

## Purposes of Sorting

ports searching  
h standard example  
s other kinds of search:  
: two equal items in this set?  
: two items in this set that both have the same value for X?  
my nearest neighbors?  
rious unexpected algorithms, such as convex hull (small-polygon enclosing set of points).

## Classifications

*ts* keep all data in primary memory.  
*ts* process large amounts of data in batches, keeping it in secondary storage (in the old days, tapes).  
*based* sorting assumes only thing we know about keys is  
*g* uses more information about key structure.  
*rting* works by repeatedly inserting items at their ap-  
sitions in the sorted sequence being constructed.  
*rting* works by repeatedly selecting the next larger  
m in order and adding it to one end of the sorted se-  
constructed.

## ays of Reference Types in the Java Library

ce types, C, that have a *natural order* (that is, that im-  
a.lang.Comparable), we have four analogous methods  
nt sort, three-argument sort, and two parallelSort

```
all elements of ARR stably into non-descending
*/
; extends Comparable<? super C>> sort(C[] arr) {...}
```

eference types, R, we have four more:

```
all elements of ARR stably into non-descending order
ding to the ordering defined by COMP. */
> void sort(R[] arr, Comparator<? super R> comp) {...}
```

fancy generic arguments?

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ithms: why?  
rt.

## Some Definitions

*orithm* (or *sort*) *permutes* (re-arranges) a sequence of  
brings them into order, according to some *total order*.

*r*,  $\preceq$ , is:

$\preceq y$  or  $y \preceq x$  for all  $x, y$ .

$x \preceq x$ ;

**etric:**  $x \preceq y$  and  $y \preceq x$  iff  $x = y$ .

**s:**  $x \preceq y$  and  $y \preceq z$  implies  $x \preceq z$ .

*r* orderings may treat unequal items as equivalent:

*e* can be two dictionary definitions for the same word.  
t only by the word being defined (ignoring the defini-  
n sorting could put either entry first.

at does not change the relative order of equivalent en-  
pared to the input) is called *stable*.

## ays of Primitive Types in the Java Library

ary provides static methods to sort arrays in the class  
rrays.

nitive type P other than boolean, there are

```
all elements of ARR into non-descending order. */
id sort(P[] arr) { ... }
```

```
elements FIRST .. END-1 of ARR into non-descending
. */
id sort(P[] arr, int first, int end) { ... }
```

```
all elements of ARR into non-descending order,
bly using multiprocessing for speed. */
id parallelSort(P[] arr) { ... }
```

```
elements FIRST .. END-1 of ARR into non-descending
', possibly using multiprocessing for speed. */
id parallelSort(P[] arr, int first, int end) {...}
```

## Sorting Lists in the Java Library

java.util.Collections contains two methods similar to methods for arrays of reference types:

```
all elements of LST stably into non-descending
order. */
@ extends Comparable<? super C>> sort(List<C> lst) {...}
```

```
all elements of LST stably into non-descending
order according to the ordering defined by COMP. */
@ void sort(List<R> , Comparator<? super R> comp) {...}
```

once method in the List<R> interface itself:

```
all elements of LST stably into non-descending
order according to the ordering defined by COMP. */
@ (Comparator<? super R> comp) {...}
```

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## Sorting by Insertion

with empty sequence of outputs.

item from input, *inserting* into output sequence at right

good for small sets of data.

or linked list, time for find + insert of one item is at  
where  $k$  is # of outputs so far.

a  $\Theta(N^2)$  algorithm (worst case as usual).

more?

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## Shell's sort

insertion sort by first sorting *distant* elements:

subsequences of elements  $2^k - 1$  apart:

subsequence #0,  $2^k - 1, 2(2^k - 1), 3(2^k - 1), \dots$ , then

subsequence #1,  $1 + 2^k - 1, 1 + 2(2^k - 1), 1 + 3(2^k - 1), \dots$ , then

subsequence #2,  $2 + 2^k - 1, 2 + 2(2^k - 1), 2 + 3(2^k - 1), \dots$ , then

subsequence # $2^k - 2$ ,  $2(2^k - 1) - 1, 3(2^k - 1) - 1, \dots$ ,

if an item moves, can reduce #inversions by as much as

subsequences of elements  $2^{k-1} - 1$  apart:

subsequence #0,  $2^{k-1} - 1, 2(2^{k-1} - 1), 3(2^{k-1} - 1), \dots$ , then

subsequence #1,  $1 + 2^{k-1} - 1, 1 + 2(2^{k-1} - 1), 1 + 3(2^{k-1} - 1), \dots$ ,

insertion sort ( $2^0 = 1$  apart), but with most inversions

$\approx N^2/2$  (take CS170 for why!).

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## Sorts of Reference Types in the Java Library

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according to the ordering defined by COMP. */
@ void sort(R[] arr, Comparator<? super R> comp) {...}
```

with fancy generic arguments?

to allow types that have compareTo methods that apply to general types.

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## Examples

```
import java.util.Arrays.*;
import java.util.Collections.*;
```

sort array[] or List<String>, into non-descending order:

```
Arrays.sort(arr);
// or ...
```

reverse order (Java 8):

```
Collections.reverseOrder(x) -> { return y.compareTo(x); };
```

```
arr.reverseOrder(); // or
Collections.reverseOrder(); // for X a List
```

... , X[100] in array or List X (rest unchanged):

```
arr.sort(0, 101);
```

```
... , L[100] in list L (rest unchanged):
```

```
list.sort(10, 101));
```

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## Inversions

$\approx N(N-1)/2$  comparisons if already sorted.

typical implementation for arrays:

```
for (i < A.length; i += 1) {
    for (j = i + 1; j < A.length; j += 1) {
        if (A[i] > A[j]) {
            swap(A[i], A[j]);
            // (1)
        }
    }
}
```

executes for each  $j \approx$  how far  $x$  must move.

if within  $K$  of proper places, then takes  $O(KN)$  operations.  
for any amount of *nearly sorted* data.

measure of unsortedness: # of *inversions*: pairs that are out of order when sorted,  $N(N-1)/2$  when reversed).

each swap of (2) decreases inversions by 1.

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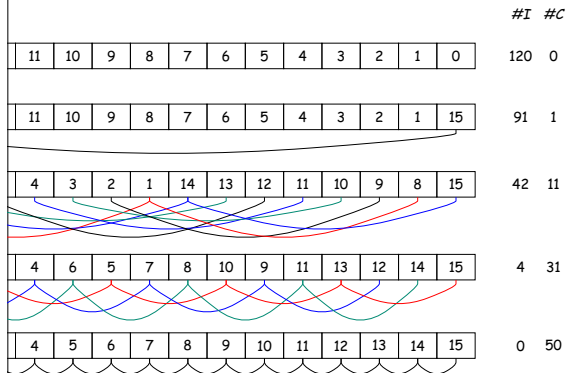
with fancy generic arguments?

to allow types that have compareTo methods that apply to general types.

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### Example of Shell's Sort



ft. comparisons used to sort subsequences by insertion sort.