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 SID: \_\_\_\_\_

- Closed book. One page, 2 sides of formula sheets. No calculators.
- There are 6 problems worth 100 points total.

Problem	Points	Score
1	20	
2	19	
3	14	
4	14	
5	10	
6	23	
Total	100	

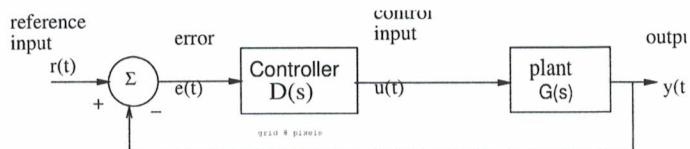
In the real world, unethical actions by engineers can cost money, careers, and lives. The penalty for unethical actions on this exam will be a grade of 'F' and a letter will be written for your file and to the Office of Student Conduct.

Tables for reference:

$\tan^{-1} \frac{1}{10} = 5.7^\circ$	$\tan^{-1} \frac{1}{5} = 11.3^\circ$
$\tan^{-1} \frac{1}{4} = 14^\circ$	$\tan^{-1} \frac{1}{3} = 18.4^\circ$
$\tan^{-1} \frac{1}{2} = 26.6^\circ$	$\tan^{-1} \frac{1}{\sqrt{3}} = 30^\circ$
$\tan^{-1} \frac{2}{3} = 33.7^\circ$	$\tan^{-1} \frac{3}{4} = 36.9^\circ$
$\tan^{-1} 1 = 45^\circ$	$\tan^{-1} \sqrt{3} = 60^\circ$
$\sin 30^\circ = \frac{1}{2}$	$\cos 30^\circ = \frac{\sqrt{3}}{2}$
$\cos 45^\circ = \frac{\sqrt{2}}{2}$	$\sin 45^\circ = \frac{\sqrt{2}}{2}$

$\log_{10} 2 = 0.30$	$\log_{10} 3 = 0.48$	$\log_{10} 5 \approx 0.7$
		$\log_{10} 6 \approx 0.78$
$20 \log_{10} 1 = 0 dB$	$20 \log_{10} 2 = 6 dB$	$\pi \approx 3.14$
$20 \log_{10} \sqrt{2} = 3 dB$	$20 \log_{10} \frac{1}{2} = -6 dB$	$2\pi \approx 6.28$
$20 \log_{10} 5 = 20 db - 6 dB = 14 dB$	$20 \log_{10} \sqrt{10} = 10 dB$	$\pi/2 \approx 1.57$
$1/e \approx 0.37$	$\sqrt{10} \approx 3.164$	$\pi/4 \approx 0.79$
$1/e^2 \approx 0.14$	$\sqrt{2} \approx 1.41$	$\sqrt{3} \approx 1.73$
	$\sqrt{5} \approx 2.24$	$\sqrt{7} \approx 2.65$
$1/e^3 \approx 0.05$	$1/\sqrt{2} \approx 0.71$	$1/\sqrt{3} \approx 0.58$

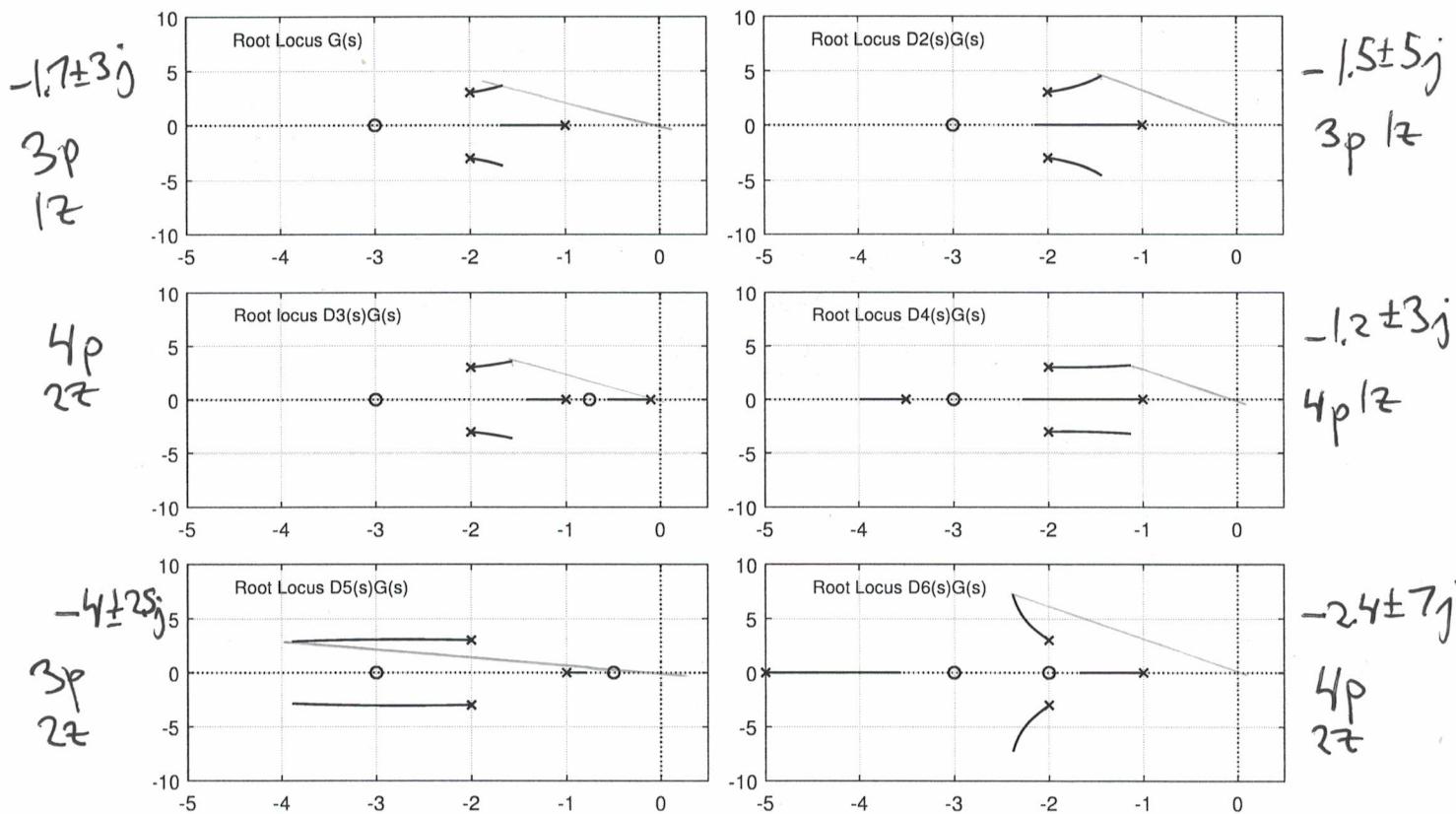
## Problem 1 (20 pts)



You are given the open-loop plant:

$$G(s) = \frac{5(s+3)}{(s+1)(s^2 + 4s + 13)}.$$

For the above system, the partial root locus is shown for 5 different controller/plant combinations,  $G(s), D_2(s)G(s), \dots, D_5(s)G(s)$ . (Note: the root locus shows open-loop pole locations for  $D(s)G(s)$ , and closed-loop poles for  $\frac{DG}{1+DG}$  are at end points of branches).



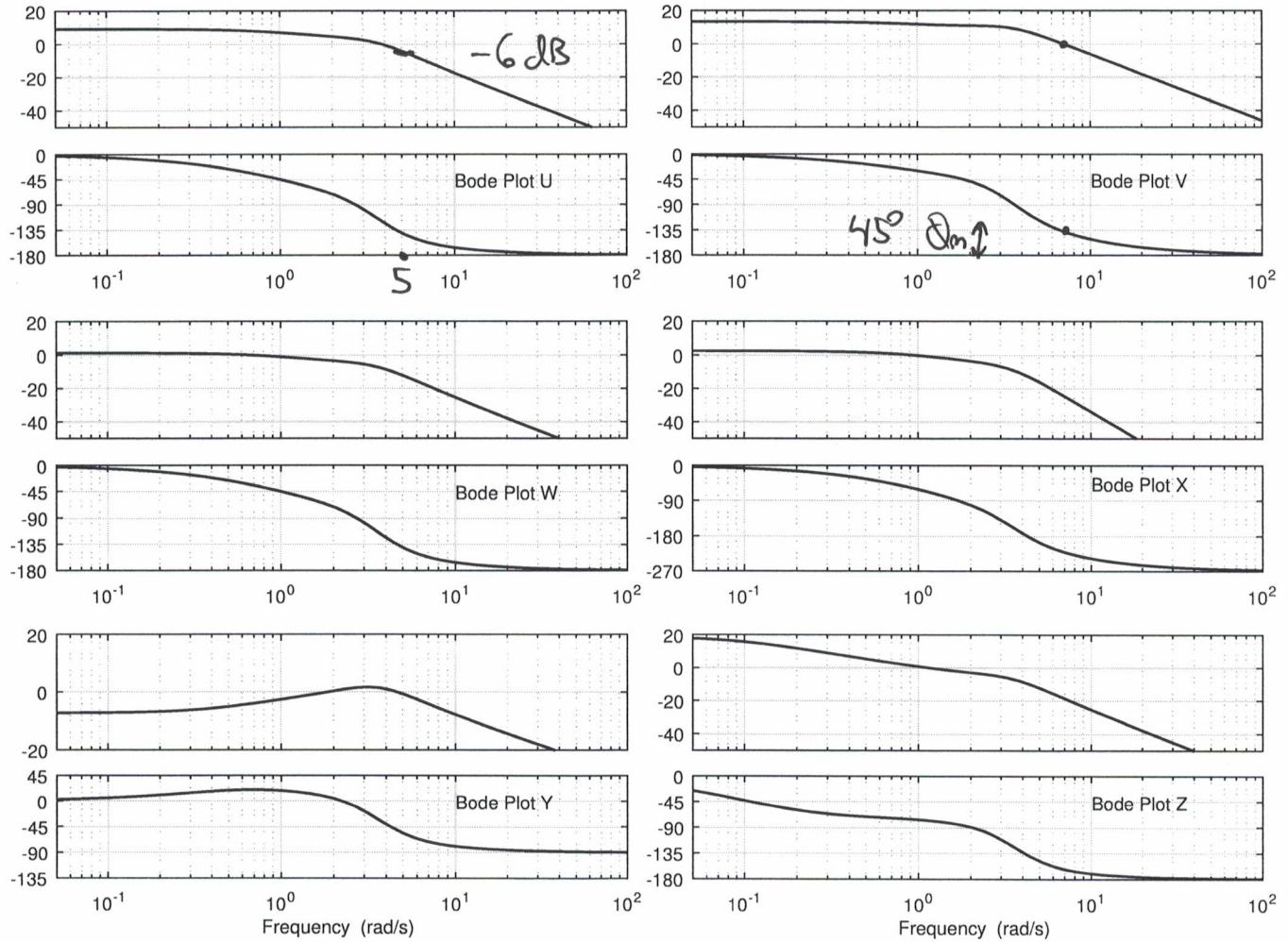
16 pts] a) For each set of open-loop poles and zeros given above, choose the best corresponding open-loop Bode plot U,V,W,X,Y, or Z from the next page:

- (i)  $G(s)$ : Bode Plot W ] some OLPs zero may break at  $\omega = 0$   $\rightarrow$  initial phase  $-180^\circ$
- (ii)  $D_2(s)G(s)$ : Bode plot U ]  $\rightarrow$  initial phase  $0^\circ$   $\{U, V, W, Z\}$
- (iii)  $D_3(s)G(s)$ : Bode plot Z ]  $\rightarrow$  initial phase  $-90^\circ$   $\{V, Y, W\}$
- (iv)  $D_4(s)G(s)$ : Bode Plot X ]  $\rightarrow$  final phase  $-270^\circ$   $\{U, W\}$
- (v)  $D_5(s)G(s)$ : Bode Plot Y ]  $\rightarrow$  final phase  $-90^\circ$   $\{U, W\}$
- (vi)  $D_6(s)G(s)$ : Bode Plot V ]  $\rightarrow$  initial phase  $\sim 0^\circ$

*key.*

**Problem 1, cont.**

The open-loop Bode plots for 6 different controller/plant combinations,  $D_1(s)G(s), \dots, D_6(s)G(s)$  are shown below. (Magnitude in dB, phase in degrees.)



[10 pts] b) For the Bode plots above:

(i) [2 pt] Which closed-loop system would have the least steady state error for a step input?

Bode plot: Y

Briefly explain why: highest gain at  $\omega=0$ .  $\lim_{t \rightarrow \infty} e(t) = \frac{D(\omega)G(\omega)}{1+D(\omega)G(\omega)}$

(ii) [1 pt] Which closed-loop system would have the greatest steady state error for a step input?

Bode plot: Y

(iii) [2 pt] Bode plot V: phase margin 45 (degrees) at  $\omega = \underline{\quad}$

(iv) [2 pt] Bode plot V: gain margin ∞ dB at  $\omega = \underline{\quad}$

(v) [1 pt] Estimate damping factor for Bode plot V.  $\zeta \approx \underline{\quad} \Delta m/100 \approx 0.45$

(vi) [2 pt] Estimate the closed-loop bandwidth (that is the frequency for which the closed loop system has a response of -3dB.) for the open loop response U. with phase  $-135^\circ < \Delta < -225^\circ$   
closed-loop bandwidth = 5 (rad/s) choose  $-6\text{dB}$

Problem 1, cont.

Key.

S

[6 pts] c) For each closed loop controller/plant with root locus as given in part a), choose the best corresponding closed-loop step response (A-F). (Note: dashed line shows final value.)

Boke  
W

(i)  $G(s)$ : step response E E

U (ii)  $D_2(s)G(s)$ : step response D

Z (iii)  $D_3(s)G(s)$ : step response A

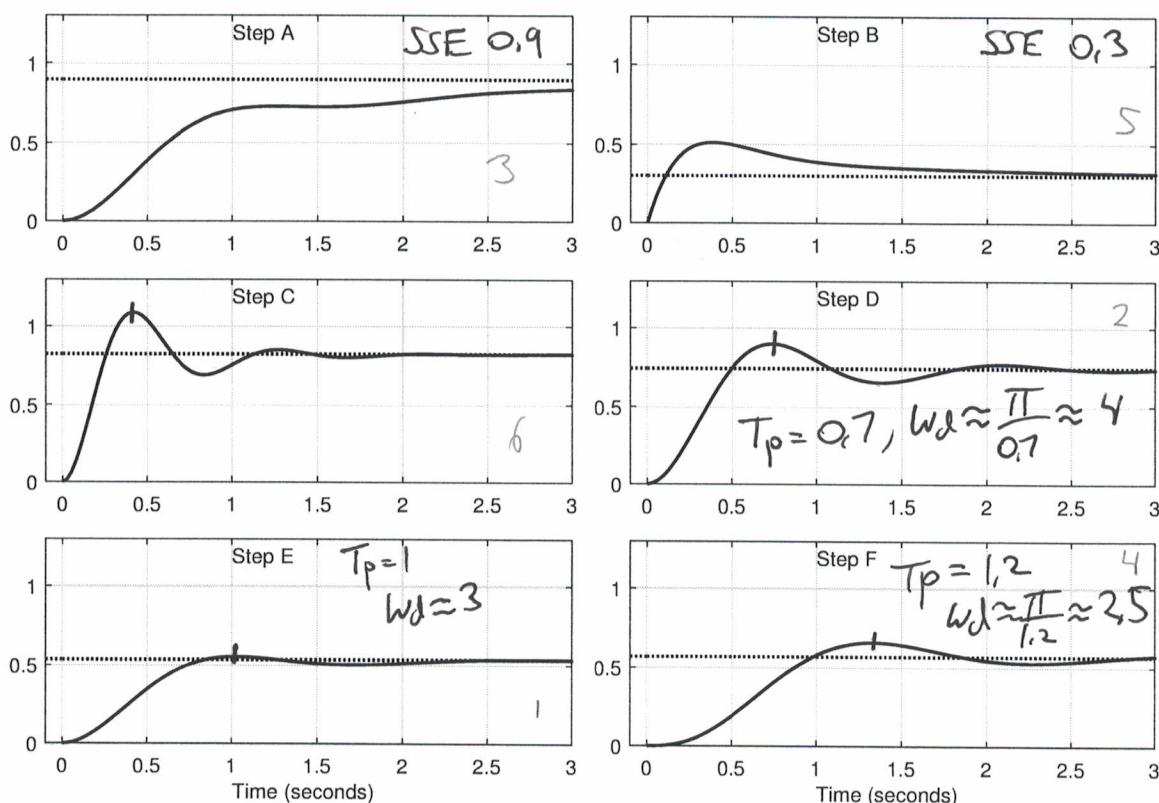
lowest SSE (looks like log)

X (iv)  $D_4(s)G(s)$ : step response F

Y (v)  $D_5(s)G(s)$ : step response B

highest SSE, also zero makes not look like classic 2nd order

AV (vi)  $D_6(s)G(s)$ : step response C



G, D, E, F look like 2nd order E has greatest phase margin and largest damping ~~overshoot~~

$$T_p = \frac{\pi}{w_d}, \quad w_d = \frac{\pi}{T_p}$$

2nd order CLP

$$G \quad \underline{\Omega_m} \sim 35^\circ \quad -1.7 \pm 3j$$

$$D_2G \quad \sim 45^\circ \quad -1.5 \pm 5j$$

$$D_4G \quad \sim 120^\circ \quad -1.2 \pm 3j$$

wd  
3 C has least damping, most overshoot  $\Rightarrow D_6G(s)$

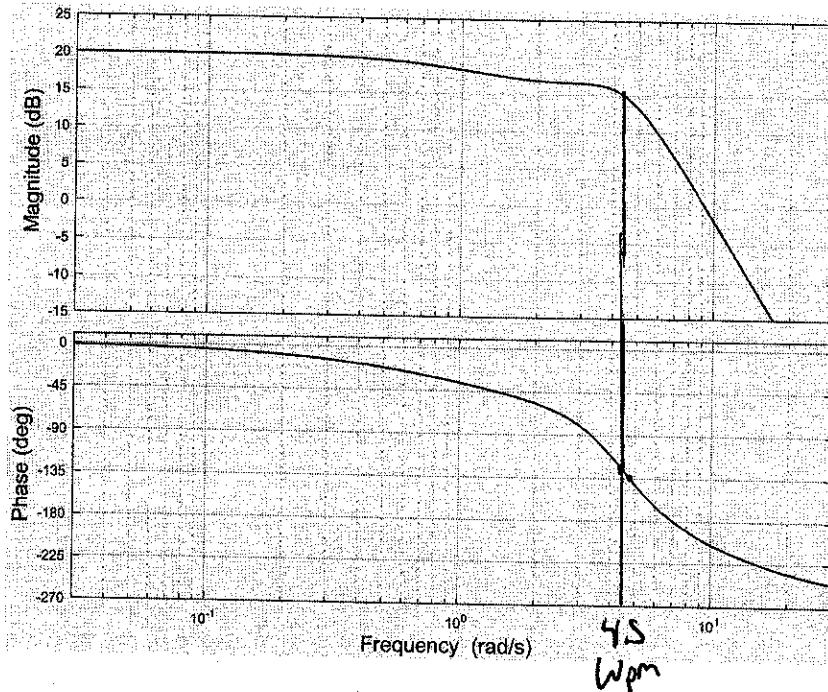
$$5 \Rightarrow D \quad T_s = \frac{4}{\pi w_h}$$

$$3 \quad T_s \text{ of } E < T_s \text{ of } F \Rightarrow G = E \quad D_4G = F$$

*Key*

**Problem 2 (19 pts)**

The open-loop system is given by  $G(s) = \frac{1000(s+2)}{(s+1)(s+10)(s^2+4s+20)}$ , and Bode plot for  $G(s)$  is here:



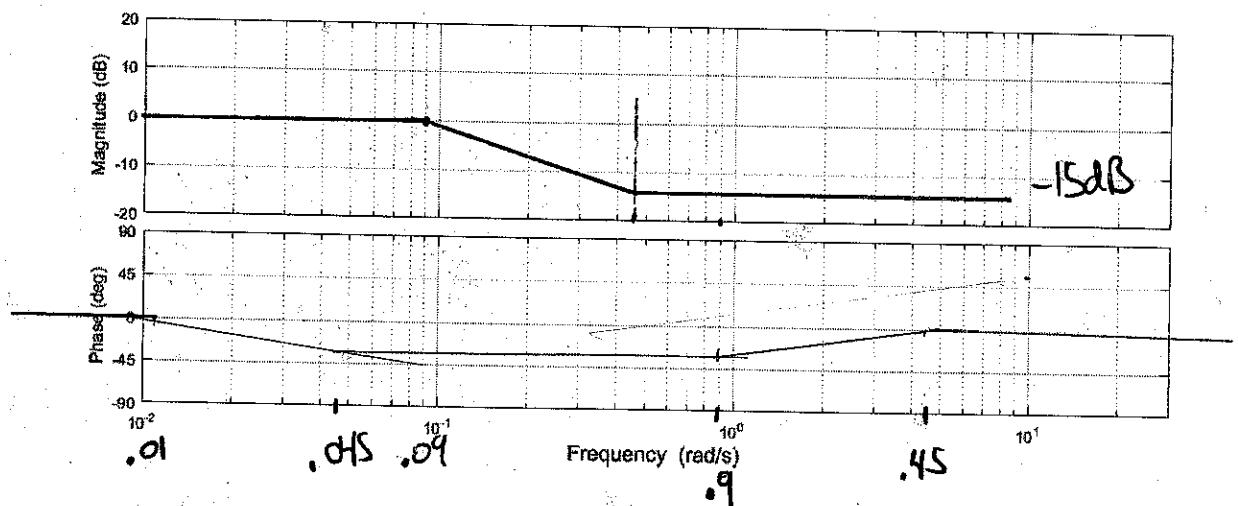
A lag controller  $D(s) = k \frac{s+\alpha}{s+\beta}$  is to be designed such that the unity gain feedback system with openloop transfer function  $D(s)G(s)$  has static error constant  $K_p = 10$ .  $D(s)G(s)$  should have a nominal (asymptotic approximation) phase margin  $\phi_m \approx 45^\circ$ .  $+10^\circ = 55^\circ$

[4 pts]. a. Following the lag compensation procedure, what is the chosen phase margin frequency for the compensated system?  $\omega_{pm} = 4.5$  rad  $s^{-1}$ .

[9 pts] b. Determine gain, zero, and pole location for the lag network  $D(s)$ :

$$\text{gain } k = \frac{\beta}{\alpha} \approx \frac{1}{6} \quad \text{zero: } \alpha = 0.45 \quad \text{pole: } \beta = \frac{\alpha}{6} \approx 0.09$$

[6 pts] b. Sketch the asymptotic Bode plot for the lag network  $D(s)$  alone on the plot below:



**Problem 3 (14 pts)**

[6 pts] a. Given  $A = \begin{bmatrix} 0 & 1 \\ -2 & -3 \end{bmatrix}$ , find the eigenvalues of  $A = \boxed{\lambda^2 + 3\lambda + 2}$ .

$$\lambda^2 + 3\lambda + 2 = 0, \quad A^2 + 3A + 2I = 0$$

$$\begin{vmatrix} \lambda & 1 \\ 2 & \lambda+3 \end{vmatrix} = \lambda^2 + 3\lambda + 2$$

By Cayley-Hamilton,  $e^{At} = \alpha_0(t)I + \alpha_1(t)A$ . Find  $\alpha_0(t)I + \alpha_1(t)A$ .

$$\alpha_0(t) = \frac{2e^{-2t} - e^{-2t}}{2}, \quad \alpha_1(t) = \frac{-t - e^{-2t}}{2}$$

$$e^{\lambda_i t} = \alpha_0(t) + \lambda_i \alpha_1(t). \quad (\text{by C.H.})$$

$$\begin{bmatrix} 2e^{-t} - e^{-2t} & e^{-t} - e^{-2t} \\ -2(e^{-t} - e^{-2t}) & -e^{-t} + 2e^{-2t} \end{bmatrix}$$

$$\lambda = -1, -2$$

$$\lambda = -1: e^{-t} = \alpha_0(t) + (-1)\alpha_1(t) \quad (1) \quad (1) - (2): e^{-t} - e^{-2t} = \alpha_1(t)$$

$$\lambda = -2: e^{-2t} = \alpha_0(t) + (-2)\alpha_1(t) \quad (2) \quad 2 \cdot (1) - (2): 2e^{-t} - e^{-2t} = \alpha_0(t)$$

$$\alpha_0(t)I + \alpha_1(t)A = \begin{bmatrix} 2e^{-t} - e^{-2t} & 0 \\ 0 & 2e^{-t} - e^{-2t} \end{bmatrix} + \begin{bmatrix} 0 & e^{-t} - e^{-2t} \\ -2(e^{-t} - e^{-2t}) & -3(e^{-t} - e^{-2t}) \end{bmatrix}$$

b. Given an LTI system

$$\dot{x}(t) = Ax + Bu, \quad y = Cx$$

A second LTI system can be specified using the similarity transform  $\mathbf{x} = P^{-1}\mathbf{z}$ , where

$$\dot{z}(t) = A_z z + B_z u, \quad y = C_z z$$

$$\begin{aligned} \dot{x} &= P^{-1}\dot{z} = A P^{-1}z + Bu \\ \dot{z} &= P^T A P^{-1}z + PBu \\ y &= C P^{-1}z \end{aligned}$$

The states of the 2 systems are related.

[6 pts] (i) Find  $A_z, B_z, C_z$  in terms of  $A, B, C, P, u$

$$A_z = \underline{PAP^{-1}}, \quad B_z = \underline{PB}, \quad C_z = \underline{CP^{-1}}$$

[2 pts] (ii) Show that if the system with state  $\mathbf{x}$  is controllable, then  $\mathbf{z}$  is also controllable.

$\times$  controllable  $\Rightarrow [B | AB | A^2B | \dots | A^{N-1}B]$  has rank  $N$

$\exists$  controllable  $\Rightarrow [B_z | A_z B_z | A_z^2 B_z | \dots | A_z^{N-1} B_z]$  has rank  $N$

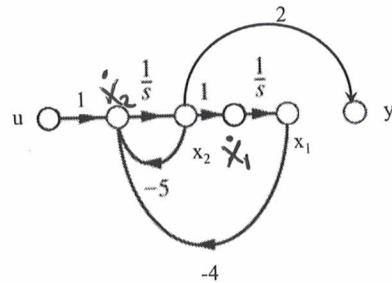
$$\text{or } [PB | PAP^{-1}PB | (PAP^{-1})^2PB | \dots | PA^{N-1}B]$$

$$= P[B | AB | \dots | A^{N-1}B] \quad \text{note } A_z^2 = (PAP^{-1})A(PAP^{-1})$$

$\uparrow P^{-1}$  exists, hence rank same  $\Rightarrow$  both controllable.

Problem 4. 14 pts

Key.



Given the following system model:

[3 pts] a. Write the state and output equations for the system above.

$$\dot{\mathbf{x}} = A\mathbf{x} + Bu = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -4 & -5 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u(t), \quad y = C\mathbf{x} = [0 \ 2] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

[2 pts] b. Determine if the system  $A, B, C$  is controllable and observable.

Controllable  $\text{rank } C[B \mid AB] = \begin{bmatrix} 0 & 1 \\ 1 & -5 \end{bmatrix}$ , rank  $C = 2 \Rightarrow$  controllable

Observable  $\text{rank } \begin{bmatrix} C \\ CA \end{bmatrix} = \begin{bmatrix} 0 & 2 \\ -8 & -10 \end{bmatrix}$ , rank  $\begin{bmatrix} 0 \\ CA \end{bmatrix} = 2 \Rightarrow$  observable.

[2 pts] c. Find the transfer function relating input  $U(s)$  to output  $Y(s)$ .

$$H(s) = \frac{Y(s)}{U(s)} = \frac{2s}{(s+1)(s+4)}$$

$$\begin{aligned} \dot{x}_2 &= u - 5x_2 - 4x_1, & \dot{x}_2 &= \ddot{x}_1 & y &= 2x_2 \\ \ddot{x}_1 + 5\dot{x}_1 + 4x_1 &= u & \ddot{x}_1 &= \ddot{x}_1 & &= 2\dot{x}_1 \\ X(s)(s^2 + 5s + 4) &= U(s) & & & Y(s) &= 2sX(s) \end{aligned}$$

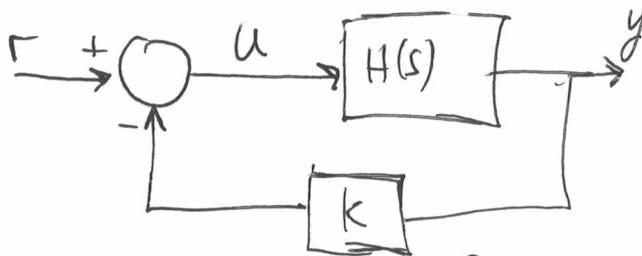
$$\frac{X(s)}{U(s)} = \frac{1}{s^2 + 5s + 4}, \quad \frac{Y(s)}{X(s)} = \frac{2s}{s^2 + 5s + 4}$$

Problem 4, cont.

Key.

[3 pts] d. For the system in part a, design an output feedback controller  $u = r - ky$  where  $r$  is the reference input, such that the system  $\frac{Y(s)}{R(s)}$  is critically damped.

$$k = \underline{-1/2}$$

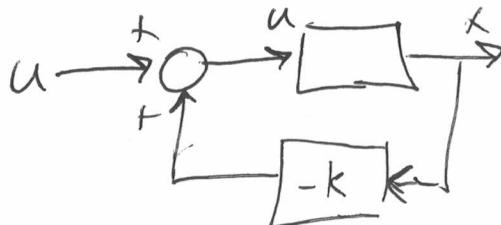


$$5 + 2k = 4 \\ k = -\frac{1}{2}$$

$$\frac{Y}{R} = \frac{H}{1+KH} = \frac{2s}{s^2 + 5s + 4 + ks^2} \\ s^2 + s(5+2k) + 4 = 0 \\ (s+2)(s+2) = s^2 + 4s + 4$$

[4 pts] e. For the system in part a, design a state feedback controller  $u = r - [k_1 \ k_2]\mathbf{x}$  where  $r$  is the reference input, such that the closed loop poles are at  $-4, -4$ .

$$k_1 = \underline{12} \quad k_2 = \underline{3}$$



$$\dot{\mathbf{x}} = A\mathbf{x} + B(r - [k_1 \ k_2] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix})$$

$$= \begin{bmatrix} 0 & 1 \\ -4 & -5 \end{bmatrix} \mathbf{x} - B[k_1 \ k_2] \mathbf{x} + Br \\ (A - BK)x + B[k_1 \ k_2]$$

$$= \begin{bmatrix} 0 & 1 \\ -4k_1 & -5 - k_2 \end{bmatrix} \mathbf{x} + Br$$

$$|\lambda I - A + BK| = \begin{vmatrix} \lambda & -1 \\ 4+k_1 & \lambda + 5 + k_2 \end{vmatrix} = \lambda^2 + \lambda(5+k_2) + 4 + k_1 = 0$$

$$(s+4)(s+4) =$$

$$s^2 + 8s + 16 = 0$$

$$4 + k_1 = 16, \quad k_1 = 12$$

$$5 + k_2 = 8, \quad k_2 = 3$$

**Problem 5 (10 pts)**

Key.

Given the following system model:

$$\dot{\mathbf{x}} = A\mathbf{x} + Bu = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -5 & 1 \\ -4 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1 \\ 2 \end{bmatrix} u(t), \quad y = C\mathbf{x} = [1 \quad 0] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

[2 pts] a. Provide state equations for an observer which takes as inputs  $u(t), y(t)$ , and provides an estimate of the state  $\hat{\mathbf{x}}(t)$ .

$$\begin{aligned} \dot{\hat{\mathbf{x}}} &= A\hat{\mathbf{x}} + Bu + L(y - \hat{y}) \\ &= (A - LC)\hat{\mathbf{x}} + Bu + LCx \end{aligned} \quad \begin{aligned} L(y - \hat{y}) \\ = LC(x - \hat{x}) \end{aligned}$$

[2 pts] b. If error  $e$  is defined as  $\hat{\mathbf{x}}(t) - \mathbf{x}(t)$ , derive the error equations.

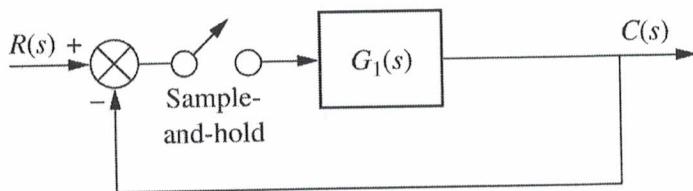
$$\begin{aligned} \dot{\hat{\mathbf{x}}} - \dot{\mathbf{x}} &= (A - LC)\hat{\mathbf{x}} + Bu + LCx - Ax - Bu \\ &= (A - LC)\hat{\mathbf{x}} - (A - LC)x \\ \dot{e} &= (A - LC)e. \end{aligned}$$

[6 pts] c. Find observer gain  $L$  such that the observer has closed loop poles at  $s_1 = -8, s_2 = -8$ .

$$\begin{aligned} A - LC &= \begin{bmatrix} -5 & 1 \\ -4 & 0 \end{bmatrix} - \begin{bmatrix} l_1 \\ l_2 \end{bmatrix} \begin{bmatrix} 1 & 0 \end{bmatrix} \\ L &= \begin{bmatrix} l_1 \\ l_2 \end{bmatrix} = \begin{bmatrix} 11 \\ 60 \end{bmatrix} \quad = \begin{bmatrix} -5 - l_1 & 1 \\ -4 - l_2 & 0 \end{bmatrix} \\ |\lambda - A + LC| &= \begin{vmatrix} \lambda + 5 + l_1 & -1 \\ 4 + l_2 & \lambda \end{vmatrix} \\ &= \lambda^2 + \lambda(5 + l_1) + 4 + l_2 \\ &= s^2 + 16s + 64 \\ l_1 &= 11, \quad l_2 = 60 \end{aligned}$$

Key.

Problem 6 (23 pts)



$$\frac{1}{s} \rightarrow \frac{1}{1-z^{-1}}$$

$$\frac{1}{s+10} \rightarrow \frac{1}{1-e^{-Ts}z^{-1}} = \frac{1}{1-\frac{1}{2}z^{-1}}$$

[6 pts] a. Given  $G_1(s) = \frac{K}{s+10}$  with  $T_s = 0.1 \ln 2$ . Find the z transform of  $G_1(s)$  considering the effect of the sample and hold.

$$G_1(z) = \text{_____}$$

$$= \frac{k}{10} \cdot \frac{\frac{1}{2} z^{-1}}{(1-\frac{1}{2}z^{-1})}$$

$$= \frac{k}{20} \cdot \frac{1}{z^{-1} - \frac{1}{2}}$$

$$\text{---} \times \frac{K}{s+10}$$

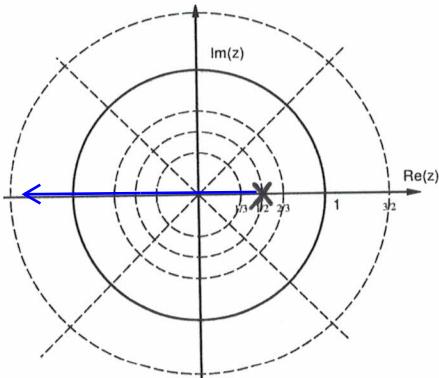
$$\left(1-e^{-Ts}\right) \cdot \frac{K}{s+10} \xrightarrow{z} (1-z^{-1}) \left( \frac{K}{s(s+10)} \right)$$

$$\frac{k(1-z^{-1})}{10} \left\{ \frac{1}{s} + \frac{-1}{s+10} \right\}$$

$$= \frac{k(1-z^{-1})}{10} \left[ \frac{1}{1-z^{-1}} - \frac{1}{1-\frac{1}{2}z^{-1}} \right]$$

$$= \frac{k(1-z^{-1})}{10} \left[ \frac{1 - \frac{1}{2}z^{-1} - 1 + z^{-1}}{(1-z^{-1})(1-\frac{1}{2}z^{-1})} \right]$$

[3 pts] b. Plot the root locus for  $G_1(z)$  for unity gain feedback:



$$\frac{KG_1(z)}{1+KG_1(z)} = \frac{\frac{K}{20}}{z - \frac{1}{2} + \frac{K}{20}}$$

[2 pts] c. Find the range of  $K$  for the unity gain feedback discrete time system to be stable.

$$\text{limits: } -1 < z < 1$$

$$1 - \frac{1}{2} + \frac{K}{20} = 0$$

$$-1 - \frac{1}{2} + \frac{K}{20} = 0$$

$$\frac{K}{20} = -\frac{1}{2}$$

$$\frac{K}{20} = \frac{3}{2}, \quad K = 30$$

$$K = -10$$

$$-10 < K < 30$$

Problem 6 , cont.

Key.

[4 pts] d. Given continuous time state equations for an LTI system with  $x(0) = 0$  are :

$$\dot{x} = Ax + Bu = -2x + u(t)$$

Find the discrete time equivalent system using zero-order hold for input  $u(t)$  and sampling period  $T$ :  $\mathbf{x}((k+1)T) = G\mathbf{x}(kT) + Hu(kT)$ .

$$G = \frac{e^{-2T}}{e}$$

$$G = e^{AT} = e^{-2T}$$

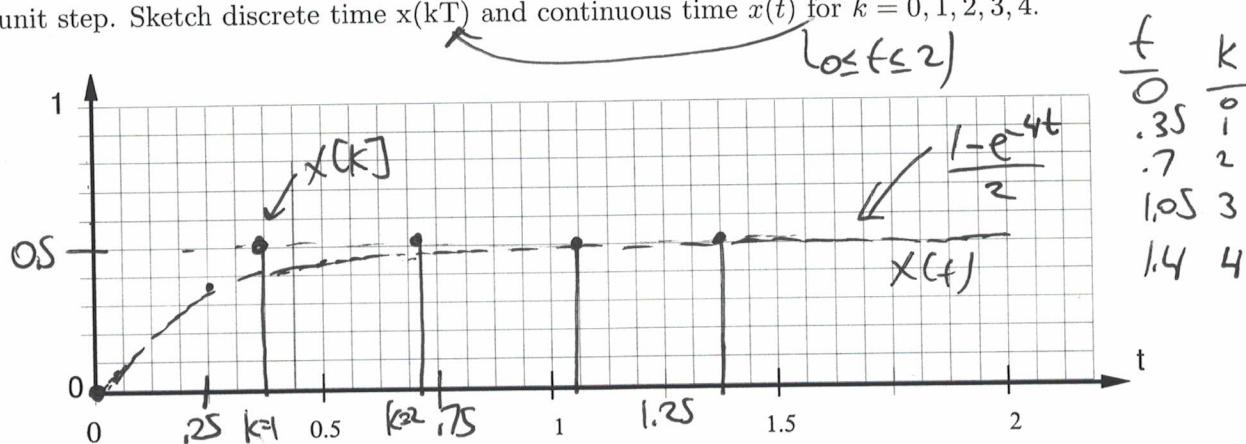
$$| -e^{-1} = 0.63$$

$$| -e^{-2} = 0.86$$

$$| -e^{-3} = 0.95$$

$$u(t) = 2(r-x)$$

[8 pts] f. For the system above, let sampling period  $T = 0.5 \ln 2$  (note  $T \approx 0.35\text{sec}$ ), and state feedback is applied such that  $u(kT) = 2.0(r(kT) - x(kT))$  where the reference input  $r()$  is a sampled unit step. Sketch discrete time  $x(kT)$  and continuous time  $x(t)$  for  $k = 0, 1, 2, 3, 4$ .



$$G = e^{-2(0.5 \ln 2)} = \frac{1}{2}$$

$$H = \frac{1}{2} \left(1 - \frac{1}{2}\right) = \frac{1}{4}$$

$$\begin{aligned} x[k+1] &= \frac{1}{2}x[k] + \frac{1}{4}u[k] \\ &= \frac{1}{2}x[k] + \frac{1}{4} \cdot 2(r[k] - x[k]) \\ &= \frac{1}{2}x[k] - \frac{1}{2}x[k] + \frac{1}{2}r[k] \\ &= \frac{1}{2}r[k] \end{aligned}$$

$$\dot{x} = -2x + 2(r-x)$$

$$= -4x + 2r$$

$$sX(s) + 4X(s) = 2R(s)$$

$$X(s)(s+4) = 2R(s)$$

$$X(s) = \frac{2R(s)}{(s+4)} = \frac{2}{s(s+4)}$$

$$= 2 \left[ \frac{1/4}{s} + \frac{-1/4}{s+4} \right]$$

$$x(t) = \frac{1}{2}(1 - e^{-4t})u(t)$$