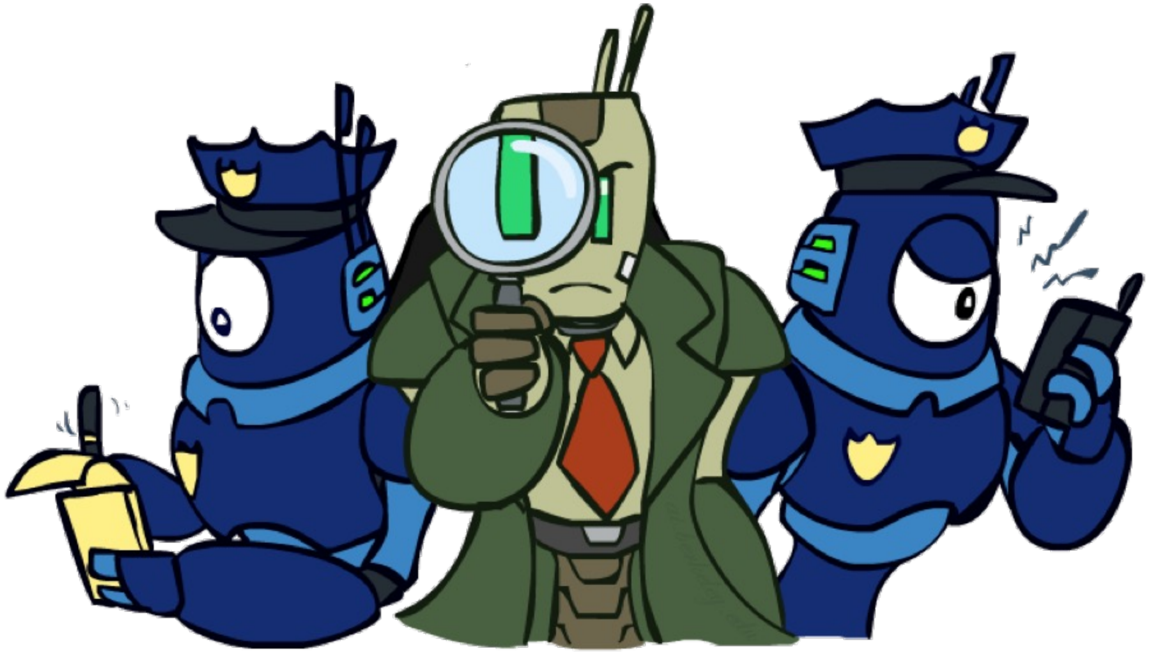
CS 188: Artificial Intelligence

Constraint Satisfaction Problems



Summer 2024: Eve Fleisig & Evgeny Pobachienko

University of California, Berkeley

[These slides adapted from Nicholas Tomlin, Dan Klein, Pieter Abbeel, and Anca Dragan]

Introduction: Eve (she/her)

Eve Fleisig is a visionary computer scientist specializing in artificial intelligence and machine learning, with a particular focus on the development of neural networks for image and speech recognition. Currently based in San Francisco, Eve holds a Ph.D. in Computer Science from MIT and has contributed to pivotal advancements in AI through her research and development work.

As a Senior Research Scientist at TechForward, Eve leads a team dedicated to refining deep learning algorithms that enhance automated systems' understanding and response capabilities. Her work has been instrumental in developing technologies that significantly improve user interactions with AI, making these systems more intuitive and effective.

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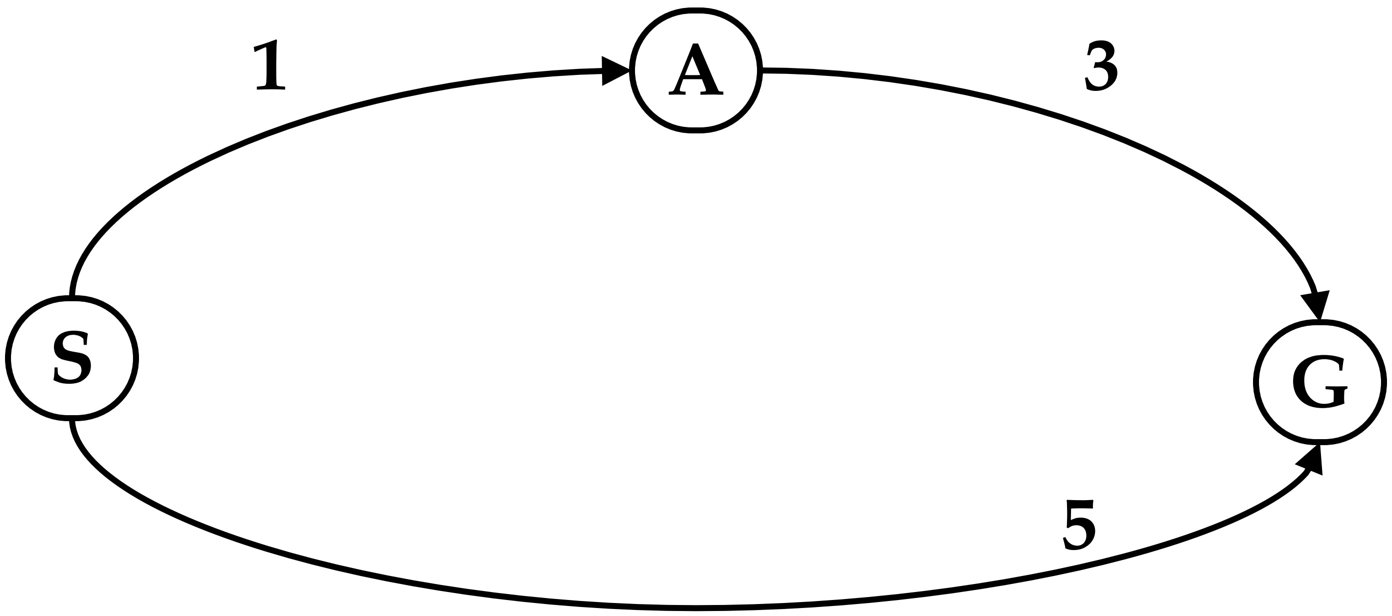
Introduction: Eve (she/her)

- Rising 4th year PhD student
  - Advised by Dan Klein
- Natural language processing (NLP) + AI ethics
- Ethics & societal impacts of generative language models like ChatGPT
- Always happy to chat if you're curious about getting started with research

Last time…



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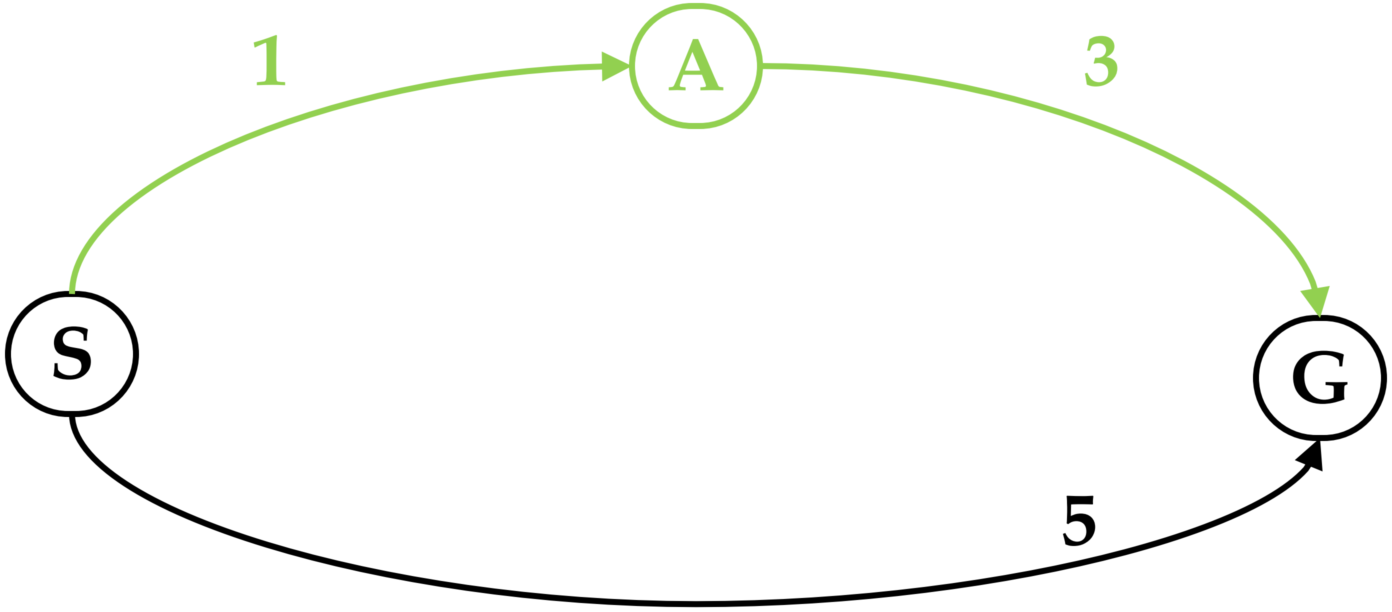
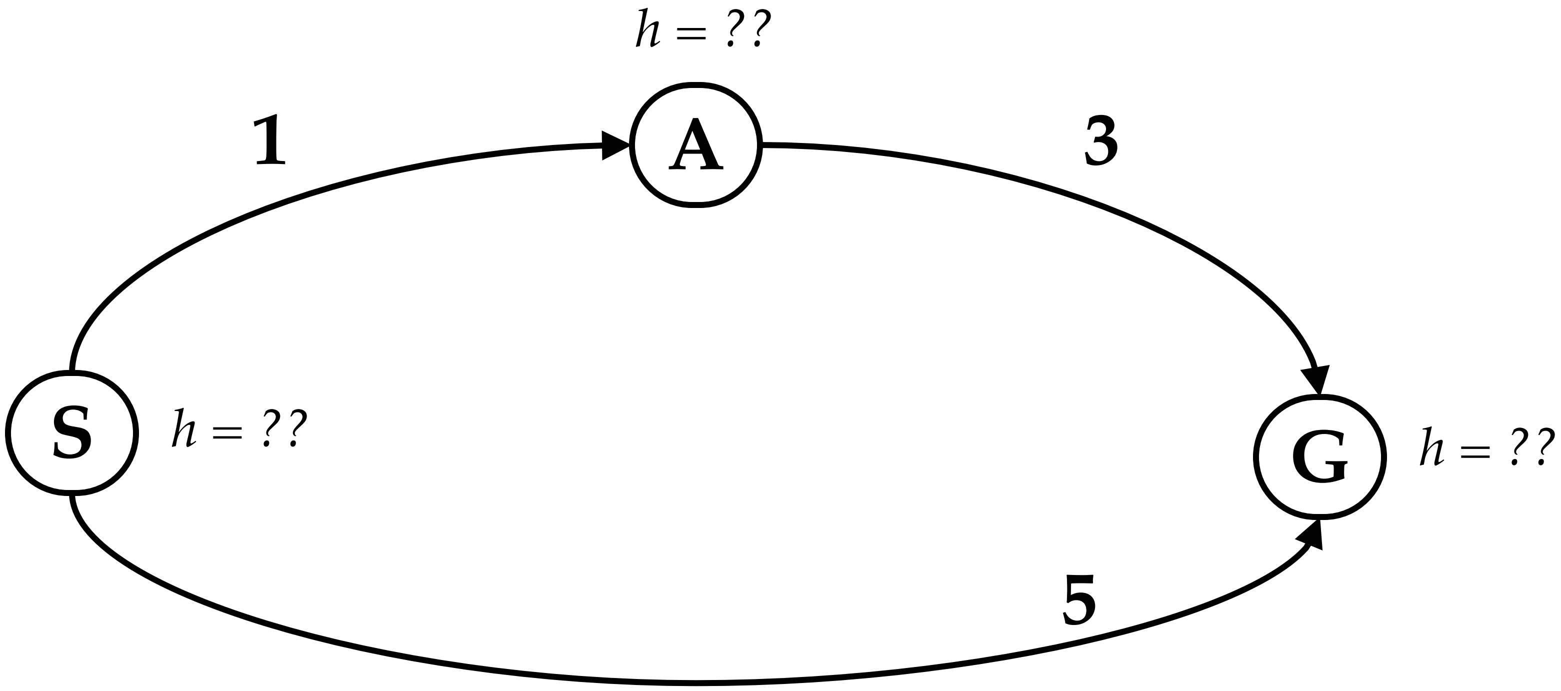


Last time…

g: backward cost (S -> current node)
h: forward cost (heuristic for current node -> goal)



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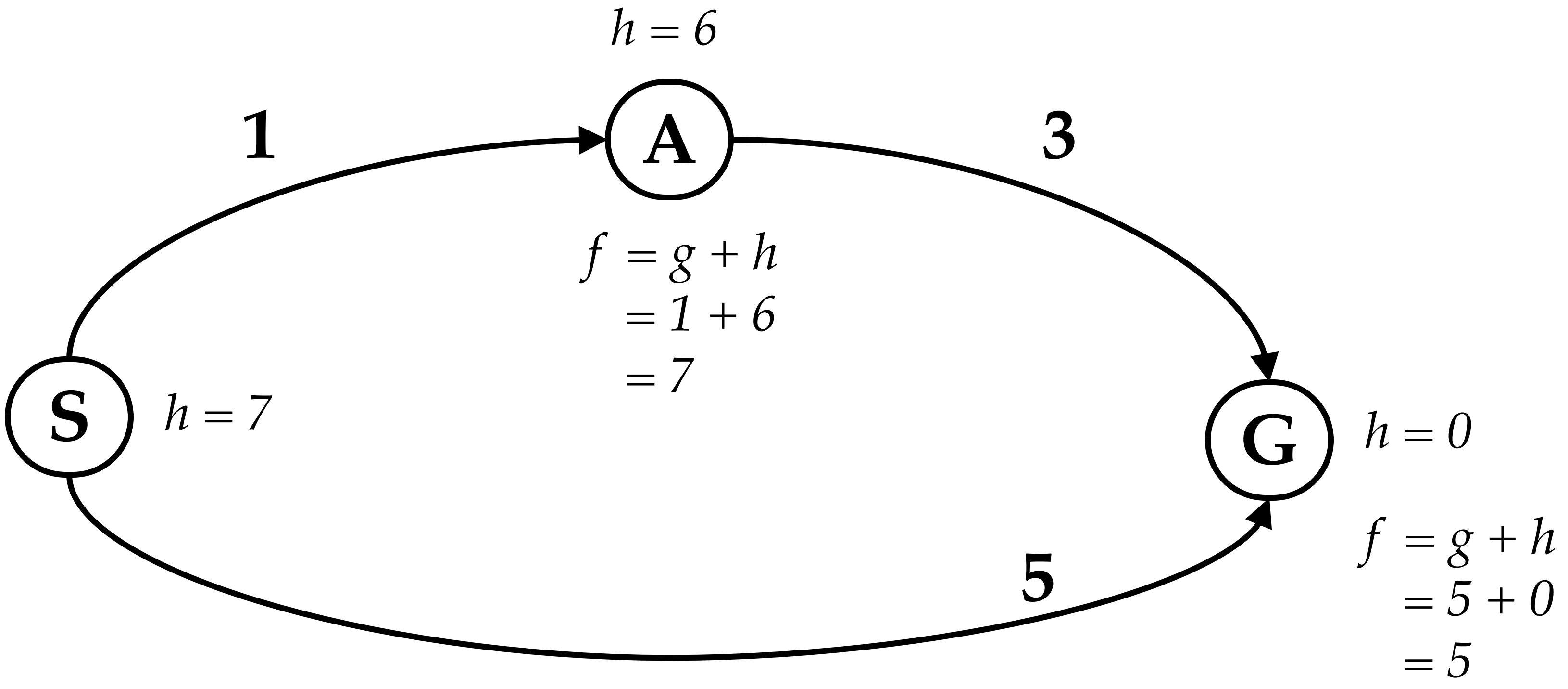
Q: Where do heuristics come from?

A: We have to create them!



Not the best heuristic…

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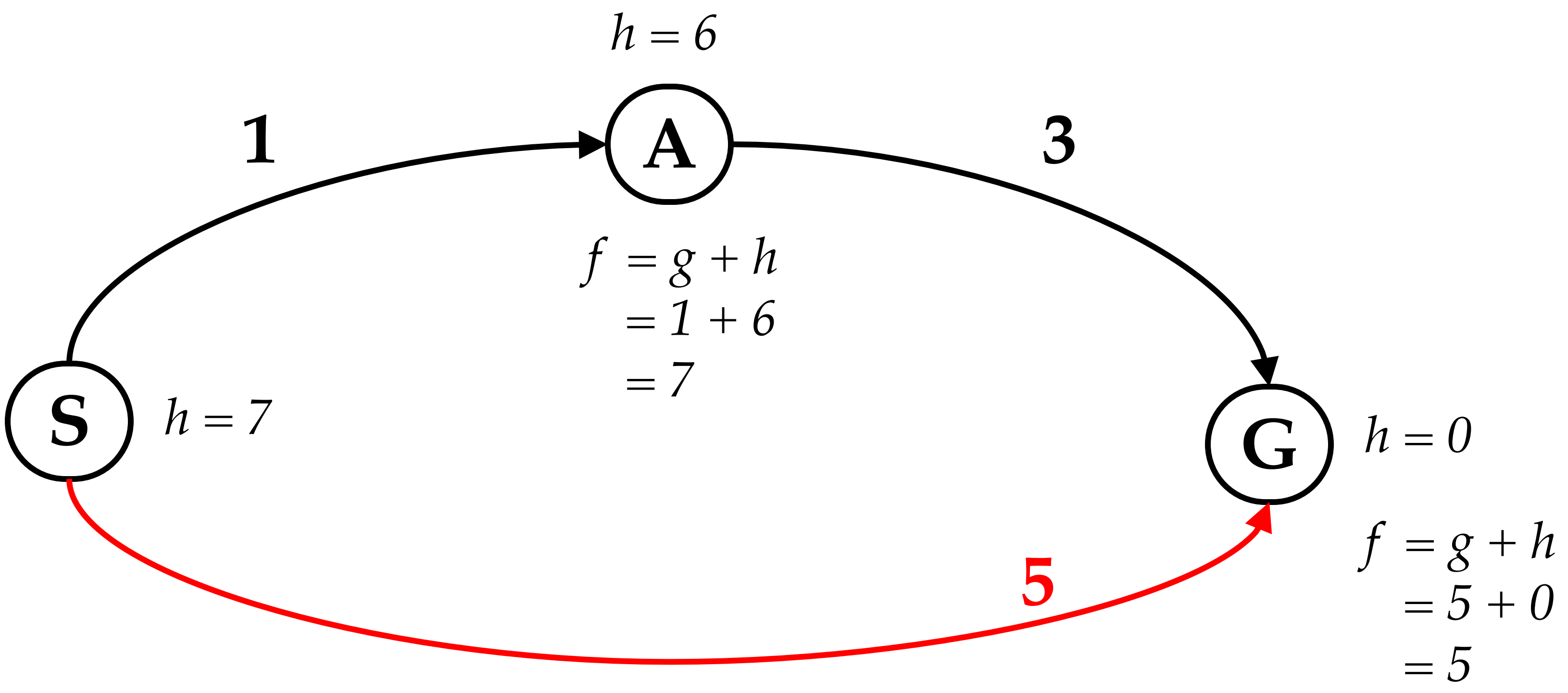

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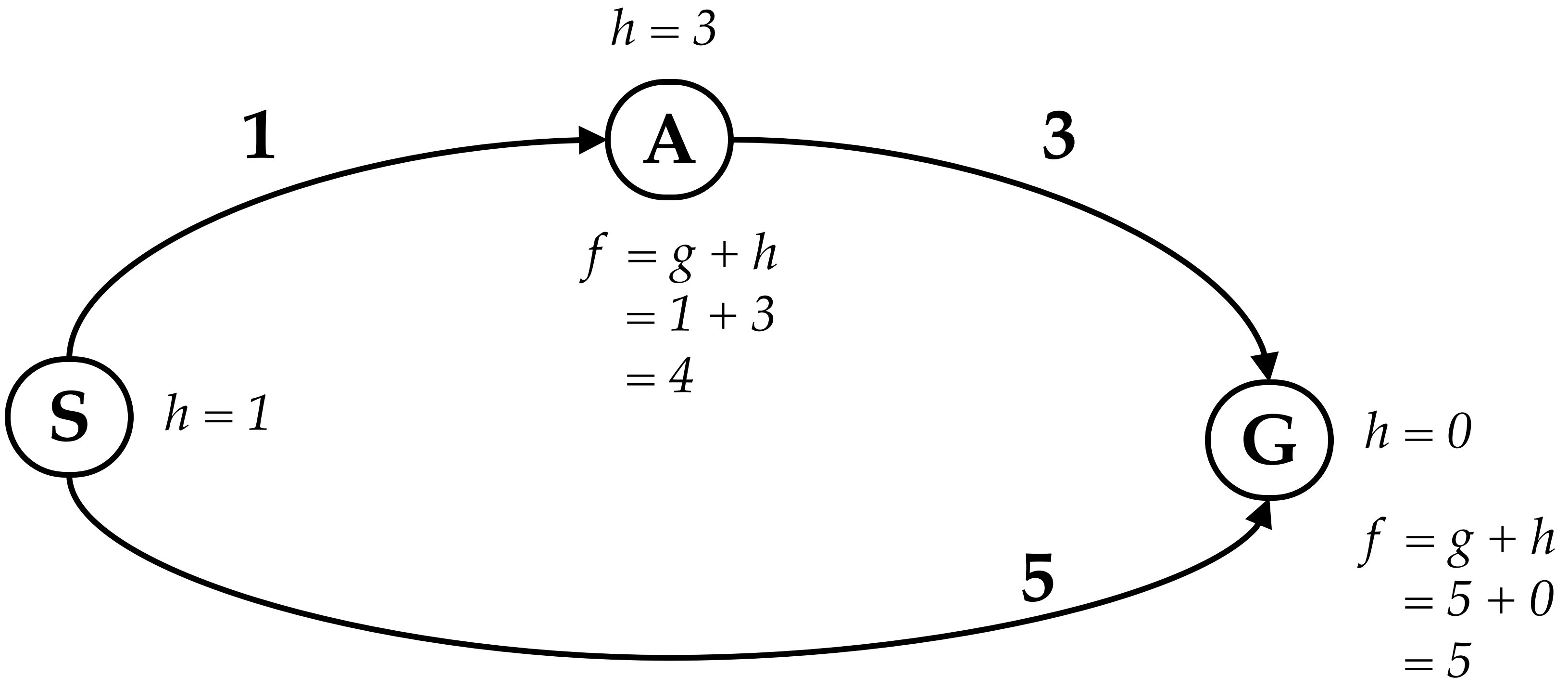

What's a better heuristic?

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Q: Where do heuristics come from?

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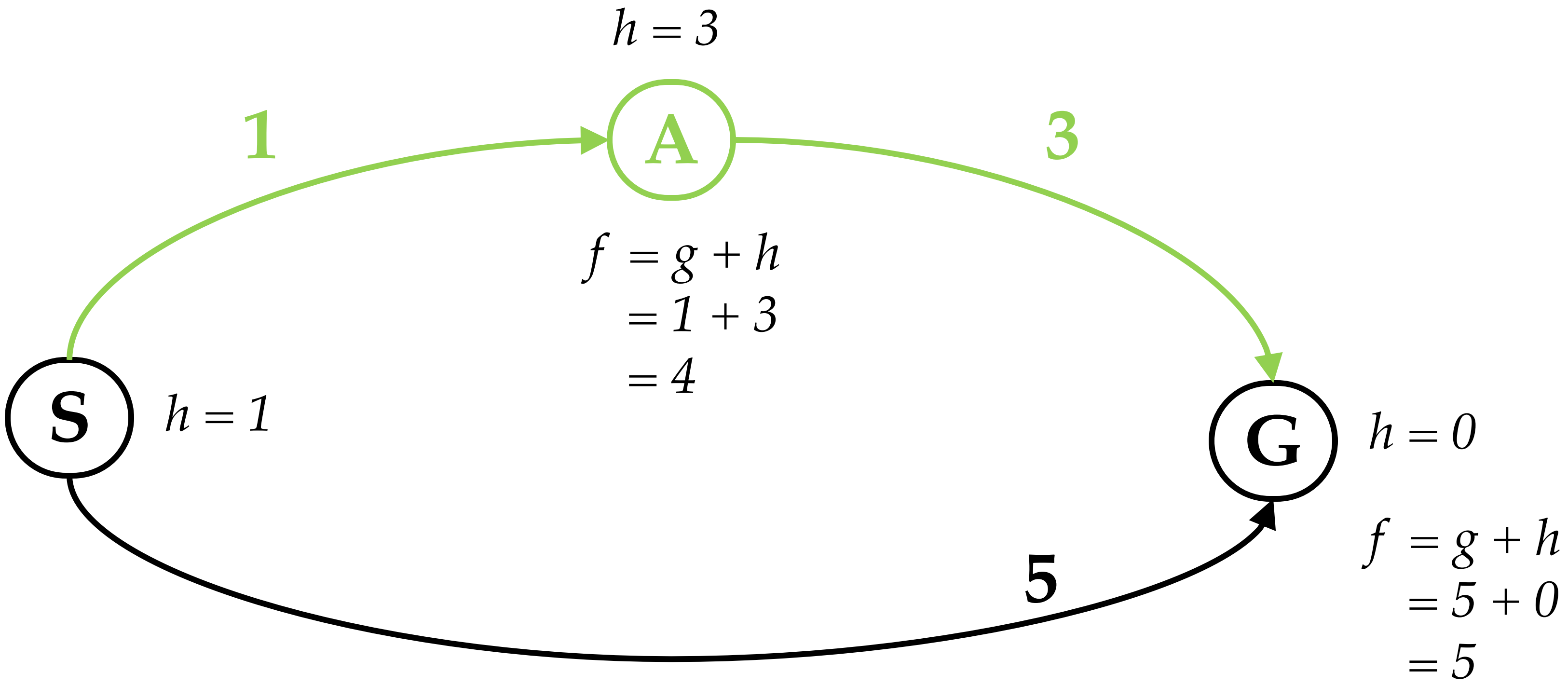


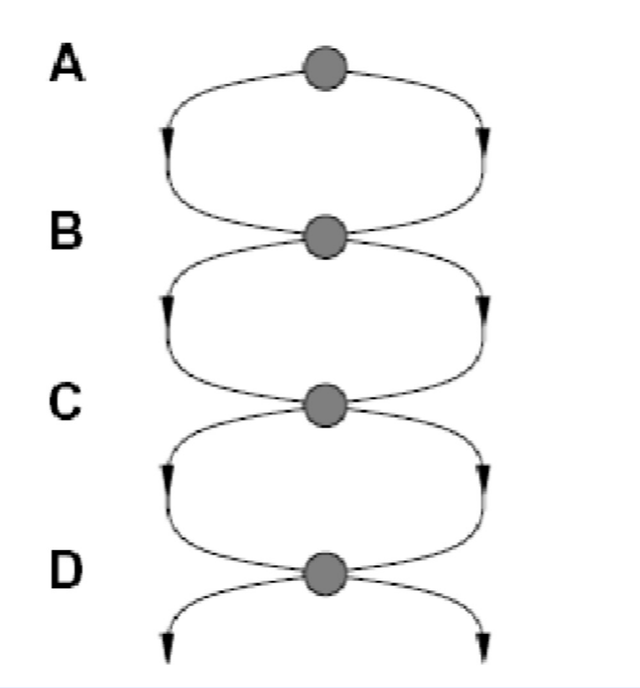
What's a better heuristic?

Admissible = Underestimates cost from any node to the goal

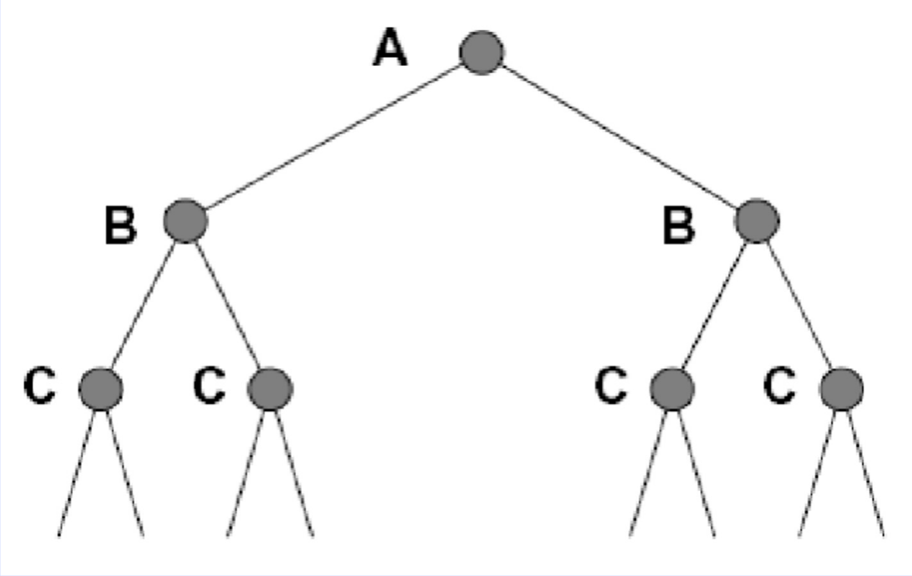
Last time…

- Failure to detect repeated states can cause exponentially more work.

State Graph



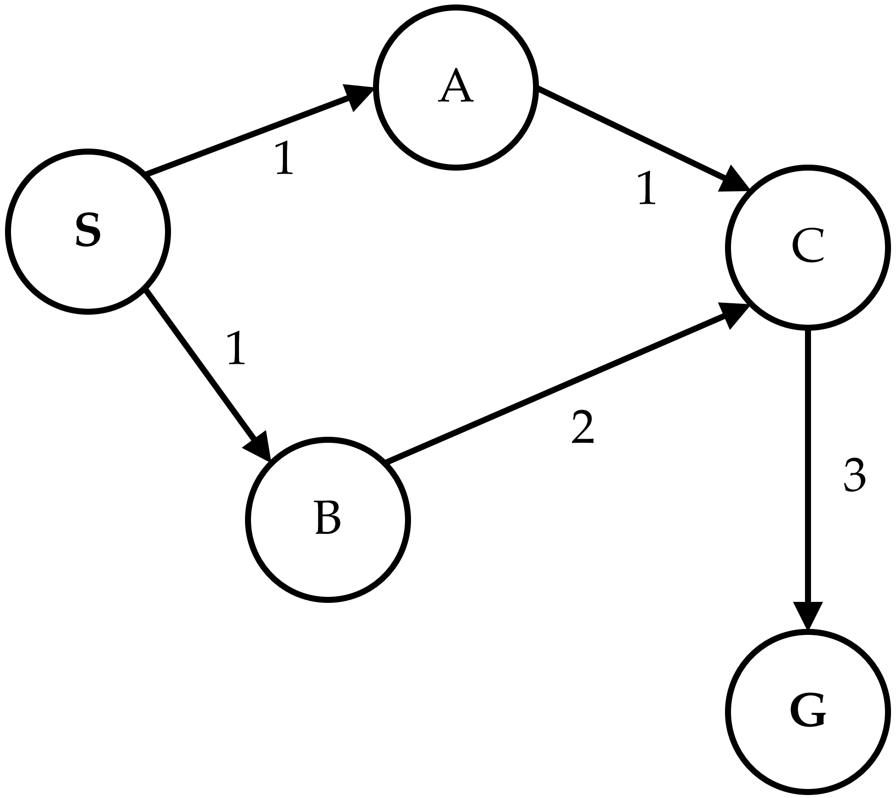
Search Tree



Last time…

- Idea: never expand a state twice
- How to implement:
  - Tree search + set of expanded states (“closed set”)
  - Expand the search tree node-by-node, but…
  - Before expanding a node, check to make sure its state has never been expanded before
  - If not new, skip it, if new add to closed set

Last time...



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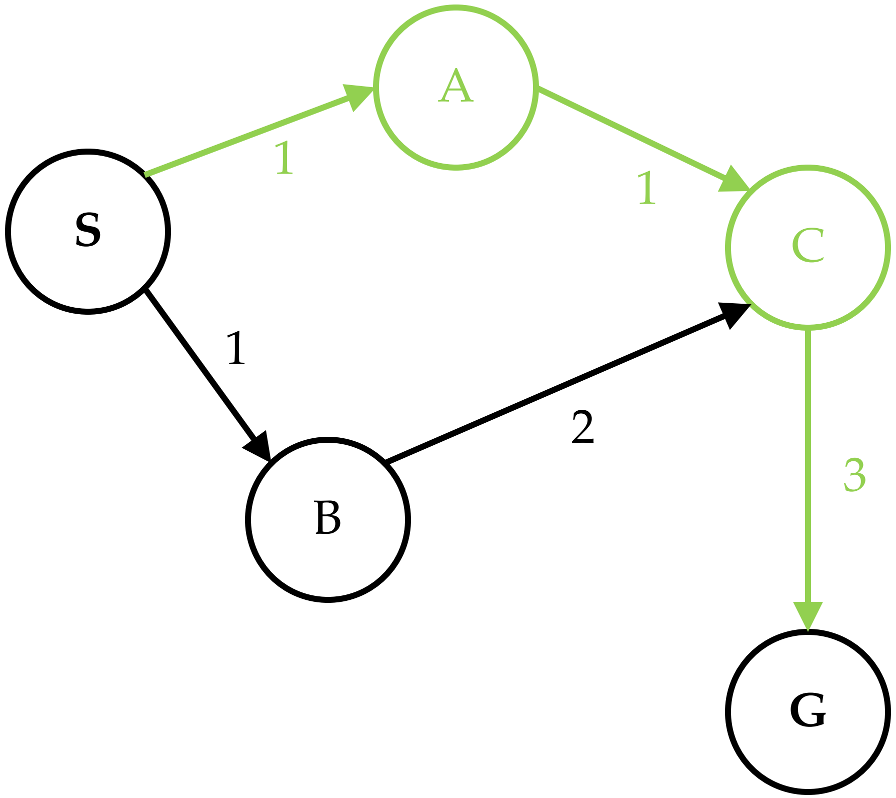

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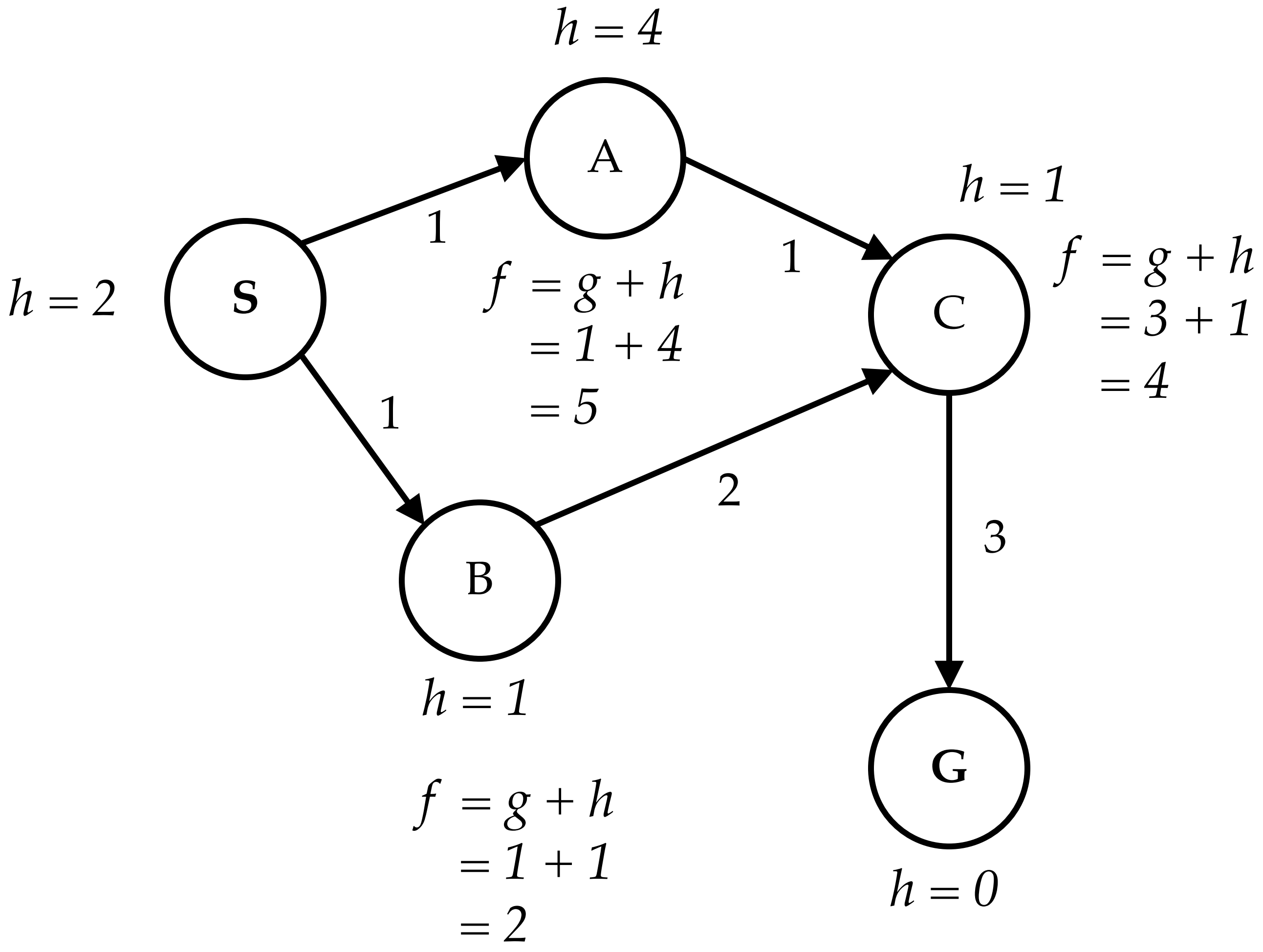
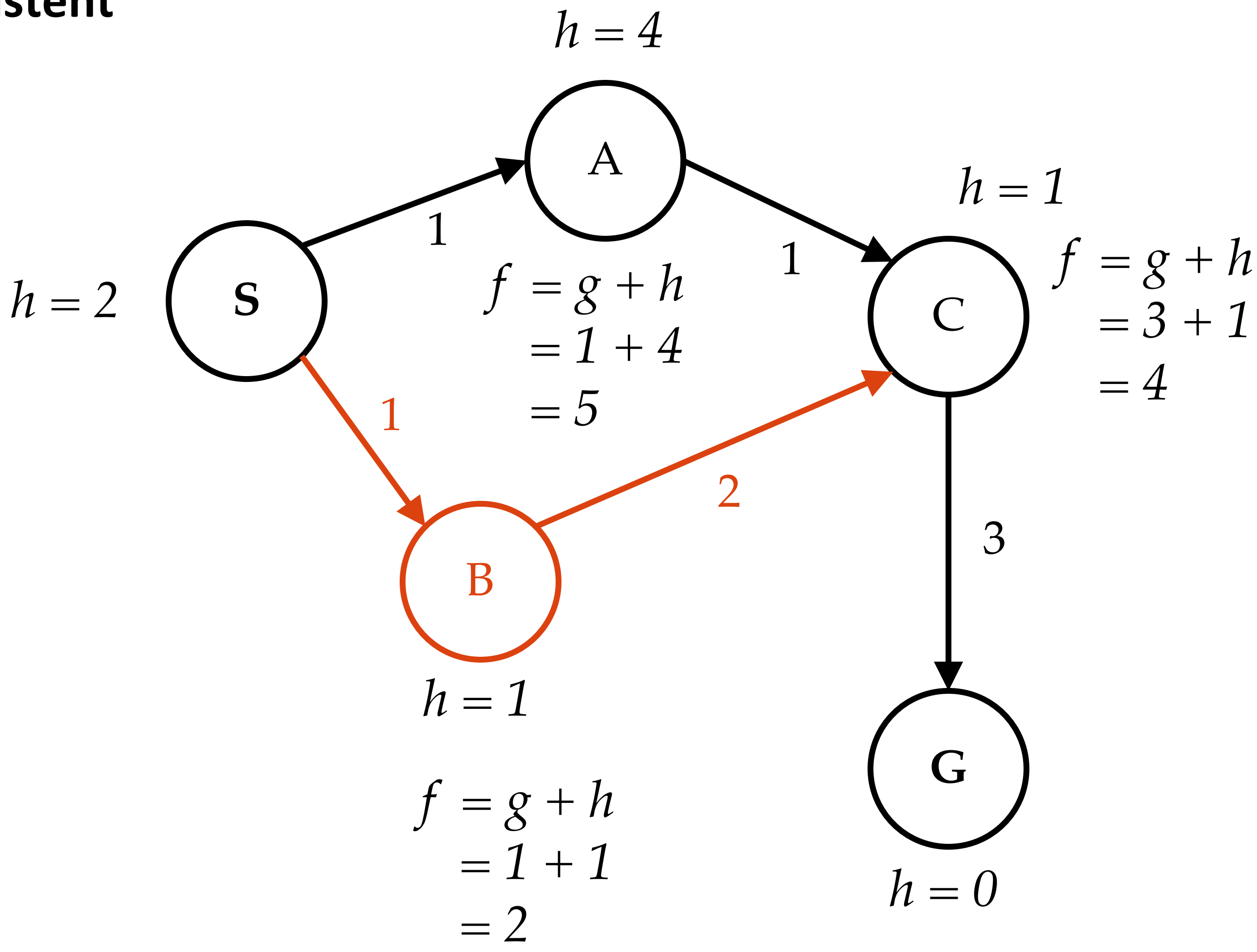


Last time...

This heuristic isn't **consistent**

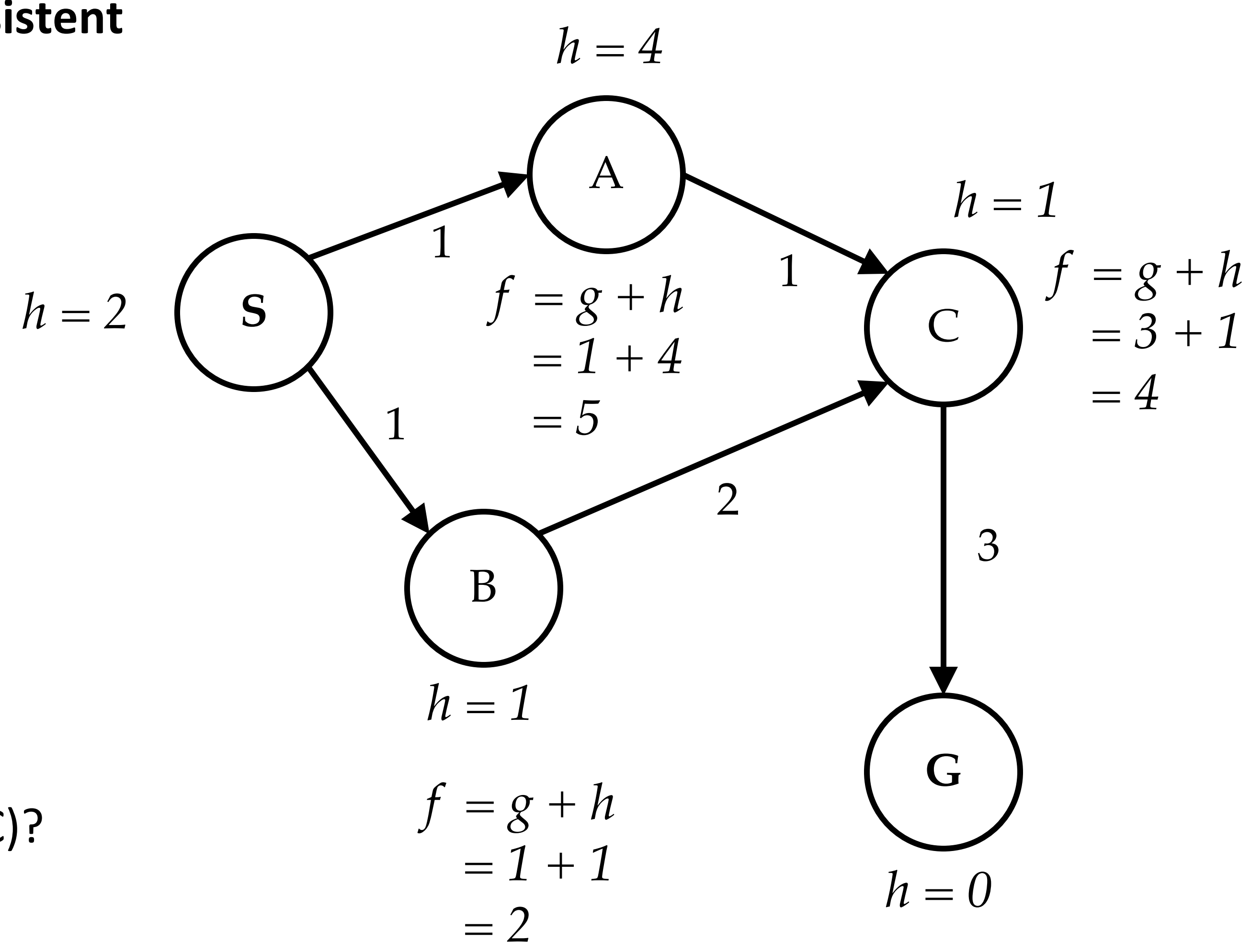


"Triangle inequality"

h(u) ≤ d(u,v) + h(v)

Last time…

This heuristic isn't **consistent**



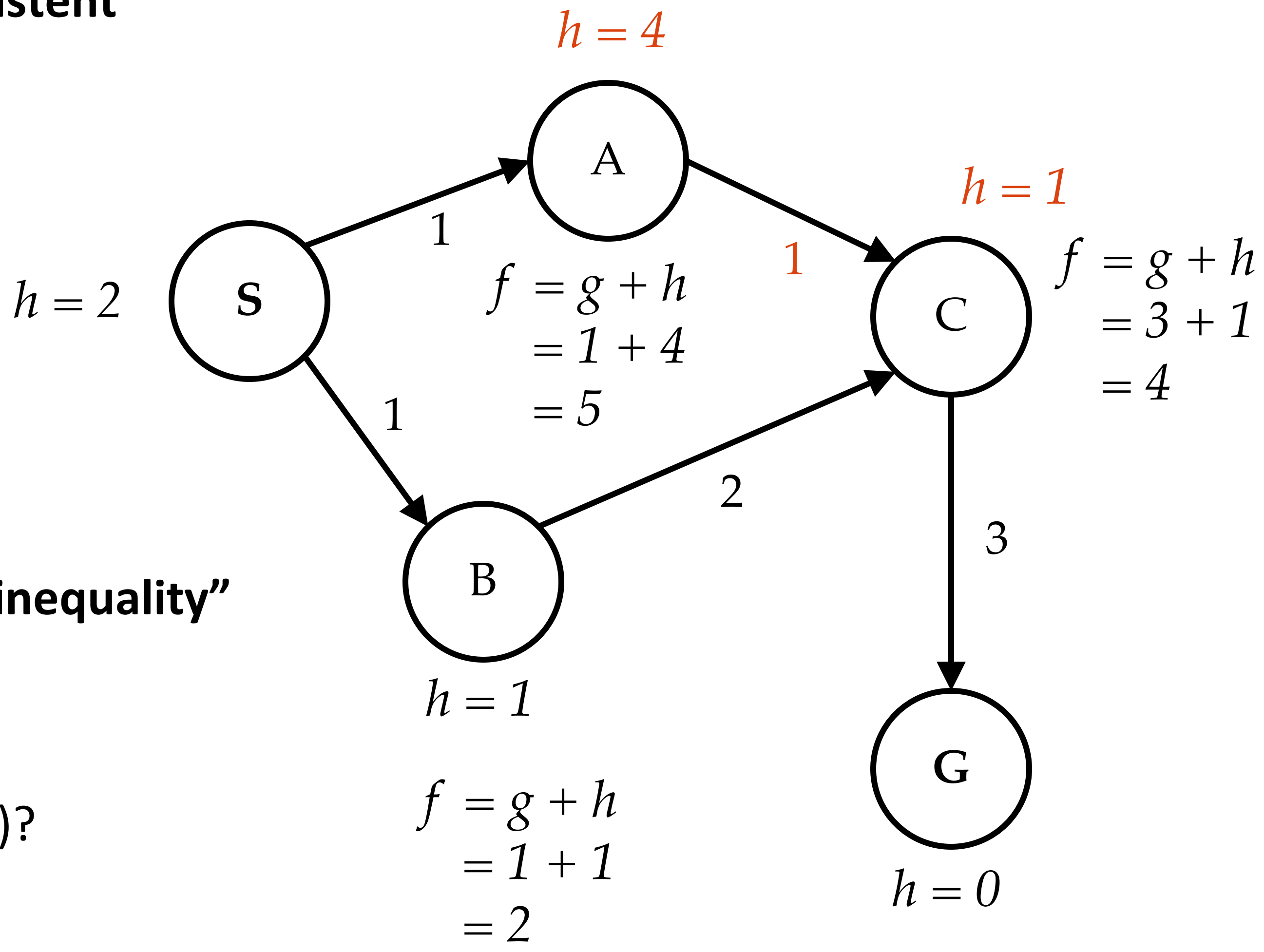
"Triangle inequality"

h(u) ≤ d(u,v) + h(v)

Q: Is h(A) ≤ d(A,C) + h(C)?

Last time...

This heuristic isn't **consistent**



Consistency: "Triangle inequality"

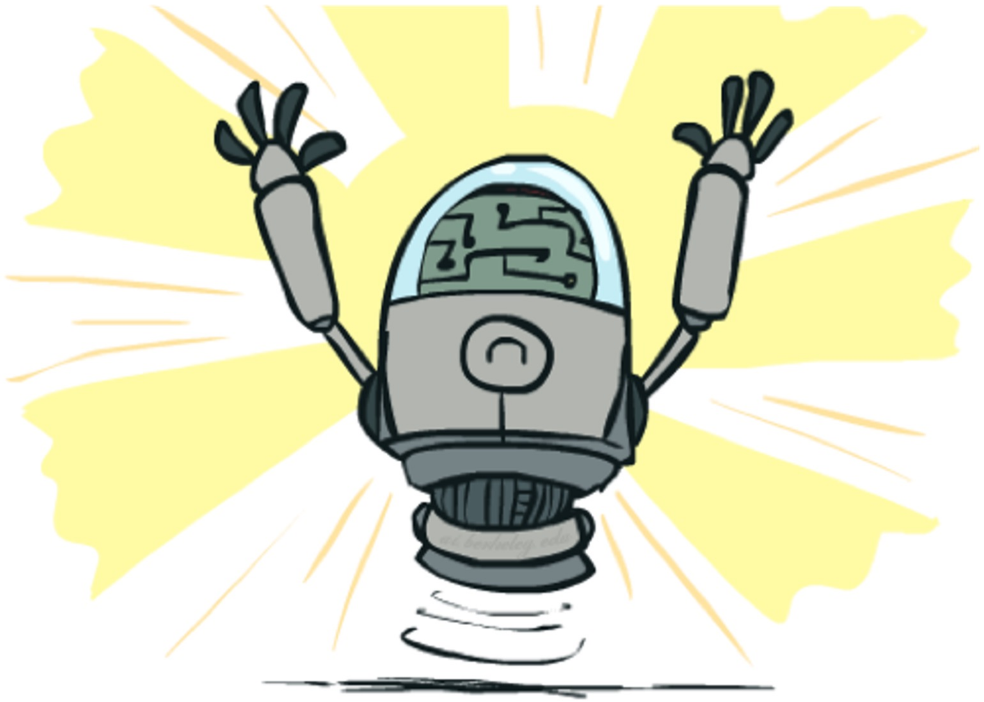
h(u) ≤ d(u,v) + h(v)

Q: Is h(A) ≤ d(A,C) + h(C)?

A: No: 4 ≰ 1 + 1

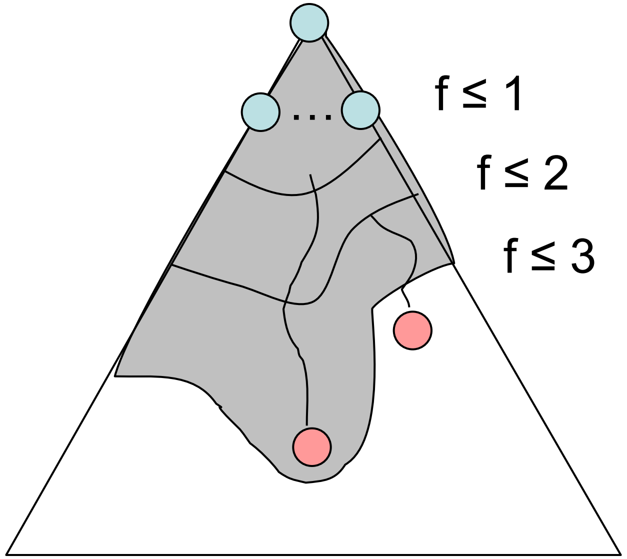
Summary of A*

- Tree search:
  - A* is optimal if heuristic is admissible
  - UCS is a special case (h = 0)
- Graph search:
  - A* optimal if heuristic is consistent
  - UCS optimal (h = 0 is consistent)
- Consistency implies admissibility
- In general, most natural admissible heuristics tend to be consistent, especially if it comes from a relaxed problem



Bonus: Optimality of A* Graph Search

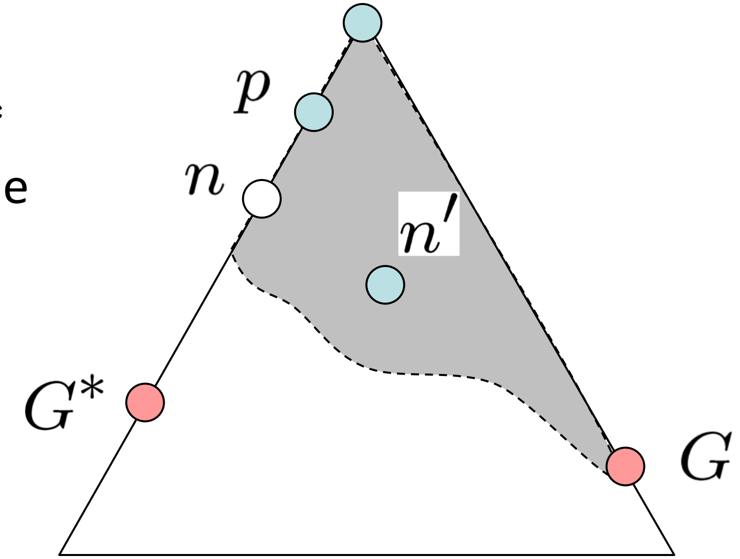
- Consider what A* does:
  - Expands nodes in increasing total f value (f-contours)
    Reminder: f(n) = g(n) + h(n) = cost to n + heuristic
  - Proof idea: the optimal goal(s) have the lowest f value, so it must get expanded first



Bonus: Optimality of A* Graph Search

Proof by contradiction:

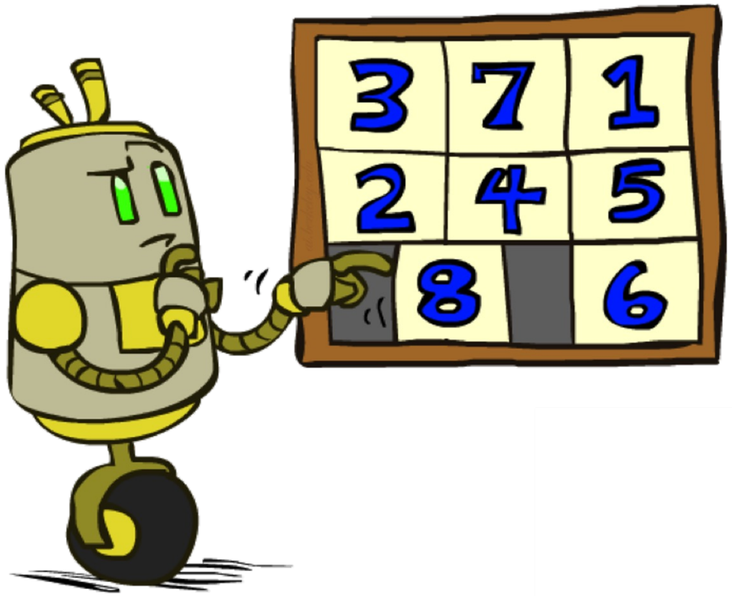
- New possible problem: some *n* on path to G* isn't in queue when we need it, because some worse *n'* for the same state dequeued and expanded first (disaster!)
- Take the highest such *n* in tree
- Let *p* be the ancestor of *n* that was on the queue when *n'* was popped
- *f(p) < f(n)* because of consistency
- *f(n) < f(n')* because *n'* is suboptimal
- *p* would have been expanded before *n'*
- Contradiction!

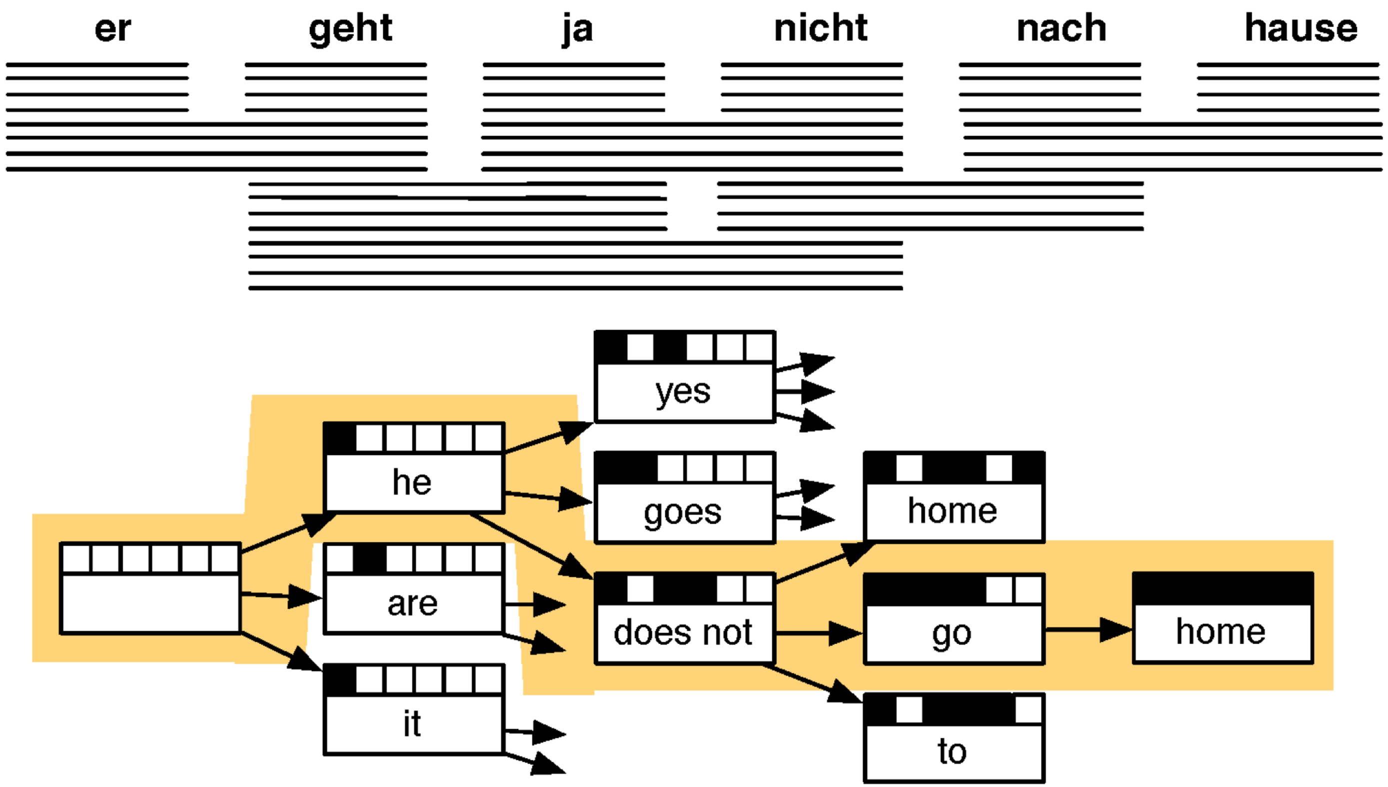


Beyond Pathfinding

A* can be used in a variety of domains besides path planning

Even has applications to LLMs!



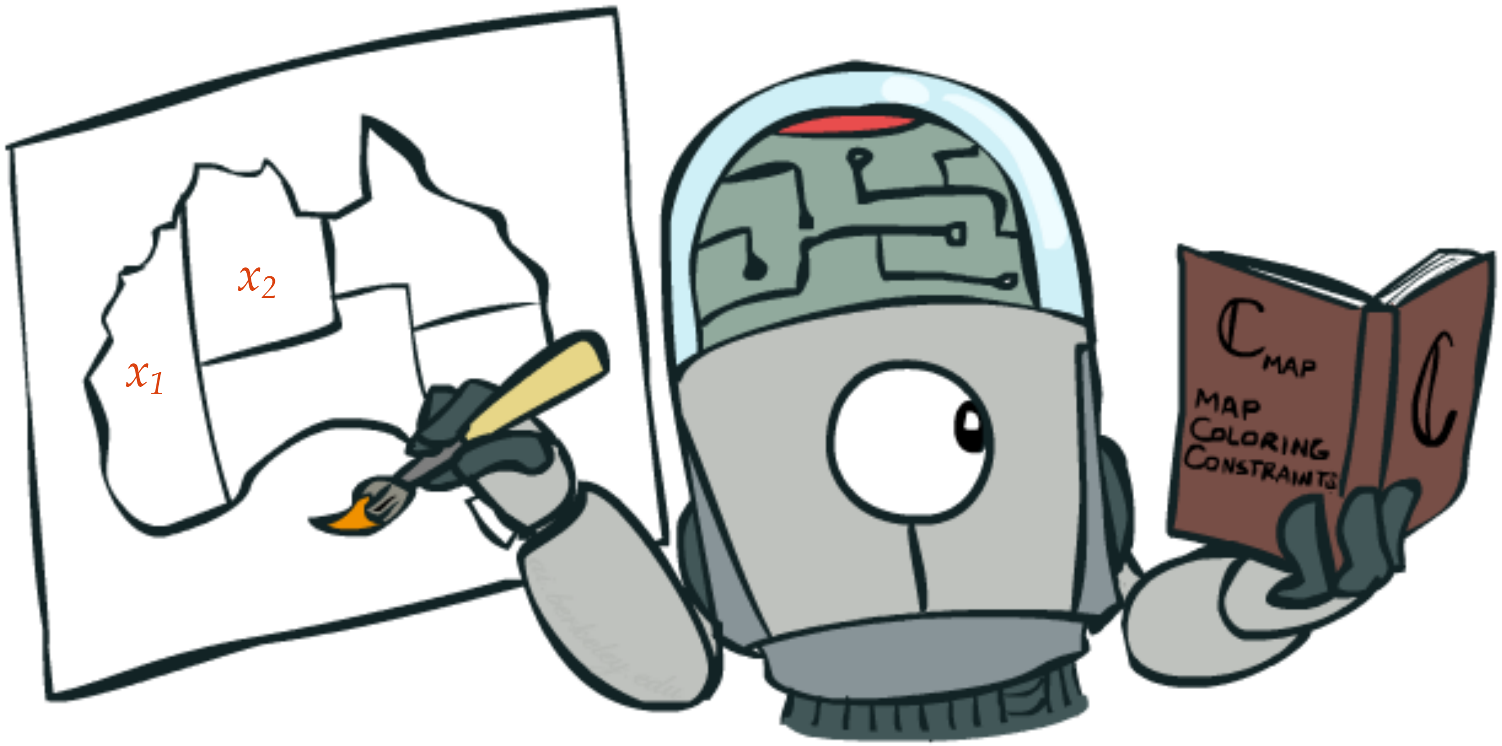


Constraint Satisfaction Problems

N variables

domain D

constraints



states

partial assignment

goal test

complete; satisfies constraints

successor function

assign an unassigned variable

What is Search For?

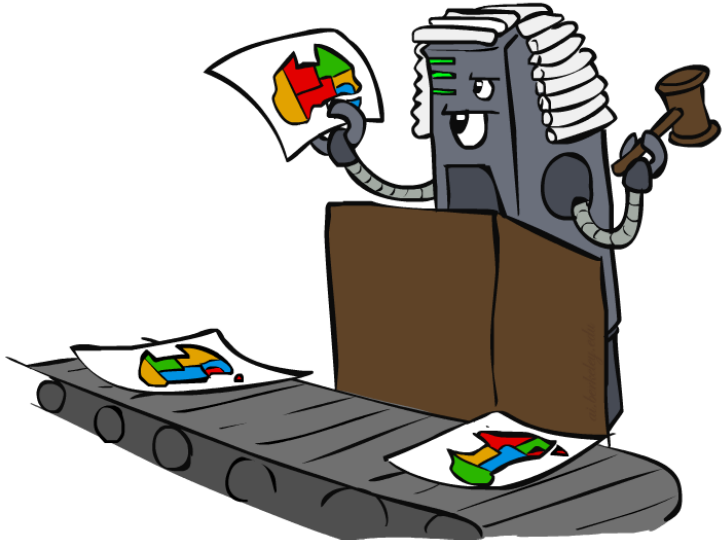
- Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space

- Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics give problem-specific guidance

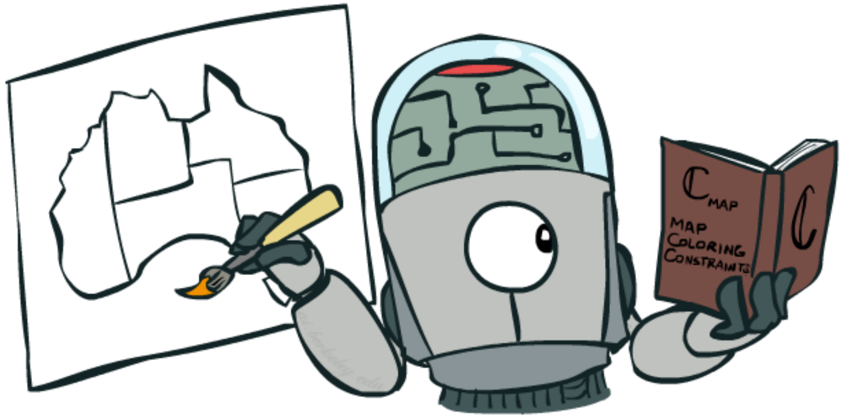
- Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are specialized for identification problems

Constraint Satisfaction Problems

- Standard search problems:
  - State is a “black box”: arbitrary data structure
  - Goal test can be any function over states
  - Successor function can also be anything

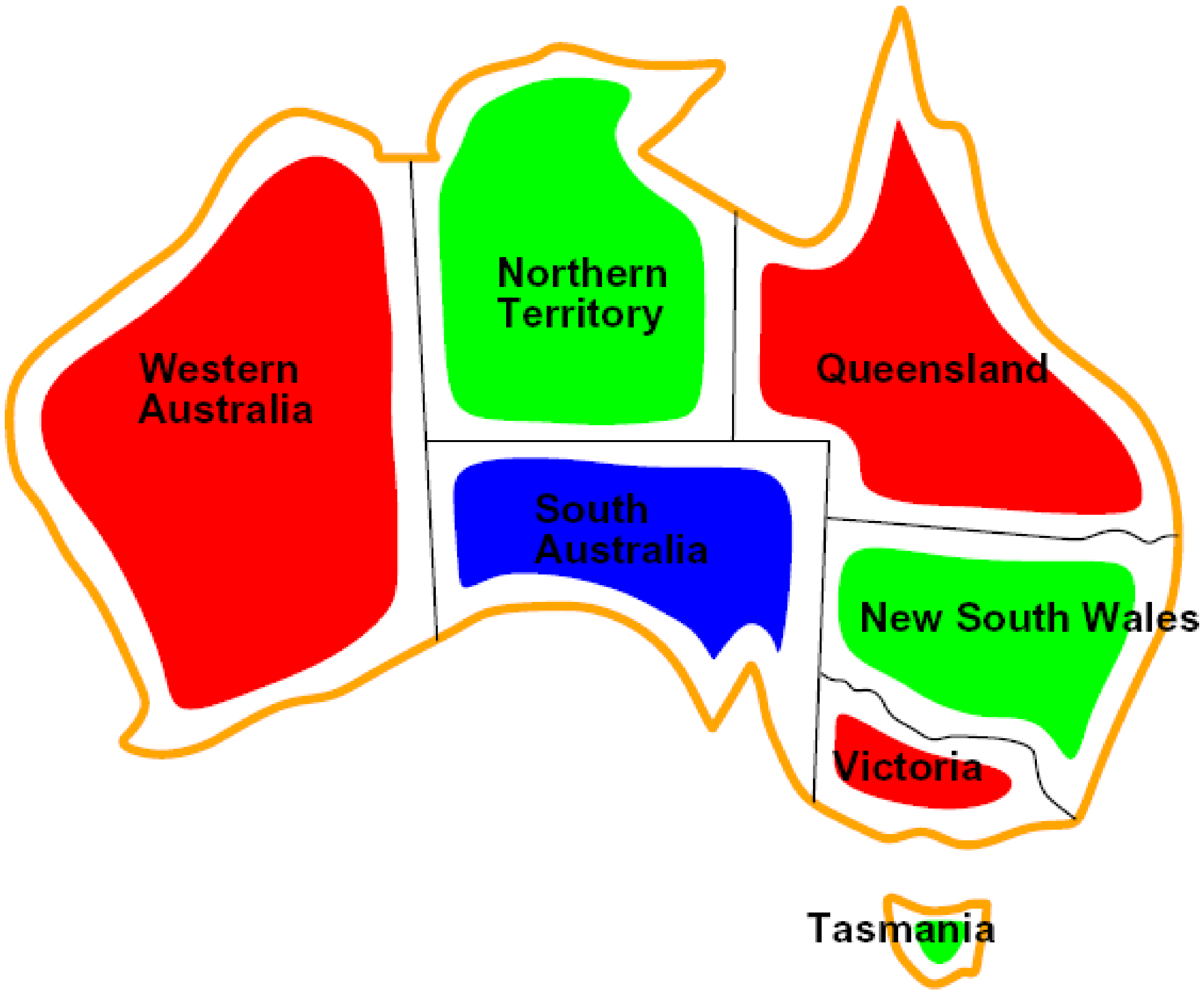


- Constraint satisfaction problems (CSPs):
  - A special subset of search problems
  - State is defined by variables X_i with values from a domain D (sometimes D depends on i)
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables



- Allows useful general-purpose algorithms with more power than standard search algorithms

CSP Examples



Example: Map Coloring

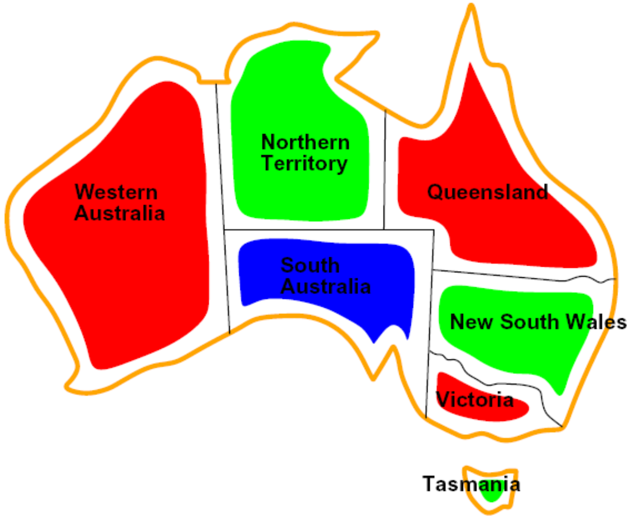
- Variables: WA, NT, Q, NSW, V, SA, T
- Domains: $D = \{\text{red}, \text{green}, \text{blue}\}$
- Constraints: adjacent regions must have different colors

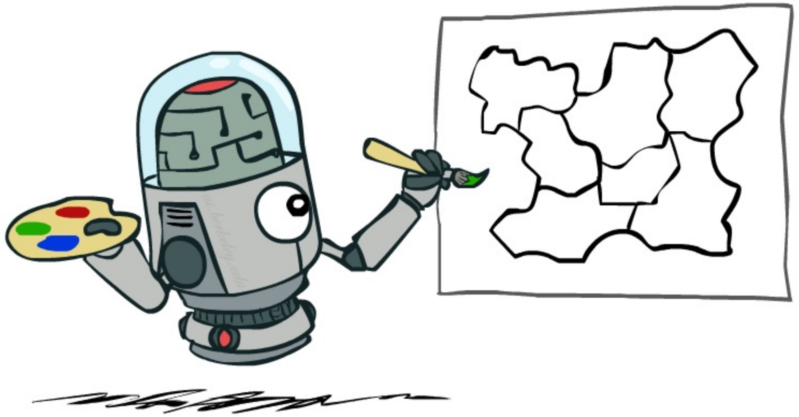
  Implicit: $\text{WA} \neq \text{NT}$

  Explicit: $(\text{WA}, \text{NT}) \in \{(\text{red}, \text{green}), (\text{red}, \text{blue}), \ldots\}$

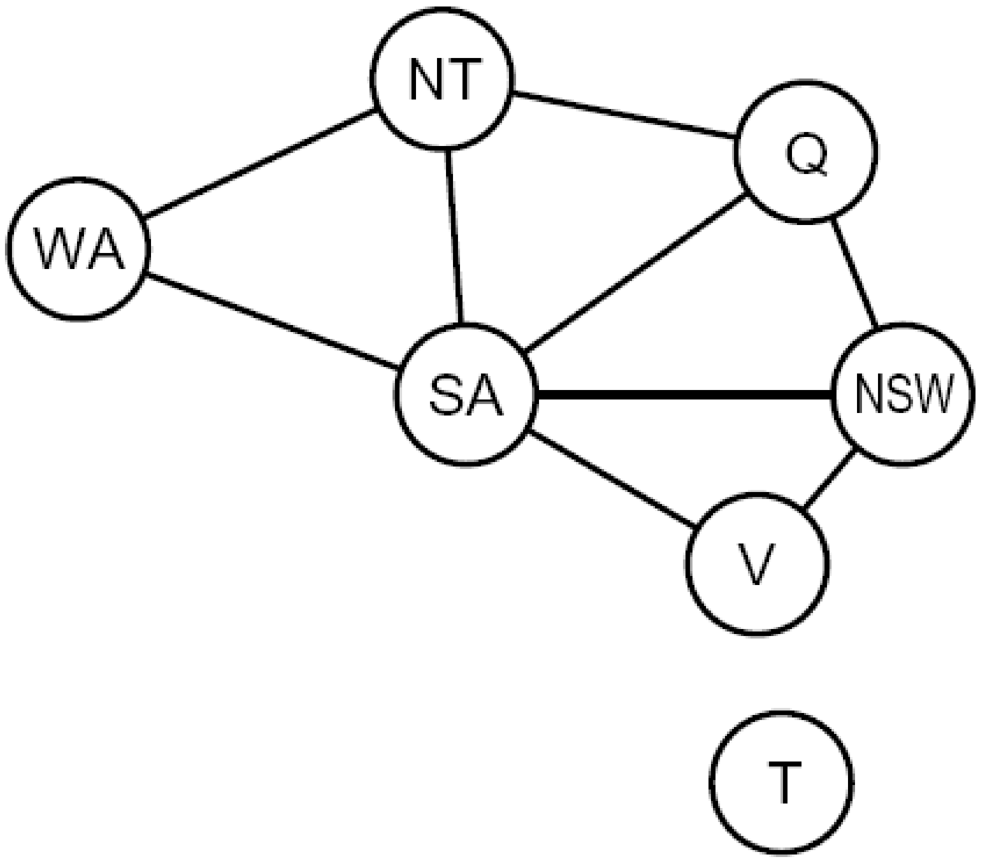
- Solutions are assignments satisfying all constraints, e.g.:

  {WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}



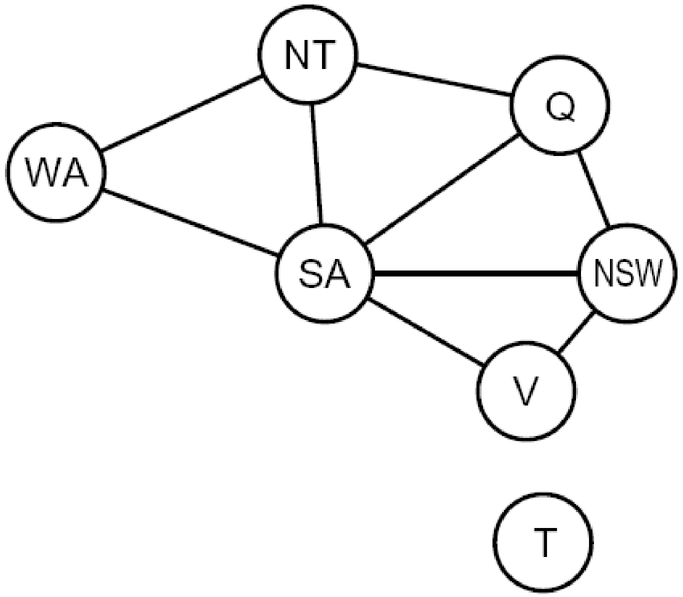


Constraint Graphs



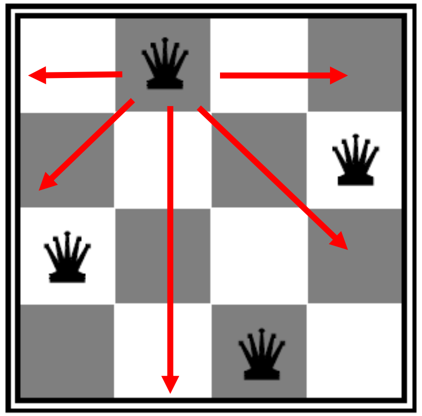
Constraint Graphs

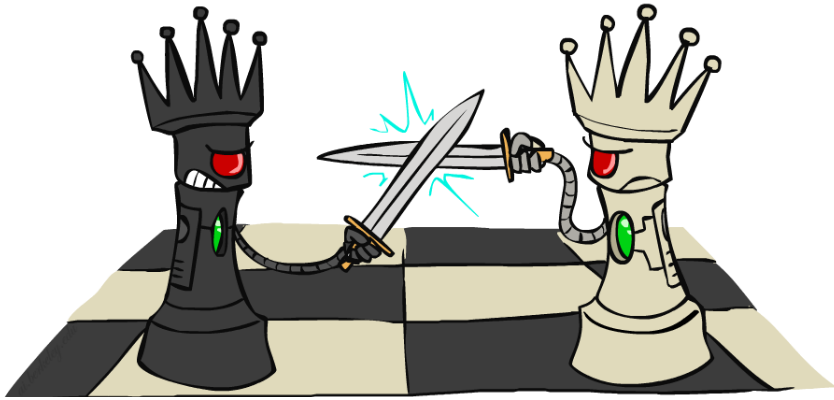
- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



Example: N-Queens

- Formulation 1:
  - Variables: X_{ij}
  - Domains: $\{0, 1\}$
  - Constraints



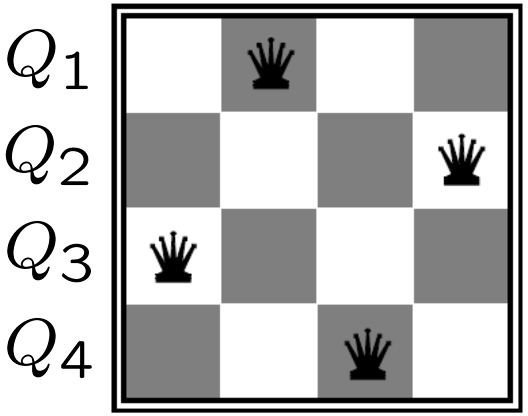


$$\forall i, j, k \;\; (X_{ij}, X_{ik}) \in \{(0,0), (0,1), (1,0)\}$$
$$\forall i, j, k \;\; (X_{ij}, X_{kj}) \in \{(0,0), (0,1), (1,0)\}$$
$$\forall i, j, k \;\; (X_{ij}, X_{i+k,j+k}) \in \{(0,0), (0,1), (1,0)\}$$
$$\forall i, j, k \;\; (X_{ij}, X_{i+k,j-k}) \in \{(0,0), (0,1), (1,0)\}$$

$$\sum_{i,j} X_{ij} = N$$

Example: N-Queens

- Formulation 2:
  - Variables: Q_k
  - Domains: $\{1, 2, 3, \ldots N\}$
  - Constraints:



Implicit: $\forall i, j \;\; \text{non-threatening}(Q_i, Q_j)$

Explicit: $(Q_1, Q_2) \in \{(1,3), (1,4), \ldots\}$

$\cdots$

Example: Cryptarithmetic

- Variables:

  $F \ T \ U \ W \ R \ O \ X_1 \ X_2 \ X_3$

- Domains:

  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

- Constraints:

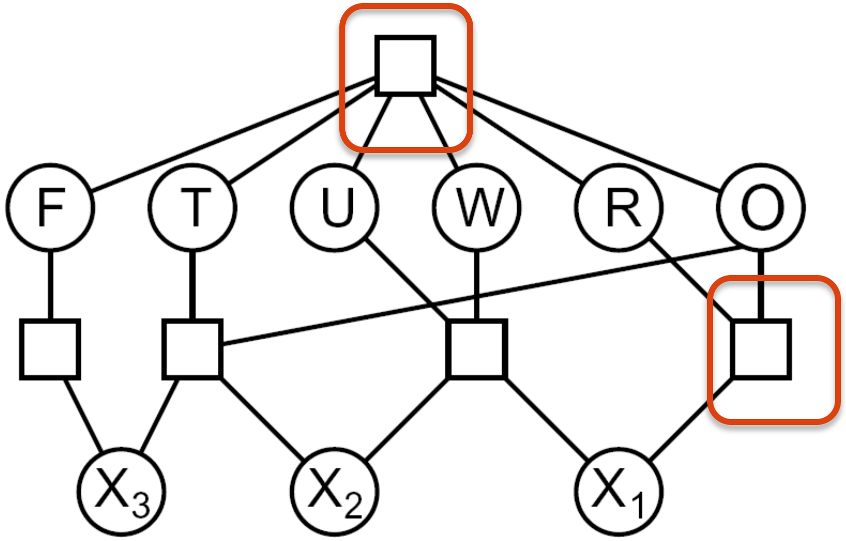
  $\boxed{\text{alldiff}(F, T, U, W, R, O)}$

  $\boxed{O + O = R + 10 \cdot X_1}$

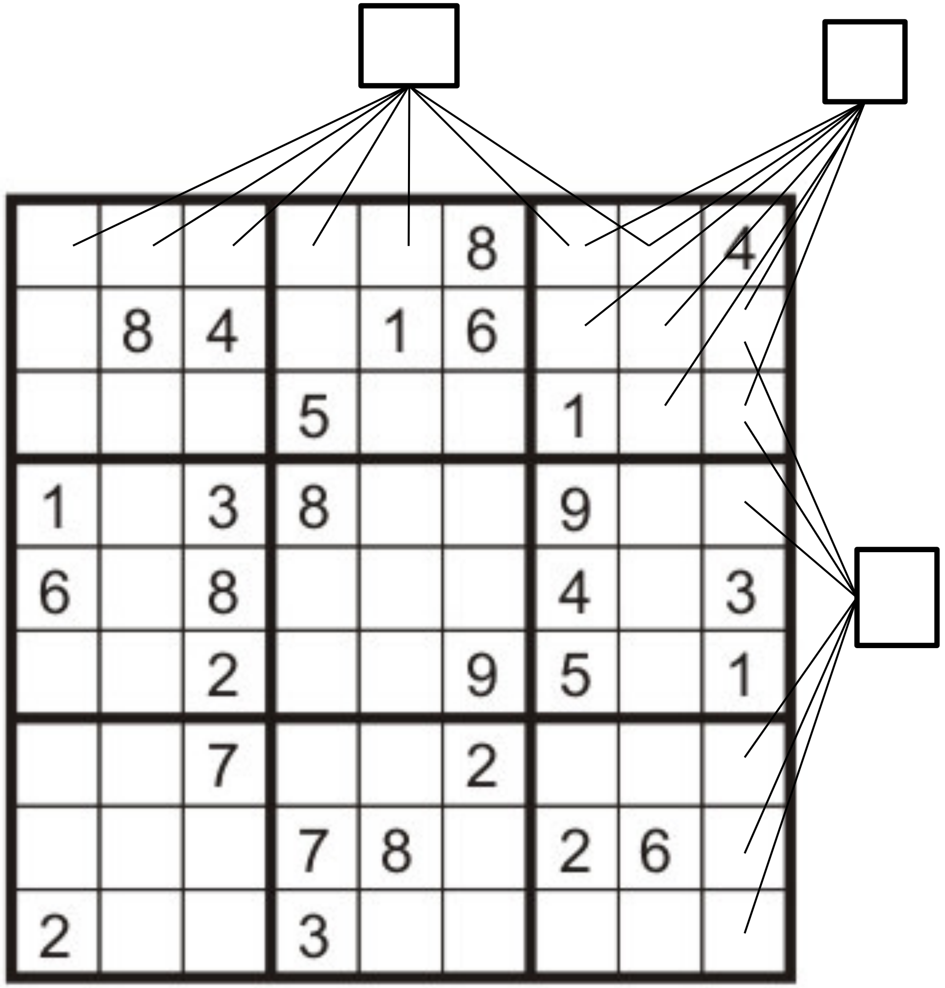
  $\cdots$

X_1

$$
\begin{array}{cccc}
 & T & W & O \\
+ & T & W & O \\
\hline
F & O & U & R
\end{array}
$$



Example: Sudoku



- Variables:
  - Each (open) square
- Domains:
  - {1,2,…,9}
- Constraints:

9-way alldiff for each column

9-way alldiff for each row

9-way alldiff for each region

(or can have a bunch of pairwise inequality constraints)

Varieties of Constraints

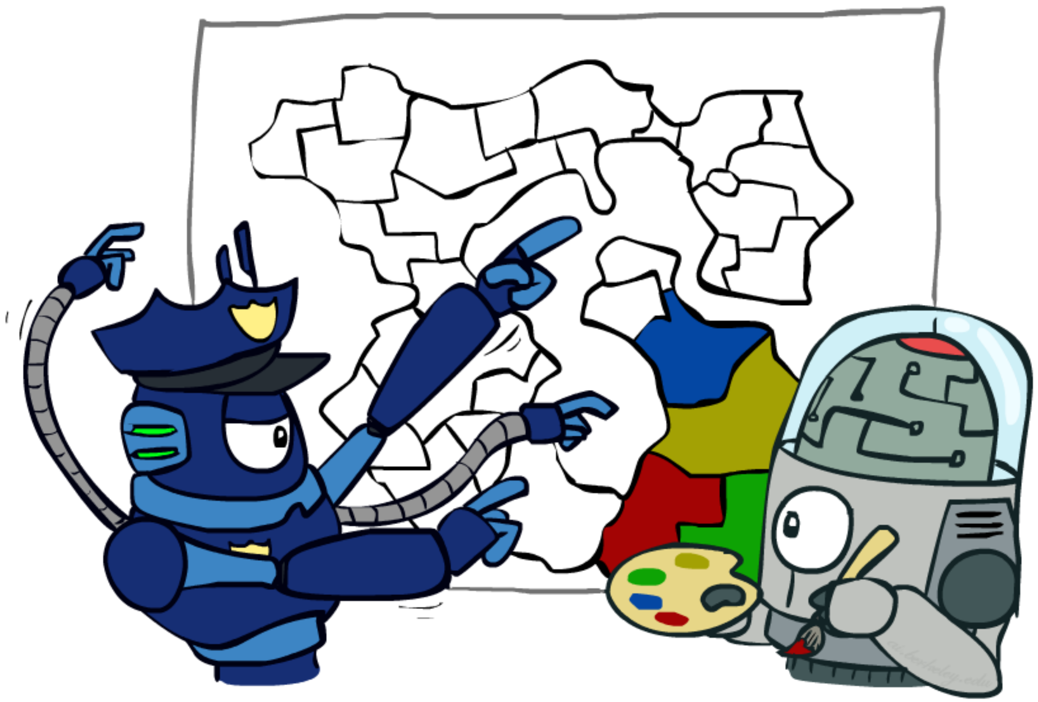
- Varieties of Constraints
  - Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

$$SA \neq green$$

  - Binary constraints involve pairs of variables, e.g.:

$$SA \neq WA$$

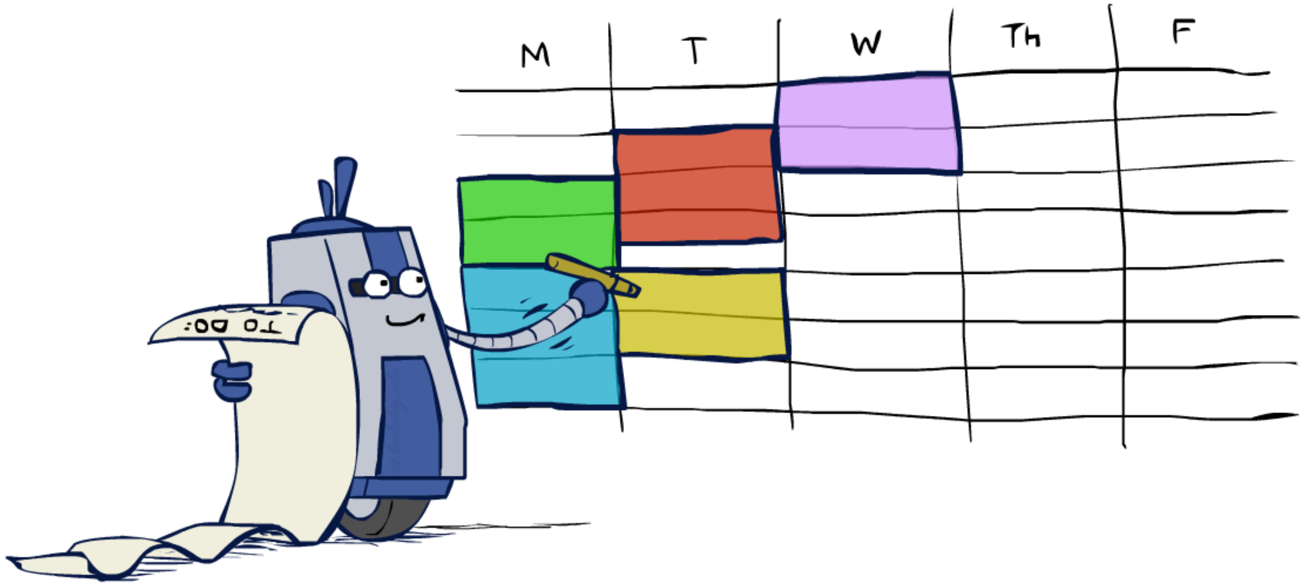
  - Higher-order constraints involve 3 or more variables:
    e.g., cryptarithmetic column constraints



- Preferences (soft constraints):
  - E.g., red is better than green
  - Often representable by a cost for each variable assignment
  - Gives constrained optimization problems
  - (We'll ignore these until we get to Bayes' nets)

Real-World CSPs

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- … lots more!

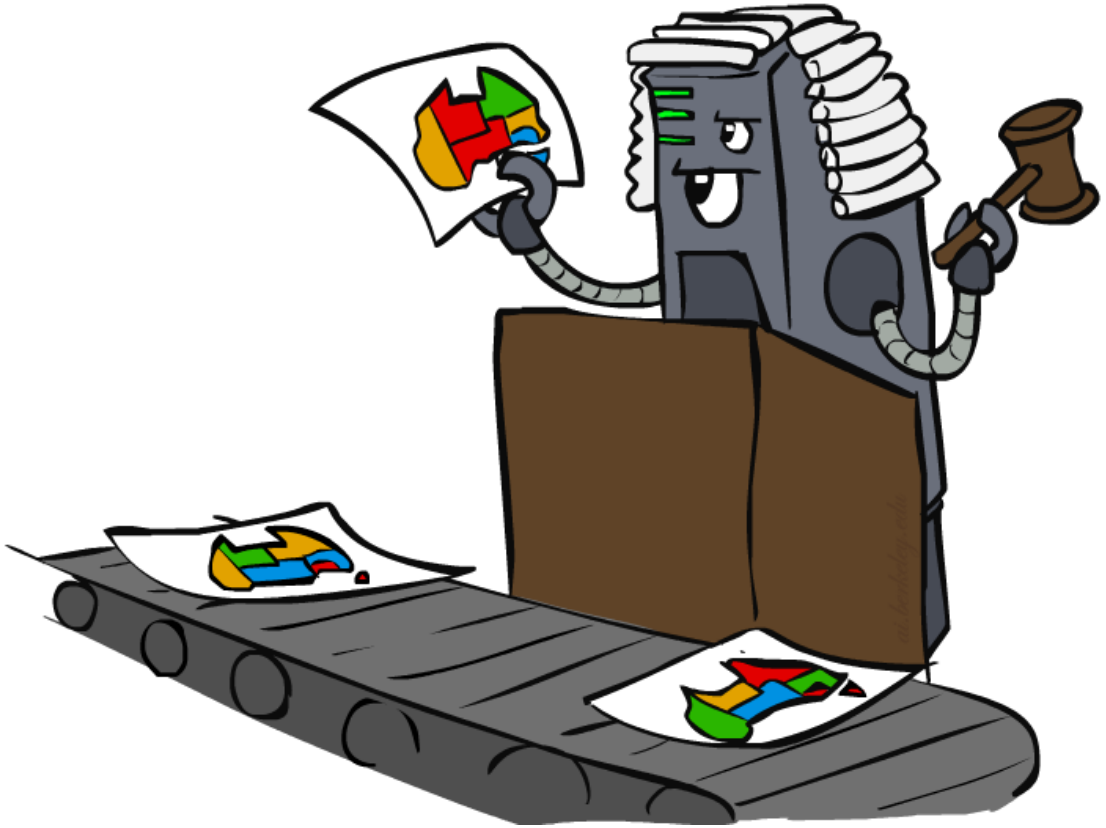


- Many real-world problems involve real-valued variables…

Solving CSPs

Standard Search Formulation

- Standard search formulation of CSPs
- States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment, {}
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints
- We'll start with the straightforward, naïve approach, then improve it



Search Methods

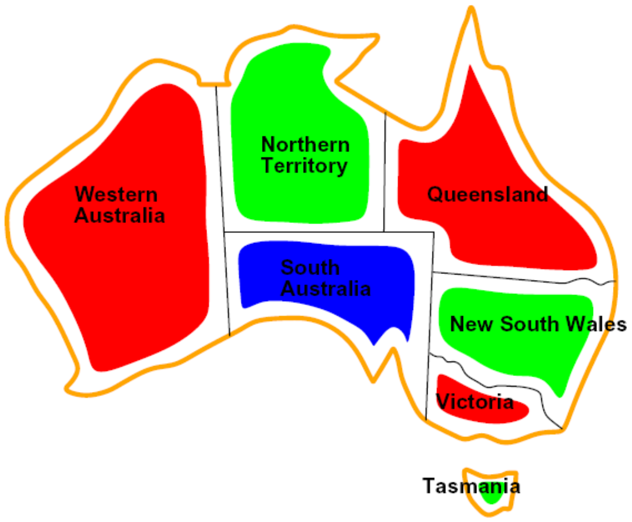
- What would BFS do?

$\{\}$

$\{WA=g\}$ $\{WA=r\}$ … $\{NT=g\}$ …

$\{WA=g, NT=r\}$ $\{WA=g, NT=g\}$ $\{WA=r, NT=g\}$

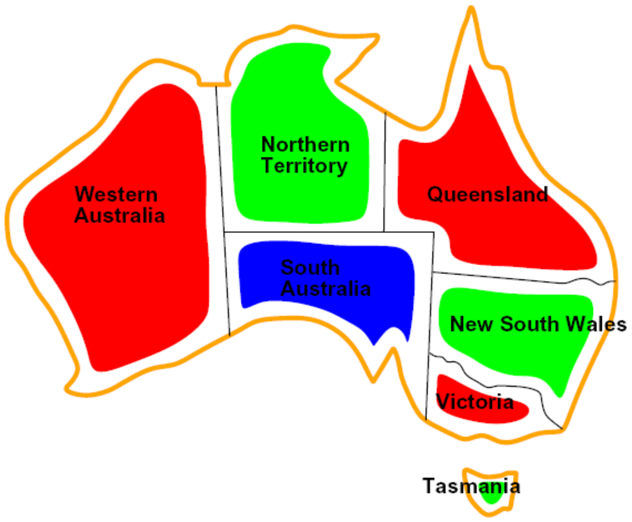
…



[Demo: coloring -- dfs]

Search Methods

- What would BFS do?



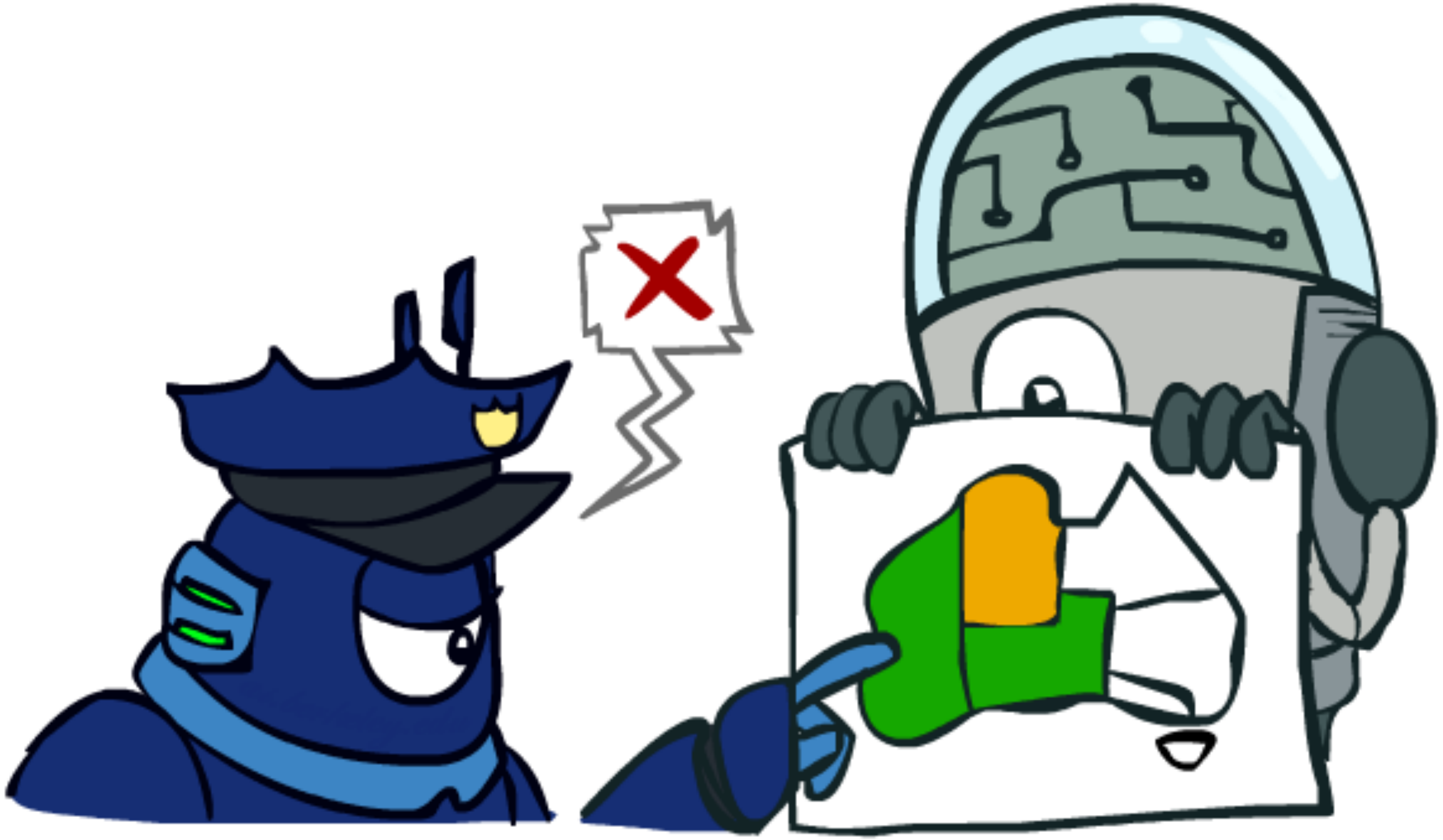
- What would DFS do?
  - let's see!

- What problems does naïve search have?

[Demo: coloring -- dfs]

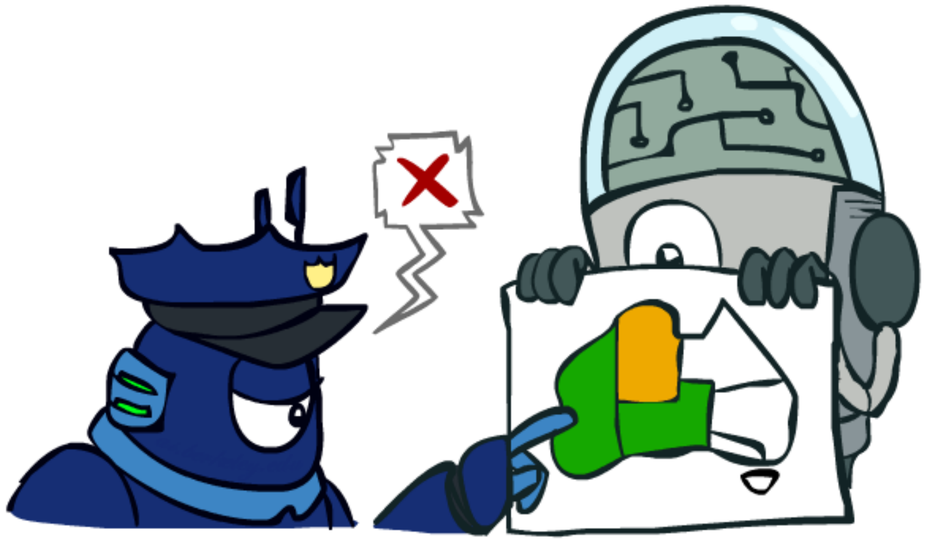
Video of Demo Coloring -- DFS

Backtracking Search

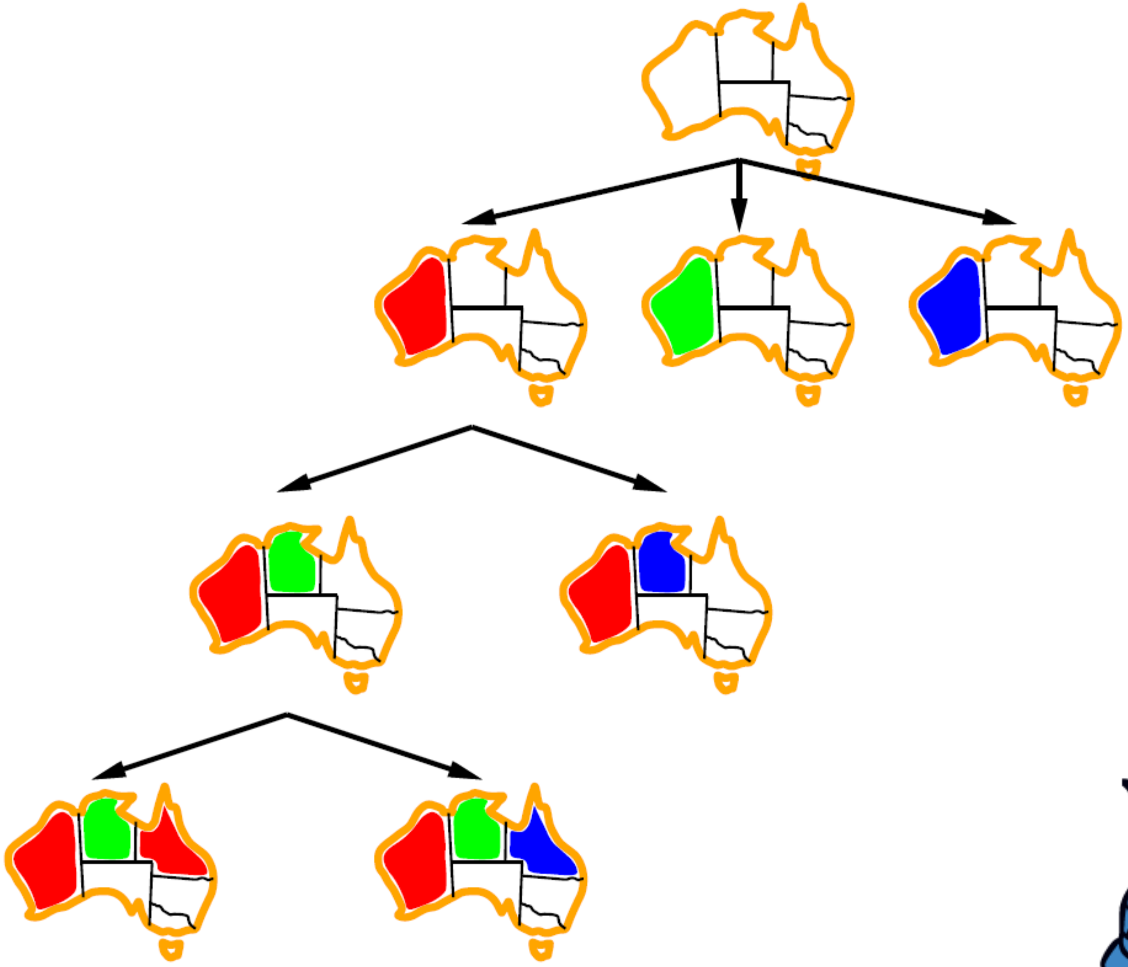


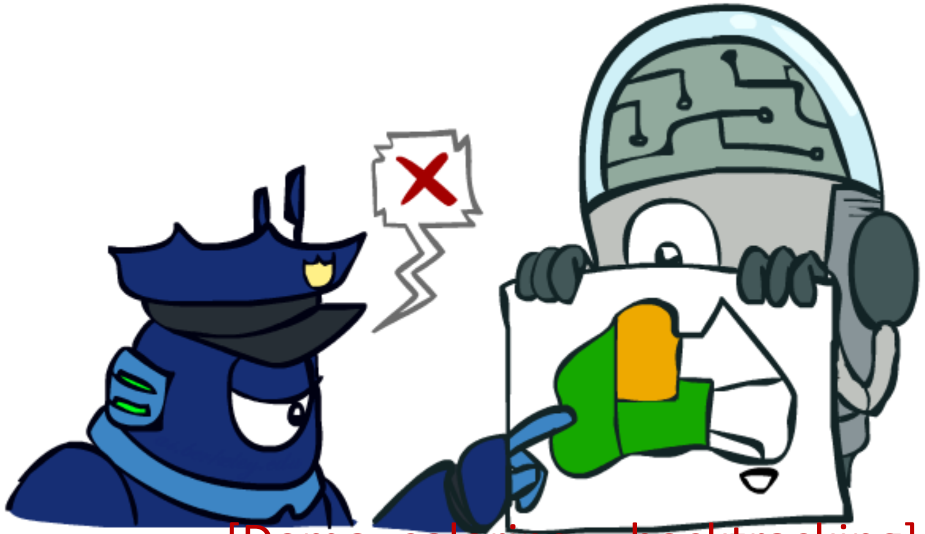
Backtracking Search

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea 1: One variable at a time
  - Variable assignments are commutative, so fix ordering -> better branching factor!
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Check constraints as you go
  - I.e. consider only values which do not conflict previous assignments
  - Might have to do some computation to check the constraints
  - "Incremental goal test"
- Depth-first search with these two improvements is called *backtracking search* (not the best name)
- Can solve n-queens for $n \approx 25$



Backtracking Example





[Demo: coloring -- backtracking]

Video of Demo Coloring – Backtracking

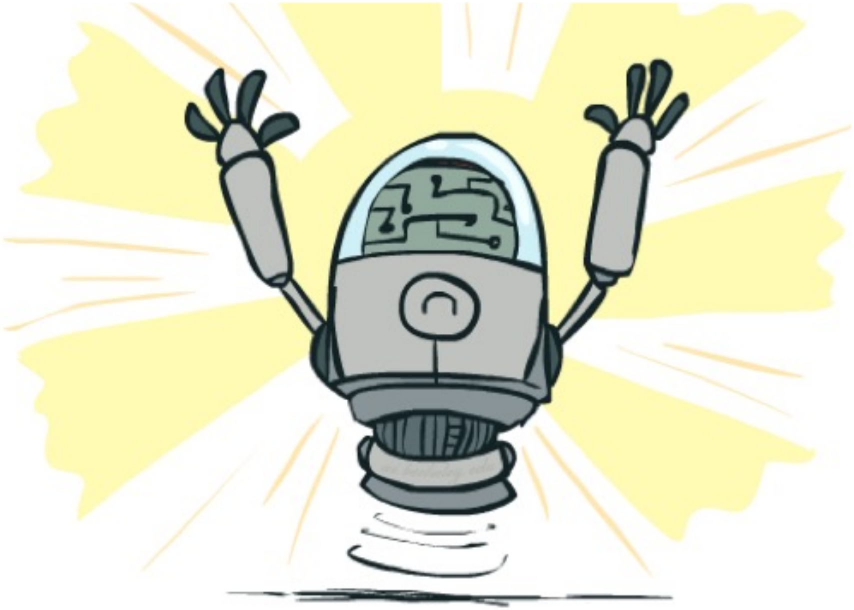
Backtracking Search

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
   return RECURSIVE-BACKTRACKING({ }, csp)
function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
   if assignment is complete then return assignment
   var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
   for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
      if value is consistent with assignment given CONSTRAINTS[csp] then
         add {var = value} to assignment
         result ← RECURSIVE-BACKTRACKING(assignment, csp)
         if result ≠ failure then return result
         remove {var = value} from assignment
   return failure
```

- Backtracking = DFS + variable-ordering + fail-on-violation
- What are the choice points?

Improving Backtracking

- General-purpose ideas give huge gains in speed
- Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- Filtering: Can we detect inevitable failure early?

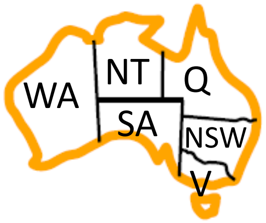


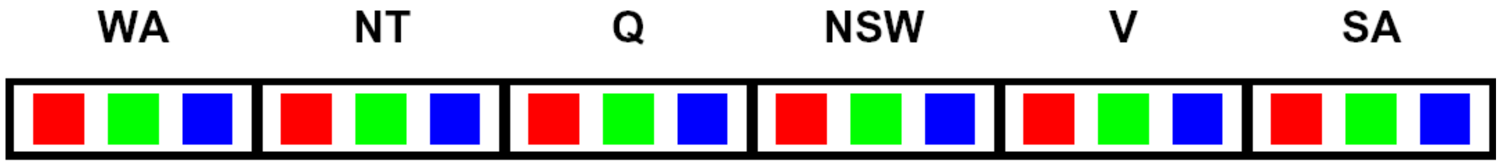
Filtering

Keep track of domains for unassigned variables and cross off bad options

Filtering: Forward Checking

- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: Cross off values that violate a constraint when added to the existing assignment



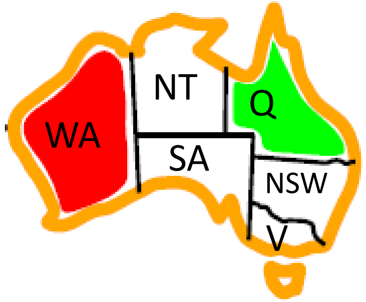


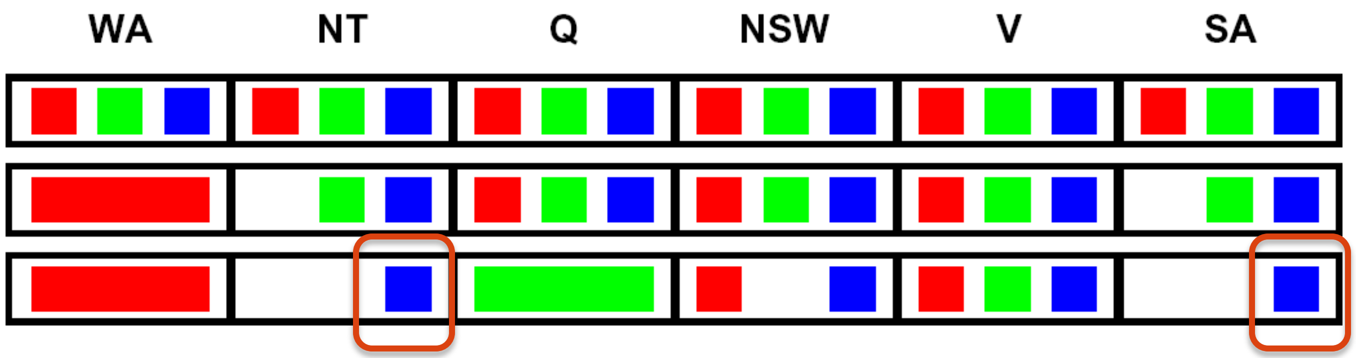
[Demo: coloring -- forward checking]

Video of Demo Coloring – Backtracking with Forward Checking

Filtering: Constraint Propagation

- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:

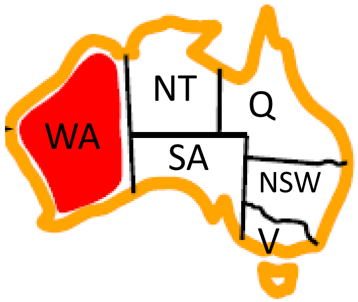


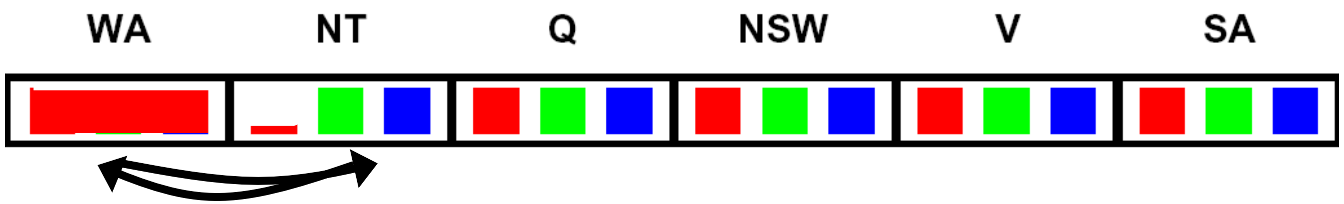


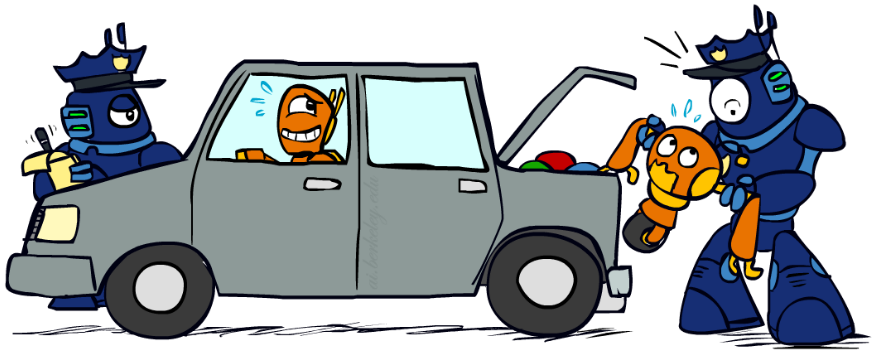
- NT and SA cannot both be blue!
- Why didn't we detect this yet?
- *Constraint propagation:* reason from constraint to constraint

Consistency of A Single Arc

- An arc X $\rightarrow$ Y is consistent iff for *every* x in the tail there is *some* y in the head which could be assigned without violating a constraint







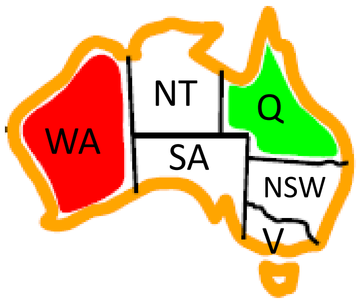
Delete from the tail!

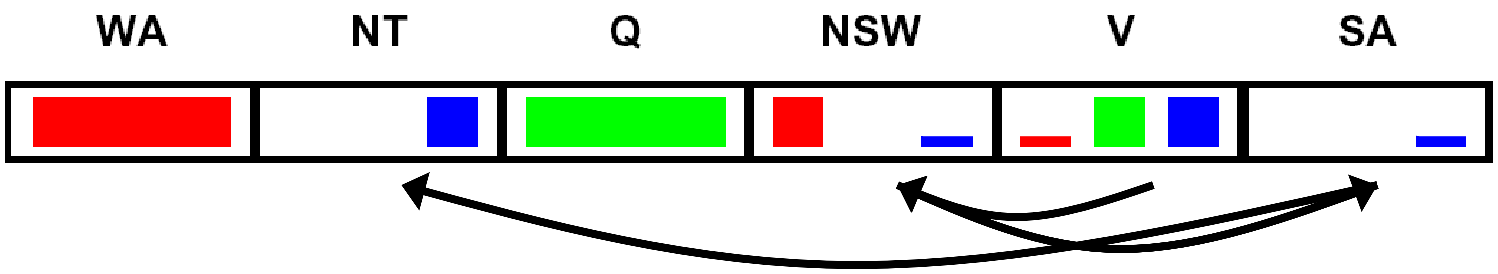
Forward checking?

Enforcing consistency of arcs pointing to each new assignment

Arc Consistency of an Entire CSP

- A simple form of propagation makes sure all arcs are consistent:





- Important: If X loses a value, neighbors of X need to be rechecked!
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment
- What's the downside of enforcing arc consistency?

Remember: Delete from the tail!

Enforcing Arc Consistency in a CSP

```
function AC-3( csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables {X1, X2, ..., Xn}
   local variables: queue, a queue of arcs, initially all the arcs in csp

   while queue is not empty do
      (Xi, Xj) ← REMOVE-FIRST(queue)
      if REMOVE-INCONSISTENT-VALUES(Xi, Xj) then
         for each Xk in NEIGHBORS[Xi] do
            add (Xk, Xi) to queue
```

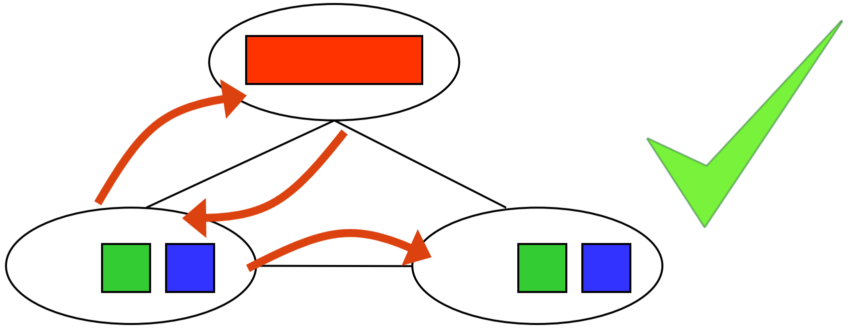
```
function REMOVE-INCONSISTENT-VALUES( Xi, Xj) returns true iff succeeds
   removed ← false
   for each x in DOMAIN[Xi] do
      if no value y in DOMAIN[Xj] allows (x,y) to satisfy the constraint Xi ↔ Xj
         then delete x from DOMAIN[Xi]; removed ← true
   return removed
```

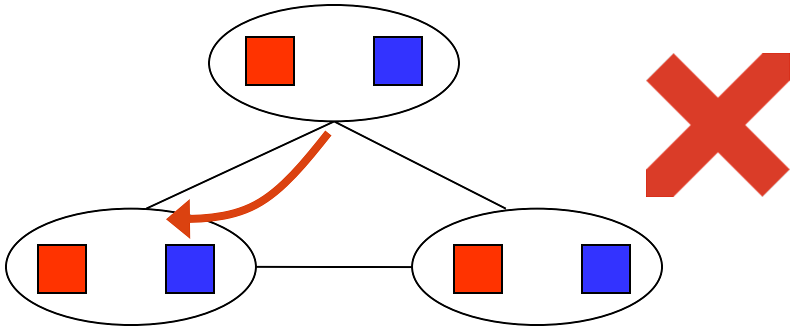
- Runtime: $O(n^2d^3)$, can be reduced to $O(n^2d^2)$
- … but detecting all possible future problems is NP-hard – why?

Limitations of Arc Consistency

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)

- Arc consistency still runs inside a backtracking search!





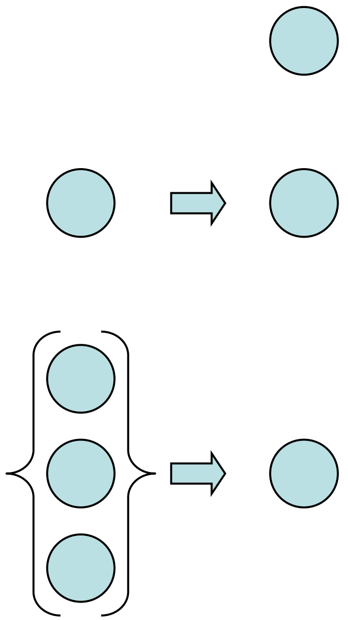
[Demo: coloring -- forward checking]
[Demo: coloring -- arc consistency]

Video of Demo Coloring – Backtracking with Forward Checking – Complex Graph

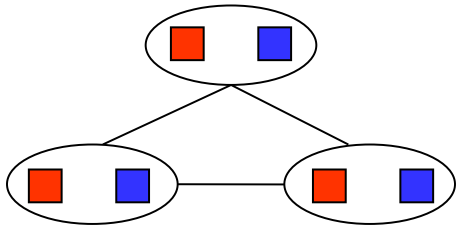
Video of Demo Coloring – Backtracking with Arc Consistency – Complex Graph

K-Consistency

- Increasing degrees of consistency
  - 1-Consistency (Node Consistency): Each single node's domain has a value which meets that node's unary constraints
  - 2-Consistency (Arc Consistency): For each pair of nodes, any consistent assignment to one can be extended to the other
  - K-Consistency: For each k nodes, any consistent assignment to k-1 can be extended to the k^{th} node.



- Higher k more expensive to compute
- (You need to know the k=2 case: arc consistency)



Strong K-Consistency

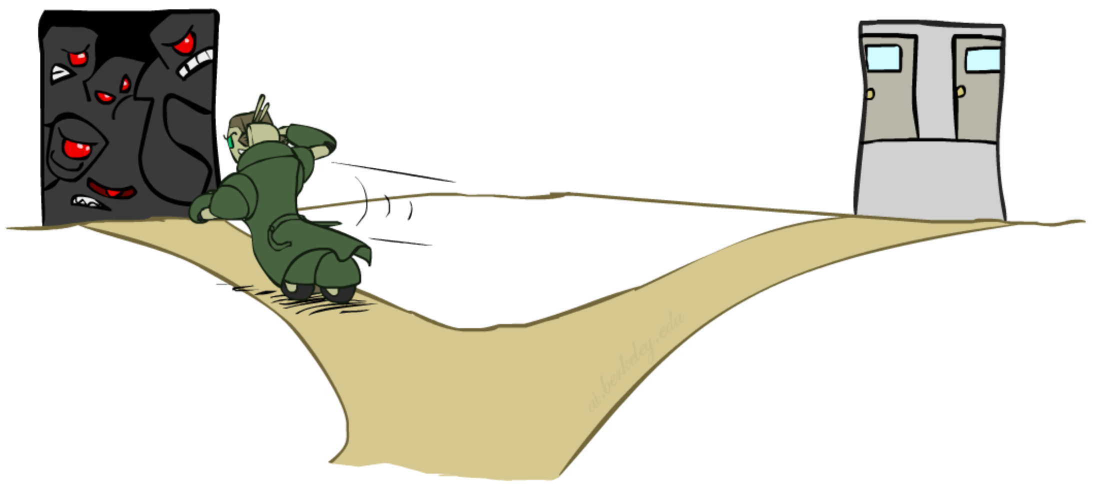
- Strong k-consistency: also k-1, k-2, … 1 consistent
- Claim: strong n-consistency means we can solve without backtracking!
- Why?
  - Choose any assignment to any variable
  - Choose a new variable
  - By 2-consistency, there is a choice consistent with the first
  - Choose a new variable
  - By 3-consistency, there is a choice consistent with the first 2
  - …
- Lots of middle ground between arc consistency and n-consistency! (e.g. k=3, called path consistency)

Ordering

Ordering: Minimum Remaining Values

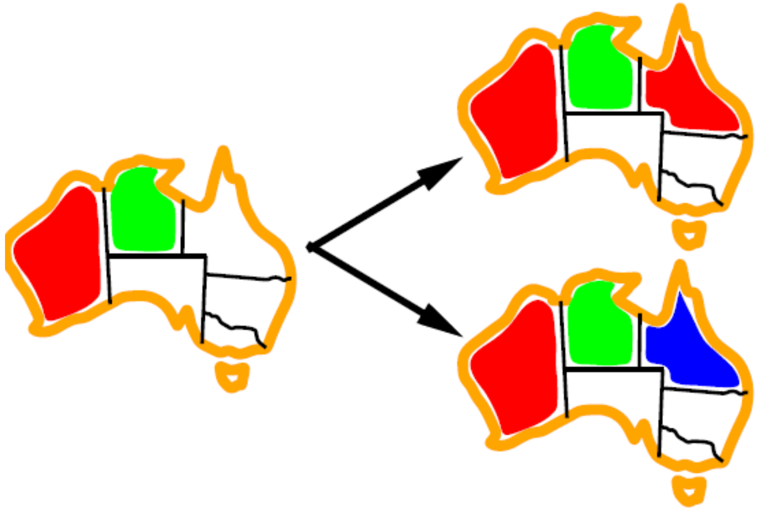
- Variable Ordering: Minimum remaining values (MRV):
  - Choose the variable with the fewest legal left values in its domain

- Why min rather than max?
- Also called "most constrained variable"
- "Fail-fast" ordering



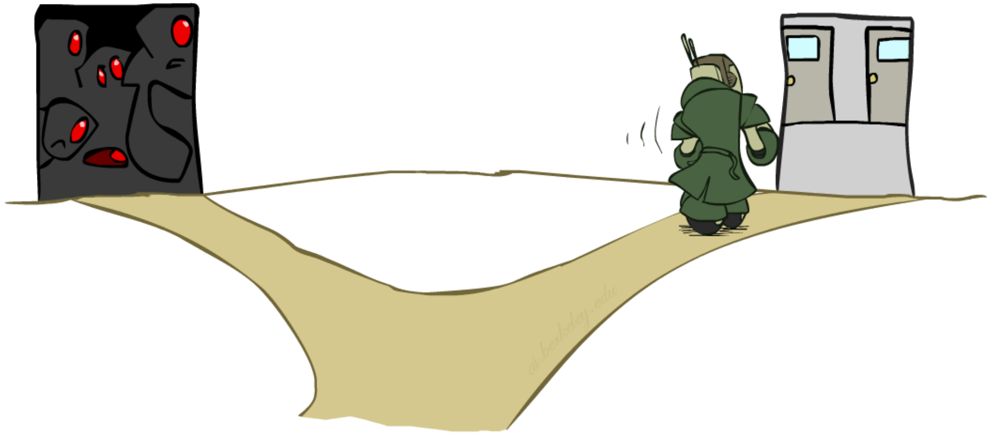
Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
  - Given a choice of variable, choose the *least constraining value*
  - I.e., the one that rules out the fewest values in the remaining variables
  - Note that it may take some computation to determine this! (E.g., rerunning filtering)



- Why least rather than most?

- Combining these ordering ideas makes 1000 queens feasible

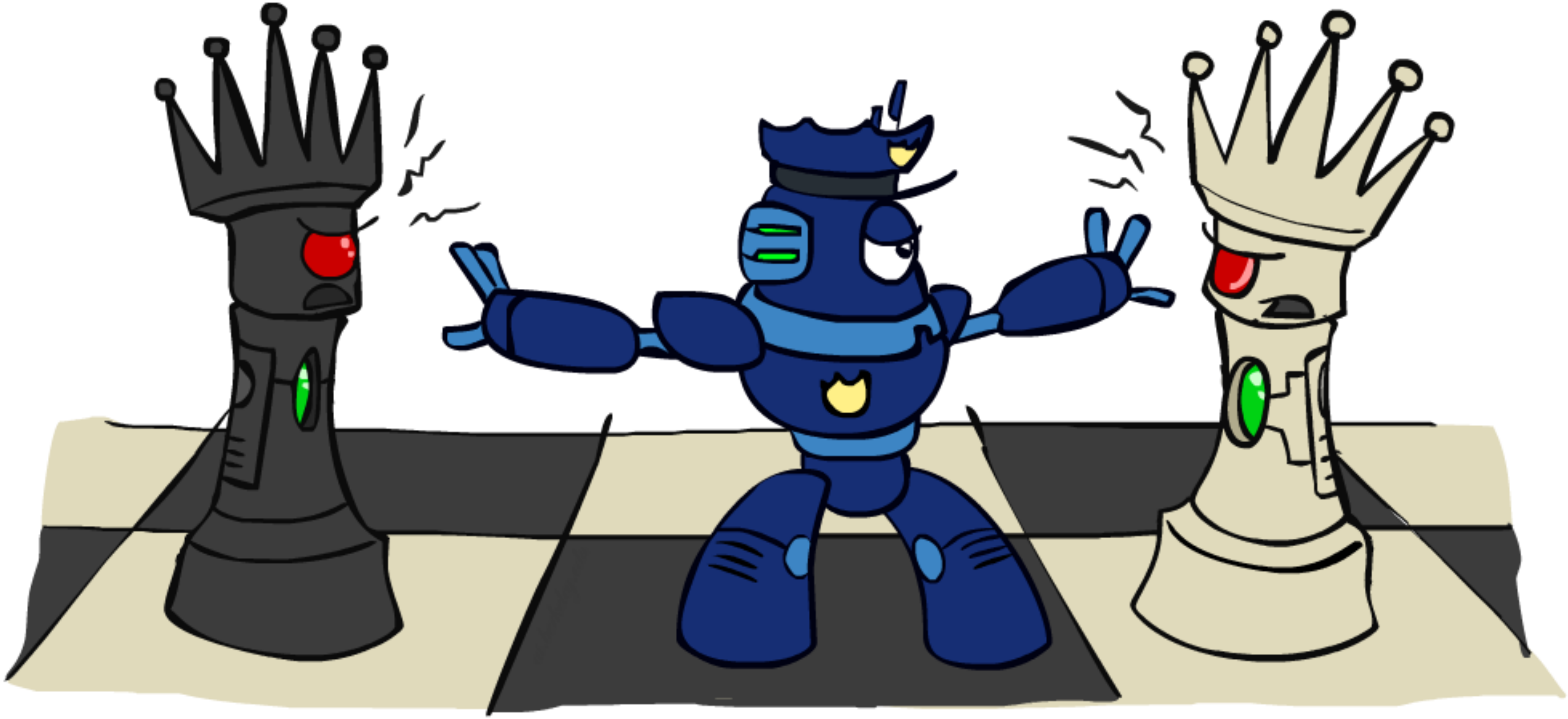


Demo: Coloring -- Backtracking + Forward Checking + Ordering

Summary

- Work with your rubber duck to write down:
  - How we order variables and why
  - How we order values and why

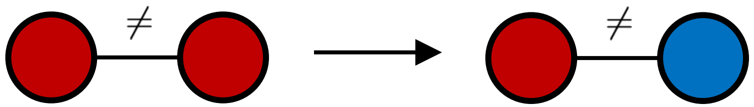
Iterative Improvement



Iterative Algorithms for CSPs

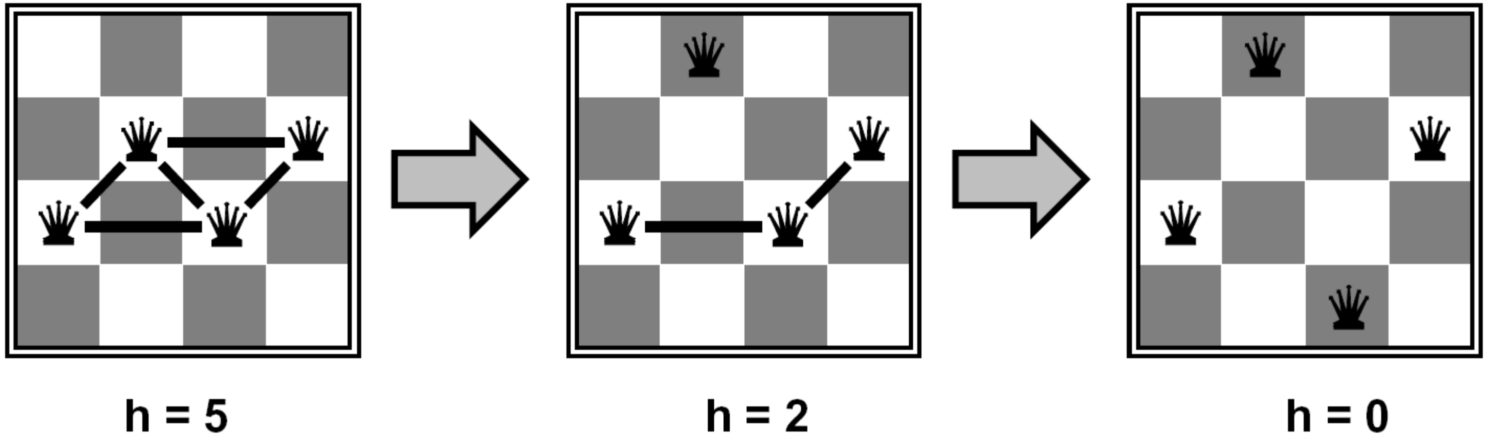
- Local search methods typically work with “complete” states, i.e., all variables assigned

- To apply to CSPs:
  - Take an assignment with unsatisfied constraints
  - Operators *reassign* variable values
  - No fringe! Live on the edge.



- Algorithm: While not solved,
  - Variable selection: randomly select any conflicted variable
  - Value selection: min-conflicts heuristic:
    - Choose a value that violates the fewest constraints
    - I.e., hill climb with $h(x)$ = total number of violated constraints

Example: 4-Queens



- States: 4 queens in 4 columns ($4^4 = 256$ states)
- Operators: move queen in column
- Goal test: no attacks
- Evaluation: c(n) = number of attacks

Iterative Improvement – n Queens

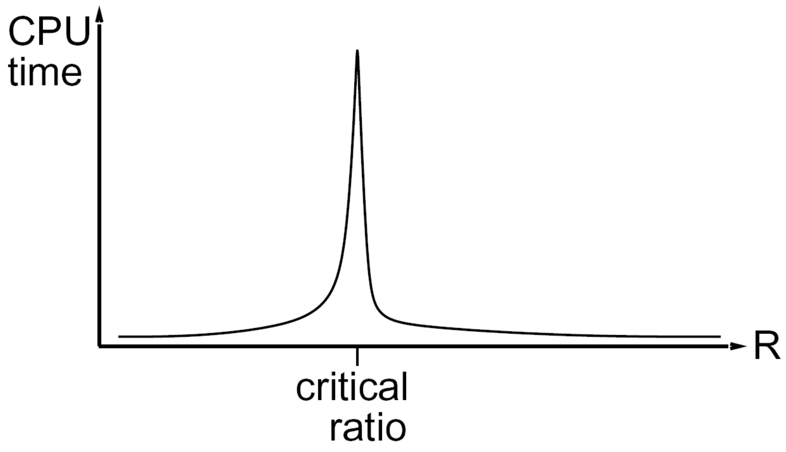
Iterative Improvement – Coloring

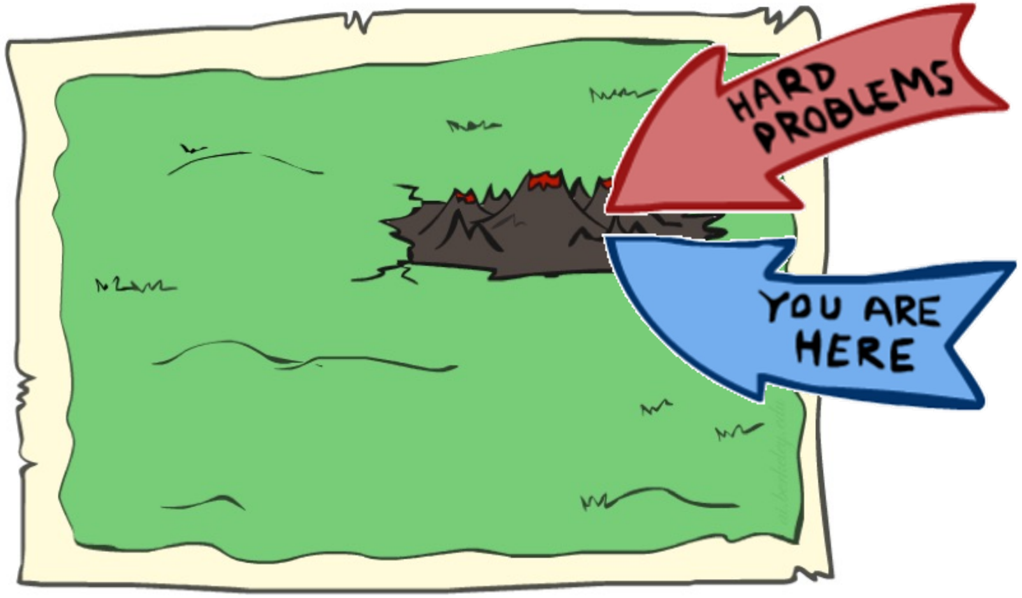
Performance of Min-Conflicts

- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)!

- The same appears to be true for any randomly-generated CSP *except* in a narrow range of the ratio

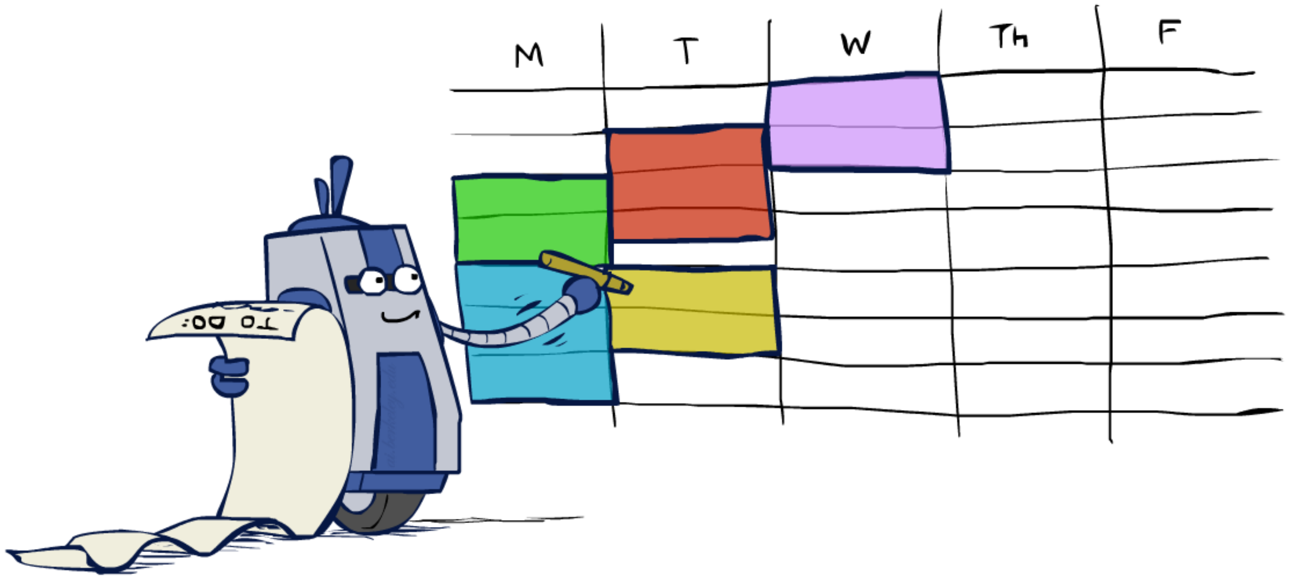
$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$





Summary: CSPs

- CSPs are a special kind of search problem:
  - States are partial assignments
  - Goal test defined by constraints
- Basic solution: backtracking search
- Speed-ups:
  - Ordering
  - Filtering
  - Structure – turns out trees are easy!
- Iterative min-conflicts is often effective in practice



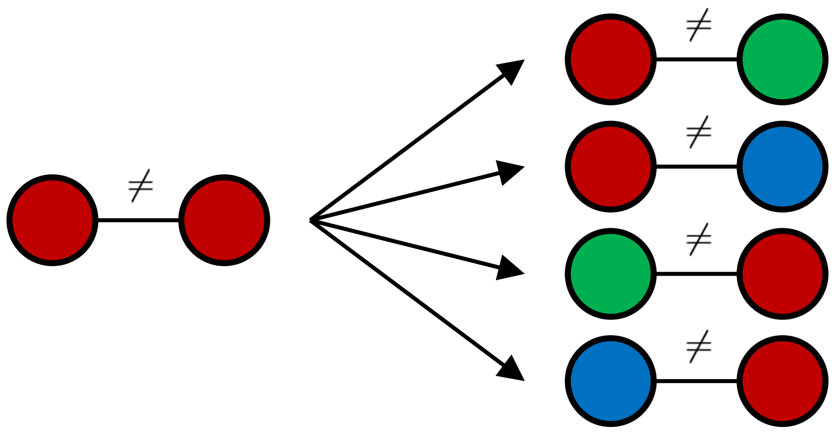
Local Search

Local Search

- Tree search keeps unexplored alternatives on the fringe (ensures completeness)

- Local search: improve a single option until you can't make it better (no fringe!)

- New successor function: local changes

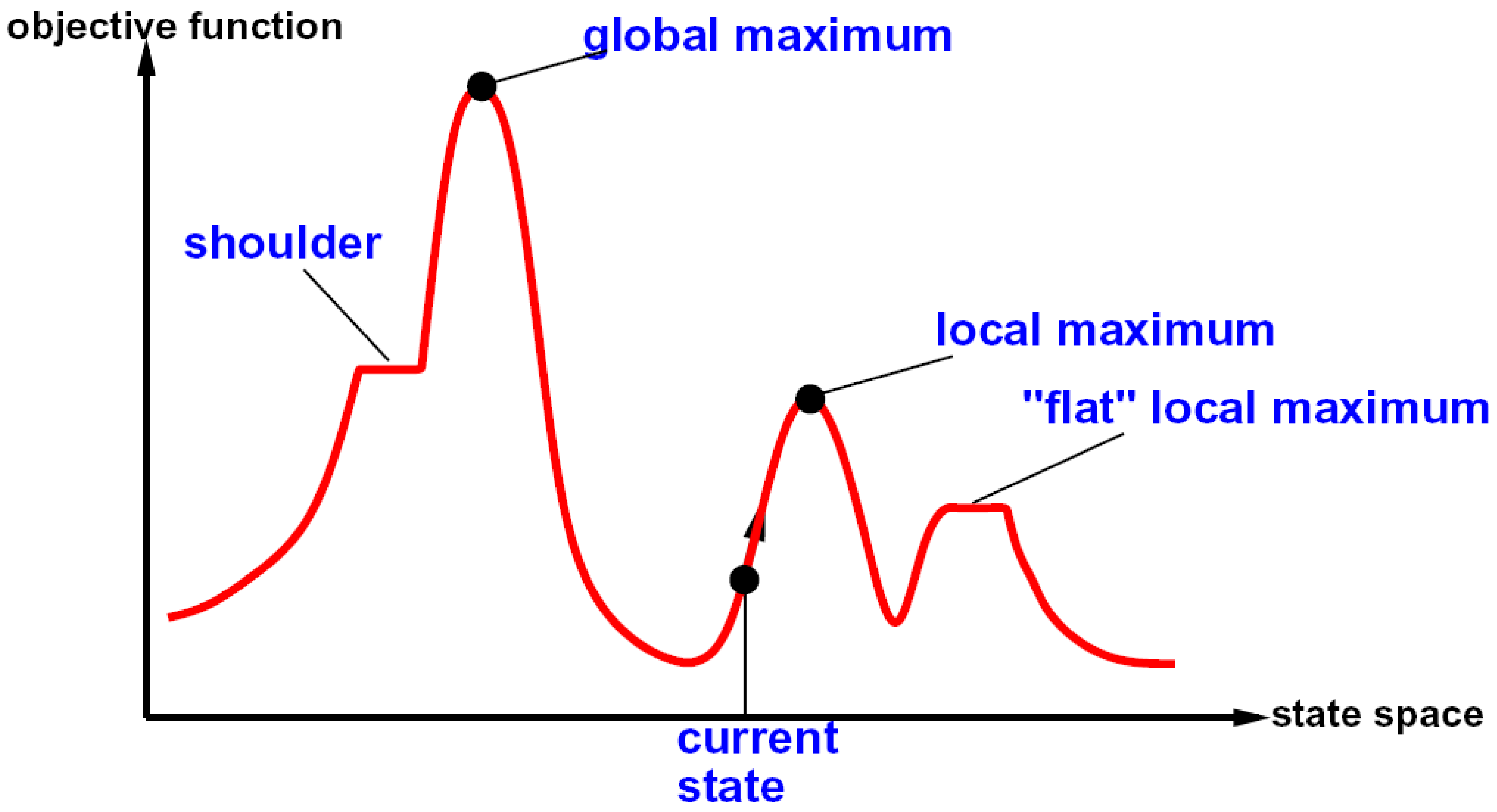


- Generally much faster and more memory efficient (but incomplete and suboptimal)

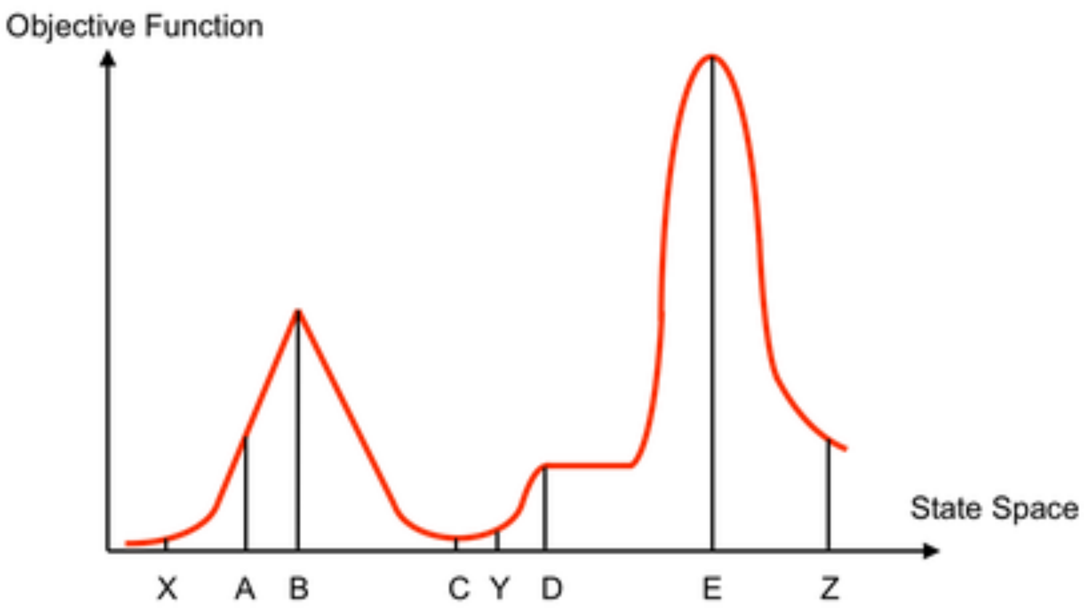
Hill Climbing

- Simple, general idea:
  - Start wherever
  - Repeat: move to the best neighboring state
  - If no neighbors better than current, quit
- What's bad about this approach?
- What's good about it?

Hill Climbing Diagram



Hill Climbing Quiz



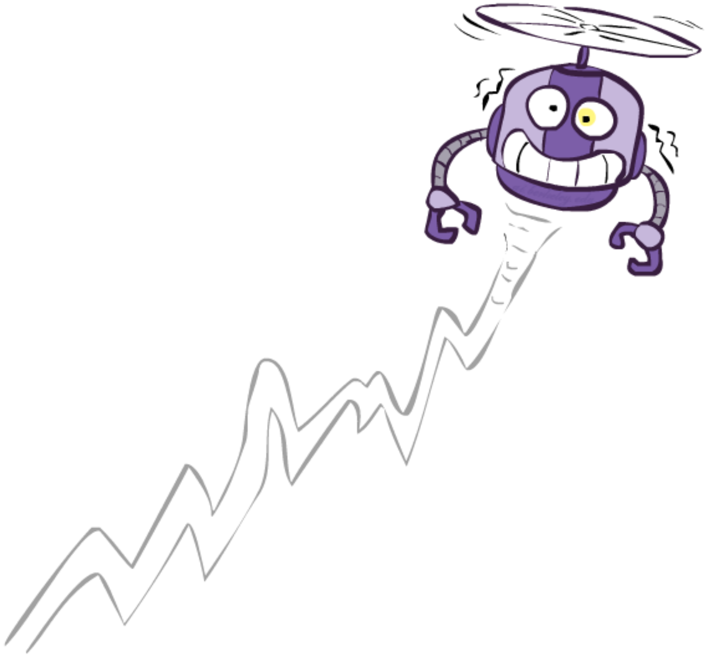
Starting from X, where do you end up ?

Starting from Y, where do you end up ?

Starting from Z, where do you end up ?

Simulated Annealing

- Idea: Escape local maxima by allowing downhill moves
  - But make them rarer as time goes on



function SIMULATED-ANNEALING(*problem*, *schedule*) **returns** a solution state
  inputs: *problem*, a problem
    schedule, a mapping from time to "temperature"
  local variables: *current*, a node
    next, a node
    T, a "temperature" controlling prob. of downward steps

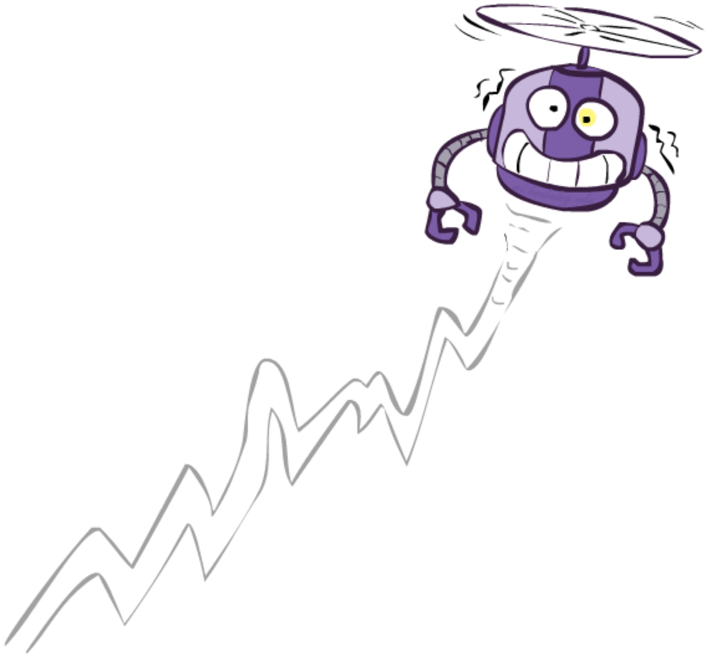
  current ← MAKE-NODE(INITIAL-STATE[*problem*])
  for $t \leftarrow 1$ **to** ∞ **do**
    $T \leftarrow$ *schedule*[t]
    if $T = 0$ **then return** *current*
    next ← a randomly selected successor of *current*
    $\Delta E \leftarrow$ VALUE[*next*] – VALUE[*current*]
    if $\Delta E > 0$ **then** *current* ← *next*
    else *current* ← *next* only with probability $e^{\Delta E/T}$

Simulated Annealing

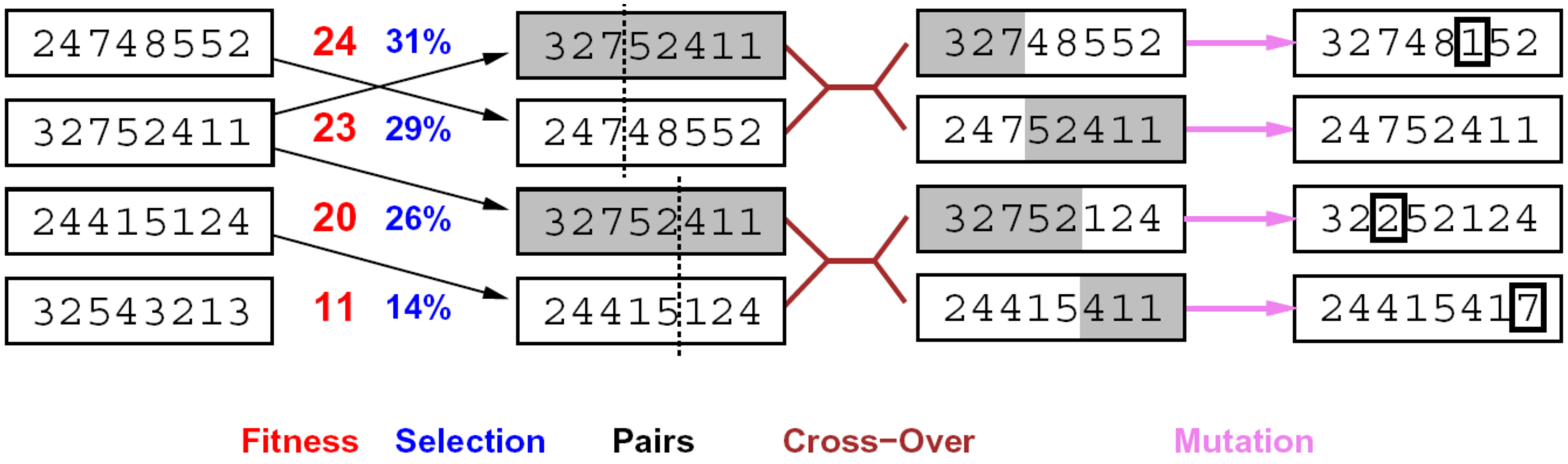
- Theoretical guarantee:
  - Stationary distribution: $p(x) \propto e^{\frac{E(x)}{kT}}$
  - If T decreased slowly enough, will converge to optimal state!

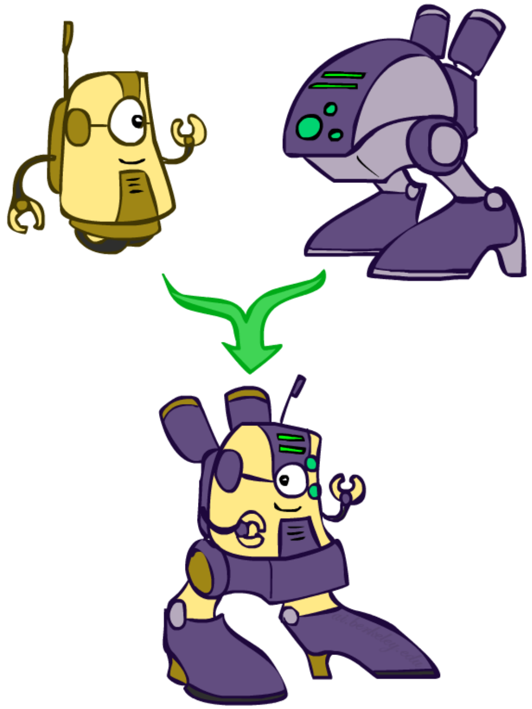
- Is this an interesting guarantee?

- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row
  - People think hard about *ridge operators* which let you jump around the space in better ways



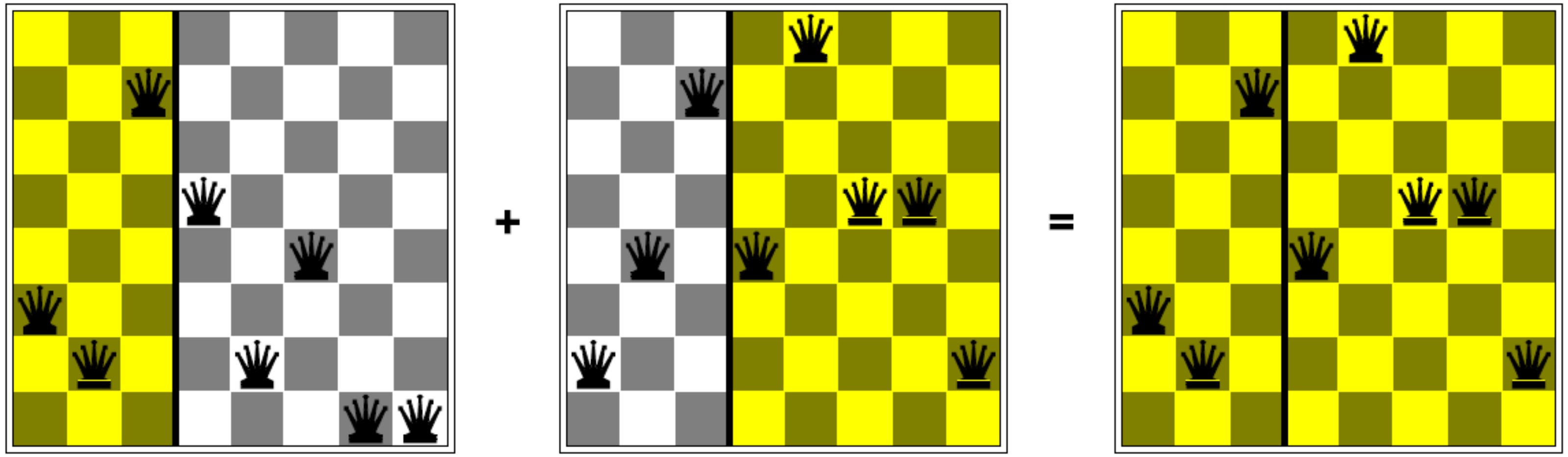
Genetic Algorithms





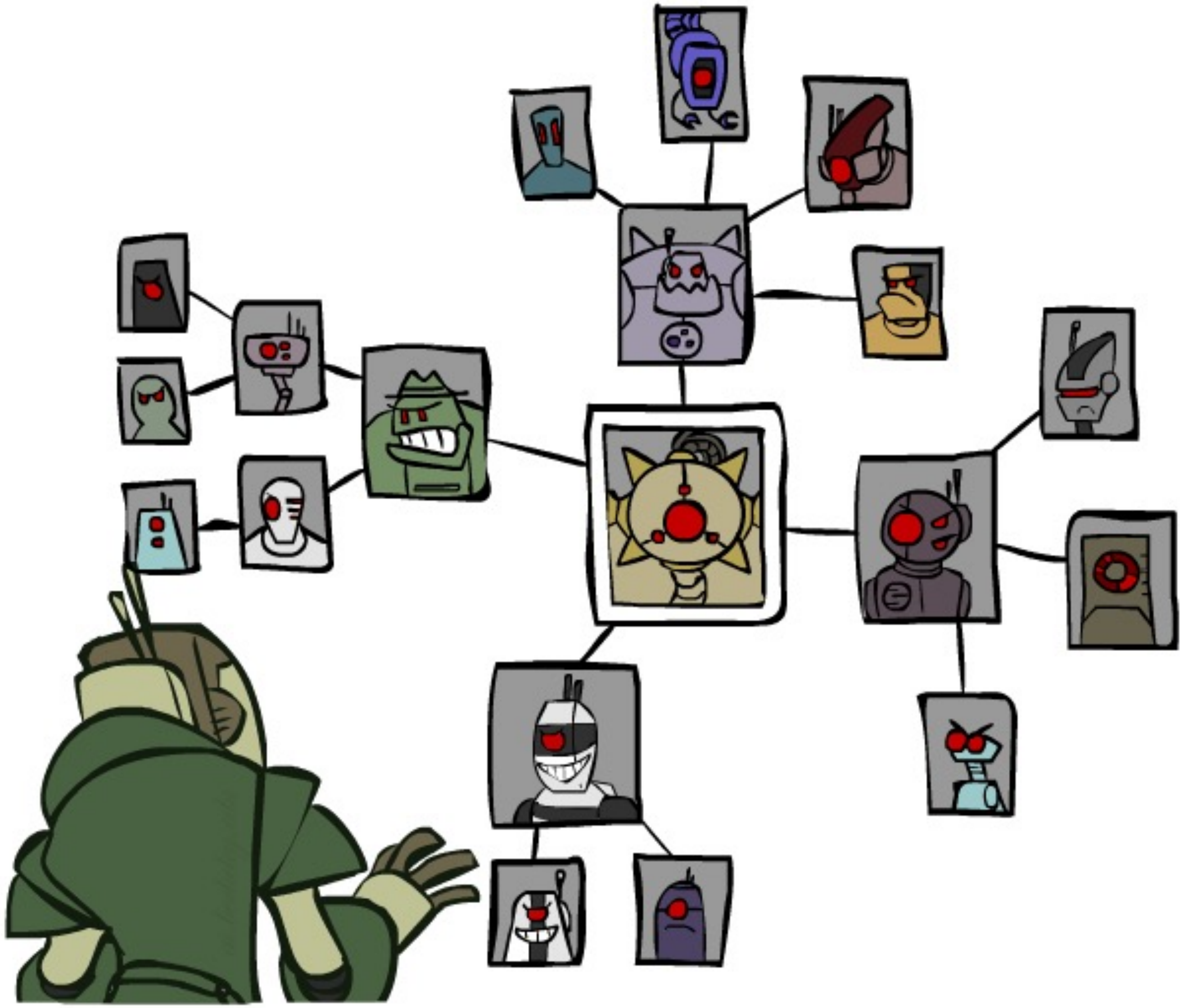
- Genetic algorithms use a natural selection metaphor
  - Keep best N hypotheses at each step (selection) based on a fitness function
  - Also have pairwise crossover operators, with optional mutation to give variety
- Possibly the most misunderstood, misapplied (and even maligned) technique around

Example: N-Queens



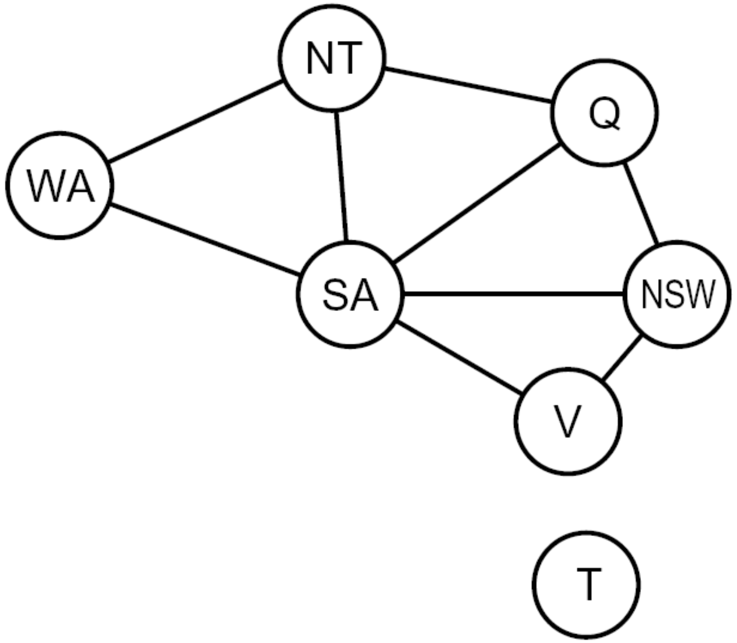
- Why does crossover make sense here?
- When wouldn't it make sense?
- What would mutation be?
- What would a good fitness function be?

Bonus (time permitting): Structure

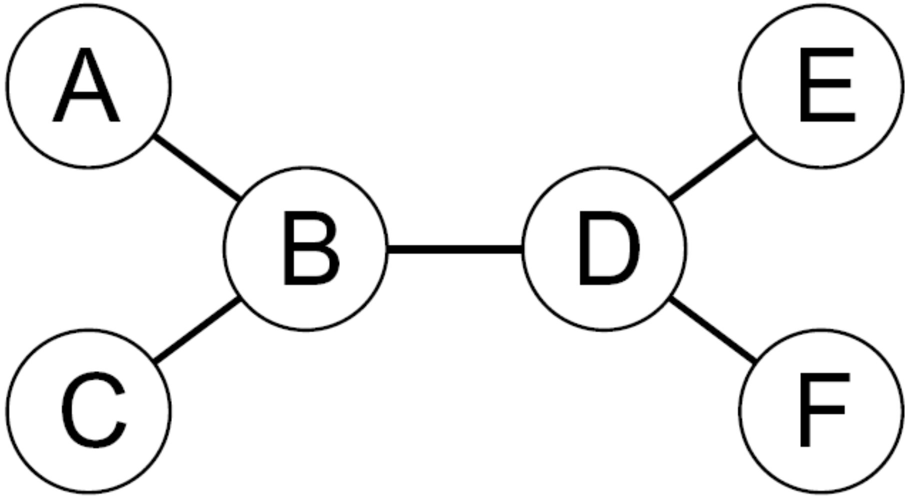


Problem Structure

- Extreme case: independent subproblems
  - Example: Tasmania and mainland do not interact
- Independent subproblems are identifiable as connected components of constraint graph
- Suppose a graph of n variables can be broken into subproblems of only c variables:
  - Worst-case solution cost is $O((n/c)(d^c))$, linear in n
  - E.g., n = 80, d = 2, c =20
  - 2^{80} = 4 billion years at 10 million nodes/sec
  - $(4)(2^{20})$ = 0.4 seconds at 10 million nodes/sec



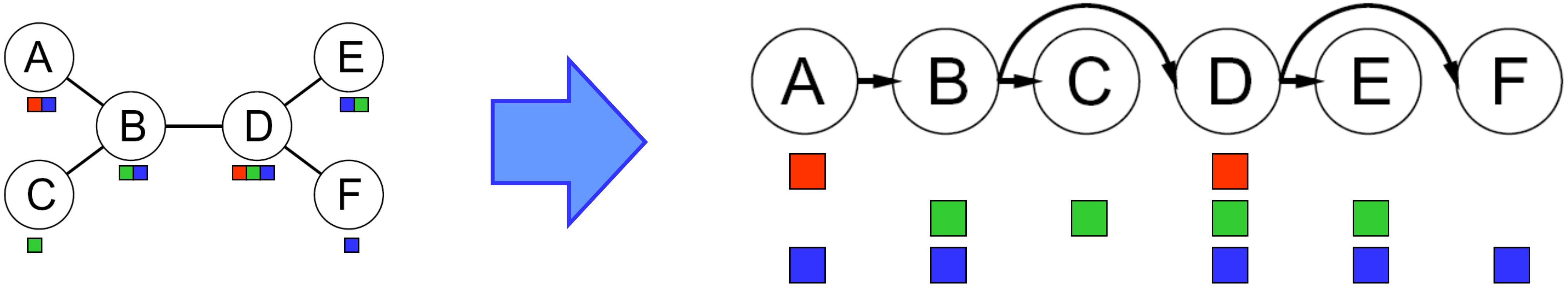
Tree-Structured CSPs



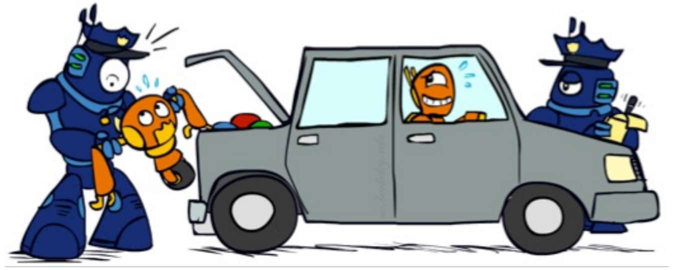
- Theorem: if the constraint graph has no loops, the CSP can be solved in $O(n\,d^2)$ time
  - Compare to general CSPs, where worst-case time is $O(d^n)$
- This property also applies to probabilistic reasoning (later): an example of the relation between syntactic restrictions and the complexity of reasoning

Tree-Structured CSPs

- Algorithm for tree-structured CSPs:
  - Order: Choose a root variable, order variables so that parents precede children

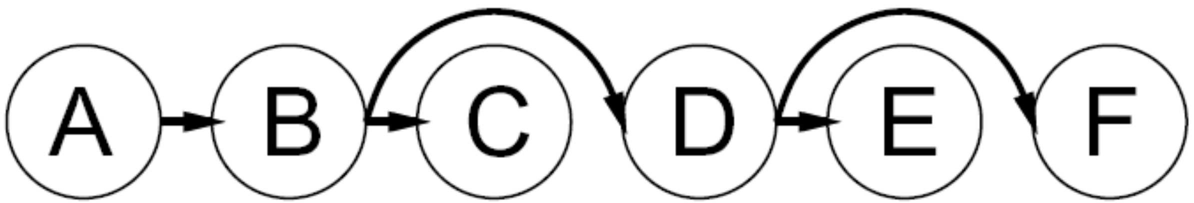


  - Remove backward: For i = n : 2, apply RemoveInconsistent(Parent(X_i),X_i)
  - Assign forward: For i = 1 : n, assign X_i consistently with Parent(X_i)
- Runtime: $O(n\ d^2)$ (why?)



Tree-Structured CSPs

- Claim 1: After backward pass, all root-to-leaf arcs are consistent
- Proof: Each X→Y was made consistent at one point and Y's domain could not have been reduced thereafter (because Y's children were processed before Y)

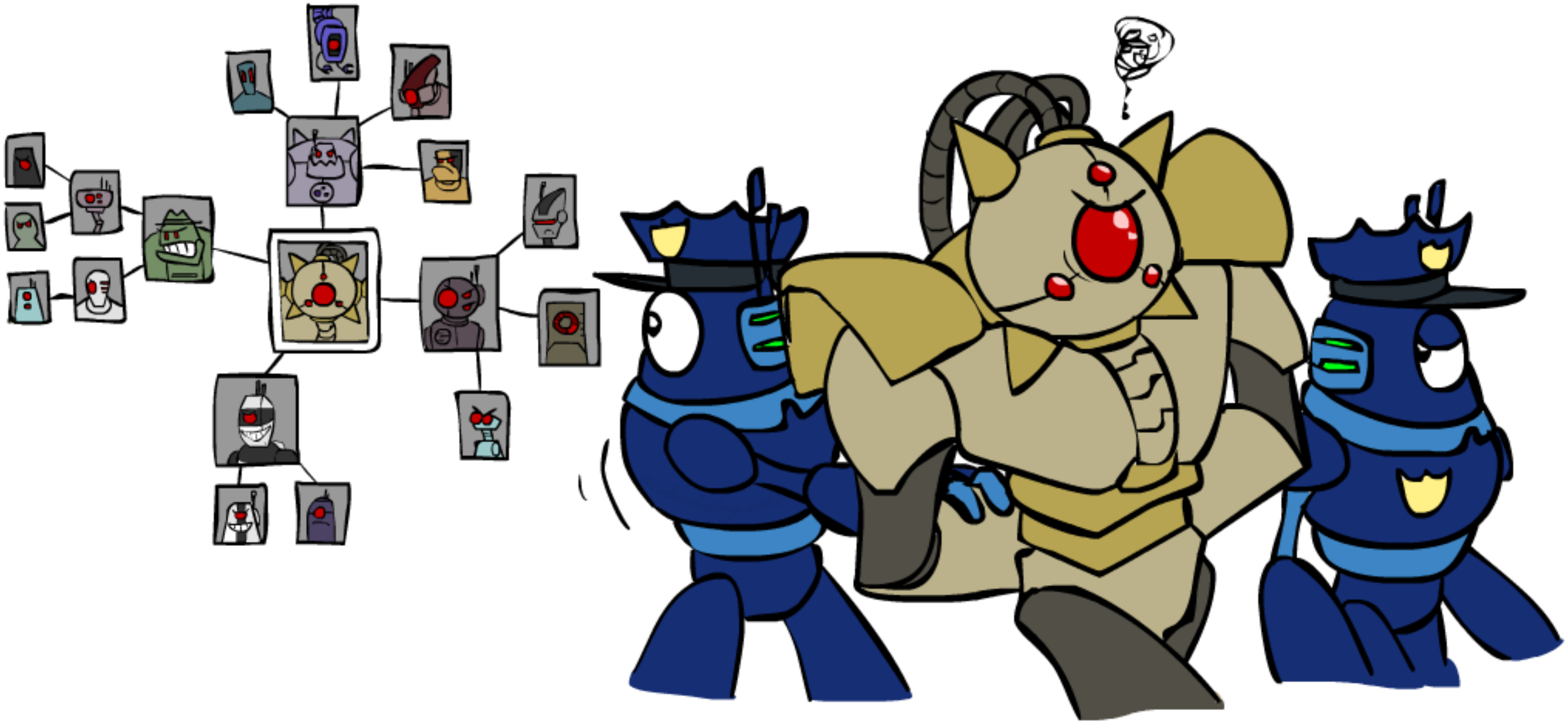


- Claim 2: If root-to-leaf arcs are consistent, forward assignment will not backtrack
- Proof: Induction on position

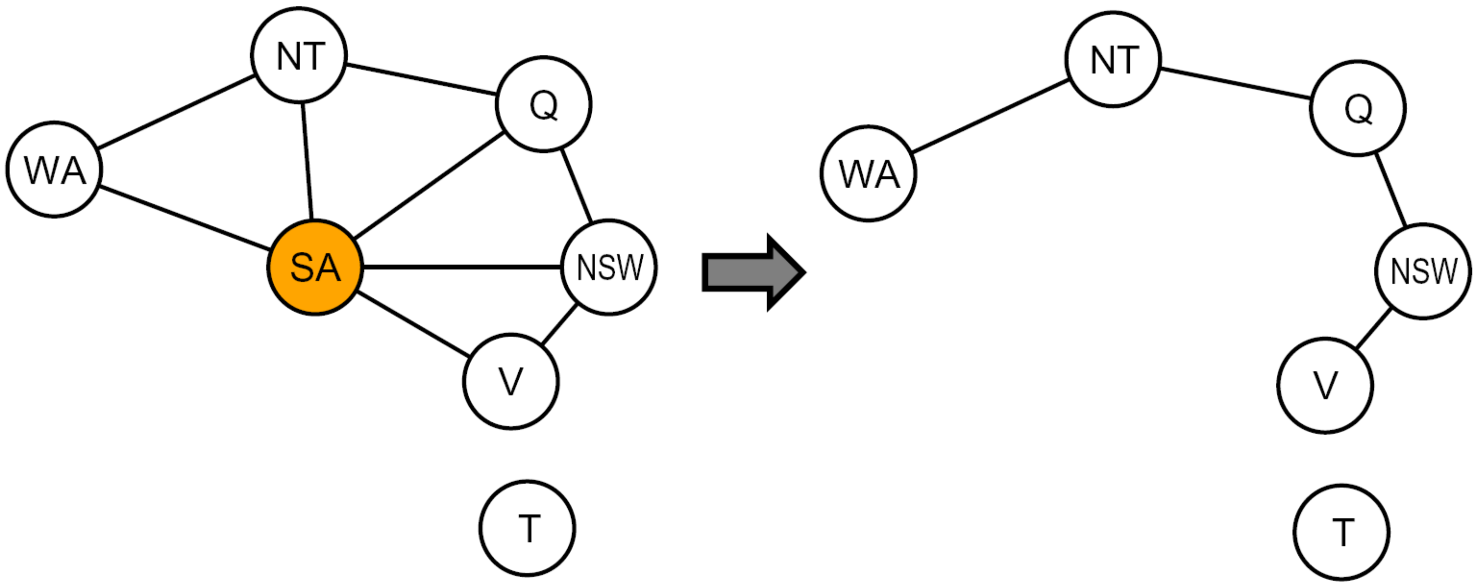
- Why doesn't this algorithm work with cycles in the constraint graph?

- Note: we'll see this basic idea again with Bayes' nets

Improving Structure



Nearly Tree-Structured CSPs



- Conditioning: instantiate a variable, prune its neighbors' domains
- Cutset conditioning: instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree
- Cutset size c gives runtime $O((d^c) (n-c) d^2)$, very fast for small c

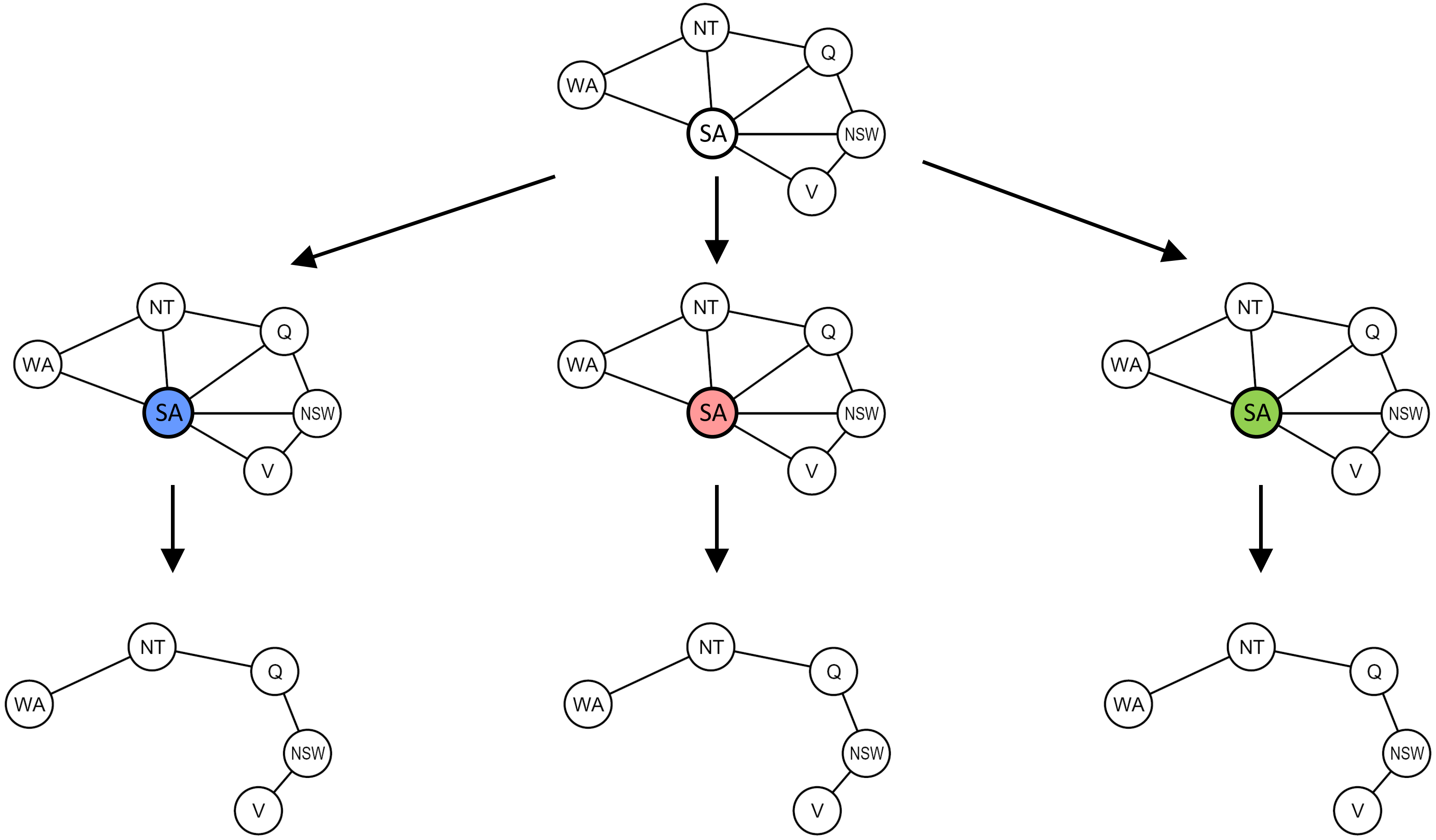
Cutset Conditioning

Choose a cutset

Instantiate the cutset (all possible ways)

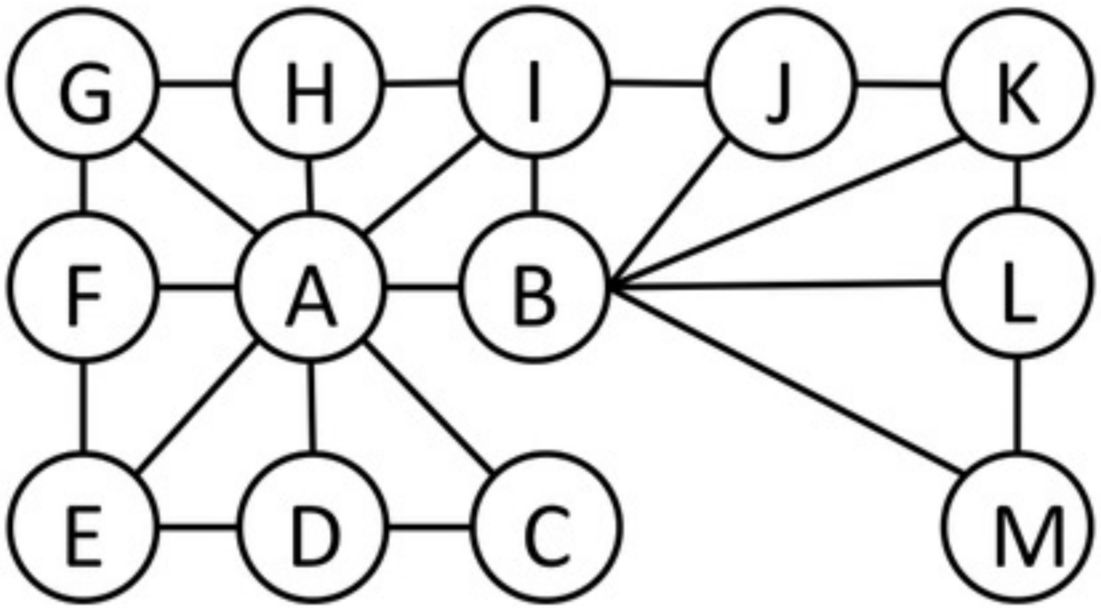
Compute residual CSP for each assignment

Solve the residual CSPs (tree structured)



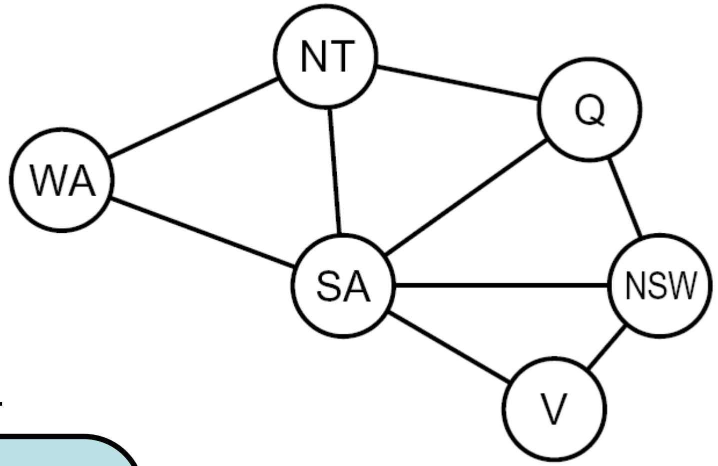
Cutset Quiz

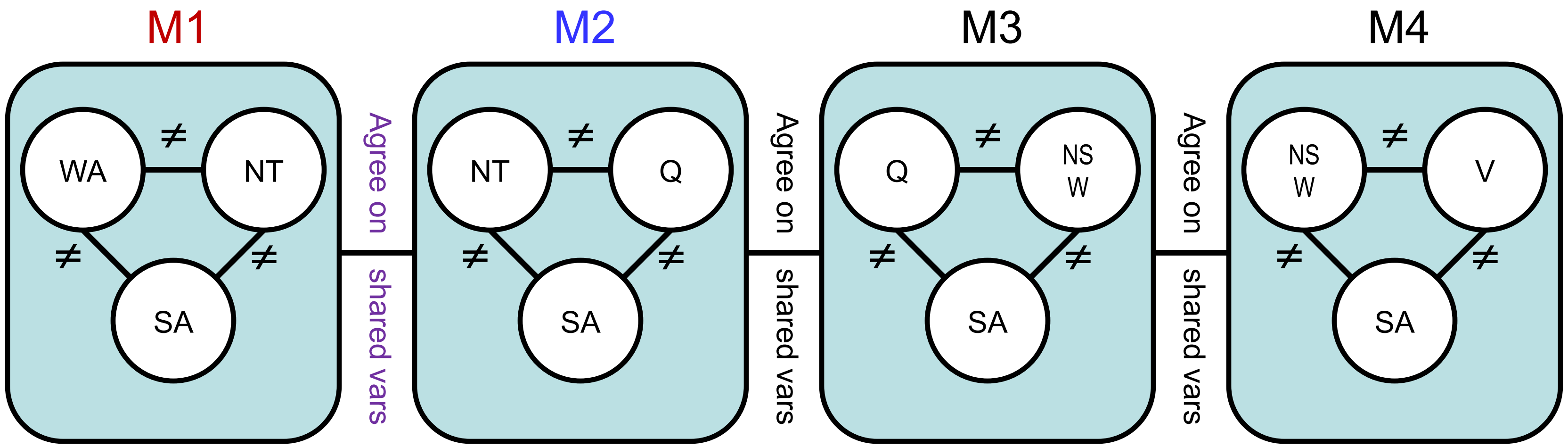
- Find the smallest cutset for the graph below.



Tree Decomposition*

- Idea: create a tree-structured graph of mega-variables
- Each mega-variable encodes part of the original CSP
- Subproblems overlap to ensure consistent solutions





{(WA=r,SA=g,NT=b),
(WA=b,SA=r,NT=g),
…}

{(NT=r,SA=g,Q=b),
(NT=b,SA=g,Q=r),
…}

Agree: (M1,M2) ∈
{((WA=g,SA=g,NT=g), (NT=g,SA=g,Q=g)), …}