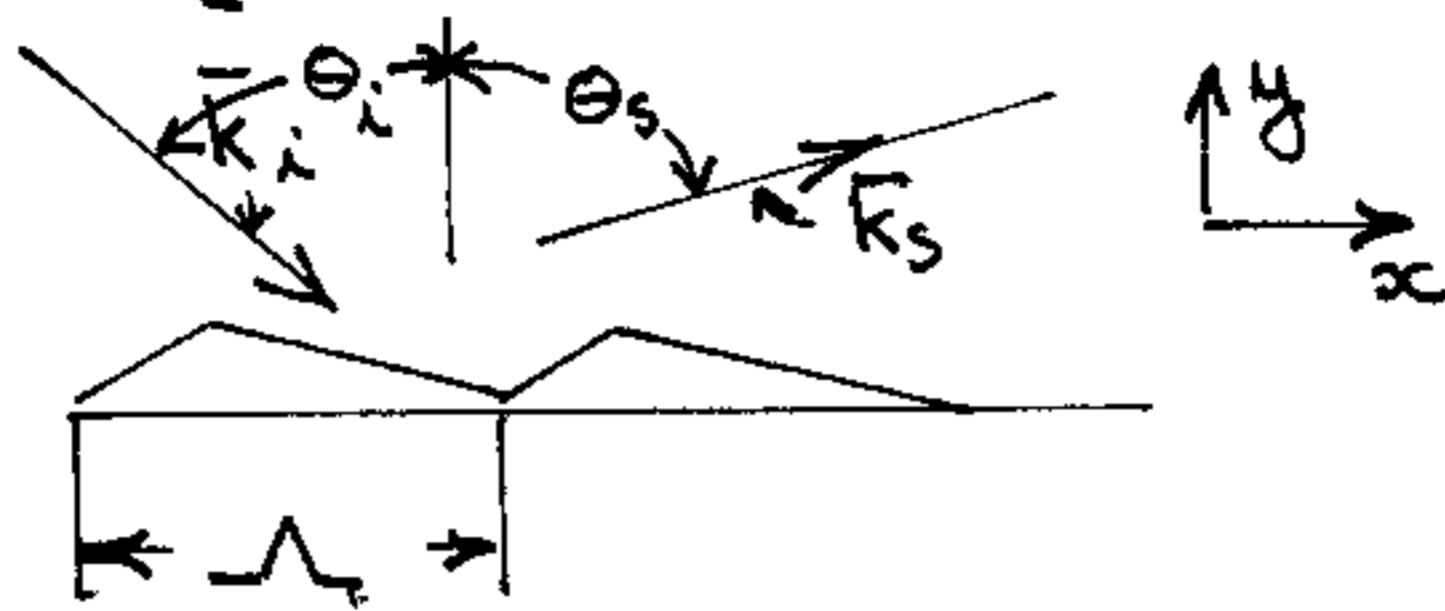


Grating Equation And Resolution



① Conservation of momentum $\hbar \vec{k}_s = \hbar \vec{k}_i + \hbar \vec{k}_G$

② Conservation of energy $\hbar \omega_s = \hbar \omega_i + \hbar \omega_G$

Resolve ① into components.

$$k_{sx} = k_{ix} + k_G$$

$$k_{sy} = k_{iy} + k_{Gy} \quad \text{with} \quad k_{sx}^2 + k_{sy}^2 = k_s^2 \quad \text{and} \quad k_{ix}^2 + k_{iy}^2 = k_i^2$$

Only if the grating is travelling (i.e. of the form $f(\omega t - \vec{k}_G \cdot \vec{r})$ for some f , can it have energy $\hbar \omega$

③ $k_G = (m \frac{2\pi}{\lambda})$, m an integer, since

$$y(x) = \sum_m y_m e^{i \frac{2\pi}{\lambda} m x} \quad \text{is the profile of the grating}$$

which multiplies the incident wave

$$y(x)(\vec{E}_i) = (E_{i0} e^{i k_{ix} x}) \left(\sum y_m e^{i \frac{2\pi}{\lambda} m x} \right) \quad (\text{at } y=0)$$

$$= E_{i0} \sum y_m e^{i (k_{ix} + \frac{2\pi}{\lambda} m) x}$$

generates the scattered field

Thus $k_{sx} = k_{ix} + \frac{2\pi}{\lambda} m$; But $k_{sx} = k_s \sin \theta_s$
 $k_{ix} = k_i \sin \theta_i$

consequently $k_s \sin \theta_s = k_i \sin \theta_i + m \frac{2\pi}{\lambda}$

Also $k_s = \frac{\omega}{c}$ $k_i = \frac{\omega}{c} = k_s$

Finally

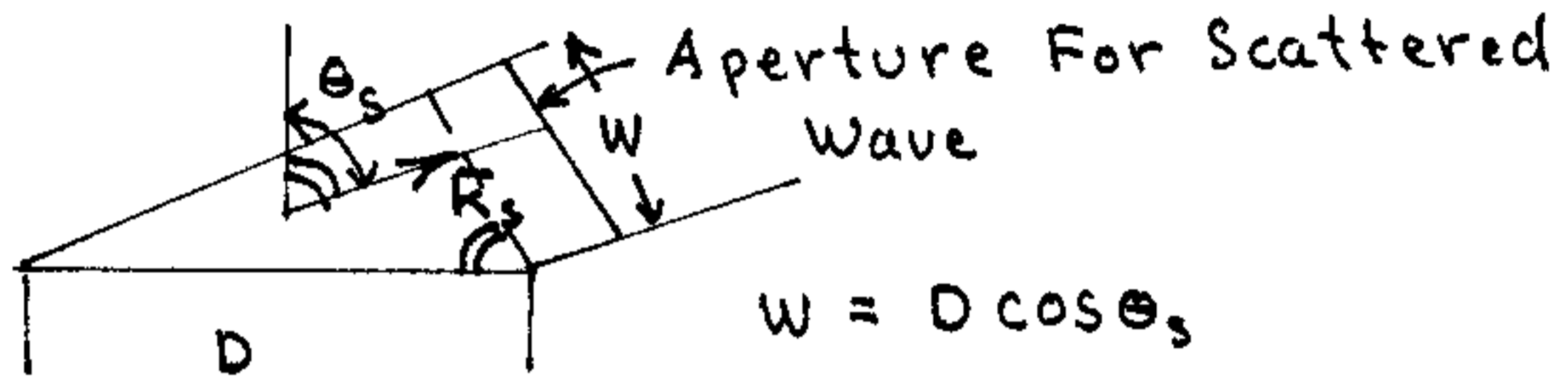
$$\sin \theta_s = \sin \theta_i + \frac{c m}{\omega \lambda} \frac{2\pi}{\lambda}$$

order of the sat

Grating Equation

Important Parameters

Resolution



$\theta_D =$ Diffraction Angle $\approx \frac{\Delta}{w} = \frac{\Delta}{D \cos \theta_s}$

θ_s given by $\sin \theta_s = \sin \theta_i + \frac{m \lambda}{\Lambda}$

$\theta_s + \Delta \theta_s$ given by $\sin(\theta_s + \Delta \theta_s) = \sin \theta_i + (m + \Delta m) \frac{\lambda}{\Lambda}$

$\sin \theta_s \cos \Delta \theta_s - \cos \theta_s \sin \Delta \theta_s$
 $\approx \sin \theta_s - \cos \theta_s \Delta \theta_s$

$\sin \theta_s - \sin(\theta_s + \Delta \theta_s) \approx -\cos \theta_s \Delta \theta_s$

$= \Delta m \frac{\lambda}{\Lambda}$; Thus $\frac{\Delta \theta_s}{\Delta m} = -\frac{m}{\Lambda \cos \theta_s}$ Angular Dispersion

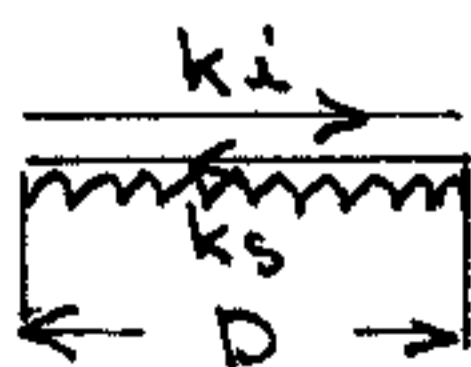
Letting $\Delta \theta_s = \theta_D = \frac{\Delta}{D \cos \theta_s}$ this is

$-\frac{\Delta}{D} = \Delta m \frac{\lambda}{\Lambda}$ or $\frac{\Delta \lambda}{\lambda} = -\frac{\Lambda}{D} \frac{1}{m} = -\frac{1}{mN}$ Resolution

where N is the number of periods.

Thus the resolution is determined by the number of periods and the order, m .

Note : Proof of DFB Grating Resolution Using The Uncertainty Relation

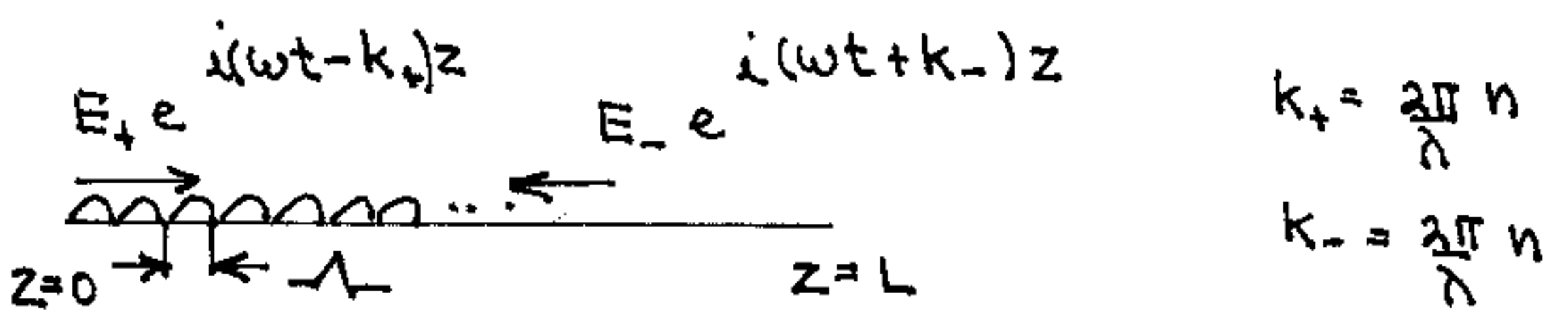


Grating Period $\Lambda = \frac{D}{m}$ ($m=1$)

$\Delta k \Delta x \approx \frac{1}{2}$; $\Delta k = 2\pi \frac{\Delta f}{c} = -2\pi \frac{\Delta \lambda}{\lambda^2}$
 $\Delta x = D$

$\therefore -2\pi \frac{\Delta \lambda}{\lambda^2} D \approx \frac{1}{2} \Rightarrow \frac{\Delta \lambda}{\lambda} \approx -\frac{1}{4\pi D} = -\frac{\Lambda}{2\pi D}$

Grating as a mirror



$$k_+ = \frac{2\pi n}{\lambda}$$

$$k_- = \frac{2\pi n}{\lambda}$$

The fields are coupled through the Fourier expansion of the periodic dielectric coefficient

For tuned coupler

$$k_- = k_+ + m \frac{2\pi}{\Lambda}$$

$$\epsilon(z) = \sum_m \epsilon_m e^{i(m \frac{2\pi}{\Lambda})z}$$

For $m = -1$ $\Lambda = \frac{\Delta}{2n}$

If κ is the coupling of the - and + going waves

$$\frac{dE_+}{dz} = +i\kappa E_- \quad \text{+ for + going wave}$$

$$\frac{dE_-}{dz} = -i\kappa E_+ \quad \text{for - going wave}$$

Conservation of Energy

$$\begin{aligned} & (E_+)^* \frac{dE_+}{dz} + E_+ \frac{d(E_+)^*}{dz} \\ & - (E_-)^* \frac{dE_-}{dz} - E_- \frac{d(E_-)^*}{dz} \\ & = i\kappa E_- E_+^* - i\kappa E_-^* E_+ \\ & \quad + i\kappa E_+ E_-^* - i\kappa E_+^* E_- \\ & = \frac{d}{dz} (E_+ E_+^* - E_- E_-^*) = 0 \end{aligned}$$

Thus Power in the + wave - Power in the - wave is a constant.

Solutions

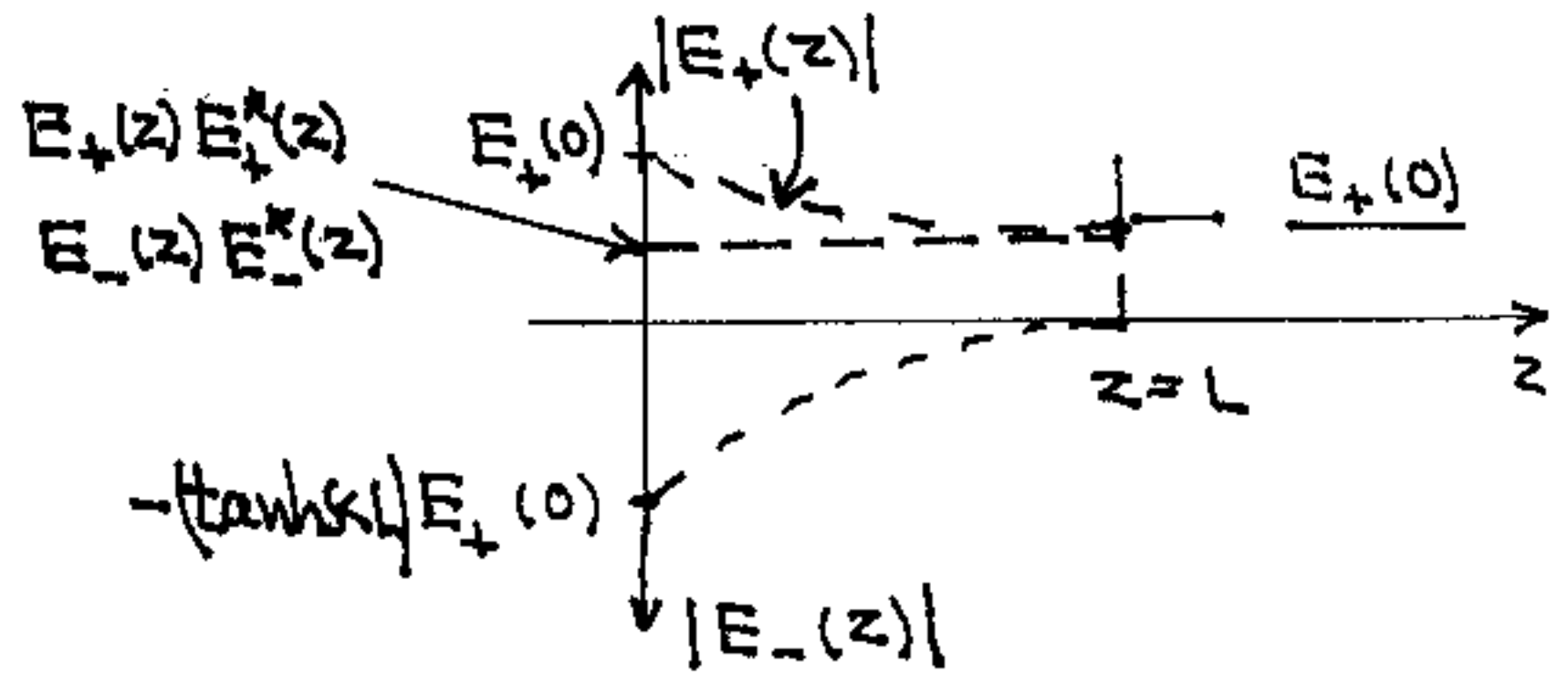
With Boundary Condition

$$E_+ |_{z=0} = E_+(0)$$

$$E_- |_{z=L} = 0$$

$$E_+(z) = \frac{\cosh \kappa(z-L)}{\cosh \kappa L} E_+(0)$$

$$E_-(z) = + \left(\frac{i \sinh \kappa(z-L)}{\cosh \kappa L} \right) E_+(0)$$



Reflectivity at $z=0$

$$R = \frac{E_-(0)}{E_+(0)} = -i \tanh(\kappa L)$$

$\frac{\pi}{2}$ phase shift.

What if the grating is not "tuned" to $\lambda = \lambda/2n$ (2)

$$e^{i\omega t - ikz} \frac{dE_+}{dz} = i\kappa e^{+ip\frac{2\pi}{\Lambda}z + ikz} e^{i\omega t} E_-$$

+ going wave form p'th Fourier component of Grating - going wave-form

or $\frac{dE_+}{dz} = i\kappa e^{i2\Delta\beta z}$ $\Delta\beta = k - \frac{p\pi}{\Lambda}$

Also $\frac{dE_+}{dz} = -i\kappa e^{-i2\Delta\beta z}$ detuning

Solutions For $E_+(z)$ and $E_-(z)$ with $E_+(0)$ given and $E_+(L) = 0$

$$E_+(z) = \frac{-\Delta\beta \sinh S(z-L) + iS \cosh S(z-L)}{\Delta\beta \sinh SL + iS \cosh SL} (E_+(0))$$

$$E_-(z) = \frac{K^* \sinh S(z-L)}{\Delta\beta \sinh SL + iS \cosh SL} (E_+(0))$$

where $S = (|K|^2 - (\Delta\beta)^2)^{1/2}$

Mirror Reflectivity $R = \frac{-K^* \sinh SL}{\Delta\beta \sinh SL + iS \cosh SL}$

At $\Delta\beta = 0$, $S = |K|$

$$|r(0)| = \tanh |KL|$$

which approaches 1 if $|KL|$ is large enough. Also, when $|\Delta\beta| > |K|$, S becomes purely imaginary and $|r(0)|^2$ vanishes when

$$\sin^2 \sqrt{(\Delta\beta L)^2 - |KL|^2} = 0, \text{ or } |\Delta\beta/K| = \sqrt{1 + (n\pi/|KL|)^2}$$

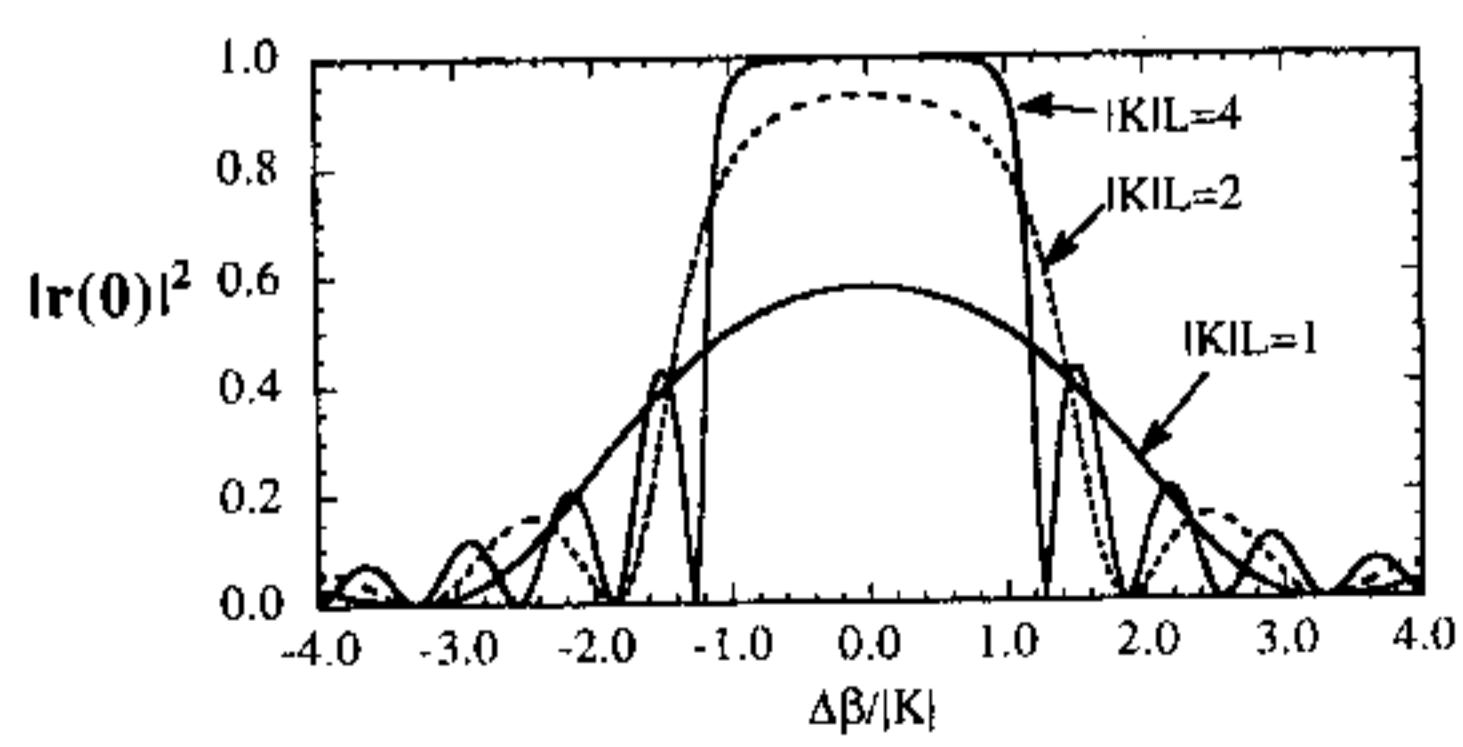
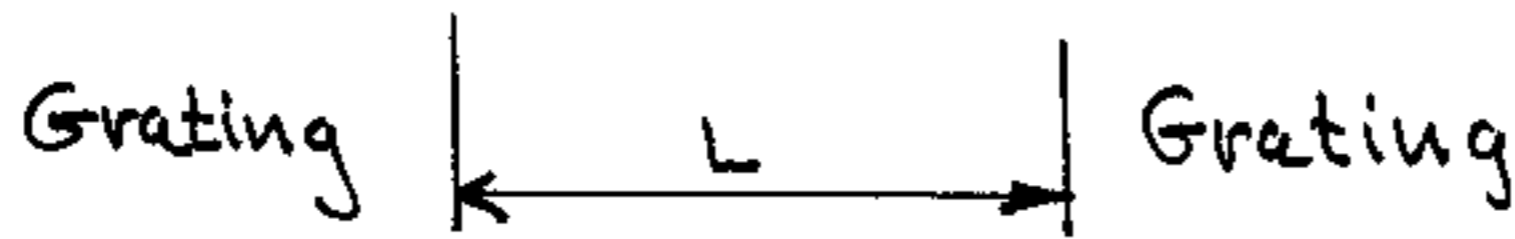


Figure 8.26. A plot of the reflectivity $|r(0)|^2$ vs. the ratio $\Delta\beta/|K|$ for three different values of $|KL|$. For a large value of $|KL|$, the bandwidth for $\Delta\beta$ is about $2|K|$.

Note: Width of Reflectivity $\Delta\beta/|K| = \frac{\Delta\beta L}{|KL|} \approx \frac{\pi}{|KL|}$
But this is just uncertainty Relation $\Delta\beta L \approx \pi$

Cavity with Grating Mirrors : The role of the $\frac{1}{2}$ phase shift. (3)



Cavity Constraint $r_1 r_2 e^{-2ikL} = 1$

phase parameters $r_1 = |r_1| e^{-i\frac{\pi}{2}}$

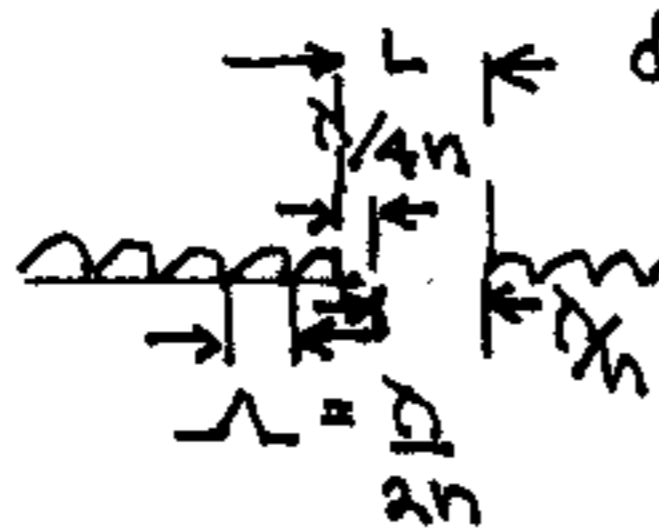
$r_2 = |r_2| e^{-i\frac{\pi}{2}}$

Thus $-\frac{\pi}{2} - \frac{\pi}{2} - 2kL = -p(2\pi)$ p - integer

For $p = 1$ $L = \frac{\pi}{2k} = \frac{D}{4n}$

In general $L = p \frac{D}{2n} - \frac{D}{4n}$

A $\frac{1}{4}$ wavelength shift due to the $\frac{\pi}{2}$ phase shift of the gratings



example $p = 3$

$L = \frac{5D}{4n}$

$= \frac{1}{4} \frac{D}{n} + D$

Note DBR Laser (with a Quarter Wavelength Sh.)

The Cavity Mode is at the center of the Reflectivity Profile (at f_0 in the figure below)

However: If $L = l\lambda$, the resonant wave lengths are

$\frac{D}{n} = \frac{l\lambda}{(p/2 - 1/4)} = l \frac{2\lambda}{(p - 1/2)}$

In particular if $l = p$

$\frac{D}{n} = \frac{2\lambda}{(1 - 1/2p)}$

or $f = \frac{cn}{\lambda} = f_0 \left(1 - \frac{1}{2p}\right)$

where $f_0 = \frac{c}{2\lambda}$ is the tuned frequency

