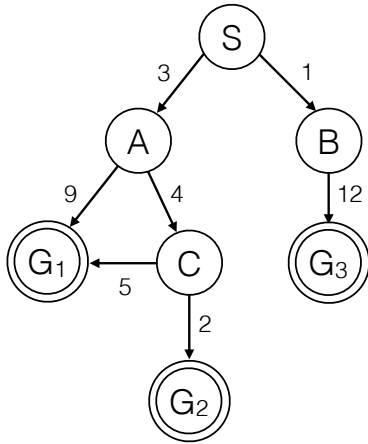


Q1. Search: Algorithms



	A	B	C	S
H-1	0	0	0	0
H-2	6	7	1	7
H-3	7	7	1	7
H-4	4	7	1	7

(a) Consider the search graph and heuristics shown above. Select **all** of the goals that **could** be returned by each of the search algorithms below. For this question, if there is a tie on the fringe, assume the tie is broken **randomly**.

- (i) DFS G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (ii) BFS G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (iii) UCS G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (iv) Greedy with H-1 G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (v) Greedy with H-2 G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (vi) Greedy with H-3 G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (vii) A\* with H-2 G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>
- (viii) A\* with H-3 G<sub>1</sub>  G<sub>2</sub>  G<sub>3</sub>

(b) For each heuristic, indicate whether it is consistent, admissible, or neither (select more than one option if appropriate):

- (i) H-1 Consistent  Admissible  Neither
- (ii) H-2 Consistent  Admissible  Neither
- (iii) H-3 Consistent  Admissible  Neither
- (iv) H-4 Consistent  Admissible  Neither

## Q2. Searching with Heuristics

Consider the A\* searching process on the connected undirected graph, with starting node S and the goal node G. Suppose the cost for each connection edge is **always positive**. We define  $h^*(X)$  as the shortest (optimal) distance to G from a node X.

Answer Questions (a), (b) and (c). You may want to solve Questions (a) and (b) at the same time.

(a) Suppose  $h$  is an **admissible** heuristic, and we conduct A\* **tree search** using heuristic  $h'$  and finally find a solution. Let  $C$  be the cost of the found path (directed by  $h'$ , defined in part (a)) from S to G

(i) Choose **one best** answer for each condition below.

1. If  $h'(X) = \frac{1}{2}h(X)$  for all Node X, then   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
2. If  $h'(X) = \frac{h(X)+h^*(X)}{2}$  for all Node X, then   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
3. If  $h'(X) = h(X) + h^*(X)$  for all Node X, then   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
4. If we define the set  $K(X)$  for a node X as all its neighbor nodes Y satisfying  $h^*(X) > h^*(Y)$ , and the following always holds

$$h'(X) \leq \begin{cases} \min_{Y \in K(X)} h'(Y) - h(Y) + h(X) & \text{if } K(X) \neq \emptyset \\ h(X) & \text{if } K(X) = \emptyset \end{cases}$$

then,

- $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$

5. If  $K$  is the same as above, we have

$$h'(X) = \begin{cases} \min_{Y \in K(X)} h(Y) + \text{cost}(X, Y) & \text{if } K(X) \neq \emptyset \\ h(X) & \text{if } K(X) = \emptyset \end{cases}$$

where  $\text{cost}(X, Y)$  is the cost of the edge connecting X and Y, then,

- $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$

6. If  $h'(X) = \min_{Y \in K(X) \cup \{X\}} h(Y)$  ( $K$  is the same as above),   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$

(ii) In which of the conditions above,  $h'$  is still **admissible** and for sure to dominate  $h$ ? Check all that apply. Remember we say  $h_1$  dominates  $h_2$  when  $h_1(X) \geq h_2(X)$  holds for all X.  1  2  3  4  5  6

(b) Suppose  $h$  is a **consistent** heuristic, and we conduct A\* **graph search** using heuristic  $h'$  and finally find a solution.

(i) Answer exactly the same questions for each conditions in Question (a)(i).

1.   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
2.   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
3.   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
4.   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
5.   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$
6.   $C = h^*(S)$    $C > h^*(S)$    $C \geq h^*(S)$

(ii) In which of the conditions above,  $h'$  is still **consistent** and for sure to dominate  $h$ ? Check all that apply.

- 1  2  3  4  5  6

Grading for Bubbles: 0.5 pts for a1 a2 a3 a6 b1 b2. 1 pts for a4 a5 b3 b4 b5 b6.

Explanations:

All the  $C > h^*(S)$  can be ruled out by this counter example: there exists only one path from S to G.

Now for any  $C = h^*(S)$  we shall provide a proof. For any  $C \geq h^*(S)$  we shall provide a counter example.

a3b3 - Counter example: SAG fully connected. cost: SG=10, SA=1, AG=7.  $h^*$ : S=8, A=7, G=0.  $h$ : S=8, A=7, G=0.  $h'$ : S=16, A=14, G=0.

a4 - Proof: via induction. We can have an ordering of the nodes  $\{X_j\}_{j=1}^n$  such that  $h^*(X_i) \geq h^*(X_j)$  if  $i < j$ . Note any  $X_k \in K(X_j)$  has  $k > j$ .

$X_n$  is G, and has  $h'(X_n) \leq h(X_n)$ .

Now for  $j$ , suppose  $h'(X_k) \leq h(X_k)$  for any  $k > j$  holds, we can have  $h'(X_j) \leq h'(X_k) - h(X_k) + h(X_j) \leq h(X_j)$  ( $K(X_j) = \emptyset$  also get the result).

b4 - Proof: from a4 we already know that  $h'$  is admissible.

Now for each edge  $XY$ , suppose  $h^*(X) \geq h^*(Y)$ , we always have  $h'(X) \leq h'(Y) - h(Y) + h(X)$ , which means  $h'(X) - h'(Y) \leq h(X) - h(Y) \leq \text{cost}(X, Y)$ , which means we always underestimate the cost of each edge **from the potential optimal path direction**. Note  $h'$  is not necessarily to be consistent ( $h'(Y) - h'(X)$  might be very large, e.g. you can arbitrarily modify  $h'(S)$  to be super small), but it always comes with optimality.

a5 - Proof: the empty  $K$  path:  $h'(X) \leq h(X) \leq h^*(X)$ . the non-empty  $K$  path: there always exists a  $Y_0 \in K(X)$  such that  $Y_0$  is on the optimal path from  $X$  to  $G$ . We know  $\text{cost}(X, Y_0) = h^*(X) - h^*(Y_0)$ , so we have  $h'(X) \leq h(Y_0) + \text{cost}(X, Y_0) \leq h^*(Y_0) + \text{cost}(X, Y_0) = h^*(X)$ .

b5 - Proof:

First we prove  $h'(X) \geq h(X)$ . For any edge  $XY$ , we have  $h(X) - h(Y) \leq \text{cost}(X, Y)$ . So we can have  $h(Y) + \text{cost}(X, Y) \geq h(X)$  holds for any edge, and hence we get the dominance of  $h'$  over  $h$ . Note this holds only for consistent  $h$ .

We then have  $h'(X) - h'(Y) \leq h(Y) + \text{cost}(X, Y) - h'(Y) \leq \text{cost}(X, Y)$ . So we get the consistency of  $h'$ .

Extension Conclusion 1: If we change  $K(X)$  into  $\{\text{all neighbouring nodes of } X\} + \{X\}$ ,  $h'$  did not change.

Extension Conclusion 2:  $h'$  dominates  $h$ , which is a better heuristics. This (looking one step ahead with  $h'$ ) is equivalent to looking two steps ahead in the  $A^*$  search with  $h$  (while the vanilla  $A^*$  search is just looking one step ahead with  $h$ ).

a6 - Proof:  $h'(X) \leq h(X) \leq h^*(X)$ .

b6 - counter example: SAB fully connected, BG connected. cost: SA=8, AB=1, SB=10, BG=30.  $h^*$ : A=31, B=30 G=0.  $h=h^*$ .  $h'$ : A=30, B=0, C=0.

(c) Suppose  $h$  is an **admissible** heuristic, and we conduct A\* **tree search** using heuristic  $h'$  and finally find a solution.

If  $\epsilon > 0$ , and  $X_0$  is a node in the graph, and  $h'$  is a heuristic such that

$$h'(X) = \begin{cases} h(X) & \text{if } X = X_0 \\ h(X) + \epsilon & \text{otherwise} \end{cases}$$

- Alice claims  $h'$  can be inadmissible, and hence  $C = h^*(S)$  does not always hold.
- Bob instead thinks the node expansion order directed by  $h'$  is the same as the heuristic  $h''$ , where

$$h''(X) = \begin{cases} h(X) - \epsilon & \text{if } X = X_0 \\ h(X) & \text{if otherwise} \end{cases}$$

Since  $h''$  is admissible and will lead to  $C = h^*(S)$ , and so does  $h'$ . Hence,  $C = h^*(S)$  always holds.

The two conclusions (underlined) apparently contradict with each other, and **only exactly one of them are correct and the other is wrong**. Choose the **best** explanation from below - which student's conclusion is wrong, and why are they wrong?

- Alice's conclusion is wrong, because the heuristic  $h'$  is always admissible.
- Alice's conclusion is wrong, because an inadmissible heuristics does not necessarily always lead to the failure of the optimality when conducting A\* tree search.
- Alice's conclusion is wrong, because of another reason that is not listed above.
- Bob's conclusion is wrong, because the node visiting expansion ordering of  $h''$  during searching might not be the same as  $h'$ .
- Bob's conclusion is wrong, because the heuristic  $h''$  might lead to an incomplete search, regardless of its optimally property.
- Bob's conclusion is wrong, because of another reason that is not listed above.

Choice 4 is incorrect, because the difference between  $h'$  and  $h''$  is a constant. During searching, the choice of the expansion of the fringe will not be affected if all the nodes add the same constant to the heuristics.

Choice 5 is incorrect because there will never be an infinite loop if there are no cycle has negative COST sum (rather than HEURISTICS). If there is a cycle, such that its COST sum is positive, and all the nodes in the cycle have negative heuristics, when we do  $g+h$ ,  $g$  is getting larger and larger, while  $h$  remains a not-that-large negative value. Soon, the search algorithm will be favoring other paths even if the  $h$  in there are not negative.

The true reason:  $h''$  violate a property of admissible heuristic. Since  $h$  is admissible, we have  $h(G) = 0$ . If  $X_0 = G$ , we have a negative heuristic value at  $h''(G)$ , and it is no longer admissible. If  $X_0 \neq G$ , then it is indeed that the optimality holds - the only change is that more nodes will be likely to be expanded for  $h'$  and  $h''$  compared to  $h$ .