

A Recursive Structure

ally represent recursively defined, hierarchical objects
an one recursive subpart for each instance.

mples: expressions, sentences.

ns have definitions such as "an expression consists of a
two expressions separated by an operator."

e search structures in which we recursively divide a set
disjoint subsets.

Tree Characteristics (I)

a tree is a non-empty node with no parent in that tree
might be in some larger tree that contains that tree as
Thus, every node is the root of a (sub)tree.

arity, or *degree* of a node (tree) is its number (maximum
children.

f a *k-ary tree* each have at most k children.

has no children (no non-empty children in the case of
trees).

A Tree Type, 61A Style

```
le Tree whose labels are of type LABEL. */
Tree<Label> {

arnings("unchecked") // Explained in a later lecture
e(Label label, Tree<Label>... children) {
    _label = label;
    _kids = new ArrayList<>(Arrays.asList(children));

arity() { return _kids.size(); }

el label() { return _label; }

e<Label> child(int k) { return _kids.get(k); }

bel _label;
rayList<Tree<Label>> _kids;
}
```

CS61B Lecture #20: Trees

Formal Definitions

in a variety of flavors, all defined recursively:

1. **tree**: A tree consists of a *label* value and zero or more
(or *children*), each of them a tree.

2. **alternative definition**: A tree is a set of *nodes* (or
each of which has a label value and one or more *child*
ch that no node descends (directly or indirectly) from
node is the *parent* of its children.

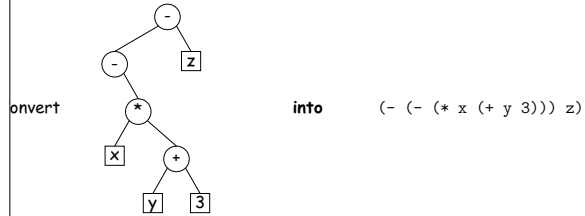
3. **trees**: A tree is either *empty* or consists of a node
y a label value and an indexed sequence of zero or more
each a positional tree. If every node has two positions,
binary tree and the children are its *left and right sub-*
ain, nodes are the parents of their non-empty children.
other varieties when considering graphs.

Tree Characteristics (II)

f a node in a tree is the largest distance to a leaf. That
s height 0 and a non-empty tree's height is one more
imum height of its children. The height of a tree is the
root.

f a node in a tree is the distance to the root of that
s, in a tree whose root is R , R itself has depth 0 in R ,
 $S \neq R$ is in the tree with root R , then its depth is one
its parent's.

Order Traversal and Prefix Expressions



```

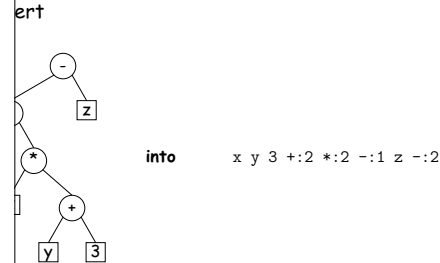
toLisp(Tree<String> T) {
    if (T == 0) return T.label();
    R = "(" + T.label();
    i = 0; i < T.arity(); i += 1)
    R += toLisp(T.child(i));
    R += ")";
}

```

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Order Traversal and Postfix Expressions



```

toPolish(Tree<String> T) {
    R = "";
    i = 0; i < T.arity(); i += 1)
    R += toPolish(T.child(i)) + " ";
    R += T.label() + " ";
}

```

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Iterative Depth-First Traversals

Iteration conceals data: a *stack* of nodes (all the T arguments) and extra information. Can make the data explicit:

```

iterativeDepthFirst(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Stack<Tree<Label>> work = new Stack<>();
    work.push(T);
    while (!work.isEmpty()) {
        Tree<Label> node = work.pop();
        visit(node);
        for (int i = node.arity()-1; i >= 0; i -= 1)
            work.push(node.child(i)); // Why backward?
    }
}

```

Iteration takes the same $\Theta(\cdot)$ time as doing it recursively, and uses $\Theta(\cdot)$ space.

Iteration can substitute an explicit stack data structure (*work*) instead of a built-in execution stack (which handles function calls).

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Fundamental Operation: Traversal

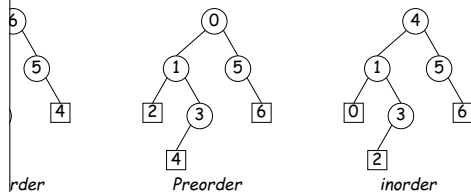
A *tree* means enumerating (some subset of) its nodes. We can describe a tree recursively, because that is a natural description. When a node is enumerated, we say they are *visited*.

Orders for enumeration (+ variations):

1. visit node, traverse its children.

2. traverse children, visit node.

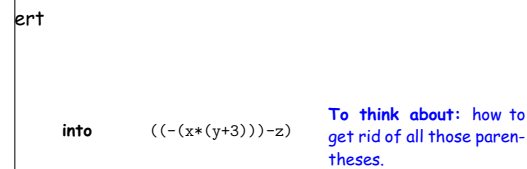
3. traverse first child, visit node, traverse second child (and so on).



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Order Traversal and Infix Expressions



```

toInfix(Tree<String> T) {
    if (T == 0) {
        R = T.label();
    } else if (T.arity() == 1) {
        R = T.label() + toInfix(T.child(0)) + " ";
    } else {
        R = toInfix(T.child(0)) + T.label() + toInfix(T.child(1)) + " ";
    }
}

```

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General Traversal: The Visitor Pattern

```

iterativeDepthFirst(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Stack<Tree<Label>> work = new Stack<>();
    work.push(T);
    while (!work.isEmpty()) {
        Tree<Label> node = work.pop();
        visit(node);
        for (int i = node.arity()-1; i >= 0; i -= 1)
            work.push(node.child(i));
    }
}

```

Function.Consumer<AType> is a library interface that is a function-like type with one void method, accept, which takes an argument of type AType.

Using lambda syntax, I can print all labels in the tree in order:

```

iterativeDepthFirst(myTree, T -> System.out.print(T.label() + " "));

```

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Breadth-First Traversal Implemented

Conversion to iterative depth-first traversal gives breadth-
Just change the (LIFO) stack to a (FIFO) queue:

```

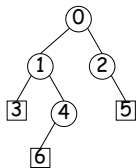
firstTraverse(Tree<Label> T, Consumer<Tree<Label>> visit) {
    Tree<Label>> work = new ArrayDeque<>(); // (Changed)
    ;
    while (!work.isEmpty()) {
        Tree<Label> node = work.remove(); // (Changed)
        if (node != null) {
            visit.accept(node);
            for (int i = 0; i < node.arity(); i += 1) // (Changed)
                work.push(node.child(i));
        }
    }
}
    
```

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Level-Order (Breadth-First) Traversal

Traverse all nodes at depth 0, then depth 1, etc:



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Breadth-First Traversal: Iterative Deepening

Breadth-first traversal used space proportional to the *width*
which is $\Theta(N)$ for bushy trees, whereas depth-first
uses $\lg N$ space on bushy trees.

Breadth-first traversal in $\lg N$ space and $\Theta(N)$ time on

level k , of the tree from 0 to lev , call $doLevel(T, k)$:

```

doLevel(Tree T, int lev) {
    if (lev == 0)
        visit(T.root());
    for (each non-null child, C, of T)
        doLevel(C, lev-1);
}
    
```

Breadth-first traversal by repeated (truncated) depths:
iterative deepening.

To find a node at level k , we skip (i.e., traverse but don't visit) the nodes
at level $k-1$, and then visit at level k , but not their children.

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Tree Traversal Times

Linear-time algorithms have roughly the form of the `boom` example
in *Data Structures*—an exponential algorithm.

The role of M in that algorithm is played by the *height* of
the tree, and the number of nodes.

Try to see that tree traversal is *linear*: $\Theta(N)$, where N
is the number of nodes. Form of the algorithm implies that there is one
root, and then one visit for every *edge* in the tree.
Each node but the root has exactly one parent, and the root
has no parent. So there are $N - 1$ edges in any non-empty tree.

For a tree, is also one recursive call for each empty tree, but
the number of recursive calls can be no greater than kN , where k is arity.

For a tree (max # children is k), $h + 1 \leq N \leq \frac{k^{h+1} - 1}{k - 1}$, where h is

height and $N = \Omega(\lg N)$ and $h \in O(N)$.

Linear-time algorithms look at one child only. For them, worst-case
time is proportional to the *height* of the tree— $\Theta(\lg N)$ —assuming
bushy—each level has about as many nodes as possible.

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Iterators for Trees

Iterators are not terribly convenient on trees.

Iterators from iterative methods.

```

OrderTreeIterator<Label> implements Iterator<Label> {
    Stack<Tree<Label>> s = new Stack<Tree<Label>>();

    OrderTreeIterator(Tree<Label> T) { s.push(T); }

    boolean hasNext() { return !s.isEmpty(); }
    Label next() {
        Tree<Label> result = s.pop();
        int i = result.arity()-1; i >= 0; i -= 1;
        while (i > 0) result = result.child(i);
        return result.label();
    }
}
    
```

What do I have to add to class Tree first?

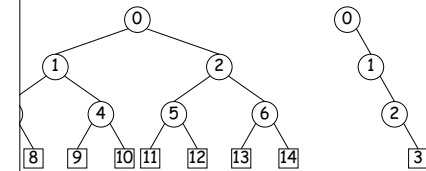
```

    printLabel : aTree System.out.print(label + " ");
}
    
```

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Iterative Deepening Time?



Height, N be # of nodes.

Nodes traversed (i.e., # of calls, not counting null nodes).

Tree: 1 for level 0, 3 for level 1, 7 for level 2, 15 for level

$1 + (2^1 - 1) + (2^2 - 1) + \dots + (2^{h+1} - 1) = 2^{h+2} - h \in \Theta(N)$,
 $2^{h+1} - 1$ for this tree.

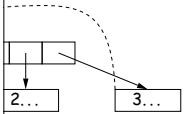
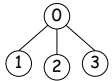
Linear-time (leaning) tree: 1 for level 0, 2 for level 1, 3 for level 2.

$1 + (h+1)(h+2)/2 = N(N+1)/2 \in \Theta(N^2)$, since $N = h+1$
of tree.

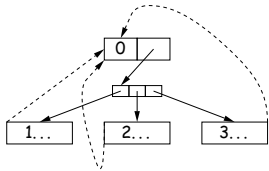
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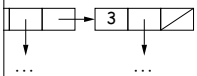
Tree Representation



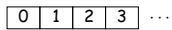
ed child pointers
parent pointers)



(b) Array of child pointers
(+ optional parent pointers)



sibling pointers



(d) breadth-first array
(complete trees)