

## A Recursive Structure

ally represent recursively defined, hierarchical objects  
an one recursive subpart for each instance.

mples: expressions, sentences.

ns have definitions such as "an expression consists of a  
two expressions separated by an operator."

e structures in which we recursively divide a set into  
sets.

## Tree Characteristics (I)

a tree is a non-empty node with no parent in that tree  
might be in some larger tree that contains that tree as  
Thus, every node is the root of a (sub)tree.

arity, or *degree* of a node (tree) is its number (maximum  
children.

f a *k-ary tree* each have at most  $k$  children.

has no children (no non-empty children in the case of  
trees).

## A Tree Type, 61A Style

```
Tree<Label> {  
  
    constructor is convenient, but unfortunately causes  
    (lots of) warnings that we will explain later.  
  
    Tree(Label label, Tree<Label>... children) {  
        _label = label;  
        _kids = new ArrayList<>(Arrays.asList(children));  
    }  
  
    int arity() { return _kids.size(); }  
  
    Label label() { return _label; }  
  
    Label child(int k) { return _kids.get(k); }  
  
    Label _label;  
    ArrayList<Tree<Label>> _kids;  
}
```

## CS61B Lecture #20: Trees

## Formal Definitions

in a variety of flavors, all defined recursively:

1. **tree**: A tree consists of a *label* value and zero or more  
(or *children*), each of them a tree.

2. **alternative definition**: A tree is a set of *nodes* (or  
each of which has a label value and one or more *child*  
such that no node descends (directly or indirectly) from  
a node is the *parent* of its children.

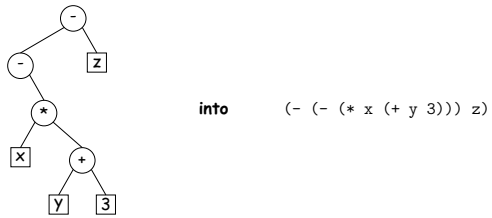
3. **trees**: A tree is either *empty* or consists of a node  
with a label value and an indexed sequence of zero or more  
children, each a positional tree. If every node has two positions,  
it is a *binary tree* and the children are its *left and right sub-*  
*trees*. In a *binary tree*, nodes are the parents of their non-empty children.  
There are other varieties when considering graphs.

## Tree Characteristics (II)

1. The *depth* of a node in a tree is the smallest distance to a leaf.  
A leaf has height 0 and a non-empty tree's height is one  
plus the maximum height of its children. The height of a tree  
is the depth of its root.

2. The *depth* of a node in a tree is the distance to the root of that  
tree. In a tree whose root is  $R$ ,  $R$  itself has depth 0 in  $R$ .  
If  $S \neq R$  is in the tree with root  $R$ , then its depth is one  
plus its parent's.

## Order Traversal and Prefix Expressions



into  $(- (- (* x (+ y 3))) z)$

`<Label>` is means "Tree whose labels have type `Label`."

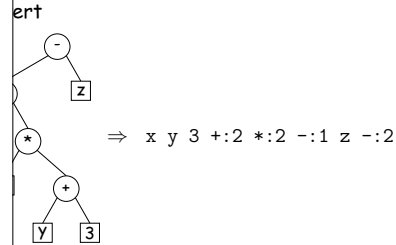
```

toLisp(Tree<String> T) {
    if (T == null || T.arity() == 0) return T.label();
    String R = "(" + T.label();
    for (int i = 0; i < T.arity(); i += 1)
        R += toLisp(T.child(i));
    R += ")";
}
    
```

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## Order Traversal and Postfix Expressions



$\Rightarrow x y 3 +:2 *:2 -:1 z -:2$

```

toPolish(Tree<String> T) {
    if (T == null || T.arity() == 0) return T.label();
    String R = "";
    for (int i = 0; i < T.arity(); i += 1)
        R += toPolish(T.child(i)) + " ";
    R += T.label() + " ";
}
    
```

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## Iterative Depth-First Traversals

Iteration conceals data: a *stack* of nodes (all the `T` arguments) with extra information. Can make the data explicit:

```

iterativeDepthFirst(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Stack<Tree<Label>> work = new Stack<>();
    work.push(T);
    while (!work.isEmpty()) {
        Tree<Label> node = work.pop();
        visit.accept(node);
        for (int i = node.arity()-1; i >= 0; i -= 1)
            work.push(node.child(i)); // Why backward?
    }
}
    
```

Iteration takes the same  $\Theta(\cdot)$  time as doing it recursively, and uses  $\Theta(\cdot)$  space.

Iteration can substitute an explicit stack data structure (`work`) instead of the implicit one in the execution stack (which handles function calls).

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## Fundamental Operation: Traversal

A *tree* means enumerating (some subset of) its nodes.

Enumerate recursively, because that is a natural description.

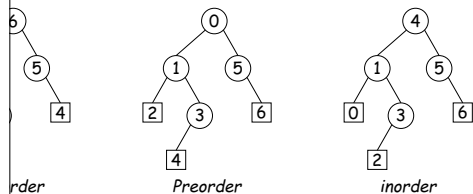
When enumerated, we say they are *visited*.

Traversal orders for enumeration (+ variations):

1. Visit node, traverse its children.

2. Traverse children, visit node.

3. Traverse first child, visit node, traverse second child (and so on).



Preorder

Preorder

Inorder

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## Order Traversal and Infix Expressions

Order

into  $((-(x*(y+3)))-z)$

To think about: how to get rid of all those parentheses.

```

toInfix(Tree<String> T) {
    if (T == null || T.arity() == 0) return T.label();
    String R = T.label();
    if (T.arity() == 1)
        R = "(" + R + ")";
    else
        R = "(" + toInfix(T.child(0)) + T.label() + toInfix(T.child(1)) + ")";
}
    
```

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## General Traversal: The Visitor Pattern

iterativeDepthFirst(Tree<Label> T, Consumer<Tree<Label>> visit)

```

iterativeDepthFirst(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Stack<Tree<Label>> work = new Stack<>();
    work.push(T);
    while (!work.isEmpty()) {
        Tree<Label> node = work.pop();
        visit.accept(node);
        for (int i = node.arity()-1; i >= 0; i -= 1)
            work.push(node.child(i));
    }
}
    
```

`Function.Consumer<AType>` is a library interface that is a function-like type with one void method, `accept`, which takes an argument of type `AType`.

Using lambda syntax, I can print all labels in the tree in preorder:

```

iterativeDepthFirst(myTree, T -> System.out.print(T.label() + " "));
    
```

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## Breadth-First Traversal Implemented

Conversion to iterative depth-first traversal gives breadth-first. Just change the (LIFO) stack to a (FIFO) queue:

```

breadthFirstTraverse(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Queue<Tree<Label>> work = new ArrayDeque<>(); // (Changed)
    if (!work.isEmpty()) {
        Tree<Label> node = work.remove(); // (Changed)
        if (node != null) {
            visit.accept(node);
            for (int i = 0; i < node.arity(); i += 1) // (Changed)
                work.push(node.child(i));
        }
    }
}

```

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## Breadth-First Traversal: Iterative Deepening

Breadth-first traversal used space proportional to the *width* which is  $\Theta(N)$  for bushy trees, whereas depth-first uses  $\lg N$  space on bushy trees.

Breadth-first traversal in  $\lg N$  space and  $\Theta(N)$  time on

levels  $l, k$ , of the tree from 0 to *lev*, call doLevel(T,k):

```

doLevel(Tree T, int lev) {
    if (lev == 0)
        return;
    for (Child non-null child, C, of T {
        doLevel(C, lev-1);
    }
}

```

Breadth-first traversal by repeated (truncated) depths: *iterative deepening*.

To traverse  $(l, k)$ , we skip (i.e., traverse but don't visit) the nodes at level  $k$ , and then visit at level  $k$ , but not their children.

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## Iterators for Trees

Iterators are not terribly convenient on trees.

Iterators differ from iterative methods.

```

OrderTreeIterator<Label> implements Iterator<Label> {
    Stack<Tree<Label>> s = new Stack<Tree<Label>>();

    OrderTreeIterator(Tree<Label> T) { s.push(T); }

    boolean hasNext() { return !s.isEmpty(); }
    Label next() {
        Tree<Label> result = s.pop();
        int i = result.arity()-1; i >= 0; i -= 1;
        while (i >= 0) {
            result.child(i);
            result.label();
        }
    }
}

```

What do I have to add to class Tree first?

```

String label : aTree System.out.print(label + " ");

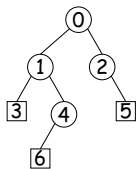
```

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## Level-Order (Breadth-First) Traversal

Traverse all nodes at depth 0, then depth 1, etc:



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## Running Times

Level-order algorithms have roughly the form of the boom example in *Data Structures*—an exponential algorithm.

The role of  $M$  in that algorithm is played by the *height* of the tree, and the number of nodes.

To see that tree traversal is *linear*:  $\Theta(N)$ , where  $N$  is the number of nodes: Form of the algorithm implies that there is one recursive call for every node but the root has exactly one parent, and the root has at least one child. Thus, the root has exactly one parent, and the root has at least one child. Thus, the root has exactly one parent, and the root has at least one child. Thus, the root has exactly one parent, and the root has at least one child.

For a tree, the number of recursive calls is also one recursive call for each empty tree, but the number of recursive calls can be no greater than  $kN$ , where  $k$  is arity.

For a tree with maximum children  $k$ ,  $h + 1 \leq N \leq \frac{k^{h+1}-1}{k-1}$ , where  $h$  is the height.

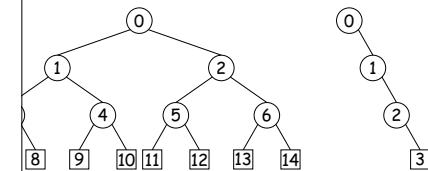
Thus,  $\lg N = \Omega(\lg N)$  and  $h \in O(N)$ .

Level-order algorithms look at one child only. For them, worst-case space is proportional to the *height* of the tree— $\Theta(\lg N)$ —assuming *bushy*—each level has about as many nodes as possible.

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## Iterative Deepening Time?



Height,  $N$  be # of nodes.

Nodes traversed (i.e., # of calls, not counting null nodes).

Tree: 1 for level 0, 3 for level 1, 7 for level 2, 15 for level 3.

$1 + (2^1 - 1) + (2^2 - 1) + \dots + (2^{h+1} - 1) = 2^{h+2} - h \in \Theta(N)$ ,  $2^{h+1} - 1$  for this tree.

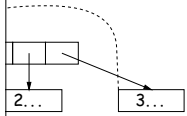
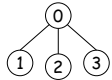
Lean tree: 1 for level 0, 2 for level 1, 3 for level 2, 3 for level 3.

$1 + (h+1)(h+2)/2 = N(N+1)/2 \in \Theta(N^2)$ , since  $N = h+1$  for this tree.

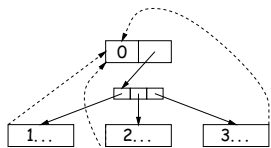
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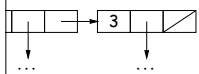
### Tree Representation



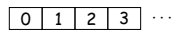
ed child pointers  
parent pointers)



(b) Array of child pointers  
(+ optional parent pointers)



sibling pointers



(d) breadth-first array  
(complete trees)