RISC-V Pipeline Hazards!

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Great Idea #4: Parallelism

- **Parallel Requests**
  Assigned to computer
  e.g. search “Garcia”

- **Parallel Threads**
  Assigned to core
  e.g. lookup, ads

- **Parallel Instructions**
  > 1 instruction @ one time
  e.g. 5 pipelined instructions

- **Parallel Data**
  > 1 data item @ one time
  e.g. add of 4 pairs of words

- **Hardware descriptions**
  All gates functioning in parallel at the same time

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**Software**

- Warehouse Scale Computer

**Hardware**

- Core
- Functional Unit(s)
  - $A_0 + B_0$
  - $A_1 + B_1$
  - $A_2 + B_2$
  - $A_3 + B_3$
- Cache Memory
- Logic Gates
- Memory
- Input/Output

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Smart Phone

Leverage Parallelism & Achieve High Performance
Review of Last Lecture

• Implementing controller for your datapath
  – Take decoded signals from instruction and generate control signals

• Pipelining improves performance by exploiting Instruction Level Parallelism
  – 5-stage pipeline for RISC-V: IF, ID, EX, MEM, WB
  – Executes multiple instructions in parallel
  – Each instruction has the same latency
  – What can go wrong???
Agenda

- RISC-V Pipeline
- Hazards
  - Structural
  - Data
    - R-type instructions
    - Load
  - Control
- Superscalar processors
Single-Cycle RISC-V RV32I Datapath
Pipelining RISC-V RV32I Datapath

NOTE: Control signals are also pipelined!
Recalculate PC+4 in M stage to avoid sending both PC and PC+4 down pipeline.

Data from “future” stages that belong in “earlier” stages can just be wired back!

Must pipeline instruction along with data, so control operates correctly in each stage.
Each stage operates on different instruction

lw t0, 8(t3)  sw t0, 4(t3)  slt t6, t0, t3  or t3, t4, t5  add t0, t1, t2

IMEM  ALU  DMEM

pc_F  pc_D  pc_X  pc_M

alu_x  +4  pc_{+4}  +4

IMEM  inst_D  inst_x  inst_M  inst_W

AddrD  DataD  AddrA  DataA  AddrB  DataB  Addr  Data  Addr

Reg[]  rs1_x  rs2_x  rs2_M  Reg[]  rs1_M  rs2_M

imm_x  Imm  inst

alu_M  Addr  DataR  DataW

Branch Comp.
Pipelined Control

- Control signals derived from instruction
  - As in single-cycle implementation
  - Proper Information (e.g. signals, rd) is stored in pipeline registers for use by later stages
Recap: Pipelining with RISC-V

<table>
<thead>
<tr>
<th></th>
<th>Single Cycle</th>
<th>Pipelining</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Timing</strong></td>
<td>$t_{\text{step}} = 100 \ldots 200 \text{ ps}$</td>
<td>$t_{\text{cycle}} = 200 \text{ ps}$</td>
</tr>
<tr>
<td></td>
<td>Register access only 100 ps</td>
<td>All cycles same length</td>
</tr>
<tr>
<td><strong>Instruction time, $t_{\text{instruction}}$</strong></td>
<td>$= t_{\text{cycle}} = 800 \text{ ps}$</td>
<td>1000 ps</td>
</tr>
<tr>
<td><strong>Clock rate, $f_s$</strong></td>
<td>$1/800 \text{ ps} = 1.25 \text{ GHz}$</td>
<td>$1/200 \text{ ps} = 5 \text{ GHz}$</td>
</tr>
<tr>
<td><strong>Relative speed</strong></td>
<td>1 x</td>
<td>4 x</td>
</tr>
</tbody>
</table>

- add t0, t1, t2
- or t3, t4, t5
- sll t6, t0, t3

### Timing
- $t_{\text{cycle}} = 200 \text{ ps}$
- Register access only 100 ps

### Instruction Time
- $t_{\text{instruction}} = t_{\text{cycle}} = 800 \text{ ps}$
- Relative speed: $1 \times$

### Clock Rate
- $f_s = 1/800 \text{ ps} = 1.25 \text{ GHz}$
- $f_s = 1/200 \text{ ps} = 5 \text{ GHz}$

### Relative Speed
- $1 \times$
- $4 \times$
RISC-V Pipeline

Resource use in a particular time slot

Resource use by instruction over time

add t0, t1, t2
or t3, t4, t5
slt t6, t0, t3
sw t0, 4(t3)
lw t0, 8(t3)
addi t2, t2, 1

t_{cycle} = 200 \text{ ps}

t_{instruction} = 1000 \text{ ps}
Question: Which of the following signals for RISC-V does NOT need to be passed into the EX pipeline stage for a beq instruction?

(A) BrUn  
(B) MemWr  
(C) RegWr  
(D) WBSel
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Hazards Ahead!
Pipelining Hazards

A **hazard** is a situation that prevents starting the next instruction in the next clock cycle

1) **Structural hazard**
   - A required resource is busy
     (e.g. needed in multiple stages)

2) **Data hazard**
   - Data dependency between instructions
   - Need to wait for previous instruction to complete its data write

3) **Control hazard**
   - Flow of execution depends on previous instruction
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Structural Hazard

• **Problem:** Two or more instructions in the pipeline compete for access to a single physical resource

• **Solution 1:** Instructions take turns using resource, some instructions have to stall (wait)

• **Solution 2:** Add more hardware to machine

• *Can always solve a structural hazard by adding more hardware*
Structural Hazard: Regfile!

- RegFile: Used in ID and WB!

Time (clock cycles)

Instr Order

Load

Add

Store

Sub

Or
Regfile Structural Hazards

• Each instruction:
  – can read up to two operands in decode stage
  – can write one value in writeback stage

• Avoid structural hazard by having separate “ports”
  – two independent read ports and one independent write port

• Three accesses per cycle can happen simultaneously
Regfile Structural Hazards

• Two *alternate* solutions:
  1) Build RegFile with independent read and write ports (what you will do in the project; good for single-stage)
  2) Double Pumping: split RegFile access in two! Prepare to write during 1\textsuperscript{st} half, write on *falling* edge, read during 2\textsuperscript{nd} half of each clock cycle
    • Will save us a cycle later...
    • Possible because RegFile access is *VERY* fast (takes less than half the time of ALU stage)

• **Conclusion:** Read and Write to registers during same clock cycle is okay
Structural Hazard: Memory!

- Memory units: Used in IF and MEM!

![Diagram of Instruction Order and Time (clock cycles)]
Instruction and Data Caches

Caches: small and fast “buffer” memories
Structural Hazards – Summary

• Conflict for use of a resource

• In RISC-V pipeline with a single memory unit
  – Load/store requires data access
  – Without separate memory units, instruction fetch would have to stall for that cycle
    • All other operations in pipeline would have to wait

• Pipelined datapaths require separate instruction/data memory units
  – Or separate instruction/data caches

• RISC ISAs (including RISC-V) designed to avoid structural hazards
  – e.g. at most one memory access/instruction
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2. Data Hazards (1/2)

- Consider the following sequence of instructions:

  ```
  add t0, t1, t2
  sub t4, t0, t3
  and t5, t0, t6
  or t7, t0, t8
  xor t9, t0, t10
  ```

  Stored during WB

  Read during ID
Identifying data hazards:

- Where is data \textbf{WRITTEN}? 
- Where is data \textbf{READ}? 
- Does the WRITE happen AFTER the READ?

**Time (clock cycles)**

- `add t0, t1, t2`
- `sub t4, t0, t3`
- `and t5, t0, t6`
- `or t7, t0, t8`
- `xor t9, t0, t10`

*Only an issue if no double pumping!*
Solution 1: Stalling

• Problem: Instruction depends on result from previous instruction
  – add \( t_0, t_1, t_2 \)
  – sub \( t_4, t_0, t_3 \)

• Bubble:
  – effectively NOP: affected pipeline stages do “nothing” (add x0 x0 x0)
Stalls and Performance

• Stalls reduce performance
  – Decrease throughput of “valid” or useful instructions
  – Can also be seen as increasing the latency of our stalled instruction
• But stalls are required to get correct results

• Compiler can arrange code to avoid hazards and stalls!
  – And so can 61C students ;)
  – Requires knowledge of the pipeline structure, and knowledge of instruction interactions
Data Hazard Solution: Forwarding

- Forward result as soon as it is available, even though it’s not stored in RegFile yet

```
add t0, t1, t2
sub t4, t0, t3
and t5, t0, t6
or t7, t0, t8
xor t9, t0, t10
```

Forwarding: grab operand from pipeline stage, rather than register file
Forwarding (aka Bypassing)

• Use result when it is computed
  – Don’t wait for it to be stored in a register
  – Requires extra hardware in the datapath (and extra control!)
  – Not required on project 3 :)

![Diagram showing program execution order and time]

- Time: 200, 400, 600, 800, 1000
- Program execution order (in instructions)
  - add $s0, $t0, $t1
  - sub $t2, $s0, $t3
Question

In our 5-stage pipeline, how many subsequent instructions do we need to look at to detect data hazards for this \texttt{add}? Assume we have double-pumping.

A) 1 instruction  
B) 2 instructions  
C) 3 instructions  
D) 4 instructions  
E) 5 instructions
Detect Need for Forwarding (example)

- add $t0$, $t1$, $t2$
- or $t3$, $t0$, $t5$
- sub $t6$, $t0$, $t3$

Compare destination of older instructions in pipeline with sources of new instruction in decode stage. Must ignore writes to $x0$!
Forwarding Path

Forwarding Control Logic
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Data Hazard: Loads (1/4)

• **Recall:** Dataflow backwards in time are hazards

\[ \text{lw } t_0, 0(t_1) \]

\[ \text{sub } t_3, t_0, t_2 \]

• Can’t solve all cases with forwarding
  – Must *stall* instruction dependent on load (sub), then forward after the load is done (more hardware)
Data Hazard: Loads (2/4)

- **Hardware** stalls pipeline
  - Called “hardware interlock”

\[
\begin{align*}
\text{lw } t0, 0(t1) \\
\text{sub } t3, t0, t2 \\
\text{and } t5, t0, t4 \\
\text{or } t7, t0, t6
\end{align*}
\]

This is what happens in hardware in a “hardware interlock”
Data Hazard: Loads (3/4)

- Stall is equivalent to **nop**

```
liw t0, 0(t1)  
```

```
nop
```

```
sub t3, t0, t2  
```

```
and t5, t0, t4  
```

```
or t7, t0, t6  
```
Data Hazard: Loads (4/4)

• Slot after a load is called a *load delay slot*
  – If that instruction uses the result of the load, then the hardware will stall for **one cycle**
  – Equivalent to inserting an explicit **nop** in the slot
    • except the latter uses more code space
  – Performance loss

• **Idea:** Let the compiler/assembler put an unrelated instruction in that slot → no stall!
Code Scheduling to Avoid Stalls

• Reorder code to avoid use of load result in the next instruction!
• RISC-V code for $D = A + B; \ E = A + C$;

<table>
<thead>
<tr>
<th>Original Order:</th>
<th>13 cycles</th>
<th>Alternative:</th>
<th>11 cycles</th>
</tr>
</thead>
<tbody>
<tr>
<td>lw t1, 0(t0)</td>
<td></td>
<td>lw t1, 0(t0)</td>
<td></td>
</tr>
<tr>
<td>lw t2, 4(t0)</td>
<td></td>
<td>lw t2, 4(t0)</td>
<td></td>
</tr>
<tr>
<td>add t3, t1, t2</td>
<td>Stall!</td>
<td>add t3, t1, t2</td>
<td>Stall!</td>
</tr>
<tr>
<td>sw t3, 12(t0)</td>
<td></td>
<td>sw t3, 12(t0)</td>
<td></td>
</tr>
<tr>
<td>lw t4, 8(t0)</td>
<td>Stall!</td>
<td>lw t4, 8(t0)</td>
<td>Stall!</td>
</tr>
<tr>
<td>add t5, t1, t4</td>
<td></td>
<td>add t5, t1, t4</td>
<td></td>
</tr>
<tr>
<td>sw t5, 16(t0)</td>
<td></td>
<td>sw t5, 16(t0)</td>
<td></td>
</tr>
</tbody>
</table>
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3. Control Hazards

• Branch \( (\text{beq, bne, ...}) \) determines flow of control
  – Fetching next instruction depends on branch outcome
  – Pipeline can’t always fetch correct instruction
    • Result isn’t known until end of execute

• Simple Solution: Stall on every branch until we have the new PC value
  – How long must we stall?
Branch Stall

• How many bubbles are required to account for the control hazard from \texttt{beq}?

\begin{itemize}
  \item A) 1
  \item B) 2
  \item C) 3
  \item D) 4
  \item E) 5
\end{itemize}
3. Control Hazard: Branching

• **Option #1:** Move *branch comparator* to ID stage
  – As soon as instruction is decoded, immediately make a decision and set the new value of PC
  – **Benefit:** Branch decision made in 2\textsuperscript{nd} stage, so only one \texttt{nop} is needed instead of two
  – **Side Note:** Have to compute new PC value (PC + imm) in ID instead of EX
    • Adds extra copy of new-PC logic in ID stage
    • Branches are idle in EX, MEM, and WB
Improved Branch Stall

• When is comparison result available?

Time (clock cycles)

Instruction 1
Instruction 2
Instruction 3
Instruction 4

Only one stall needed!
Data Hazard: Branches!

- **Recall**: Dataflow backwards in time are hazards

  \[
  \text{add } t0, t0, t1
  \]

  \[
  \text{beq } x0, t0, \text{foo}
  \]

- Now that \( t0 \) is needed earlier (ID instead of EX), we can’t forward it to the beq’s ID stage
  - Must *stall* after add, then forward (more hardware)
Observations

• **Takeaway**: Moving *branch comparator* to ID stage would add redundant hardware and introduce new problems

• Can we work with the nature of branches?
  − If branch not taken, then instructions fetched sequentially after branch are correct
  − If branch or jump taken, then need to flush incorrect instructions from pipeline by converting to NOPs
Agenda

- Structural Hazards
- Data Hazards
  - Forwarding
- Data Hazards (Continued)
  - Load Delay Slot
- Control Hazards
  - Branch and Jump Delay Slots
  - Branch Prediction
Kill Instructions after Branch if Taken

beq t0, t1, label
sub t2, s0, t5
or t6, s0, t3
label: xxxxxx

Two instructions are affected by an incorrect branch, just like we’d have to insert two NOP’s/stalls in the pipeline to wait on the correct value!
3. Control Hazard: Branching

• **RISC-V Solution**: *Branch Prediction* – guess outcome of a branch, fix afterwards if necessary
  – Must cancel (*flush*) all instructions in pipeline that depended on guess that was wrong
  – How many instructions do we end up flushing?
Branch Prediction

beq t0, t1, label

label: ..... 

.....

In the correct case, we don’t have any stalls/NOP’s at all!

Prediction, if done correctly, is better on average than stalling
Dynamic Branch Prediction

• Branch penalty is more significant in deeper pipelines
• Use *dynamic branch prediction*
  – Have branch prediction mechanism (a.k.a. branch history table) that stores outcomes (taken/not taken) of previous branches
  – To execute a branch
    • Check table and predict the same outcome for next fetch
    • If wrong, flush pipeline and flip prediction
Wrong Predictions

• Pipeline will “speculatively execute” the branch if it guesses that it should be taken
• If incorrect, will simply restart at beginning of branch and execute normally, updating predictor
  – Incurs redo of 2 cycles, same cost as stalling w/o predictor
• If correct, improved performance!
• “Eager execution” is another option, but led to Spectre and Meltdown security vulnerabilities in Intel chips
Branch Predictors

- Branch prediction today is very (very, very...) effective!
  - Multiple models: branch target buffer, branch history table, geometric predictors, etc.
- Contain many bits of state, not easily “saturated”, some consider local vs. global branching
- Interested? Check out CS152!
Finding Hazards in RISC-V Code (1/3)

• **Question:** For the code sequence below, choose the statement that best describes requirements for correctness

```
lw   t0,0(t0)
add  t1,t0,t0
```

A  **No stalls as is**

B  **No stalls with forwarding**

C  **Must stall**
Finding Hazards in RISC-V Code (1/3)

Time (clock cycles)

Instr Order

lw
add
instr
instr

Must stall at least once! Forwarding doesn’t help us here!
Finding Hazards in RISC-V Code (2/3)

• **Question:** For the code sequence below, choose the statement that best describes requirements for correctness

```
add  t1, t0, t0
addi t2,t0,5
addi t4,t1,5
```

A  **No stalls as is**

B  **No stalls with forwarding**

C  **Must stall**
Find Hazards in RISC-V Code (2/3)

No stalls are necessary if we forward!
Finding Hazards in RISC-V Code (3/3)

• **Question:** For the code sequence below, choose the statement that best describes requirements for correctness:

```assembly
addi t1, t0, 1
addi t2, t0, 2
addi t3, t0, 2
addi t3, t0, 4
addi t5, t1, 5
```

A  **No stalls as is**
B  **No stalls with forwarding**
C  **Must stall**
Finding Hazards in RISC-V Code (3/3)

Time (clock cycles)

In order

addi
addi
addi
addi
addi

No stalls as is! Our reading takes place after our write has finished!
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Increasing Processor Performance

1. Clock rate
   – Limited by technology and power dissipation

2. Pipelining
   – “Overlap” instruction execution
   – Deeper pipeline: 5 => 10 => 15 stages
     • Less work per stage → shorter clock cycle
     • But more potential for hazards (CPI > 1)

3. Multi-issue ”super-scalar” processor
   – Multiple execution units (ALUs)
     • Several instructions executed simultaneously
     • CPI < 1 (ideally)
Superscalar Processor

P&H p. 340

Instruction fetch and decode unit

Reservation station
Reservation station
Reservation station
Reservation station

In-order issue

Functional units

Integer
Integer
Floating point
Load-store

Commit unit

In-order commit

DECODE ORDER
1. addi t0 t0 t1
2. lw t2 0(a0)
3. sub t4 a1 a0
4. addi t0 t0 t1

EXECUTION ORDER
1. sub AND addi (1) AND load
2. addi (2)

COMMIT ORDER
1. addi t0 t0 t1
2. lw t2 0(a0)
3. sub t4 a1 a0
4. addi t0 t0 t1
Benchmark: CPI of Intel Core i7

CPI = 1

P&H p. 350
Summary

• Hazards reduce effectiveness of pipelining
  – Cause stalls/bubbles

• Structural Hazards
  – Conflict in use of a datapath component

• Data Hazards
  – Need to wait for result of a previous instruction

• Control Hazards
  – Address of next instruction uncertain/unknown

• Superscalar processors use multiple execution units for additional instruction level parallelism
  – Performance benefit highly code dependent
Extra Slides
Pipelining and ISA Design

- RISC-V ISA designed for pipelining
  - All instructions are 32-bits
    ▪ Easy to fetch and decode in one cycle
    ▪ Versus x86: 1- to 15-byte instructions
  - Few and regular instruction formats
    ▪ Decode and read registers in one step
  - Load/store addressing
    ▪ Calculate address in 3rd stage, access memory in 4th stage
  - Alignment of memory operands
    ▪ Memory access takes only one cycle
Superscalar Processor

• Multiple issue “superscalar”
  – Replicate pipeline stages ⇒ multiple pipelines
  – Start multiple instructions per clock cycle
  – CPI < 1, so use Instructions Per Cycle (IPC)
  – E.g., 4GHz 4-way multiple-issue
    ▪ 16 BIPS, peak CPI = 0.25, peak IPC = 4
  – Dependencies reduce this in practice

• “Out-of-Order” execution
  – Reorder instructions dynamically in hardware to reduce impact of hazards

• CS152 discusses these techniques!