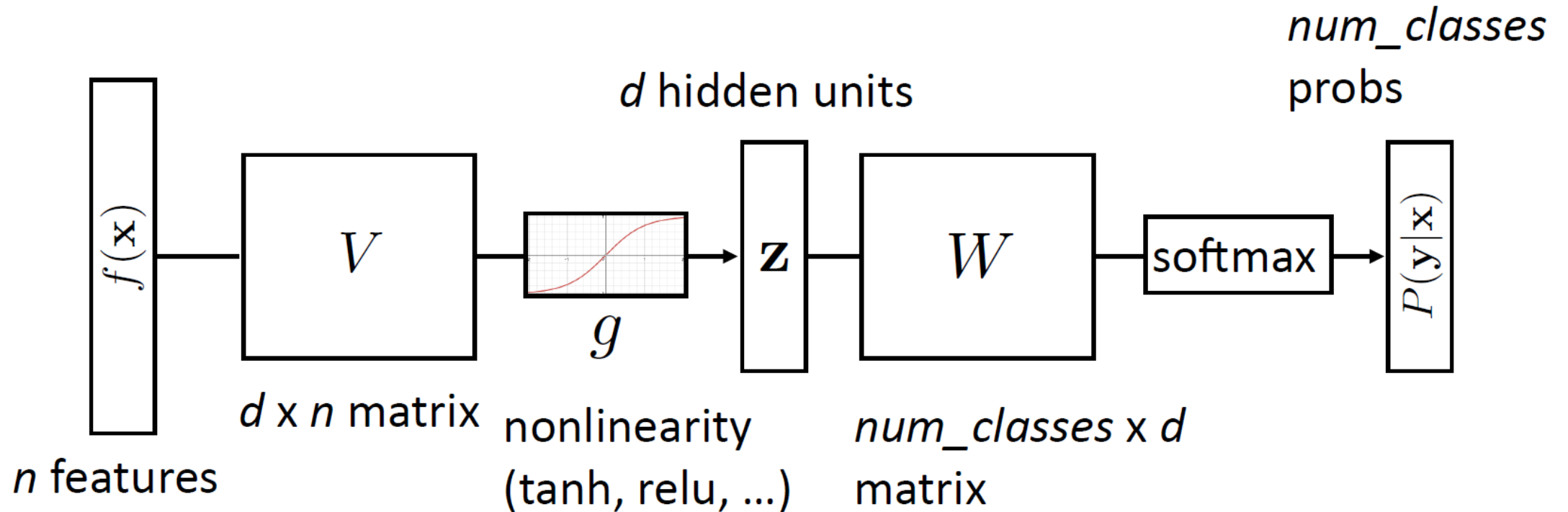
- Absolute discounting: reduce counts by a small constant, redistribute “shaved” mass to a model of new events

$$P_{\text{ad}}(w|w') = \frac{c(w', w) - d}{c(w')} + \alpha(w')\hat{P}(w)$$

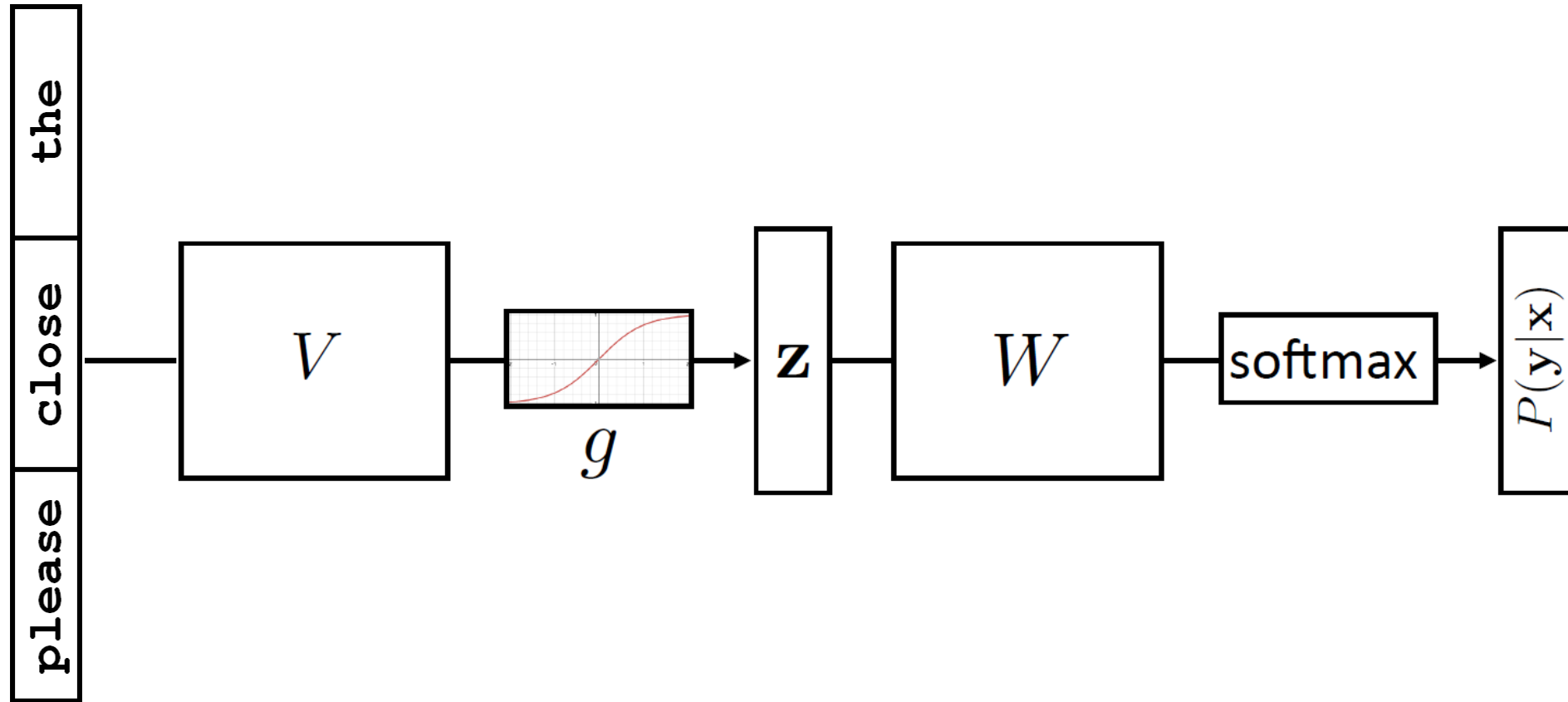


# Reminder: Feedforward Neural Nets

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W g(V f(\mathbf{x})))$$

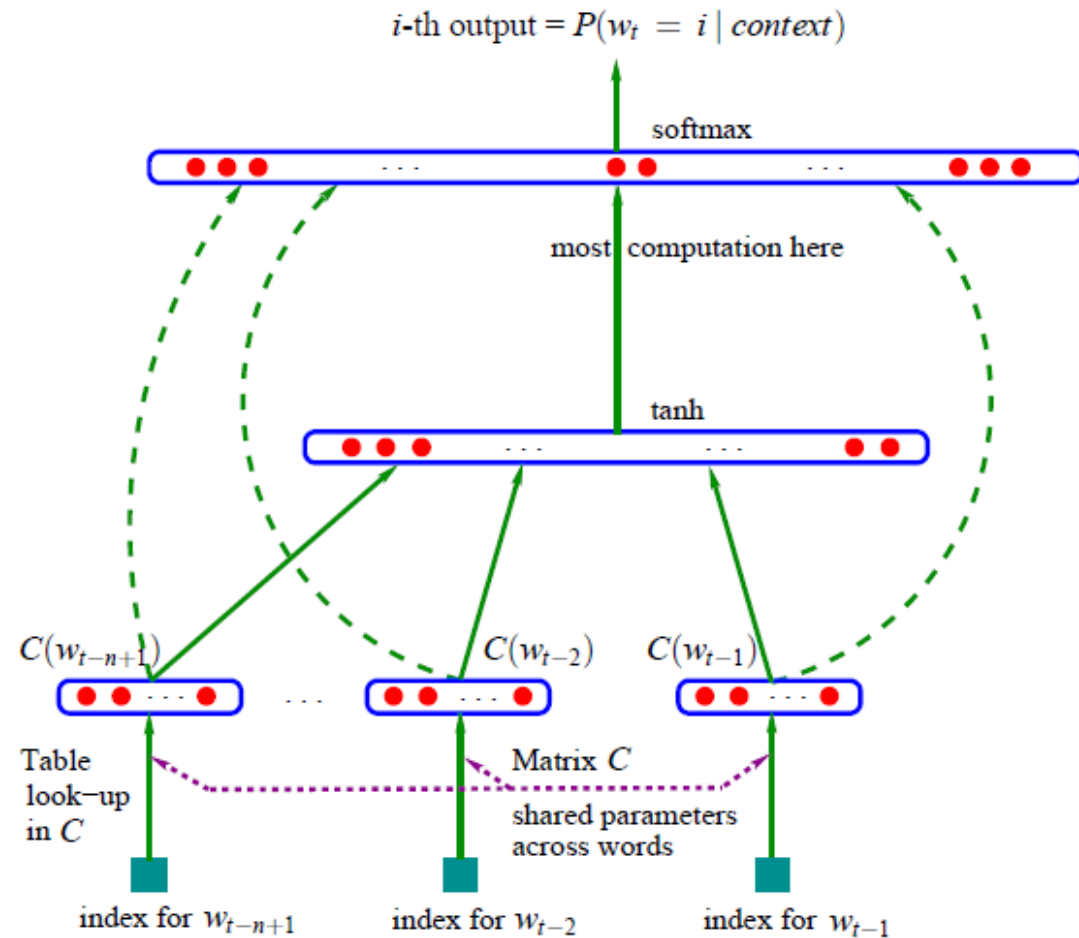


# A Feedforward N-Gram Model?



# Early Neural Language Models

- Fixed-order feed-forward neural LMs
  - Eg Bengio et al 03
  - Allow generalization across contexts in more nuanced ways than prefixing
  - Allow different kinds of pooling in different contexts
  - Much more expensive to train



---

# Recurrent NNs

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# Recall: Language Modeling

---

- Goal: learn a probability distribution over possible next words

$$P(w_k \mid w_{k-1}, \dots, w_0)$$

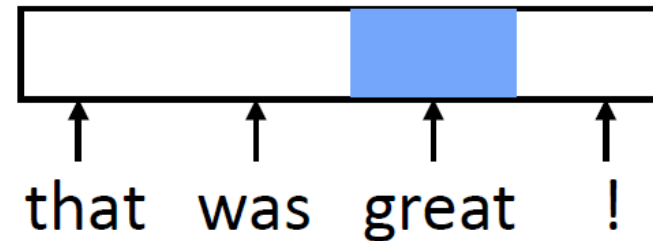
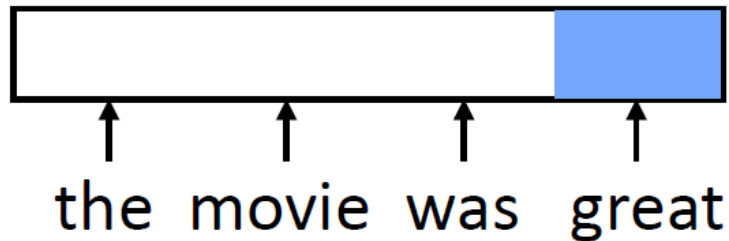
- Markovian assumption (used in n-gram models):

$$P(w_k \mid w_{k-1}, \dots, w_0) = P(w_k \mid w_{k-1}, \dots, w_{k-n+1})$$

- E.g., in a bigram model:  $P(w_k \mid w_{k-1}, \dots, w_0) = P(w_k \mid w_{k-1}, w_{k-2})$

# RNNs

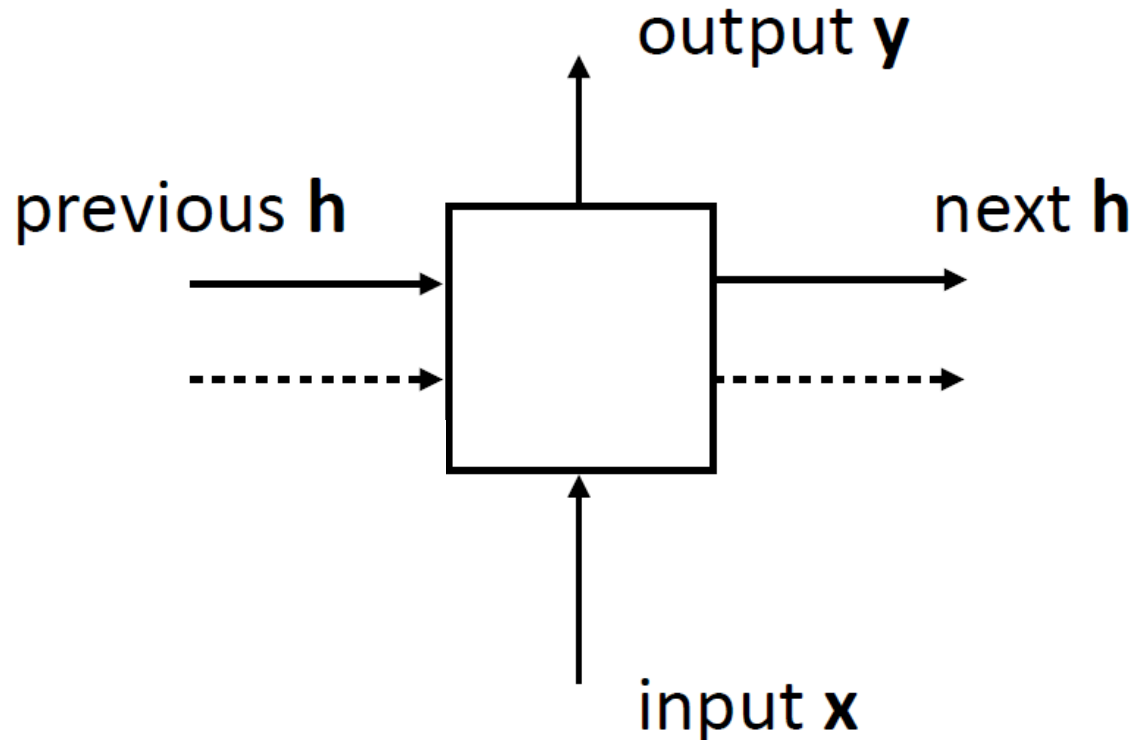
- ▶ Feedforward NNs can't handle variable length input: each position in the feature vector has fixed semantics



- ▶ These don't look related (*great* is in two different orthogonal subspaces)
- ▶ Instead, we need to:
  - 1) Process each word in a uniform way
  - 2) ...while still exploiting the context that that token occurs in

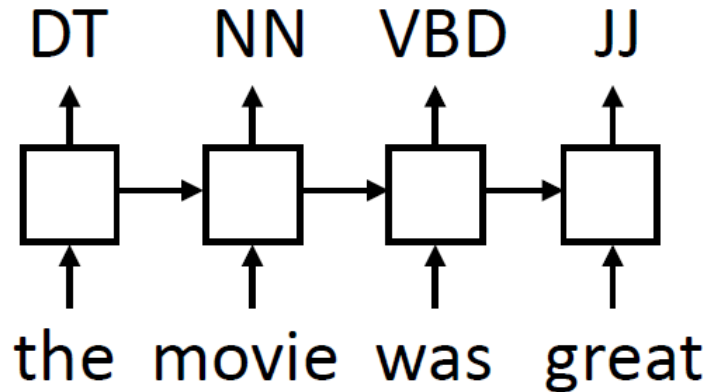
# General RNN Approach

- ▶ Cell that takes some input  $\mathbf{x}$ , has some hidden state  $\mathbf{h}$ , and updates that hidden state and produces output  $\mathbf{y}$  (all vector-valued)



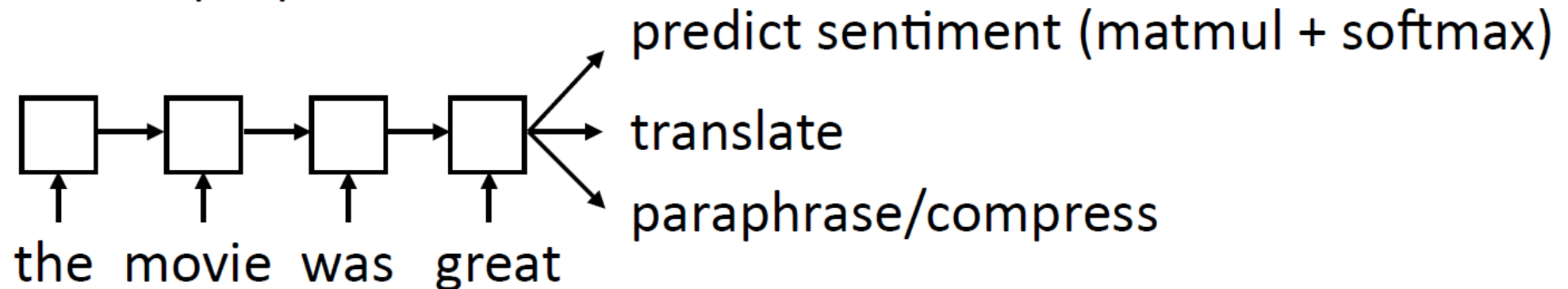
# RNN Uses

- ▶ Transducer: make some prediction for each element in a sequence



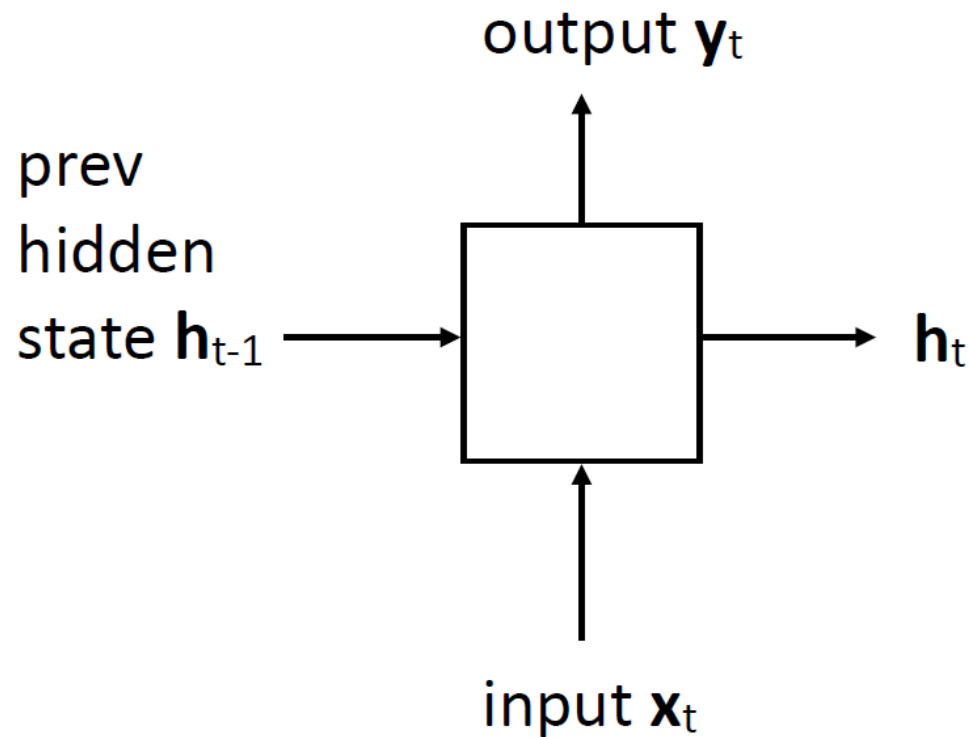
output  $\mathbf{y}$  = score for each tag, then softmax

- ▶ Acceptor/encoder: encode a sequence into a fixed-sized vector and use that for some purpose





# Basic RNNs



$$\mathbf{h}_t = \tanh(W\mathbf{x}_t + V\mathbf{h}_{t-1} + \mathbf{b}_h)$$

- ▶ Updates hidden state based on input and current hidden state

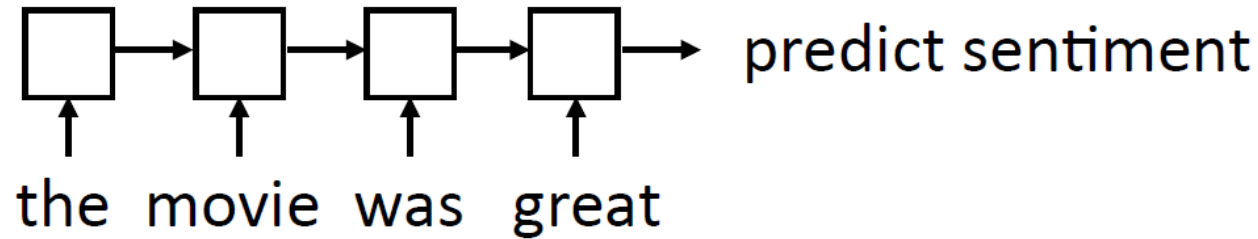
$$\mathbf{y}_t = \tanh(U\mathbf{h}_t + \mathbf{b}_y)$$

- ▶ Computes output from hidden state

- ▶ Long history! (invented in the late 1980s)

Elman (1990)

# Training RNNs

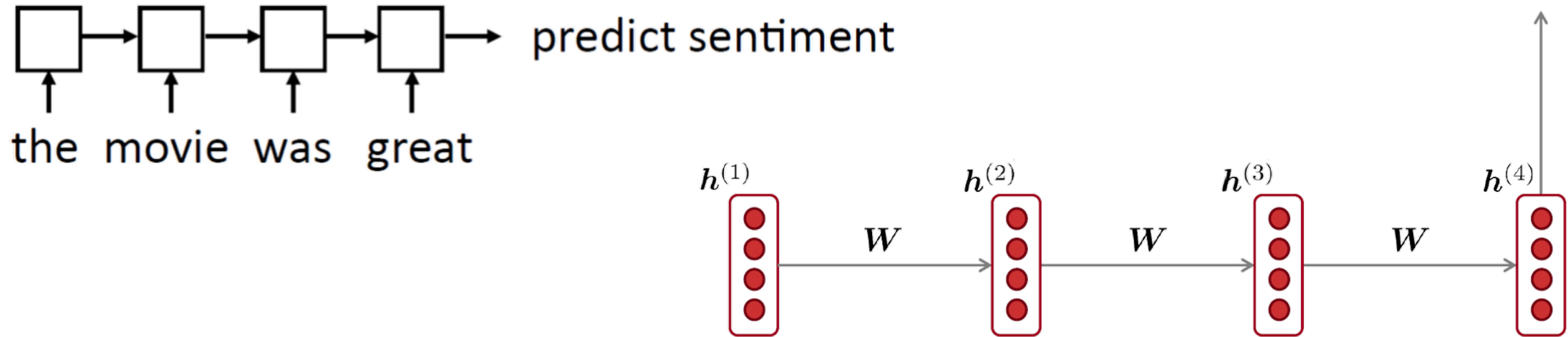


- ▶ “Backpropagation through time”: build the network as one big computation graph, some parameters are shared
- ▶ RNN potentially needs to learn how to “remember” information for a long time!

it was my **favorite** movie of 2016, though it wasn't without **problems** -> +

- ▶ “Correct” parameter update is to do a better job of remembering the sentiment of *favorite*

# Problem: Vanishing Gradients



- Contribution of earlier inputs decreases if matrices are contractive (first eigenvalue  $< 1$ ), non-linearities are squashing, etc
- Gradients can be viewed as a measure of the effect of the past on the future
- That's a problem for optimization but also means that information naturally decays quickly, so model will tend to capture local information

# Core Issue: Information Decay

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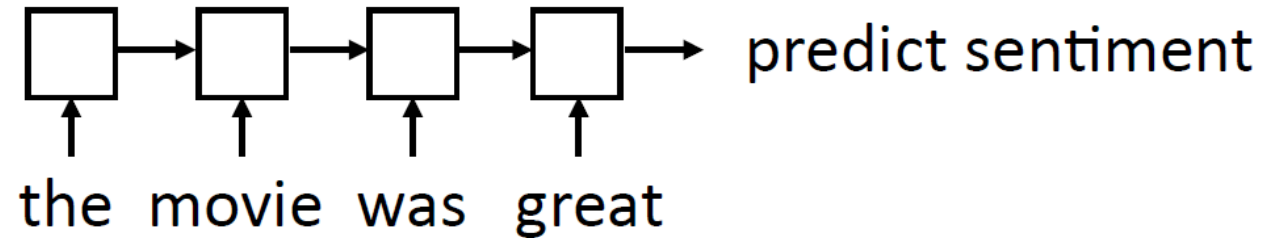
- The main problem is that *it's too difficult for the RNN to learn to preserve information over many timesteps.*

- In a vanilla RNN, the hidden state is constantly being rewritten

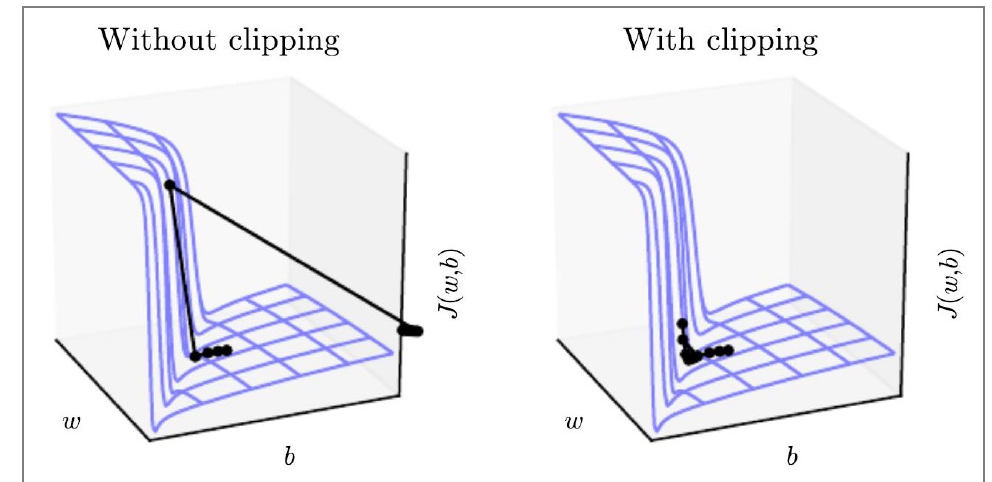
$$\mathbf{h}^{(t)} = \sigma \left( \mathbf{W}_h \mathbf{h}^{(t-1)} + \mathbf{W}_x \mathbf{x}^{(t)} + \mathbf{b} \right)$$

- How about a RNN with separate memory?

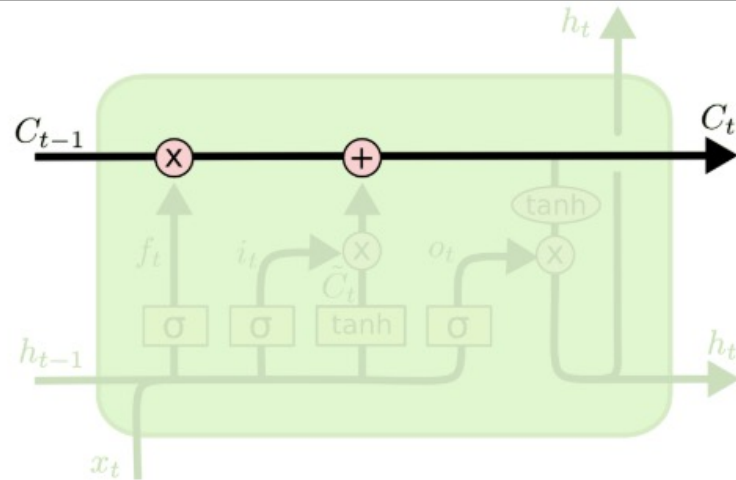
# Problem: Exploding Gradients



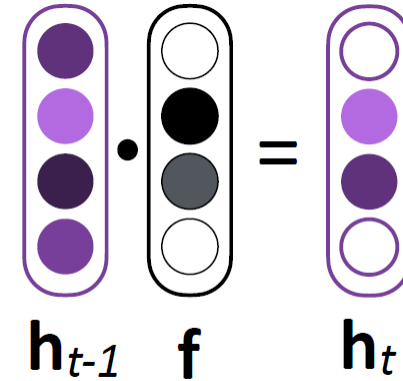
- Gradients can also be too large
  - Leads to overshooting / jumping around the parameter space
  - Common solution: gradient clipping



# Key Idea: Propagated State



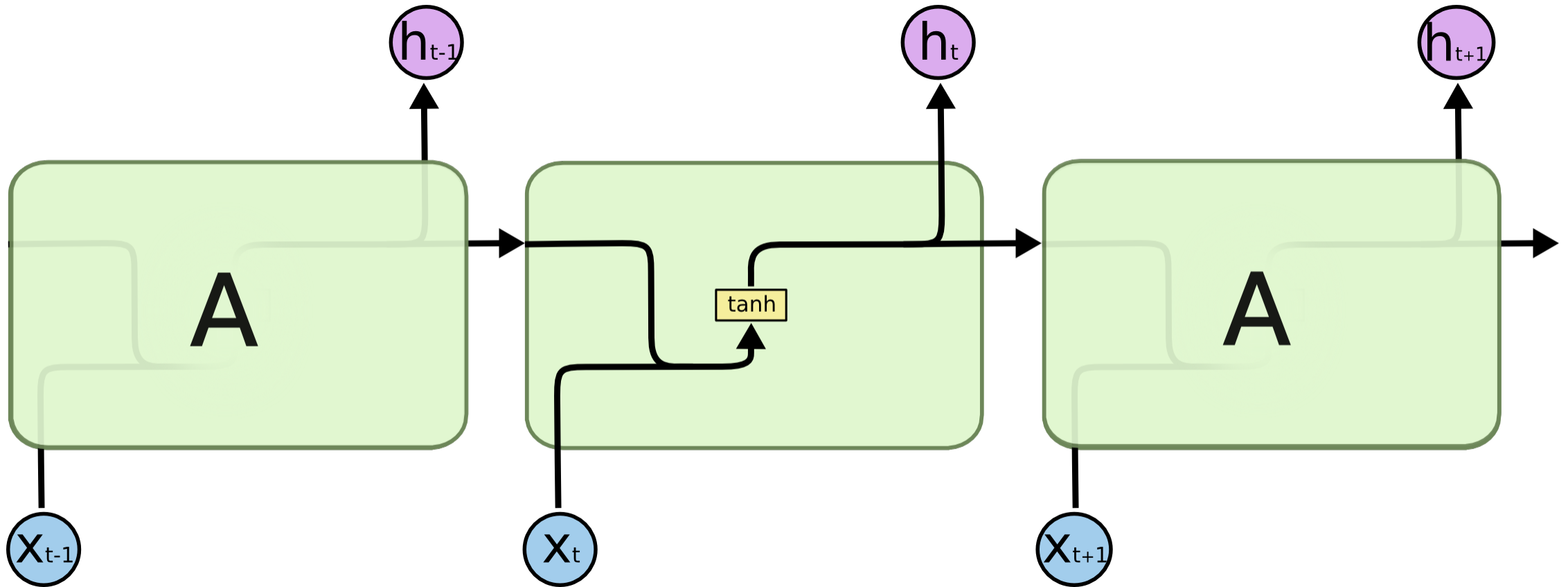
Cell State



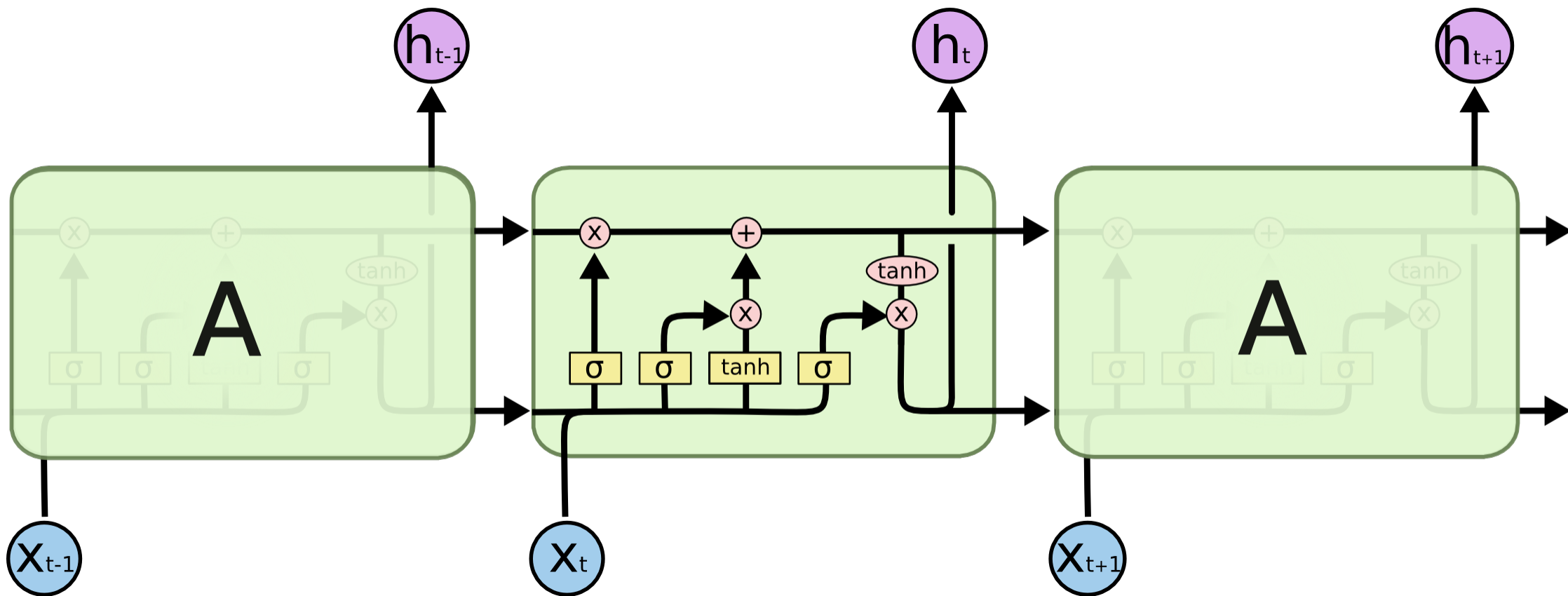
Gating

- Information decays in RNNs because it gets **multiplied** each time step
- Idea: have a channel called the *cell state* that by default just gets propagated (the “conveyer belt”)
- Gates make explicit decisions about what to add / forget from this channel

# RNNs

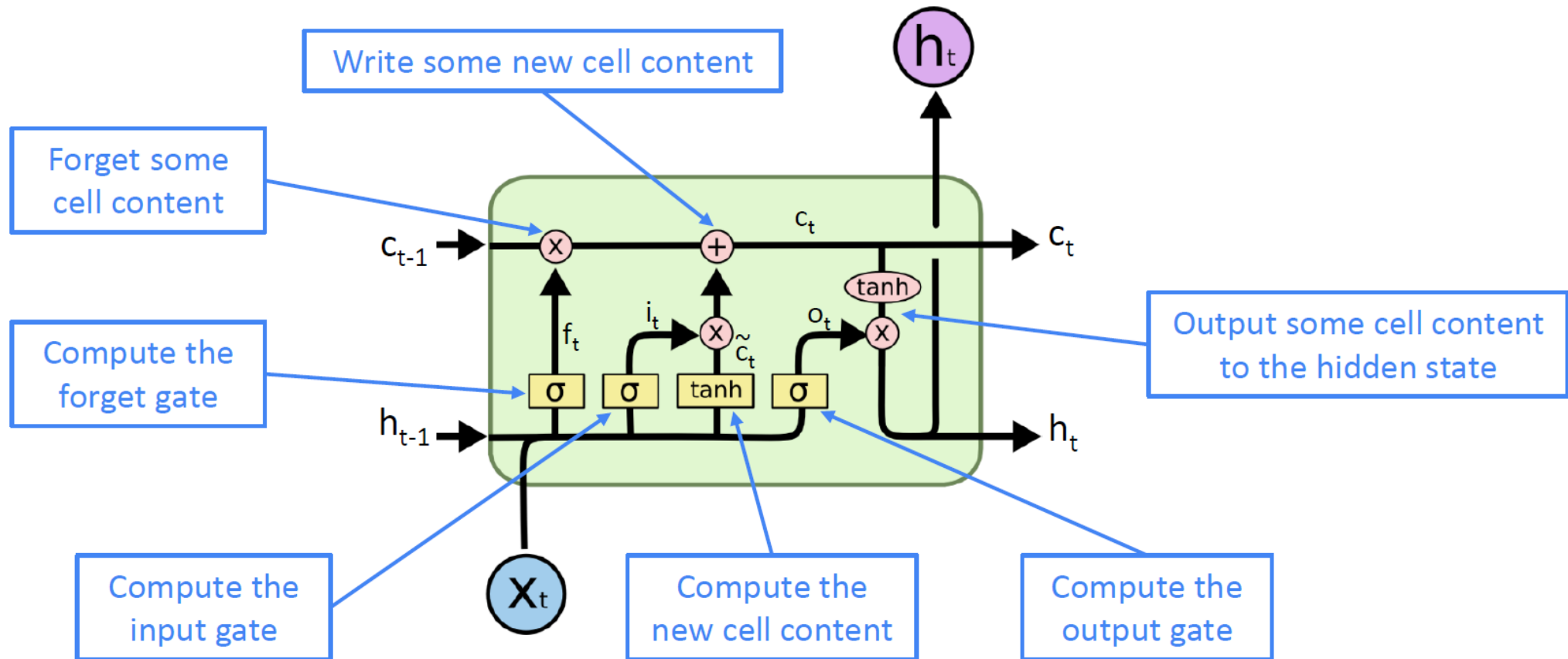


# LSTMs

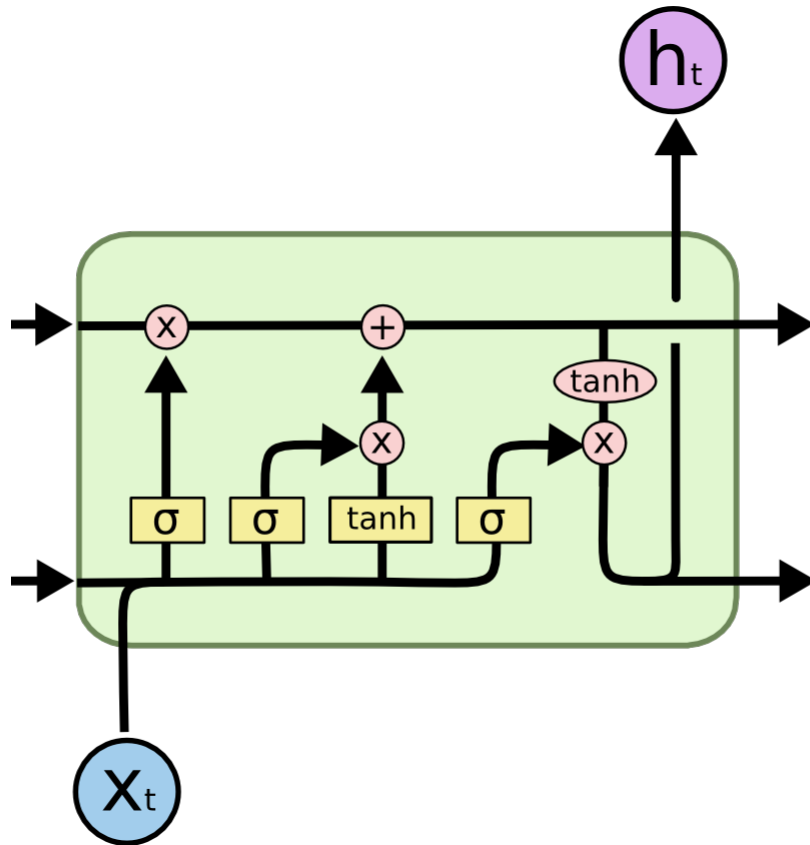




# LSTMs

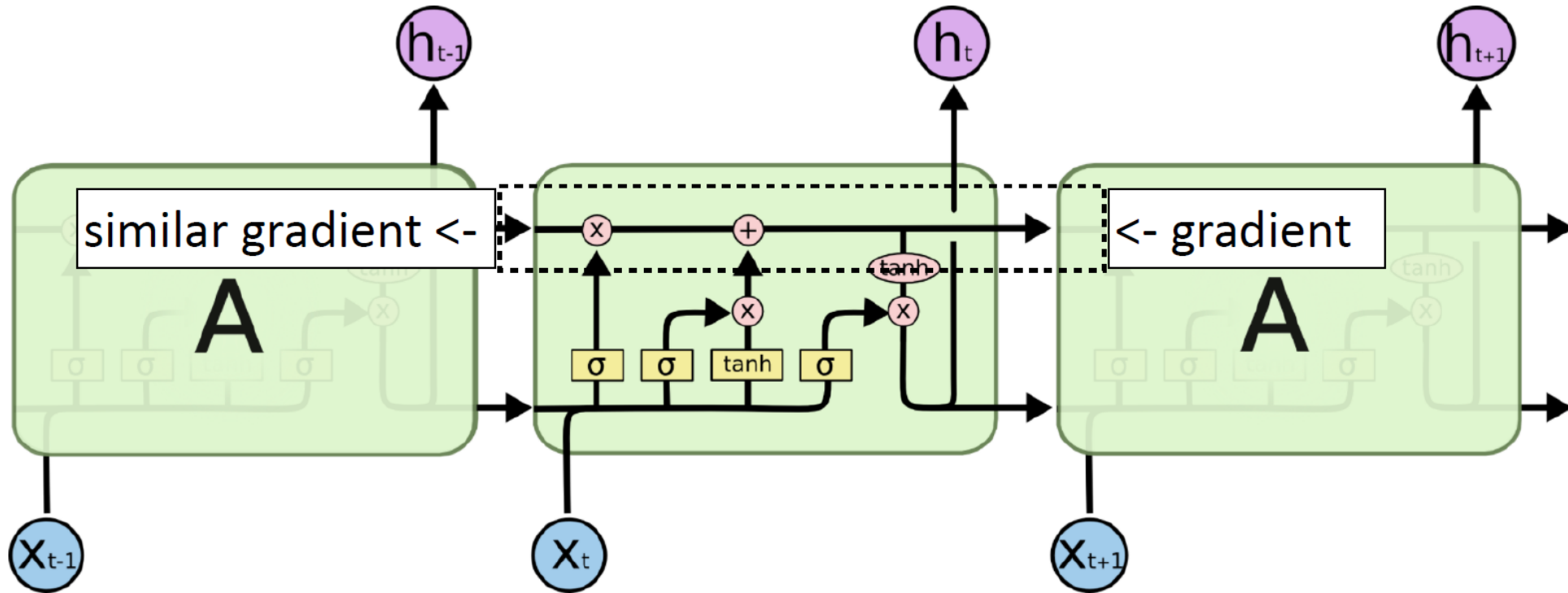


# LSTMs



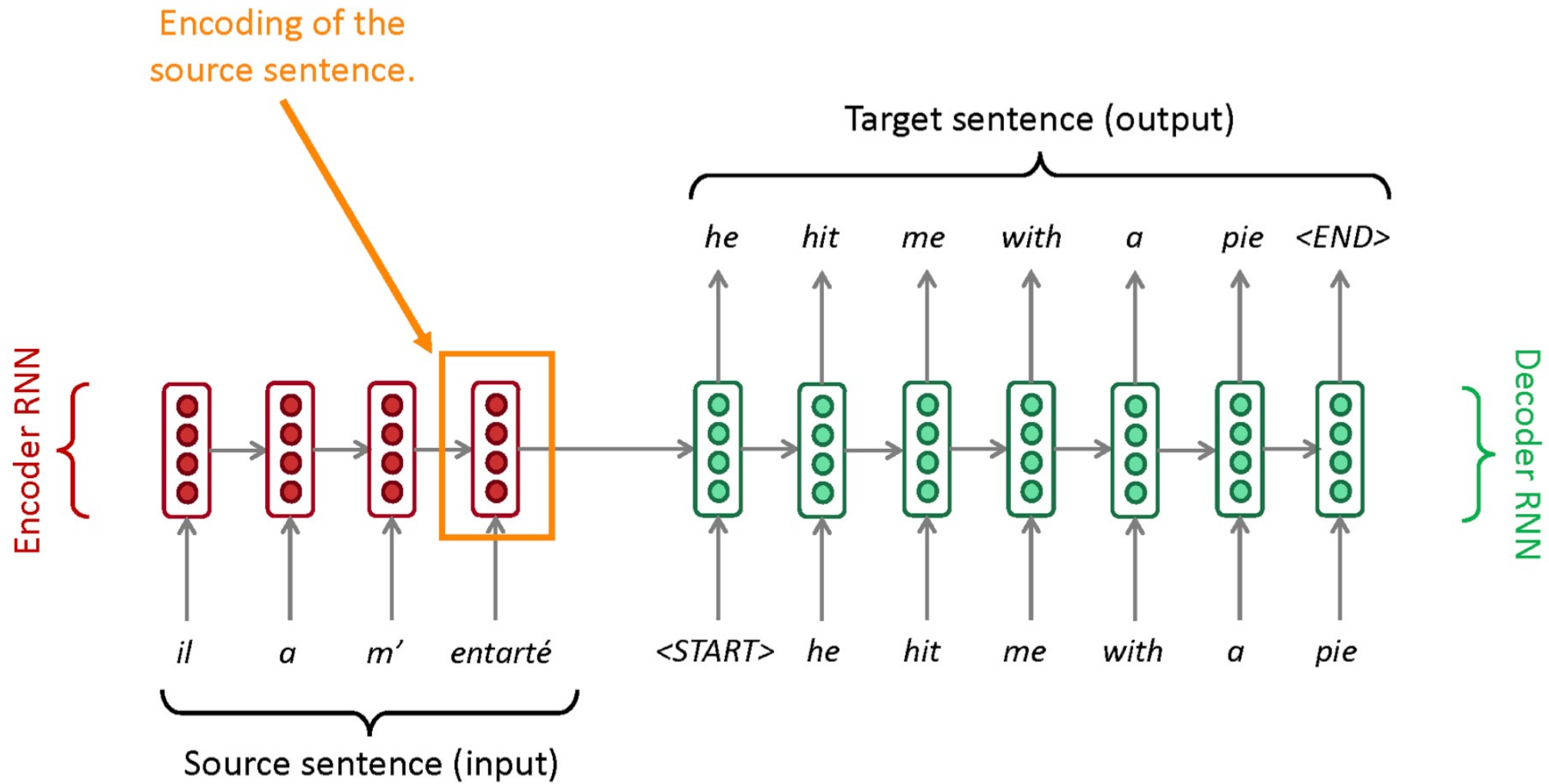
- ▶ Ignoring recurrent state entirely:
  - ▶ Lets us get feedforward layer over token
- ▶ Ignoring input:
  - ▶ Lets us discard stopwords
- ▶ Summing inputs:
  - ▶ Lets us compute a bag-of-words representation

# What about the Gradients?

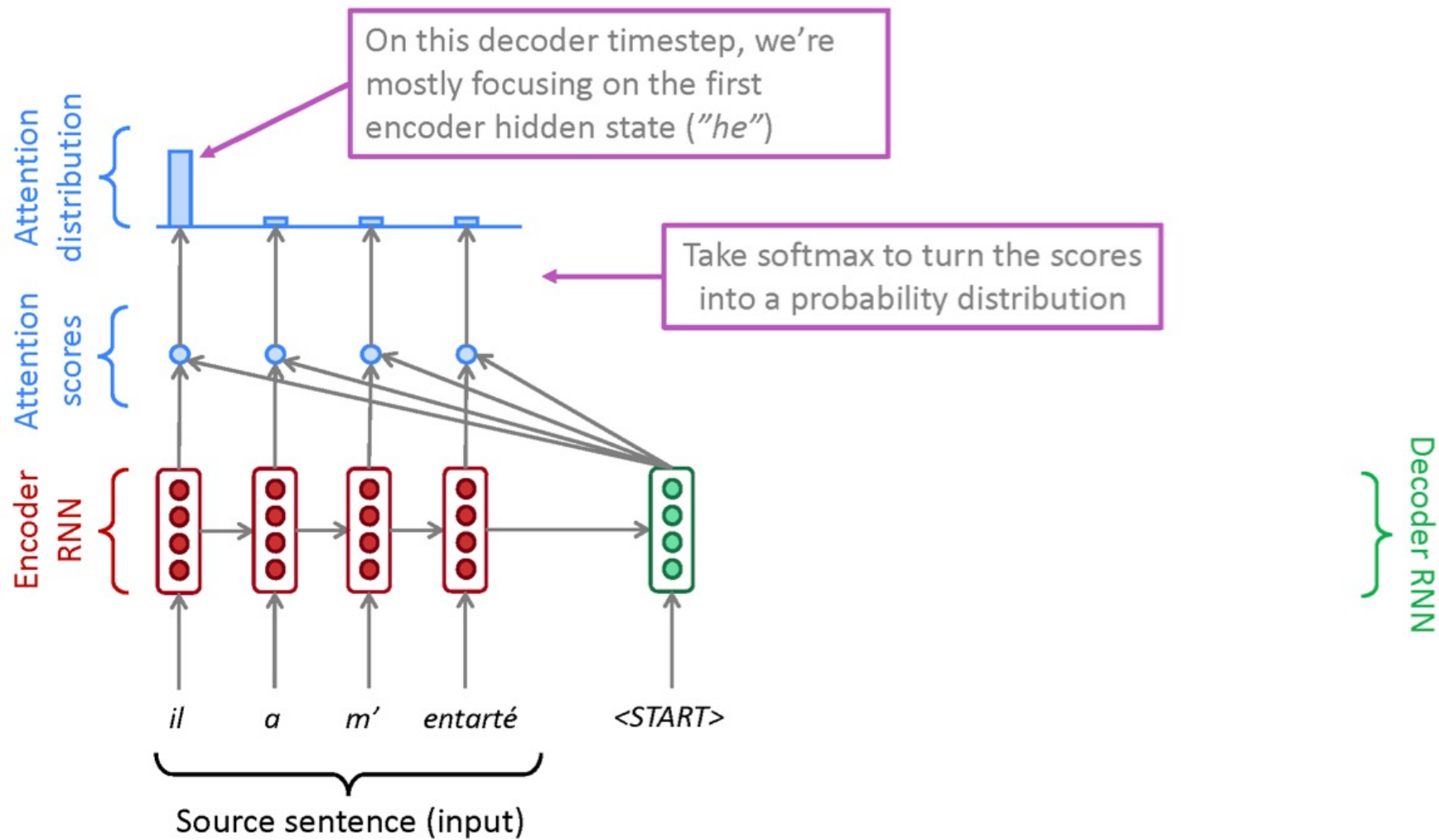


- ▶ Gradient still diminishes, but in a controlled way and generally by less — usually initialize forget gate = 1 to remember everything to start

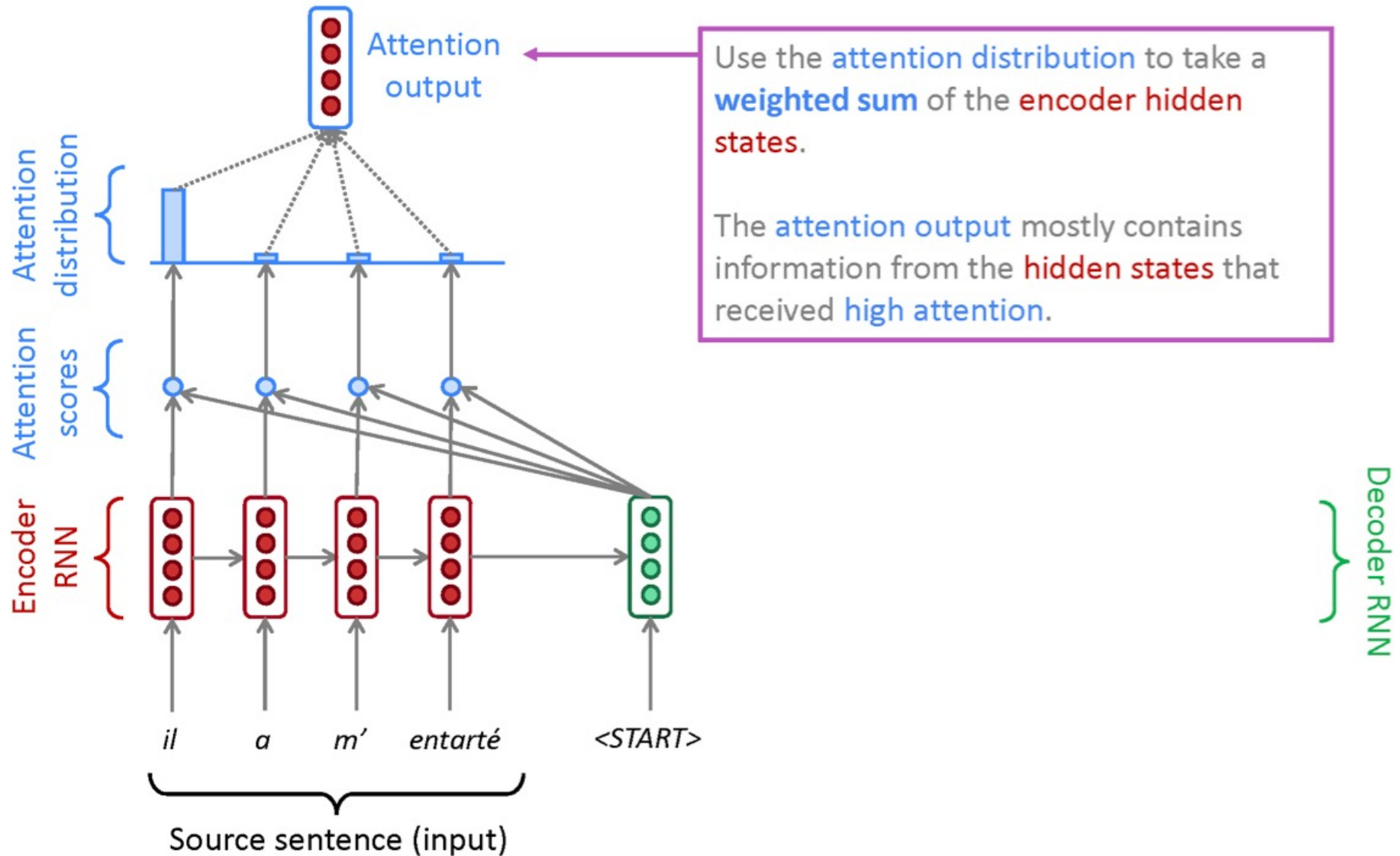
# The Bottleneck Problem



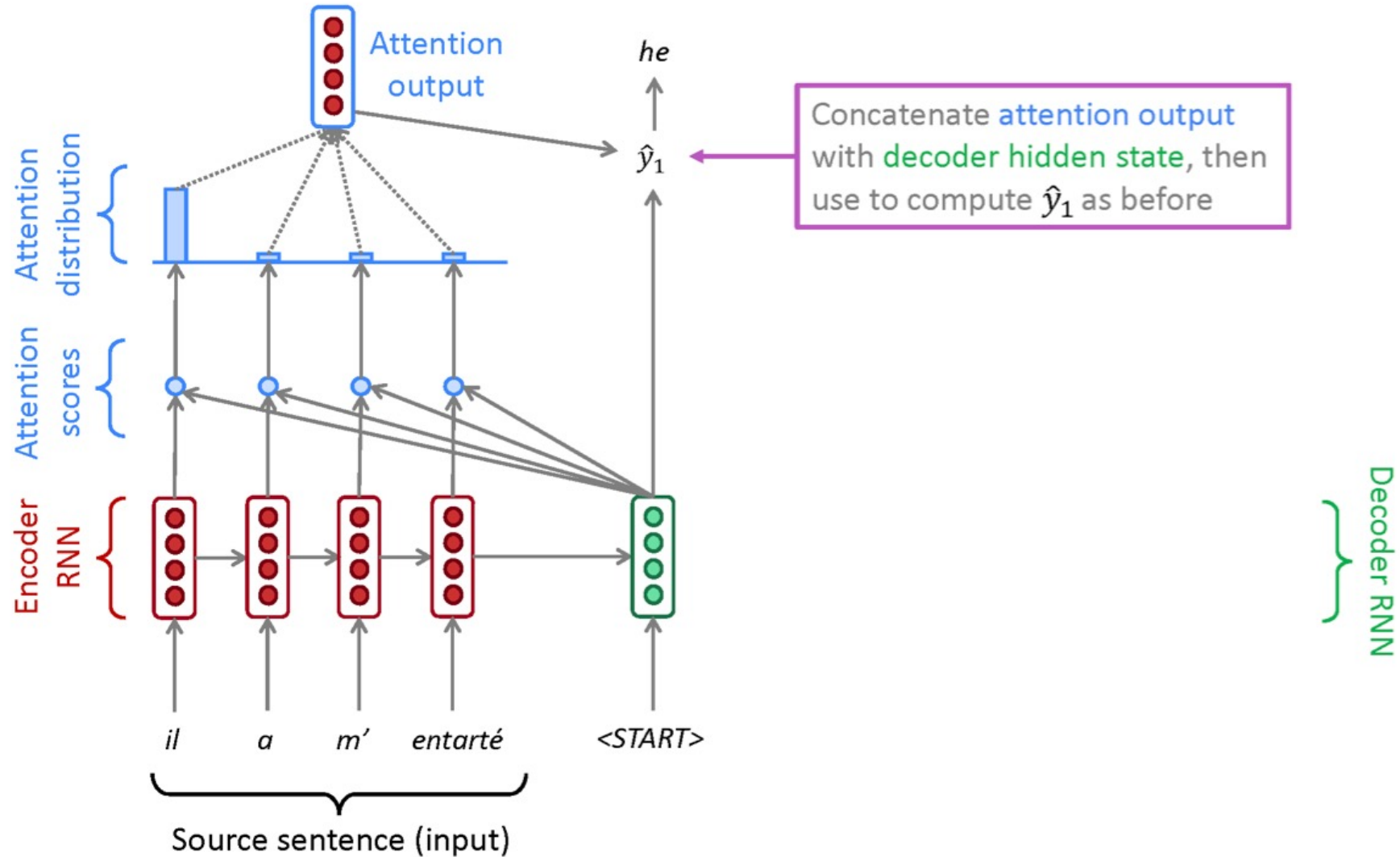
# LSTMs with Attention



# LSTMs with Attention



# LSTMs with Attention



# Attention: in equations

- We have encoder hidden states  $h_1, \dots, h_n \in \mathbb{R}^h$
- On timestep  $t$ , we have decoder hidden state  $s_t \in \mathbb{R}^h$
- We get the attention scores  $e^t$  for this step:

$$e^t = [s_t^T h_1, \dots, s_t^T h_N] \in \mathbb{R}^N$$

- We take softmax to get the attention distribution  $\alpha^t$  for this step (which outputs a probability distribution):

$$\alpha^t = \text{softmax}(e^t) \in \mathbb{R}^N$$

- We use  $\alpha^t$  to take a weighted sum of the encoder hidden states to get the attention output  $a_t$ :

$$a_t = \sum_{i=1}^N \alpha_i^t h_i \in \mathbb{R}^h$$

- Finally, we concatenate the attention output  $a_t$  with the decoder hidden state  $s_t$  and proceed as in the non-attention seq2seq model:  $[a_t; s_t] \in \mathbb{R}^{2h}$



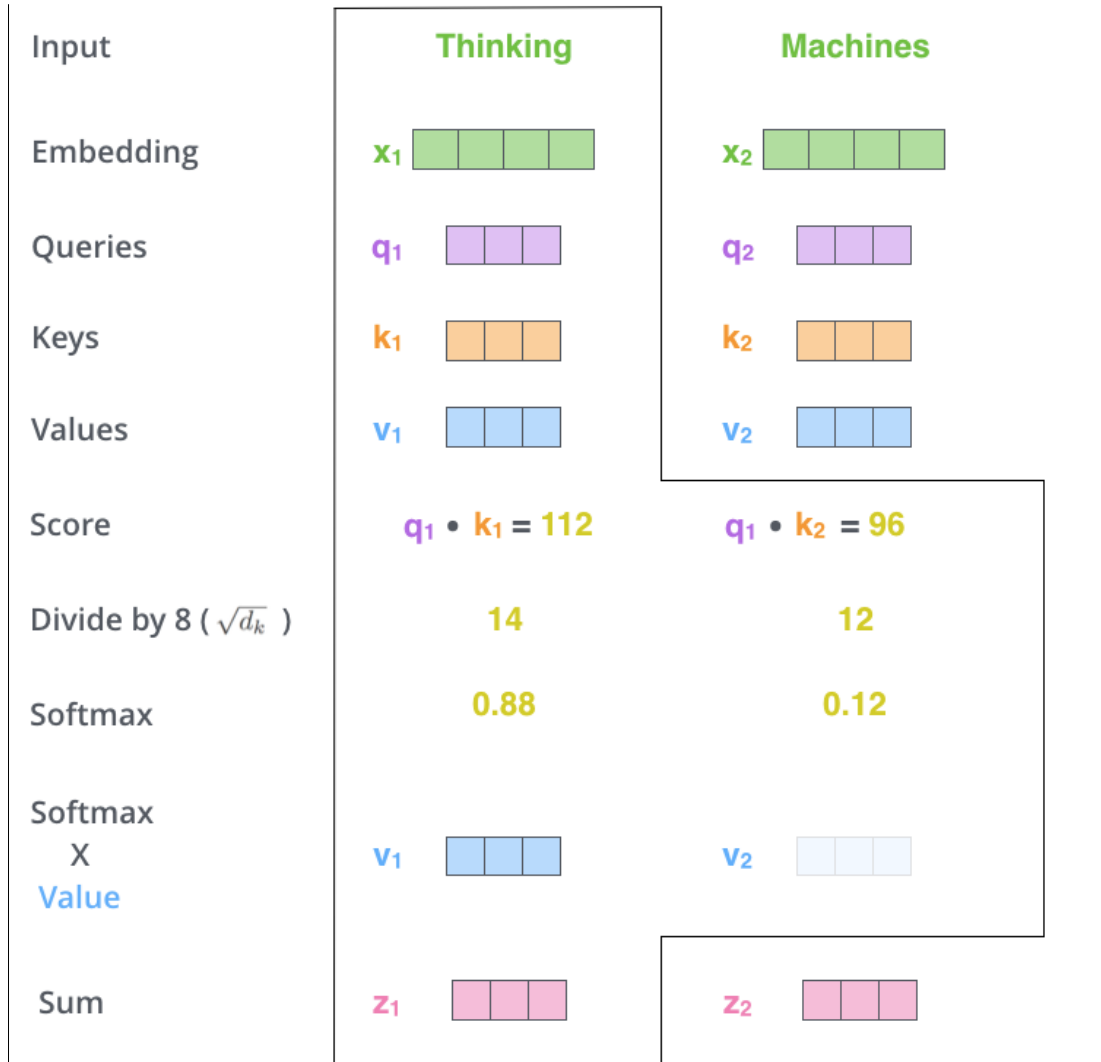
# Transformers

Instead of an RNN, just use attention

High throughput & expressivity: compute queries, keys and values as (different) linear transformations of the input.

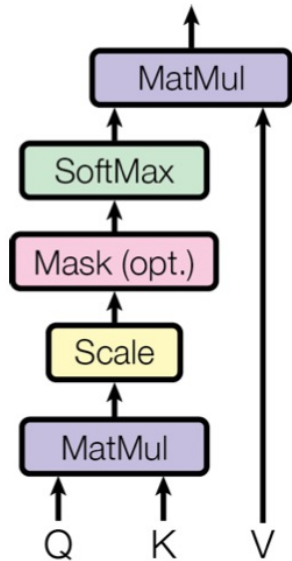
Attention weights are queries • keys;  
outputs are sums of weighted values.

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



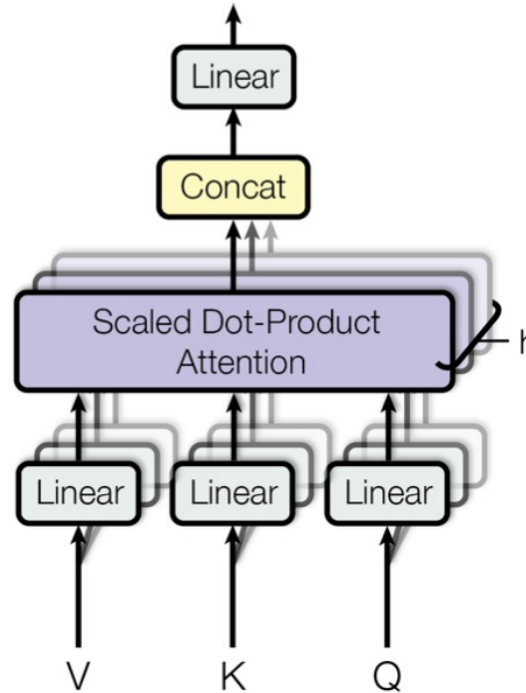
# Transformer Architecture

Scaled Dot-Product Attention



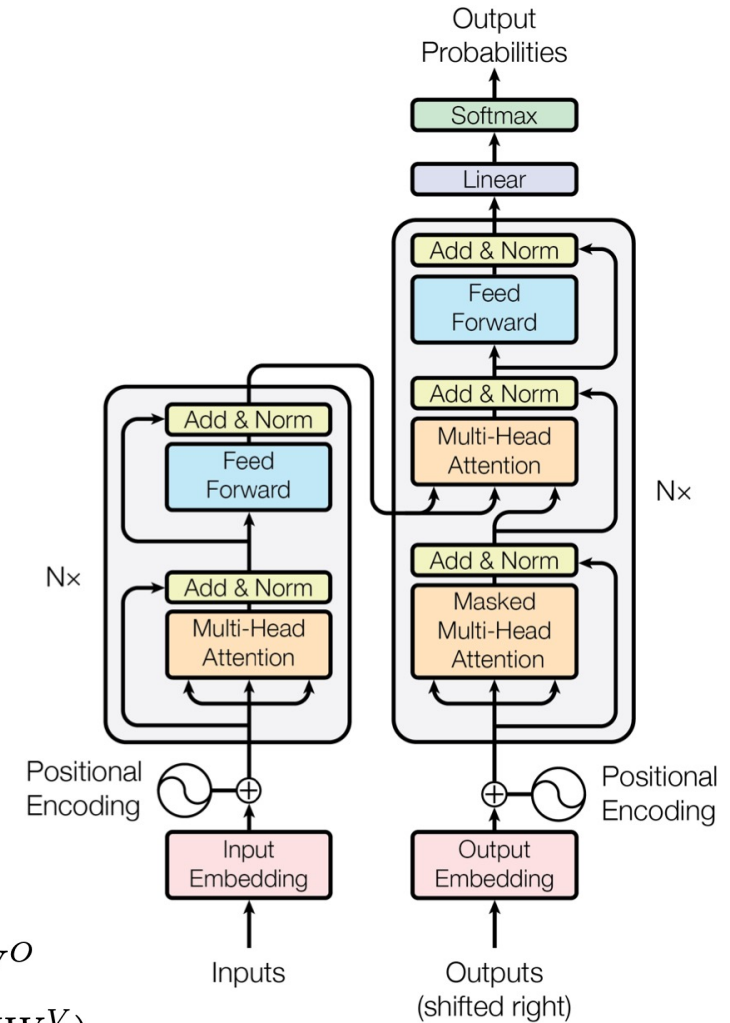
$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Multi-Head Attention



$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

where  $\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$



# Transformer Language Models

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## Early Approaches:

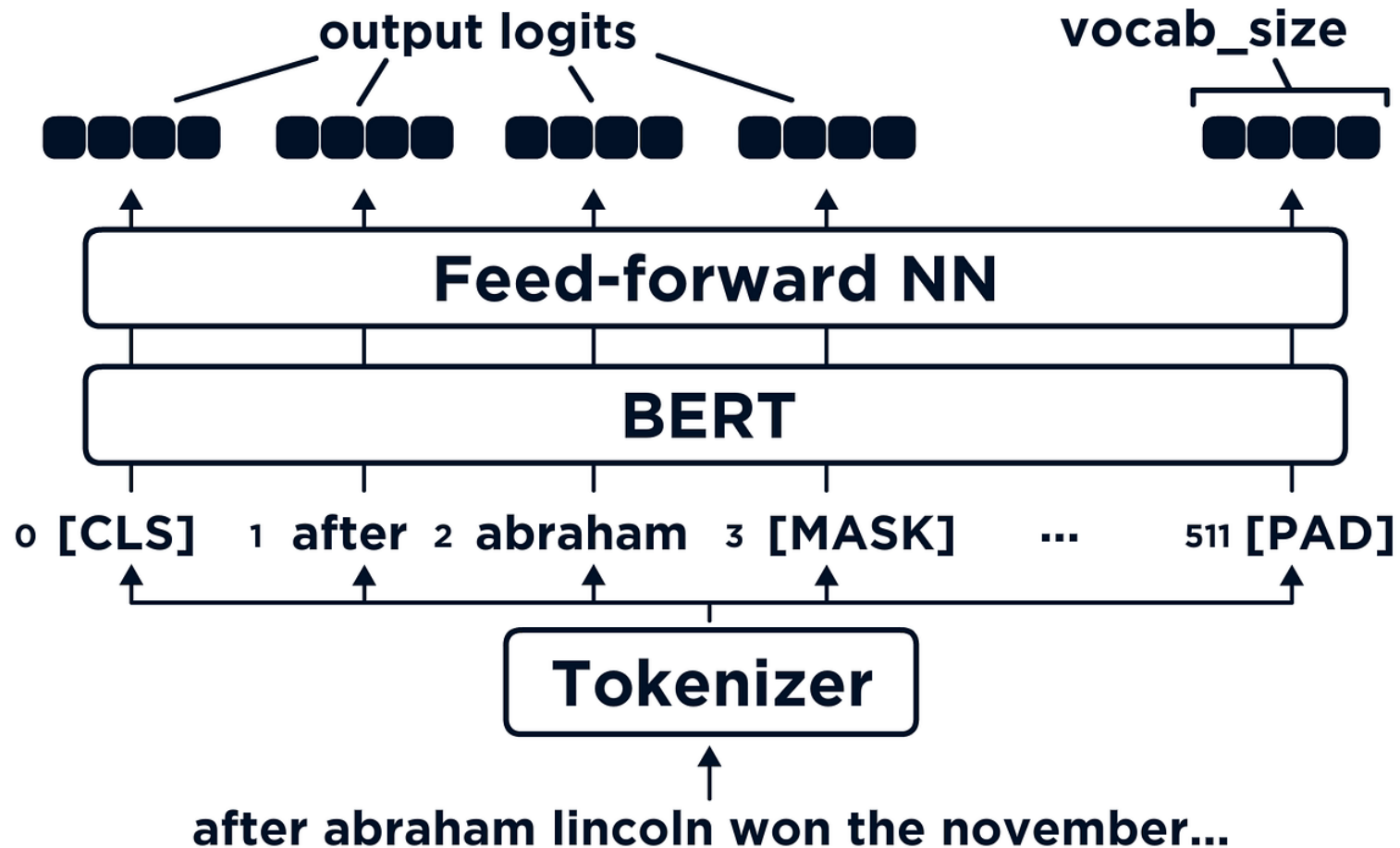
- ELMo (LSTM-based), BERT, RoBERTa, ELECTRA...
- Masked language modeling objective: learn representations

## Recent Approaches:

- FLAN-T5: sequence-to-sequence model
- GPT-3/GPT-4, LLAMA, etc.: autoregressive language model
- Zero-shot / few-shot learning capabilities

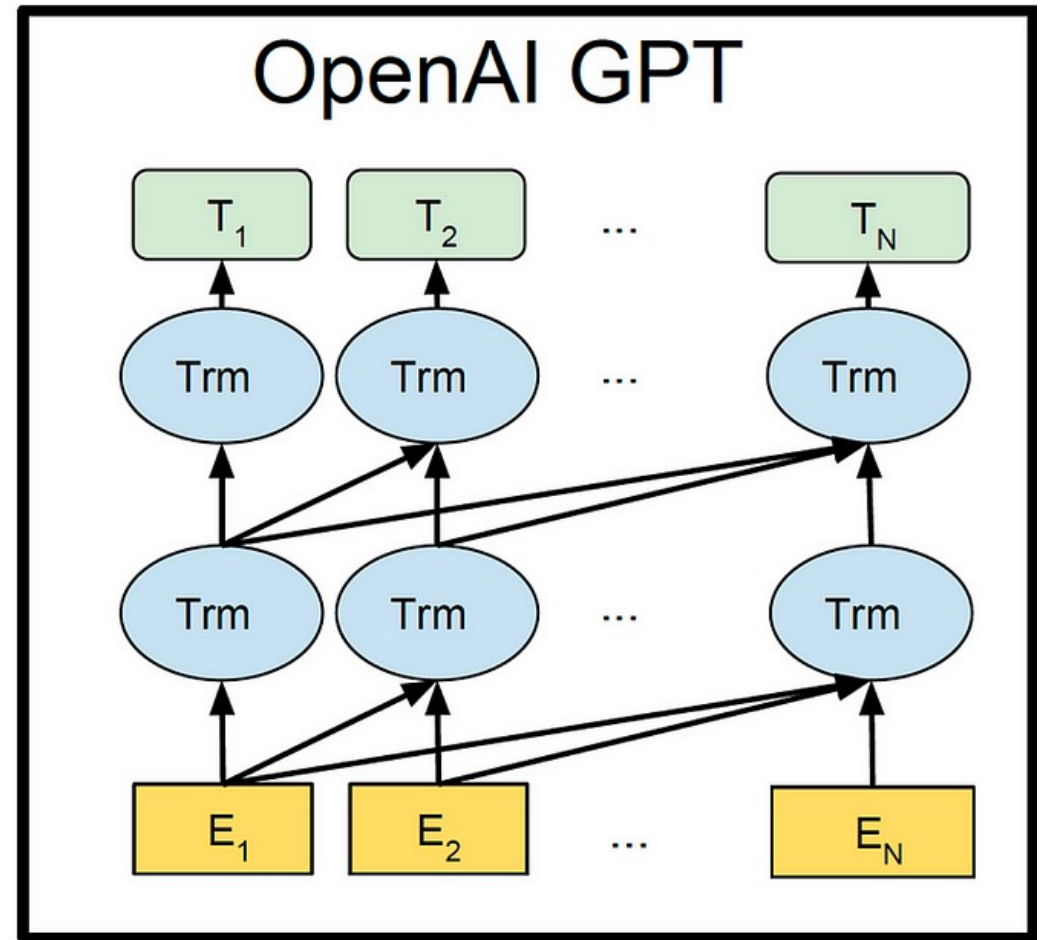
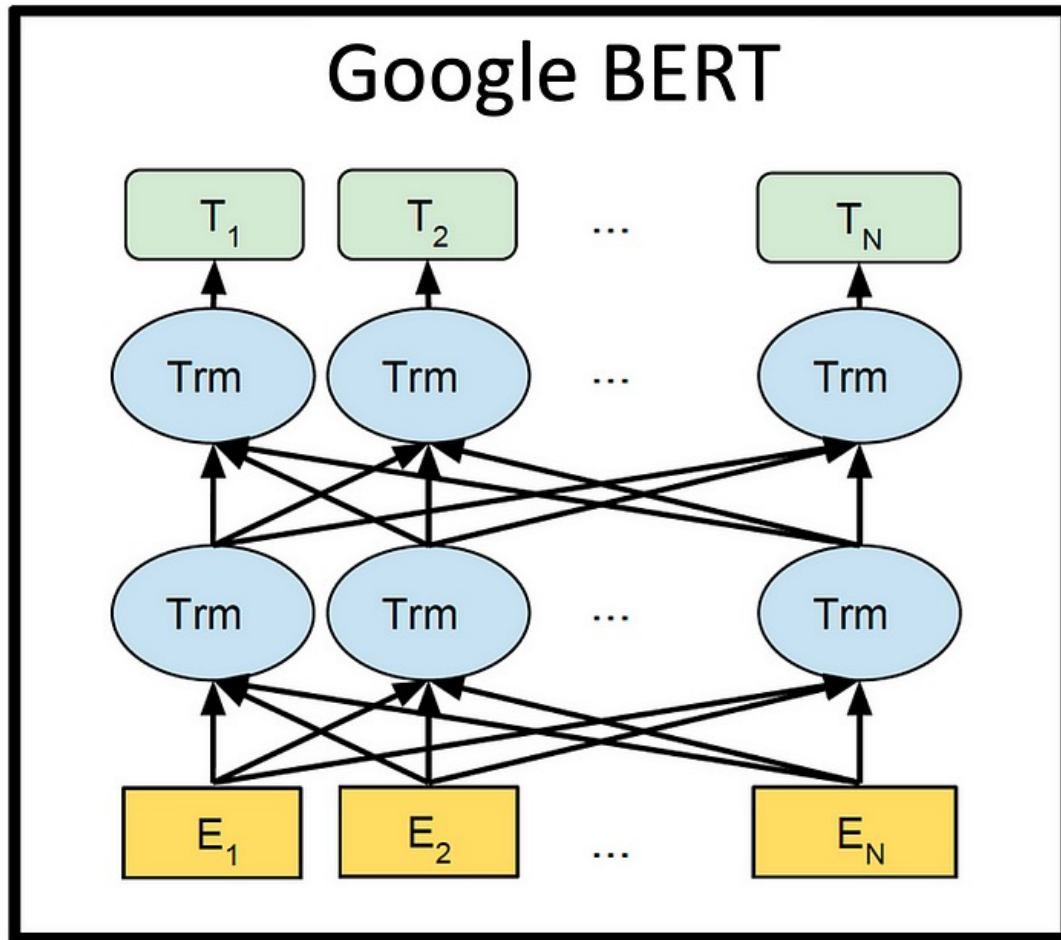
# Masked Language Models

Key idea: learn representations and then fine-tune (training  $\neq$  inference)



# Autoregressive Language Models

Key idea: learn next-word prediction directly (training = inference)



# Language Modeling Subsumes All Tasks (?)



Can you translate "language models are powerful these days" into French?



Certainly! The translation of "language models are powerful these days" into French is:



"Les modèles de langage sont puissants de nos jours."



Can you write a syntactic parse for the sentence "Kids Make Nutritious Snacks"?



Sure! Here's a syntactic parse for the sentence "Kids Make Nutritious Snacks":



```
scss Copy code  
  
(S  
  (NP (NNS Kids))  
  (VP (VBP Make)  
    (NP (JJ Nutritious) (NNS Snacks))))
```

# Instruction Tuning and RLHF

Key issue: language modeling  $\neq$  assisting users

**PROMPT** *Explain the moon landing to a 6 year old in a few sentences.*

**COMPLETION** GPT-3

Explain the theory of gravity to a 6 year old.

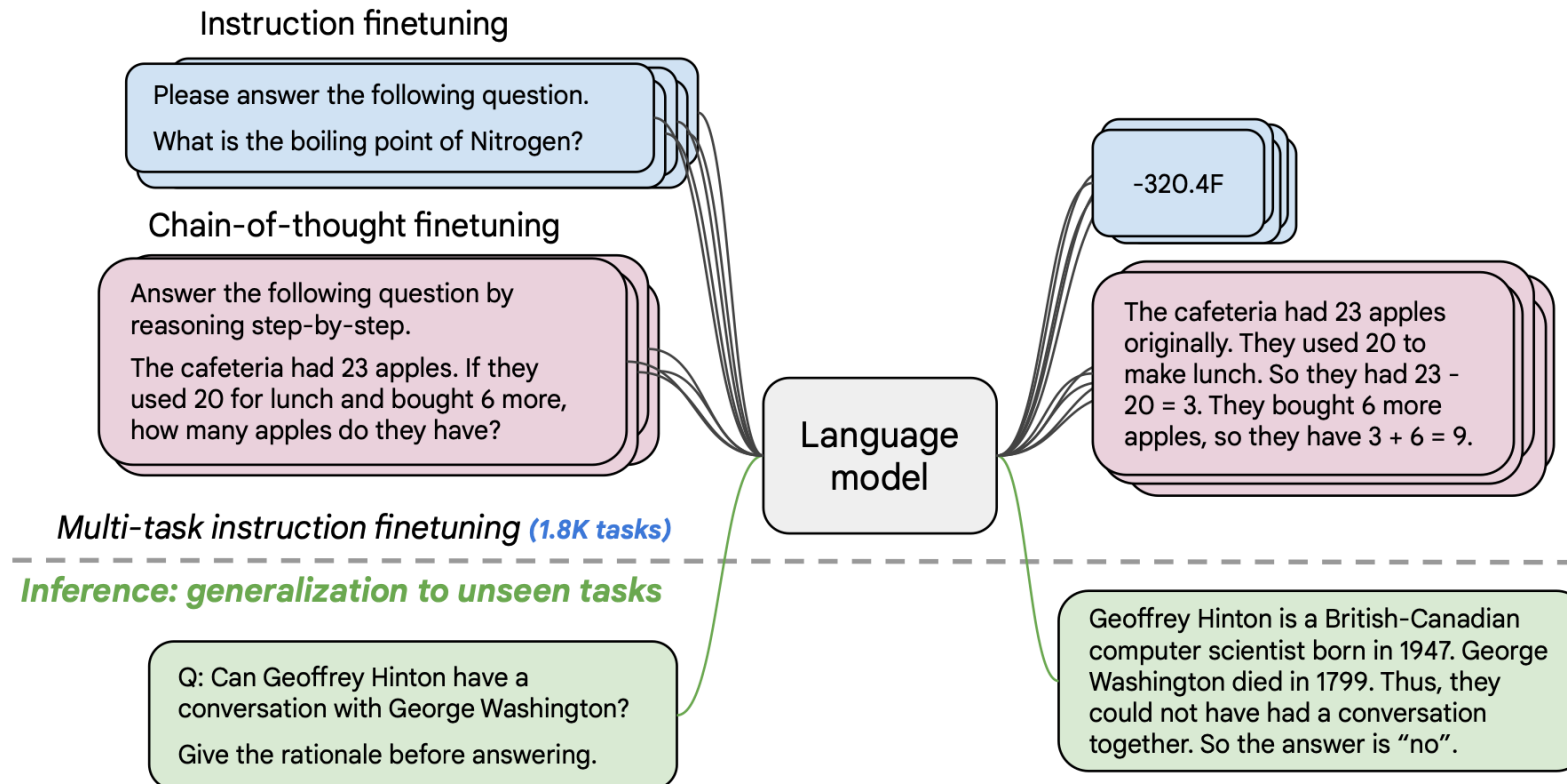
Explain the theory of relativity to a 6 year old in a few sentences.

Explain the big bang theory to a 6 year old.

Explain evolution to a 6 year old.

# FLAN-T5

Collect examples of (instruction, output) pairs across many tasks and finetune an LM





# InstructGPT: Reinforcement Learning from Human Preferences

Better approach: fine-tune LM to optimize a learned reward model over human preferences

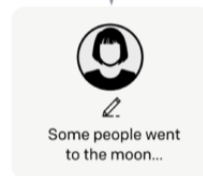
Step 1

**Collect demonstration data, and train a supervised policy.**

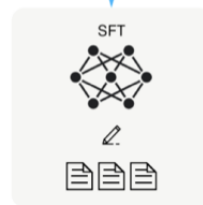
A prompt is sampled from our prompt dataset.



A labeler demonstrates the desired output behavior.



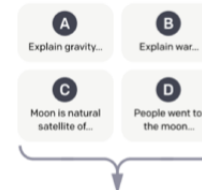
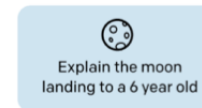
This data is used to fine-tune GPT-3 with supervised learning.



Step 2

**Collect comparison data, and train a reward model.**

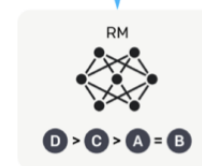
A prompt and several model outputs are sampled.



A labeler ranks the outputs from best to worst.



This data is used to train our reward model.



Step 3

**Optimize a policy against the reward model using reinforcement learning.**

A new prompt is sampled from the dataset.



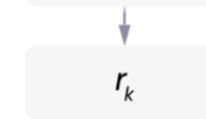
The policy generates an output.



The reward model calculates a reward for the output.



The reward is used to update the policy using PPO.



[Ouyang et al., 2022]

# Instruction Tuning and RLHF

**PROMPT** *Explain the moon landing to a 6 year old in a few sentences.*

**COMPLETION**

GPT-3

Explain the theory of gravity to a 6 year old.

Explain the theory of relativity to a 6 year old in a few sentences.

Explain the big bang theory to a 6 year old.

Explain evolution to a 6 year old.

InstructGPT

People went to the moon, and they took pictures of what they saw, and sent them back to the earth so we could all see them.

# Going Forward

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- Tool use (e.g., getting language models to use APIs)
- Grounding into non-linguistic inputs (e.g., vision, sensor data, etc.)
- Managing data security and privacy concerns
- More efficient / on-device / smaller / faster models
- Avoiding harmful, toxic, or undesirable outputs (e.g., spearfishing)
- Supporting multilinguality, esp. for low resource languages