

# EECS 16A    Designing Information Devices and Systems I

## Fall 2021

# Final

### 1. A Quirky Quantum Question

- (a) In quantum mechanics, states of particles are represented by vectors in a vector space. In this problem, we'll say that all states exist in  $\mathbb{R}^2$ .

A particular matrix,  $\hat{\mathbf{H}}$  (called the Hamiltonian operator), has the unique property that its eigenvalues represent a particle's allowed energy values. Quantum mechanics tells us that if the values of  $\hat{\mathbf{H}}$  are real, it must be symmetric – that is, it can be written as

$$\hat{\mathbf{H}} = \begin{bmatrix} a & b \\ b & a \end{bmatrix}$$

Assume that we know  $a > 0$  and  $b > 0$ . What further condition on  $a$  and  $b$  forces the allowed energy values (the eigenvalues) to always be nonnegative?

**Solution:** We must find the eigenvalues of  $\hat{\mathbf{H}}$  and determine a condition that forces them to be nonnegative.

$$\begin{aligned} \hat{\mathbf{H}}\vec{x} &= \lambda\vec{x} \\ \hat{\mathbf{H}}\vec{x} - \lambda\mathbf{I}\vec{x} &= \vec{0} \\ (\hat{\mathbf{H}} - \lambda\mathbf{I})\vec{x} &= \vec{0} \\ \begin{vmatrix} (a-\lambda) & b \\ b & (a-\lambda) \end{vmatrix} &= 0 \\ (a-\lambda)^2 - b^2 &= 0 \\ (a-\lambda) &= \pm b \\ \lambda &= a \pm b \end{aligned}$$

Since  $a$  and  $b$  are both positive,  $a + b$  will never produce a negative eigenvalue. However, in order for  $a - b$  to be nonnegative,  $a \geq b$ .

- (b) Miki experimentally determines that particles associated with the  $\hat{\mathbf{H}}$  matrix from Question 1 have allowed energy values  $\lambda_1 = \frac{5}{2}$  and  $\lambda_2 = \frac{9}{2}$ . Find  $a$  and  $b$ .

**Solution:** We know from the first question that  $\lambda = a \pm b$ . Since  $\lambda_2 > \lambda_1$  and  $a$  and  $b$  are both positive,  $\lambda_2 = a + b$  and  $\lambda_1 = a - b$ . Thus, we have two equations and two unknowns:

$$\begin{aligned} a - \lambda_1 &= b \text{ and } \lambda_2 - a = b \\ a - \lambda_1 &= \lambda_2 - a \\ a &= \frac{1}{2}(\lambda_2 + \lambda_1) \\ a &= \frac{1}{2}\left(\frac{9}{2} + \frac{5}{2}\right) \\ a &= \frac{7}{2} = 3.5 \end{aligned}$$

Likewise, we can use the same process to solve for  $b$ :

$$\lambda_1 + b = a \text{ and } \lambda_2 - b = a$$

$$\lambda_1 + b = \lambda_2 - b$$

$$b = \frac{1}{2}(\lambda_2 - \lambda_1)$$

$$b = \frac{1}{2}\left(\frac{9}{2} - \frac{5}{2}\right)$$

$$b = 1$$

- (c) Now, given a new matrix  $\hat{\mathbf{H}} = \begin{bmatrix} 3 & 2 \\ 2 & 3 \end{bmatrix}$ , let the eigenvalues be  $\lambda_1 < \lambda_2$  and the normalized eigenvectors be  $\vec{v}_{\lambda_1}$  and  $\vec{v}_{\lambda_2}$  (corresponding to eigenvalues  $\lambda_1$  and  $\lambda_2$ , respectively, and scaled to a magnitude of 1), span  $\mathbb{R}^2$ . If a particle is in some state  $\vec{v}_s \in \mathbb{R}^2$ , then it can be expressed as  $\vec{v}_s = \alpha \vec{v}_{\lambda_1} + \beta \vec{v}_{\lambda_2}$ , where  $\alpha$  and  $\beta$  are real constants.

If  $v_s = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ , what are possible magnitudes of  $\alpha$ ? (In quantum mechanics,  $\alpha^2$  represents the probability that measuring the particle's energy will yield  $\lambda_1$ .)

**Solution:** The first step is to find the eigenvectors of  $\hat{\mathbf{H}}$ . Based on previous questions, we know that  $\lambda_1 = a - b = 3 - 2 = 1$ , and  $\lambda_2 = a + b = 3 + 2 = 5$ .

Let's define  $\vec{v}_{\lambda_1} = \begin{bmatrix} c_1 \\ d_1 \end{bmatrix}$  and  $\vec{v}_{\lambda_2} = \begin{bmatrix} c_2 \\ d_2 \end{bmatrix}$ . We can now produce conditions on  $c_1$ ,  $d_1$ ,  $c_2$ , and  $d_2$  to find the eigenvectors:

$$\hat{\mathbf{H}}\vec{v}_{\lambda_1} = \lambda_1\vec{v}_{\lambda_1}$$

$$\begin{bmatrix} 3 & 2 \\ 2 & 3 \end{bmatrix} \begin{bmatrix} c_1 \\ d_1 \end{bmatrix} = \begin{bmatrix} c_1 \\ d_1 \end{bmatrix}$$

$$\begin{bmatrix} 3c_1 + 2d_1 \\ 2c_1 + 3d_1 \end{bmatrix} = \begin{bmatrix} c_1 \\ d_1 \end{bmatrix}$$

We can take the first row in isolation to produce

$$3c_1 + 2d_1 = c_1$$

$$c_1 = -d_1$$

As such, we know that the first eigenvector will have the form  $\vec{v}_{\lambda_1} = k_1 \begin{bmatrix} 1 \\ -1 \end{bmatrix}$  for some normalization constant  $k_1$ . Since we're told that  $|\vec{v}_{\lambda_1}| = 1$ ,  $k_1$  must equal  $\frac{1}{\sqrt{2}}$ . Thus,  $\vec{v}_{\lambda_1} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$ .

Now, we can produce another condition using the second eigenvalue:

$$\hat{\mathbf{H}}\vec{v}_{\lambda_2} = \lambda_2\vec{v}_{\lambda_2}$$

$$\begin{bmatrix} 3 & 2 \\ 2 & 3 \end{bmatrix} \begin{bmatrix} c_2 \\ d_2 \end{bmatrix} = 5 \begin{bmatrix} c_2 \\ d_2 \end{bmatrix}$$

$$\begin{bmatrix} 3c_2 + 2d_2 \\ 2c_2 + 3d_2 \end{bmatrix} = 5 \begin{bmatrix} c_2 \\ d_2 \end{bmatrix}$$

We can once again take the first row in isolation to produce

$$\begin{aligned} 3c_2 + 2d_2 &= 5c_2 \\ c_2 &= d_2 \end{aligned}$$

As such, we know that the second eigenvector will have the form  $v_{\lambda_2} = k_2 \begin{bmatrix} 1 \\ 1 \end{bmatrix}$  for some normalization constant  $k_2$ . Since we're told that  $|v_{\lambda_2}| = 1$ ,  $k_2$  must equal  $\frac{1}{\sqrt{2}}$ . Thus,  $v_{\lambda_2} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ .

The last step is to find  $\alpha$ . Based on the problem statement,

$$\begin{aligned} \alpha v_{\lambda_1} + \beta v_{\lambda_2} &= \vec{v}_s \\ \alpha \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} + \beta \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix} &= \begin{bmatrix} 0 \\ 1 \end{bmatrix} \\ \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \end{bmatrix} &= \begin{bmatrix} 0 \\ 1 \end{bmatrix} \end{aligned}$$

Since we know that  $v_{\lambda_1}$  and  $v_{\lambda_2}$  are linearly independent, this equation has a unique solution.  $\alpha$  and  $\beta$  may be found through row reduction or inversion, but by inspection we can see that  $\alpha = -\frac{1}{\sqrt{2}}$  and  $\beta = \frac{1}{\sqrt{2}}$ . Thus,  $|\alpha| = \frac{1}{\sqrt{2}}$ .

## 2. Inner Products

(a) For the following inner product defined on  $\mathbb{R}^2$ , which inner product properties hold?

$$\langle \vec{x}, \vec{y} \rangle = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3\vec{x} + 3\vec{y})$$

- Symmetry (True/False)
- Linearity (True/False)
- Positive-Definiteness (True/False)

### Solution:

i. Symmetry TRUE. Note that we have:

$$\langle \vec{x}, \vec{y} \rangle = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3\vec{x} + 3\vec{y}) = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3\vec{y} + 3\vec{x}) = \langle \vec{y}, \vec{x} \rangle$$

so  $\langle \vec{x}, \vec{y} \rangle = \langle \vec{y}, \vec{x} \rangle$  and symmetry holds.

ii. Linearity FALSE. Let  $c \in \mathbb{R}$ :

$$\langle c\vec{x}, \vec{y} \rangle = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3c\vec{x} + 3\vec{y})$$

However,

$$c \langle \vec{x}, \vec{y} \rangle = c \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3\vec{x} + 3\vec{y}) = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3c\vec{x} + 3c\vec{y})$$

Therefore,  $\langle c\vec{x}, \vec{y} \rangle \neq c \langle \vec{x}, \vec{y} \rangle$  and linearity does not hold.

iii. Positive-Definiteness FALSE. Let  $x = \begin{bmatrix} -1 \\ -1 \end{bmatrix}$ . We have:

$$\langle \vec{x}, \vec{x} \rangle = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T (3 \begin{bmatrix} -1 \\ -1 \end{bmatrix} + 3 \begin{bmatrix} -1 \\ -1 \end{bmatrix}) = \begin{bmatrix} 2 \\ 1 \end{bmatrix}^T \begin{bmatrix} -6 \\ -6 \end{bmatrix} = -18$$

Therefore,

$$\langle \vec{x}, \vec{x} \rangle < 0$$

and positive-definiteness does not hold.

(b) Given the following valid inner product over the vector space of  $2 \times 2$  real matrices  $\mathbb{R}^{2 \times 2}$ , defined as  $\langle A, B \rangle = \langle \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}, \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} \rangle = a_{11}b_{11} + a_{12}b_{12} + a_{21}b_{21} + a_{22}b_{22}$  for any  $A, B \in \mathbb{R}^{2 \times 2}$ . Given this inner product definition, what is  $\| \begin{bmatrix} 2 & 5 \\ 6 & 2 \end{bmatrix} \|^2$  (or alternate exam values  $\| \begin{bmatrix} 7 & 1 \\ 0 & 3 \end{bmatrix} \|^2$ ?)

**Solution:** Remember that for any inner product space,  $\|A\|^2 = \langle A, A \rangle$ . Knowing that, we have:

$$\| \begin{bmatrix} 2 & 5 \\ 6 & 2 \end{bmatrix} \|^2 = \langle \begin{bmatrix} 2 & 5 \\ 6 & 2 \end{bmatrix}, \begin{bmatrix} 2 & 5 \\ 6 & 2 \end{bmatrix} \rangle = 2^2 + 5^2 + 6^2 + 2^2 = 69$$

Thus, the answer is 69.

Using similar procedures with the alternate numbers, the answer is 59.

### 3. Least Squares with Shazam

(a) The application Shazam is able to detect what song is playing by means of an *acoustic footprint*. This is a small set of information that identifies the song. Shazam then checks that footprint in its database, to check for another song that has that footprint.

Here is the footprint we obtained via sampling: (we are representing the footprint as a vector)

$$\vec{x}_{sample} = \begin{bmatrix} 2 \\ 0 \\ -1 \\ 1 \end{bmatrix} \quad (1)$$

Say Shazam has narrowed it down to the following three songs with the corresponding footprints:

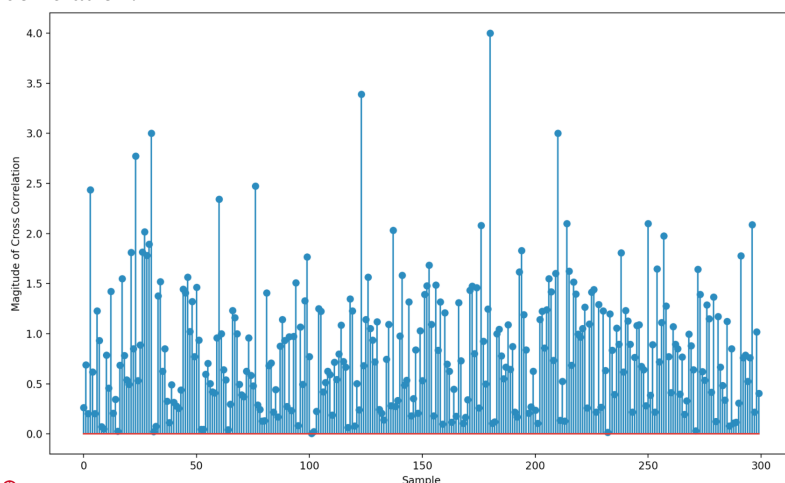
$$\begin{aligned} \text{“Electric Love - Børns”}: \vec{x}_1 &= \begin{bmatrix} 1 \\ -1 \\ 1 \\ -1 \end{bmatrix} \\ \text{“She’s Electric - Oasis”}: \vec{x}_2 &= \begin{bmatrix} 2 \\ -2 \\ -8 \\ 7 \end{bmatrix} \\ \text{“Electric Feel - MGMT”}: \vec{x}_3 &= \begin{bmatrix} 4 \\ 1 \\ -2 \\ 2 \end{bmatrix} \end{aligned}$$

Shazam is going to determine which song it is by projecting the footprint of our sample onto each of the song candidates, and ranking the songs based on the normalized inner product of  $\vec{x}_{sample}$  onto the footprints.

Based on this information, which song is playing?

**Solution:** Correct Answer: Electric Feel. The formula for projection of  $\vec{u}$  onto  $\vec{v}$  is  $\frac{\vec{u} \cdot \vec{v}}{\|\vec{v}\|^2} \vec{v}$ , but we only care about the magnitude of this vector, in other words,  $\frac{|\vec{u} \cdot \vec{v}|}{\|\vec{v}\|}$ . Magnitudes of projection are 0,  $\frac{19}{11}$ ,  $\frac{12}{5}$  respectively, the song which has the projection of largest magnitude is the one that is the most similar.

- (b) Shazam has a feature where it displays lyrics in time with the song that is playing - to do this, it needs to figure out where in the song we are. To do this, it takes the cross-correlation of a snippet of the song with the full song. Assume that the song is sampled at 30 samples a second. Here is the cross correlation:



Make the best estimate as to when the sample was taken in seconds.

**Solution:** 6. We are looking for the largest positive spike, as this indicates where the signal is most similar to the sample. This is achieved at 180 samples, or 6 seconds.

- (c) Shazam wants to partner with Spotify. For its Discover Weekly algorithms, Spotify would like to know what characteristics of a song make it attractive to a first-time listener. Shazam provides the number of Shazams for a set of new songs to Spotify, who combines it with their data on the songs. Here is the table of data that Spotify assembles:

Shazam Popularity	Tempo	Danceability	Acoustic-ness
1109	100	0.8	0.6
5501	90	0.5	0.2
2031	68	0.4	0.7
13045	120	0.9	0.2

Spotify would now like to use the data it has to predict what the number of shazams for a new song, whose characteristics (Tempo, Danceability, Acoustic-ness) are represented as  $\vec{a}_n$ .

Which is the correct formula for how Spotify would use Least Squares to calculate this? Let  $M$  be the matrix of Tempo, Danceability and Acoustic-ness, and  $\vec{b}_n$  be the number of shazams they get:

$$M = \begin{bmatrix} 100 & 90 & 68 & 120 \\ 0.8 & 0.5 & 0.4 & 0.9 \\ 0.6 & 0.2 & 0.7 & 0.2 \end{bmatrix}, \vec{b}_n = \begin{bmatrix} 1109 \\ 5501 \\ 2031 \\ 13045 \end{bmatrix}$$

Options:

- i.  $(MM^T)^{-1}M\vec{b}_n$
- ii.  $\vec{a}_n^T(MM^T)^{-1}M\vec{b}_n$
- iii.  $\vec{a}_n^T(\vec{b}_n^T M^T)^T$
- iv.  $\vec{b}_n^T M\vec{a}_n$
- v.  $\vec{b}_n^T M\vec{a}_n$

[0.1in] **Solution:**  $\vec{a}_n^T(MM^T)^{-1}M\vec{b}_n$ . To find the weights we do  $\vec{x}_{approx} = (MM^T)^{-1}M\vec{b}_n$ . This boils down to finding the weights that minimize error, giving us the best predictor possible. We then want to run our new data through the model we just made, so we do:  $\vec{a}_n^T \vec{x}_{approx}$  to get our final answer.  $(A^T A)^{-1} A^T \vec{b}_n$  is the way we do least squares, but in this case, the  $A$  matrix we want to plug into the expression is  $M^T$ . This is because  $M^T$  has the data for each characteristic in the columns (each characteristic is one column). Remember that in least squares, we want to find the way in which we should combine the columns in order to best approximate the  $\vec{b}$  vector. If we use  $M^T$ , we end up finding what linear combination of characteristics best approximates the number of shazams, which is exactly what we want to do - predict Shazams popularity based on the characteristics.  $\vec{x}_{approx} = (MM^T)^{-1}M\vec{b}_n$  is not the answer itself, it just tells us how we should weight the characteristics - we need to run our new data through the model we just made.

- (d) Say Spotify gets some new data to incorporate into its data set, the energy of the song. Here is the table with the added data:

Shazam Popularity	Tempo	Danceability	Acoustic-ness	Energy
1109	100	0.8	0.6	0.70
5501	90	0.5	0.2	0.35
2031	68	0.4	0.7	0.55
13045	120	0.9	0.2	0.55

Will it still be possible to run least squares with all of this data?

**Solution:** The Energy column is linearly dependant on the dancability and acoustic-ness - it is their average. This means that the columns of our least squares matrix would be dependant, and this means that least squares cannot work - this is because we have to calculate  $(A^T A)^{-1}$ . If the columns of  $A$  are linearly dependant, then  $A^T A$  will not be invertible, breaking our least squares formula. For a more intuitive reason, consider that least squares attempts to answer the question - how do I best linearly combine the columns of  $A$  so as to best approximate  $\vec{b}$ . If the columns of  $A$  are dependant, then there would be more than one way to linearly combine the column vectors of  $A$  to arrive at the best estimate of  $\vec{b}$ .

(e) What is the maximum number of features we could have per song, assuming we keep the number of songs the same?

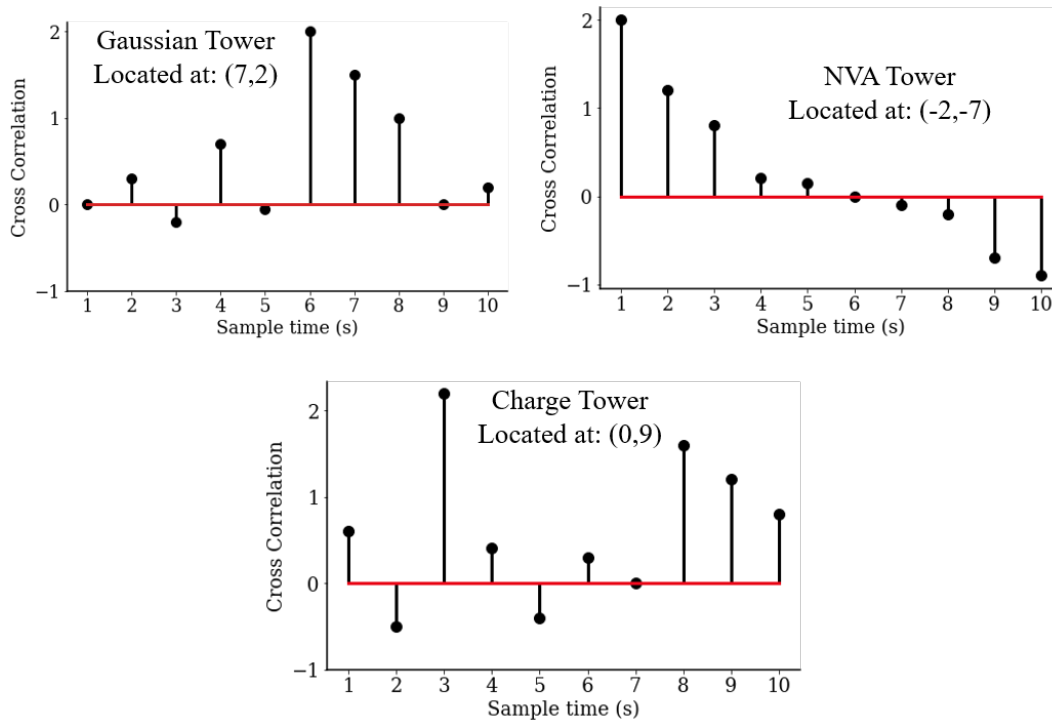
Options:

- i. 4
- ii. 3
- iii. 2
- iv. 5
- v. As many as we want

**Solution:** The maximum number of features is 4. This is because in order for least squares to work, we need to have linearly independent columns, which are of dimension of 4. One can have, at maximum, a set of n vectors in  $R^n$  that are all linearly independent, so the maximum number of features is 4.

#### 4. Towers of Sixteen

The kingdom of Sixteen is easy to get lost in. Luckily, there are three beacons that send out audio signals to help lost travelers. You have a special device that records one sample every second and plots the cross correlation of the known signals emitted from each of these beacons with the signal it has received. After you begin recording the signals, you see the following three plots, associated with the three beacons named Gaussian, NVA, and Charge:



(a) How far away are you from each of the beacons? Provide a distance in meters. Hint: Approximate the speed of sound to 300 meters per second and consider what information the cross-correlation plots can provide.

- i. Distance from the Gaussian Tower:[ ]meters
- ii. Distance from the NVA Tower:[ ]meters

iii. Distance from the Charge Tower: [ ] meters

You write a system of linear equations based on the data you have collected to help you find your location in the 2D space of the kingdom. To solve this system, you decide to use Gaussian Elimination and set up a matrix-vector equation in the form:  $\mathbf{A}\vec{x} = \vec{b}$ .

Which matrix or vector corresponds the most closely to the distances you found above correspond with? Answer with  $A$ ,  $\vec{x}$ , or  $\vec{b}$

**Solution:** We know that distance (meters) is equal to speed (meters/second) multiplied by time (seconds). We are given the approximate speed of sound in the hint, so we need to find out how long it took the signal from each of the towers to reach us for the time so we can then calculate the distances we need. The cross-correlation of our received signal with each known signal tells us the similarity of the two at different times, so we can use the given cross-correlation graphs to find the delay of the signals from each tower. This will be where the two signals are the most similar, where the correlation is the highest. For the Gaussian Tower, we see this peak at 6 seconds, so we know it took the audio signal 6 seconds to reach us. Similarly, we received the signal from the NVA Tower 1 second after it was sent out and from the Charge Tower 3 seconds after it was sent out. Thus, our distance from each of the towers is:

Distance from the Gaussian Tower = 300 meters/second \* 6 seconds = 1800 meters;

Distance from the NVA Tower = 300 meters/second \* 1 second = 300 meters;

Distance from the Charge Tower = 300 meters/second \* 3 seconds = 900 meters;

We are trying to find our location given the locations of some beacons and our distance from those beacons, so we can try utilizing trilateration. Each of our equations would be in the form:

$$(x_{unknown} - x_{beacon})^2 + (y_{unknown} - y_{beacon})^2 = Distance^2.$$

The distances we found in this question are the constants on right side of each equation, so they would be part of the  $\vec{b}$ , and thus, our answer would be "b". The coefficients of the expanded left side of each equation would yield each row entry in the "A" matrix, and your current location would be found in the "x" vector.

- (b) **Version 1:** You continue to explore another area of the kingdom and now want to share your location with your friends so they can also join you in your travels! Regardless of what you got from the previous part, assume that you have already calculated the new distances between yourself and each of the beacons:

Distance from the Gaussian Tower:  $\sqrt{18}$  meters

Distance from the NVA Tower: LaTeX:  $\sqrt{72}$  meters

Distance from the Charge Tower:  $\sqrt{116}$  meters

Then, your location is at ( $[x]$ ,  $[y]$ ).

**Version 2:** You continue to explore another area of the kingdom and now want to share your location with your friends so they can also join you in your travels! Regardless of what you got from the previous part, assume that you have already calculated the new distances between yourself and each of the beacons:

Distance from the Gaussian Tower:  $\sqrt{101}$  meters

Distance from the NVA Tower: LaTeX:  $\sqrt{65}$  meters

Distance from the Charge Tower:  $\sqrt{73}$  meters

Then, your location is at ( $[x]$ ,  $[y]$ ).



**Solution:**

**Version 1:** Given the distance from each of the beacons, we can write down the equation according to part a). We have:

Distance from Gaussian Tower:

$$(x-7)^2 + (y-2)^2 = x^2 + y^2 - 14x - 4y + 49 + 4 = 18.$$

Distance from NVA Tower:

$$(x+2)^2 + (y+7)^2 = x^2 + y^2 + 4x + 14y + 4 + 49 = 72.$$

Distance from Charge Tower:

$$(x)^2 + (y-9)^2 = x^2 + y^2 - 18y + 81 = 116.$$

Subtract equation 2 from equation 1, we have:

$$18x + 18y = 54.$$

Subtract equation 3 from equation 1, we have:

$$14x - 14y = 70.$$

Solve the system of equations, we have  $x = 4, y = -1$ .

**Version 2:** Given the distance from each of the beacons, we can write down the equation according to part a). We have:

Distance from Gaussian Tower:

$$(x-7)^2 + (y-2)^2 = x^2 + y^2 - 14x - 4y + 49 + 4 = 101.$$

Distance from NVA Tower:

$$(x+2)^2 + (y+7)^2 = x^2 + y^2 + 4x + 14y + 4 + 49 = 65.$$

Distance from Charge Tower:

$$(x)^2 + (y-9)^2 = x^2 + y^2 - 18y + 81 = 73.$$

Subtract equation 2 from equation 1, we have:

$$18x + 18y = 36.$$

Subtract equation 3 from equation 1, we have:

$$14x - 14y = -56.$$

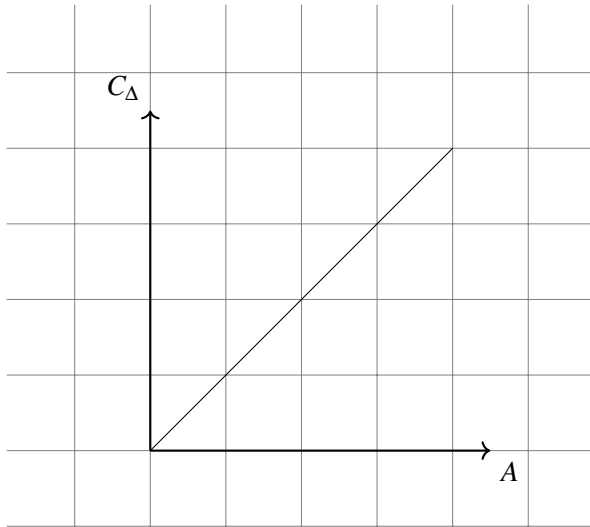
Solve the system of equations, we have  $x = -3, y = 1$ .

### 5. Capacitive Touch Pixel

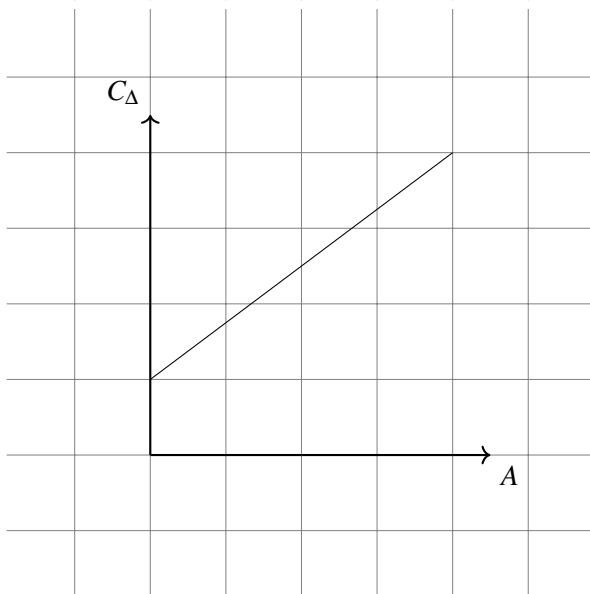
In lab, we worked on a capacitive touch pixel that can detect whether a touch is present or not. Your TA Raghav wants to develop a capacitive touch pixel that can differentiate between no touch, weak touch, and strong touch. But he needs your help implementing this design.

Just like lab, we model the surface of a finger as a parallel plate. Thus, our finger forms a set of parallel plate capacitors, with total capacitance  $C_{\Delta}$ . The difference between a weak touch and a strong touch is the area of the finger surface (stronger touch  $\rightarrow$  greater area).

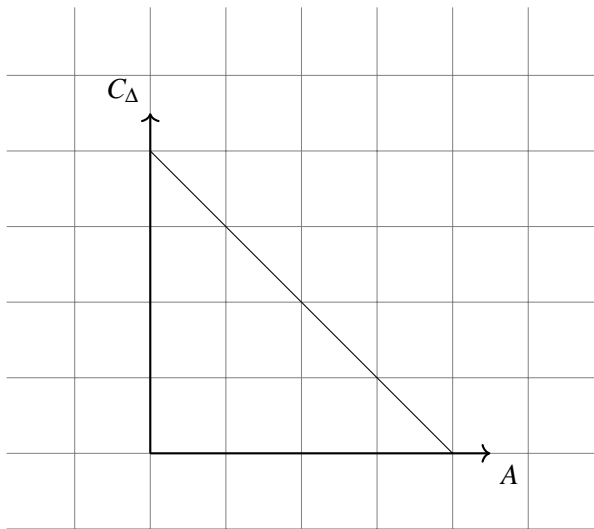
- (a) (1 point) Which of the following curves depicts the correct relationship between  $C_{\Delta}$  and the area of the finger surface  $A$ ?



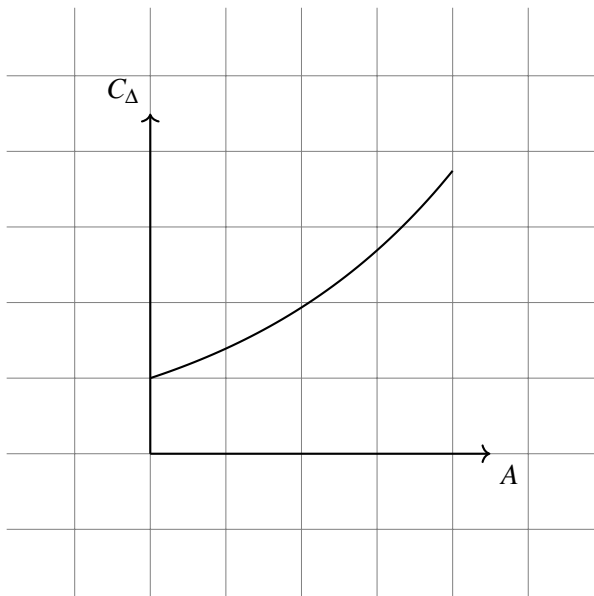
i.



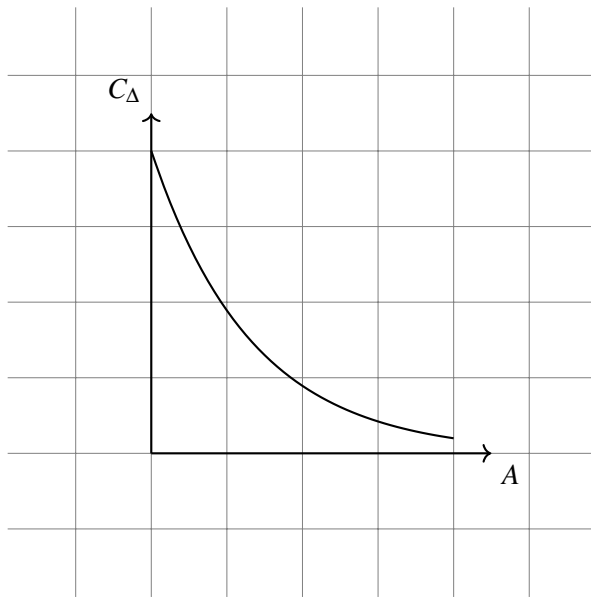
ii.



iii.

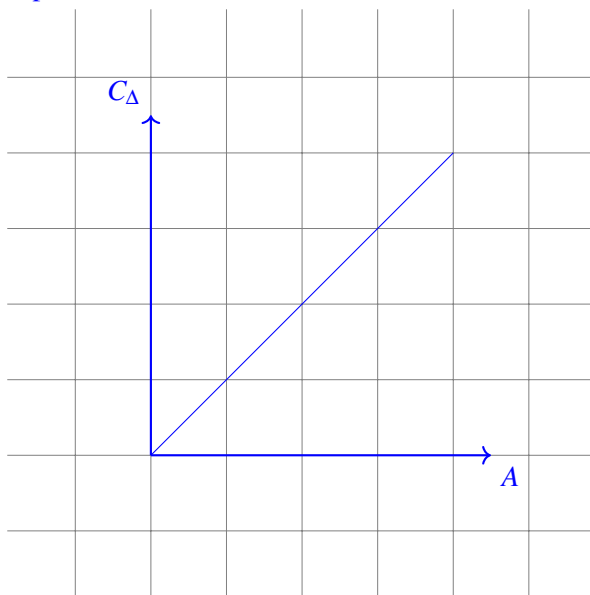


iv.



v.

**Solution:** We know that for a parallel plate capacitor,  $C \propto A$ . When the area  $A = 0$ , there's no capacitance. Therefore, the correct answer is:



(b) (1 point) Let's say you're given  $C_{\Delta, \text{weak touch}} = 5 \text{ nF}$  (3.5 nF for alternate version) and  $A_{\text{strong touch}} =$

$1.4 \cdot A_{\text{weak touch}}$  ( $3 \cdot A_{\text{weak touch}}$  for alternate version). What is  $C_{\Delta, \text{strong touch}}$  in  $nF$ ?

**Solution:**  $\frac{C_{\Delta, \text{weak touch}}}{A_{\text{weak touch}}} = \frac{C_{\Delta, \text{strong touch}}}{A_{\text{strong touch}}}$

$\therefore C_{\Delta, \text{strong touch}} = C_{\Delta, \text{strong touch}} \cdot \frac{A_{\text{strong touch}}}{A_{\text{weak touch}}}$

For V1,  $C_{\Delta, \text{weak touch}} = 5nF$ ,  $A_{\text{strong touch}} = 1.4 \cdot A_{\text{weak touch}}$ , and  $C_{\Delta, \text{strong touch}} = 7nF$ .

For V2,  $C_{\Delta, \text{weak touch}} = 3.5nF$ ,  $A_{\text{strong touch}} = 3 \cdot A_{\text{weak touch}}$ , and  $C_{\Delta, \text{strong touch}} = 10.5nF$ .

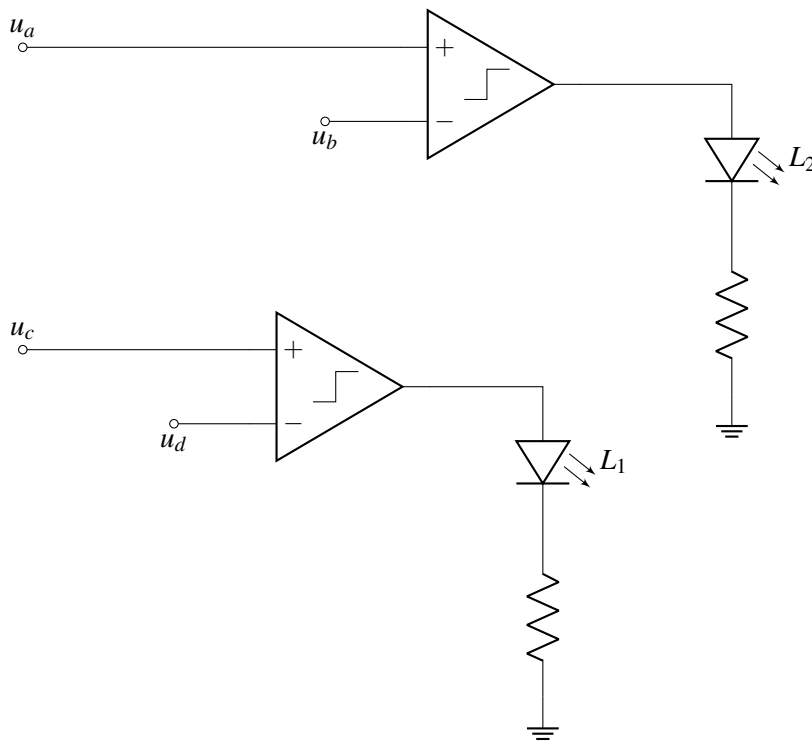
- (c) (4 points) Let  $C_{\text{pixel}}$  be the capacitance at the touch pixel. Now we know that  $C_{\text{pixel}} = C_0 + C_{\Delta}$  where  $C_0$  is the capacitance of the pixel itself and  $C_{\Delta}$  is the capacitance that comes from the finger. These capacitances will influence the node voltage  $V_+$  after charge-sharing.

Raghav solves the charge-sharing problem for you and gives you the following information for the subsequent problem:

$$V_{+, \text{no touch}} < V_{\text{ref},1} < V_{+, \text{weak touch}} < V_{\text{ref},2} < V_{+, \text{strong touch}}$$

Choose the right voltages for the following nodes such that the given circuit takes  $V_+$  as input and lights up LED  $L_1$  when there is a weak touch and both LEDs  $L_1$  and  $L_2$  when there is a strong touch.

Assume the positive supply rail of the comparator is set at a large  $V_{DD}$  and the negative supply rail is set to ground (0V).



Find:

- i.  $u_a$
- ii.  $u_b$
- iii.  $u_c$
- iv.  $u_d$

Potential options:

- i.  $V_+$
- ii.  $V_{DD}$
- iii.  $V_{ref,1}$
- iv.  $0V$
- v.  $V_{ref,2}$
- vi.  $\frac{V_{DD}}{2}$

**Solution:** Since we want to compare whether the input  $V_+$  is greater than a certain value to turn on one or both LEDs, the positive inputs to the comparators should be the input  $V_+$ .

$$u_a = V_+ \text{ and } u_c = V_+$$

LED  $L_2$  must only light up when we see a strong touch and not when we see a weak touch. So,  $V_{+, \text{weak touch}} < u_b$  and  $u_b < V_{+, \text{strong touch}}$ .

$$u_b = V_{ref,2}$$

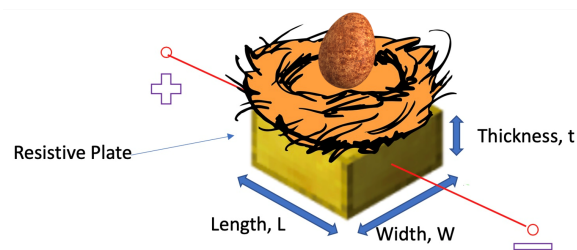
Similarly, LED  $L_1$  must light up when we see a weak or a strong touch and not when we see no touch. So,  $V_{+, \text{no touch}} < u_d$  and  $u_d < V_{+, \text{weak touch}}$  (and  $u_d < V_{+, \text{strong touch}}$ ).

$$u_d = V_{ref,1}$$

## 6. Falcon Incubation [8 points]

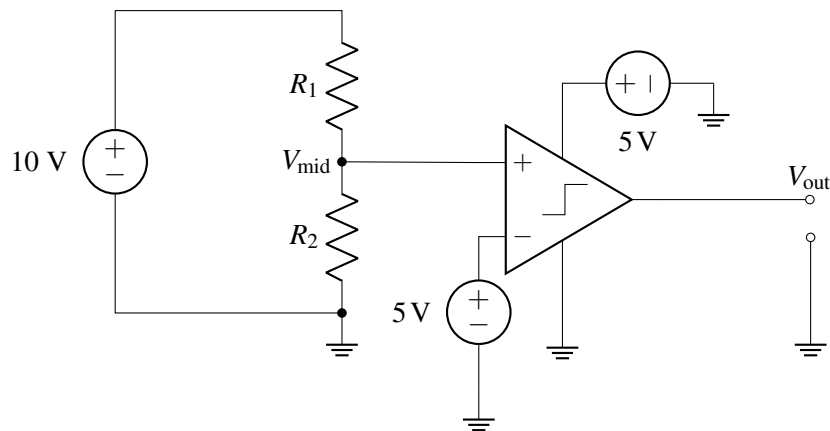
The Campanile, old as it is, needs to be cleaned sometimes. Unfortunately, this means our resident falcons will be displaced briefly. Being a conservation minded Berkeley Engineer, you decide to help them out by designing an artificial incubator. It has to hold the temperature at  $37^\circ \text{C}$ , and must turn on only once 3 eggs have been laid.

You decide to start by implementing a circuit that detects the number of eggs laid. For this, you use a resistive plate placed under the entire nest as shown in the following diagram:



We know that the plate resistance increases as more eggs are laid, essentially working as a variable resistor.

- (a) **(1 point)** Your TA, Aniruddh, provides you with this circuit diagram which is designed to output 5V when 3 or more eggs have been laid, and 0 V otherwise.



If you have a single fixed resistor,  $R_{fixed}$ , and the variable resistance plate under the nest has a range such that  $0 < R_{var} < 2R_{fixed}$ , where should you put the resistive plate so that the circuit behaves as expected (with the fixed resistor in the other resistor location)?

- The resistive plate must be placed where  $R_1$  is.
- The resistive plate must be placed where  $R_2$  is.
- The resistive plate can be placed in either location.

**Solution:** Remember that the resistance of our plate increases with the number of eggs lain. We therefore want  $u_+$  to start at a value less than  $u_-$  when no eggs have been lain and increase to a value greater than or equal to  $u_-$  once 3 or more eggs have been lain. This should suggest that  $u_+$ , and therefore  $V_{mid}$  must be monotonically increasing with the number of eggs laid, and therefore the resistance of the plate. Notice that the  $V_{mid}$  is the middle node of a voltage divider, and therefore its voltage is:

$$V_{mid} = \frac{R_2}{R_1 + R_2} V_s$$

From this equation, we can see that  $V_{mid}$  increases when  $R_2$  increases, and so this is where we should insert our plate.

- (b) **(1 point)** Regardless of your previous answers, assume we use a fixed resistor for  $R_2 = 150\Omega$  (or  $100\Omega$  for the alternate question). What is the maximum value of the other resistor such that the circuit outputs +5V (or operates on the threshold of the output voltage switch)? **Solution:** Recall that the circuit will switch when  $u_+ \geq u_-$ . Since  $u_-$  is held at 5V by a fixed voltage source, we therefore are looking for a value for  $R_1$  which will set  $V_{mid} = u_+ = 5V$ . Recall the formula for a voltage divider that we used in part (a):

$$V_{mid} = \frac{R_2}{R_1 + R_2} V_s$$

We need to solve:

$$5 = \frac{150}{R_1 + 150} \times 10$$

This equation indicates that we need to set  $R_1$  to also be **150  $\Omega$** . Using a similar procedure,  $R_1 = 100\Omega$  for the alternate question.

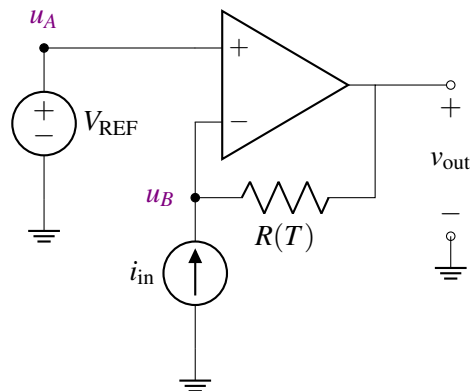
- (c) **(4 points)** You now want to work on the temperature control of the incubation unit. You are provided with a *thermistor*, a temperature dependent resistor, which follows the following resistance ( $k\Omega$ ) vs. temperature ( $^{\circ}\text{C}$ ) relationship:

$$R(T) = 2.5 + \frac{1}{2}(T - 37) \quad [k\Omega]$$

For the alternate question:

$$R(T) = 2 + \frac{1}{2}(T - 37) \quad [k\Omega]$$

The thermistor can thus be used as a measurement of the current temperature. At  $37^{\circ}\text{C}$ , you want to output  $0\text{V}$  to the temp controller—in other words, the below circuit should output  $0\text{V}$  at this temperature.



If  $i_{in} = 4 \text{ mA}$  (2 mA for the alternate question), what should  $V_{REF}$  be set to, in Volts (V)?

**Solution:** Notice that this is a *transresistance amplifier*. It is governed by the following formula:

$$v_{out} = i_{in}(-R) + V_{REF}$$

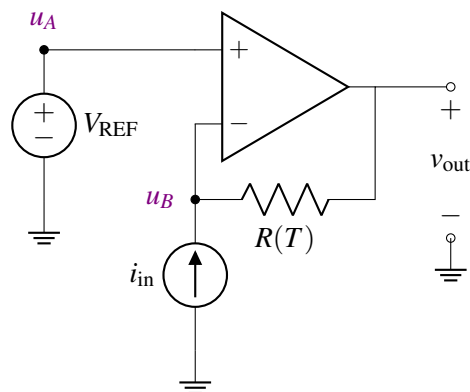
At  $37^{\circ}\text{C}$ , notice that the thermistor has a resistance of  $2.5 \text{ k}\Omega$ . Plugging these values in, and solving for  $0\text{V}$  at  $37^{\circ}\text{C}$ :

$$\begin{aligned} 0\text{V} &= 4 \times 10^{-3}(-2.5 \times 10^3) + V_{REF} \\ 0\text{V} &= -10\text{V} + V_{REF} \end{aligned}$$

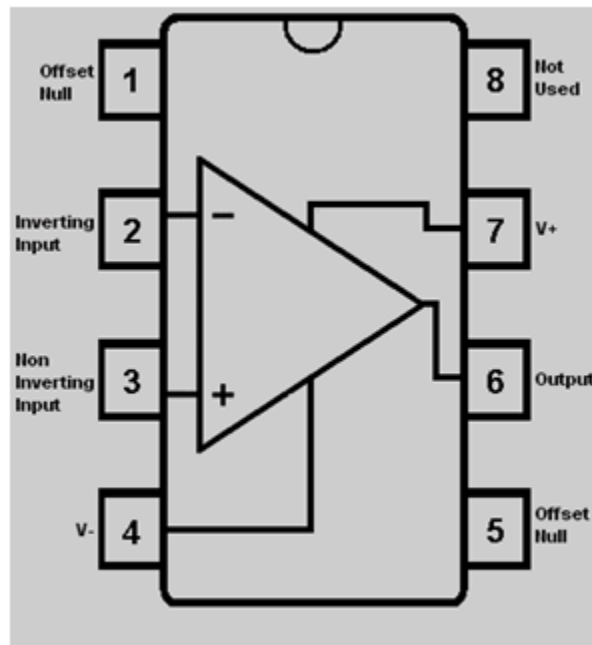
Therefore,  $V_{REF}$  should be set to **10 V**.

Using a similar procedure,  $V_{REF}$  should be set to **4 V** for the alternate question.

- (d) **(2 points)** You are given the following op-amp pin diagram. Match the nodes from the previous circuit diagram (shown below for your convenience) to their corresponding pin numbers on the op-amp.







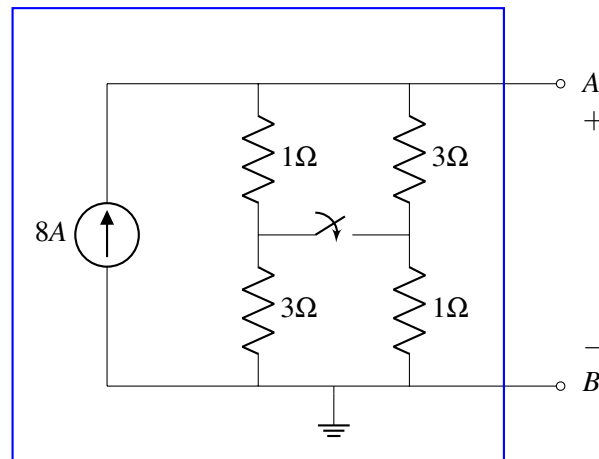
- $u_B$
- $u_A$
- 5V Supply
- -5V Supply
- $V_{out}$

**Solution:** Reading the labels on the Op-Amp pins gives us the following pairings:

- $u_B$  : Pin 2
- $u_A$  : Pin 3
- 5V Supply : Pin 7
- -5V Supply : Pin 4
- $V_{out}$  : Pin 6

## 7. A Thevenin Paradox

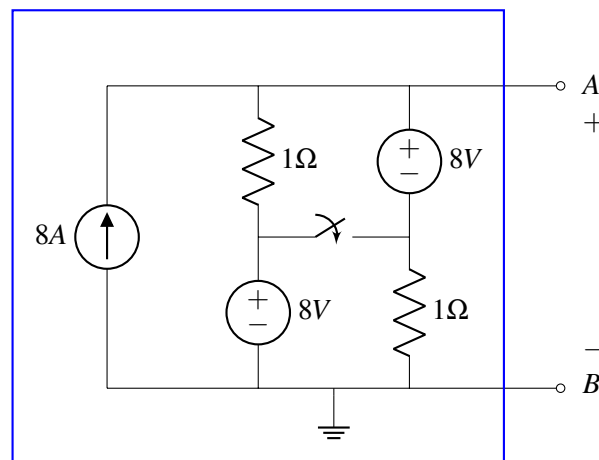
- (a) Calculate the Thevenin equivalent voltage across terminals A and B first when the switch is open and again when the switch is closed.



**Solution:** When the switch is open, the circuit has two parallel branches each with a  $3\Omega$  and  $1\Omega$  resistor in series. So, each branch has an equivalent resistance of  $3\Omega + 1\Omega = 4\Omega$ . The total equivalent resistance is  $R_{eq} = 4\Omega || 4\Omega = 2\Omega$ . Hence, using Ohm's Law, the voltage across terminals A and B is  $8A \times R_{eq} = 8A \times 2\Omega = 16V$ .

When the switch is closed, there are two  $(3\Omega || 1\Omega)$  resistors in series. Hence, the total equivalent resistance is  $R_{eq} = 3\Omega || 1\Omega + 3\Omega || 1\Omega = 0.75\Omega + 0.75\Omega = 1.5\Omega$ . Hence, using Ohm's Law, the voltage across terminals A and B is  $8A \times R_{eq} = 8A \times 1.5\Omega = 12V$ .

- (b) In this new circuit, what is the Thevenin equivalent voltage across terminals A and B when the switch is open and when the switch is closed?



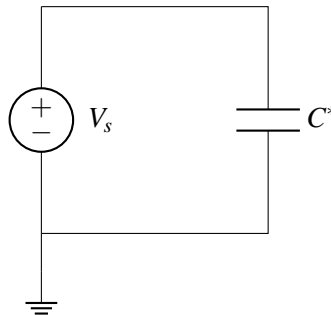
**Solution:** When the switch is open, the circuit has 2 parallel branches, each with a  $1\Omega$  resistor and  $8V$  voltage source. The  $8A$  from the current source splits equally between both parallel branches. So,  $4A$  of current flows through each branch, and hence, the voltage across each resistor is  $4A \times 1\Omega = 4V$ . The voltage across terminals A and B is the sum of the voltage across a resistor and  $8V$  from the voltage source, which is  $4V + 8V = 12V$ .

When the switch is closed, the top  $8V$  voltage source fixes the voltage between the top and center node, and the bottom  $8V$  voltage source fixes the voltage between the center node and the bottom node. So the voltage between terminals A and B are the sum of these two voltages which is  $8V + 8V = 16V$ .

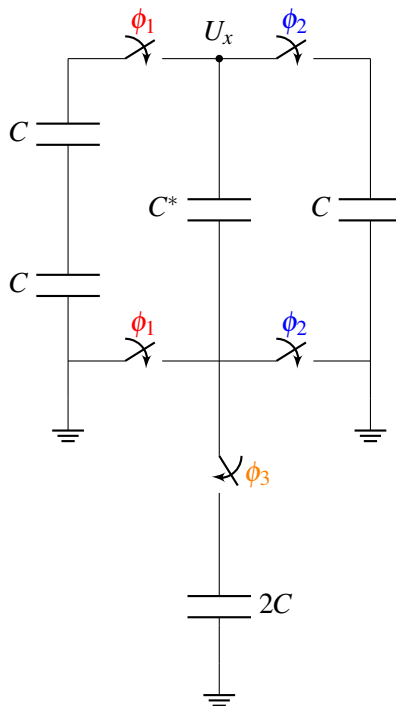
Interesting side note: Notice that part (b) gives us the opposite of our answers in part(a). Part (b) is a circuit example of something called Braess's Paradox, which was first observed in road networks. The paradox is that adding roads to a road network can actually increase traffic! Here, we "add a road" by closing the switch, and the voltage across (i.e., energy per charge to move between) terminals A and B increases!

## 8. Charge Sharing Choices

- (a)  $C^*$  is attached to voltage source  $V_s$  as shown below and allowed to reach steady state (assume there is zero charge on  $C^*$  before it is attached to the voltage source).



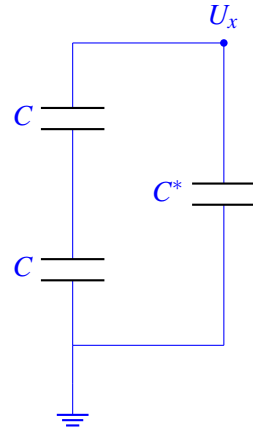
Next,  $C^*$  is attached to the circuit shown below. Initially, all the switches are open and the voltage across  $C^*$  is still  $V_s$ . Which set of switches ( $\phi_1$ ,  $\phi_2$ , or  $\phi_3$ ), when closed, will cause the potential at node  $U_x$  to equal  $\frac{1}{2}V_s$  once steady state is reached? Assume that  $C^* = C$ .



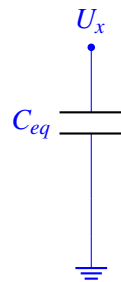
**Solution:** We can say that the initial charge in the node  $U_x$  before switches close is  $Q_o = C^*V_s = CV_s$ . The easiest way to solve this problem is by inspection. Looking at the figure, we see that when the

$\phi_2$  switches close, the charge is evenly distributed between the two equally sized capacitors. Thus, the charge on a single capacitor is  $\frac{1}{2}Q_o$ , and thus the voltage over this capacitor must also be halved such that  $\frac{1}{2}Q_o = C \cdot \frac{1}{2}V_s = CV_s$ . You can also solve this problem by combining capacitors in parallel and series, and then solving for the new voltage across them:

First, let's look at the circuit if the  $\phi_1$  switches close.



The left two capacitors are in series and can be combined into an equivalent capacitor. This equivalent capacitor is now in parallel with  $C^*$  and the circuit looks like the following, where  $C_{eq} = \frac{C \cdot C}{C + C} + C = \frac{3}{2}C$ .

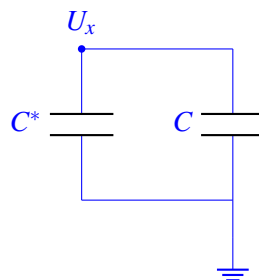


Now, using charge sharing, the charge on the equivalent capacitor is equal to the charge initially on  $C^*$ . Thus:

$$V_s C = Q = U_x C_{eq} = U_x \frac{3}{2} C$$

$$V_s = \frac{3}{2} U_x \neq 2U_x$$

Next, let's look at the circuit if the  $\phi_2$  switches close:

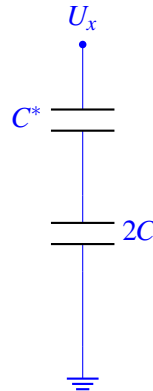


We see that these two capacitors are in parallel, and thus an equivalent capacitor is  $C_{eq} = 2C$ .  
Using charge sharing:

$$V_s C = Q = U_x C_{eq} = U_x 2C$$

$$V_s = 2U_x$$

And we can see that  $U_x$  is half of  $V_s$ , as required.  
Finally, let's look at the circuit if the circuit if the  $\phi_3$  switch closes:



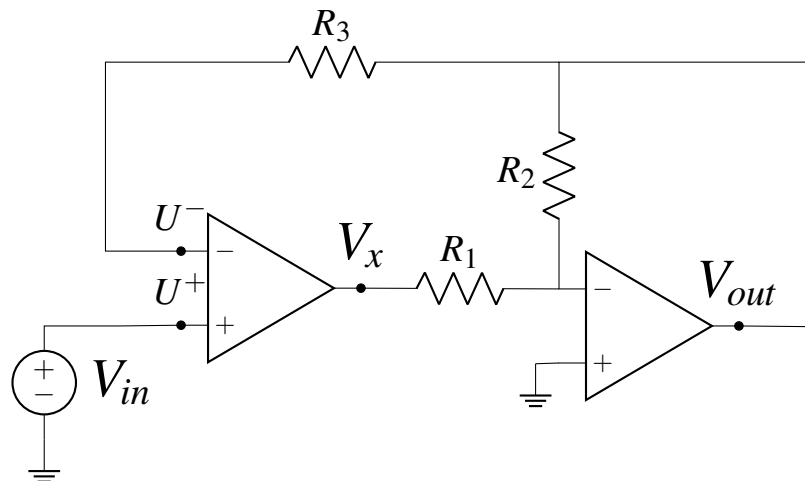
We see that these two capacitors are in series, and thus an equivalent capacitor is  $C_{eq} = \frac{C \cdot 2C}{C + 2C} = \frac{2}{3}C$ .  
Using charge sharing:

$$V_s C = Q = U_x C_{eq} = U_x \frac{2}{3}C$$

$$V_s = \frac{2}{3}U_x \neq 2U_x$$

**9. OpAmps1: Checking for feedback type (6 points)**

**Version 1:**



We are trying to determine if the circuit in the above schematics is in negative or positive feedback. In order to do that, we zero out all independent sources and wiggle the output  $V_{out}$  by increasing it. How would the following node voltages change? Note that  $V_{error} = U^+ - U^-$

$U^-$  = Increases/Decreases/Stays the same?

$U^+$  = Increases/Decreases/Stays the same?

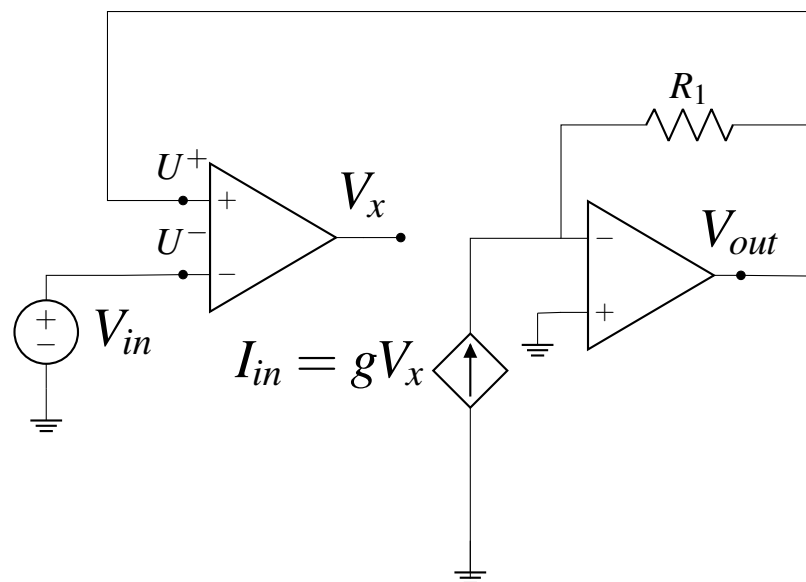
$V_{error}$  = Increases/Decreases/Stays the same?

$V_x$  = Increases/Decreases/Stays the same?

$V_{out}$  = Increases/Decreases/Stays the same?

Based on the change in node voltages, the circuit is in negative/positive feedback.

### Version 2:



We are trying to check if the circuit in the above schematics is in negative or positive feedback. In order to do that, we zero out all independent sources and wiggle the output  $V_{out}$  by decreasing it. How would the following node voltages and currents change? Note that  $V_{error} = U^+ - U^-$  and  $I_{in} = gV_x$  where  $g$  is a given constant and is equal to  $g = 5\text{A/V} = 5/\Omega$

$U^-$  = Increases/Decreases/Stays the same?

$U^+$  = Increases/Decreases/Stays the same?

$V_{error}$  = Increases/Decreases/Stays the same?

$V_x$  = Increases/Decreases/Stays the same?

$I_{in}$  = Increases/Decreases/Stays the same?

$V_{out}$  = Increases/Decreases/Stays the same?

Based on the change in node voltages and currents, the circuit is in negative/positive feedback.

### Solution:

#### Version 1:

$U^-$ : The voltage at the negative terminal of the op amp on the left is equal to  $U^- = V_{out} - R_3 I_{R_3}$ .  $I_{R_3} = I^- = 0A$  which means that the  $V_{out} = U^-$  and the increase in  $V_{out}$  means that  $U^-$  is also **increasing**.

$U^+$ : Zeroing out independent sources means that the voltage source  $V_{in}$  becomes a short to gnd. This means that the voltage at  $U^-$  would be 0V. The increase in  $V_{out}$  would not translate to any change in the potential at node  $U^+$ . Hence, the voltage at node  $U^+$  **stays the same**.

$V_{error}$ : Remember that  $V_{error} = U^+ - U^-$ ,  $U^-$  increases with increasing  $V_{out}$  and while  $U^+$  doesn't change. This means that change in  $V_{error}$  is inversely proportional to the change in  $U^-$ . Hence,  $V_{error}$  decreases with increasing  $V_{out}$ .

$V_x$ : This node is the output of op amp. Based on the equivalent model of op amps, the output of op amps can be expressed as  $V_x = AV_{error} = A(U^+ - U^-)$ . This means that the change in  $V_x$  is proportional to the change in  $V_{error}$ . Hence,  $V_x$  **decreases** with decreasing  $V_{error}$ .

$V_{out}$ : Notice that  $R_1$ ,  $R_2$ , and the op amp on the right form an inverting op amp with  $V_{out} = V_x \frac{-R_2}{R_1}$ . Hence a decreasing  $V_x$  means that  $V_{out}$  is **increasing**.

Since increasing  $V_{out}$  forces the loop to further increase  $V_{out}$ , the circuit is in **positive** feedback.

### Version 2:

$U^-$ : Since zeroing out all independent sources means that the voltage source  $V_{in}$  will be replaced by a wire. This means that the voltage at node  $U^-$  would be equal to zero. Hence, decreasing  $V_{out}$  will not change the potential at  $U^-$  and the voltage will **stay the same**.

$U^+$ : since there is a wire connecting  $V_{out}$  to  $U^+$ , any changes in  $U^+$  will be proportional to changes in  $V_{out}$ . Hence,  $U^+$  will **decrease** with decreasing  $V_{out}$ .

$V_{error}$ : Since  $V_{error} = U^+ - U^-$  and  $U^-$  does not change with  $V_{out}$ , the change in  $V_{error}$  will be proportional to the change in  $U^+$ . Hence, a decrease in  $U^+$  will lead to a **decrease** in  $V_{error}$ .

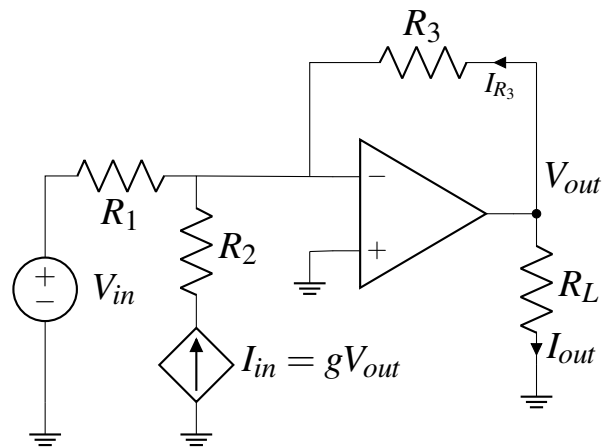
$V_x$ : This node is the output of op amp. Based on the equivalent model of op amps, the output of op amp can be expressed as  $V_x = AV_{error} = A(U^+ - U^-)$ . This means that the change in  $V_x$  is proportional to the change in  $V_{error}$ . Hence,  $V_x$  **decreases** with decreasing  $V_{error}$ .

$I_{in}$ : Since  $I_{in}$  is a voltage-controlled current source with  $I_{in} = gV_x$ . Since  $g$  is positive, the change in  $I_{in}$  will be proportional to  $V_x$ . Hence, a decrease in  $V_x$  will lead to a **decrease** in  $I_{in}$ .

$V_{out}$ : The op amp on the left, the resistor  $R_1$ , and the voltage-controlled current source form a transimpedance amplifier with  $V_{out} = -I_{in}R_1$ . This means that the changes in  $I_{in}$  will be inversely proportional to the changes in  $I_{in}$ . Hence, a decrease in  $I_{in}$  will lead to an **increase** in  $V_{out}$ .

Since the loop corrected the initial change in  $V_{out}$ , meaning that an decrease in  $V_{out}$  lead to an increase  $V_{out}$ , we can see that this circuit is in **negative** feedback.

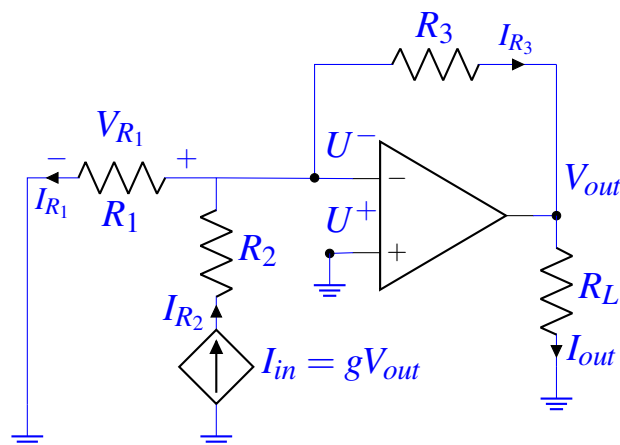
## 10. Op Amps 2



Based on the above schematics and for  $V_{in} = -4\text{V}$ ,  $I_{in} = gV_{out}$ ,  $R_1 = 1\Omega$ ,  $R_2 = 10\text{k}\Omega$ ,  $R_3 = 1\Omega$  and  $R_L = 1\text{k}\Omega$ , determine if the circuit is in negative feedback, an expression for  $I_{R_3}$ , and the value of  $I_{out}$ . Note that  $g$  is the transconductance is equal to  $g = 1\text{A/V} = 1/\Omega$ .

(a) This circuit is negative feedback. True/False?

**Solution:**

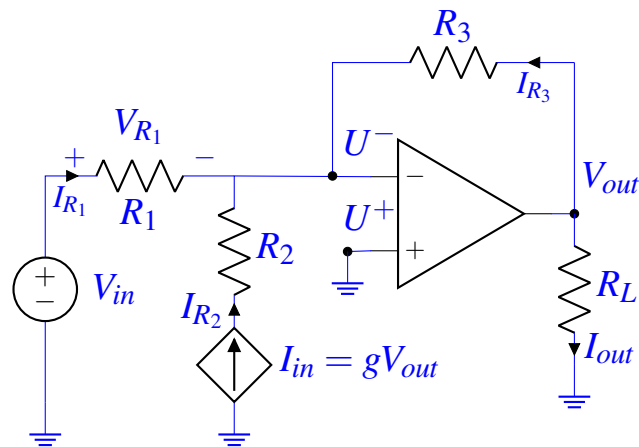


To determine whether or not this circuit is in negative feedback, the first step would be to zero out all independent sources and wiggle the output  $V_{out}$  by increasing it. This will cause the voltage at the negative terminal to increase. At the same time, the current through the voltage-controlled current source will increase. By KCL at the negative terminal, we can see that the current  $I_{in}$  passes through the resistor  $R_2$  and then it gets divided between  $R_1$  and  $R_3$ . The increase in  $V_{out}$  leads to an increase in  $I_{in}$  and that will lead to an increase in the current through the resistor  $R_1$ . We can observe that  $V_{R_1} = U^-$  and by Ohm's law  $V_{R_1} = I_{R_1}R_1$ . Hence, the increase of  $I_{R_1}$  means an increase in the voltage  $V_{R_1}$  which is the voltage at the negative terminal  $U^-$ . This means that overall, the increase in  $V_{out}$  leads to an increase in  $U^-$  while  $U^+$  remains unchanged. That means that the differential voltage at the input of the op amp,  $U^+ - U^-$ , will decrease with increasing  $V_{out}$ . The decrease in the differential voltage means that the output voltage of the op amp will also decrease since the output is related to the input voltage with the following equation  $V_{out} = A(U^+ - U^-)$ . Since the initial increase in  $V_{out}$  forced the loop to correct that by decreasing  $V_{out}$ , this circuit is in **negative feedback**.

(b) What is the correct expression for  $I_{R_3}$ ?

**Solution:**





Since this is an op amp circuit in negative feedback, we can write a KCL equation at the terminal of the op amp. From KCL, we know that  $I_{R_3} = -I_{R_2} - I_{R_1}$ . The current through  $I_{R_2}$  is equal to the current through the voltage-controlled current source. This means that  $I_{R_2} = I_{in} = gV_{out}$ . Assuming this op amp is an ideal op amp with an infinite gain, then we can use the golden rule for op amps where  $U^- = U^+ = 0V$ . This means that the current through  $R_1$  is equal to  $I_{R_1} = \frac{V_{R_1}}{R_1} = \frac{V_{in} - U^-}{R_1} = \frac{V_{in}}{R_1}$ . By substituting the values of  $I_{R_1}$  and  $I_{R_2}$  into the equation to solve for  $I_{R_3}$ , we get the following expression for  $I_{R_3}$ :

$$I_{R_3} = -(gV_{out} + \frac{V_{in}}{R_1})$$

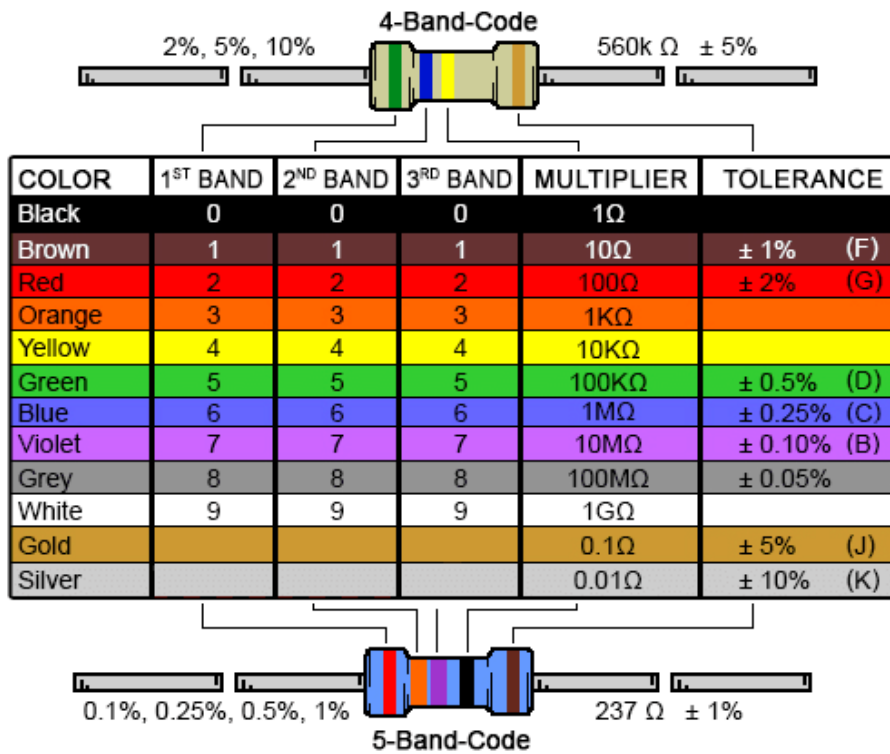
(c) What is  $I_{out}$  (mA)?

**Solution:**

The current  $I_{out}$  is equal to the current through the resistor  $R_L$ . By Ohm's law,  $I_{R_L} = \frac{V_{out}}{R_L}$ . Since  $R_L$  is given, we just need to calculate the value of  $V_{out}$ . Notice that  $V_{R_3} = V_{out} - U^- = V_{out}$ . And by Ohm's law,  $V_{R_3} = I_{R_3}R_3$ . It follows that  $V_{out} = I_{R_3}R_3$ . Since the expression for  $I_{R_3}$  is found in the previous part, what's left is isolating  $V_{out}$  and plugging in the values for the resistors and the transconductance. For the given values,  $V_{out} = 2V$  and  $I_{out} = \frac{V_{out}}{R_L} = \frac{2V}{1k\Omega} = 2mA$ .

## 11. Resistor Band

(a) Suppose you need a  $51\Omega$  resistor your your circuit with a tolerance of  $\pm 5\%$ . Referring to the diagram above, which four-band color code would this correspond to?

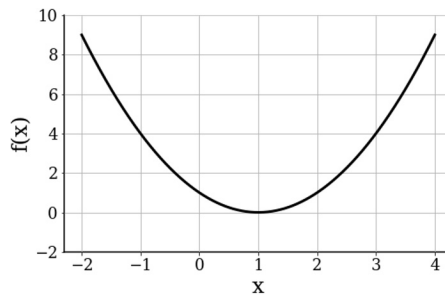


**Solution:** We need to use the first two bands to pick the correct numbers and then choose the correct multiplier. We can choose 5-1 with a multiplier of 1Ω to achieve the desired resistance. Finally, we need to choose the correct fourth band to get a tolerance of ±5%. This corresponds to green-brown-black-gold.

## 12. Orthogonality

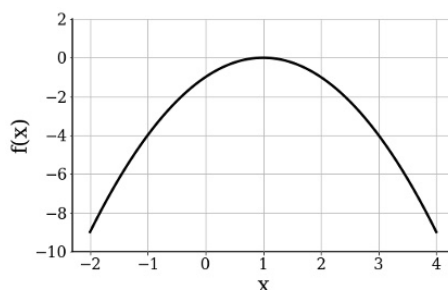
- (a)  $f(x)$  and  $g(x)$  are both polynomials with degree at most 2.  $f(x) = x^2 - 2x + 1$  ( $f(x) = -x^2 + 2x - 1$  for the alternate version). We define inner product between two polynomials as  $\langle f(x), g(x) \rangle = f(0)g(0) + f(1)g(1) + f(2)g(2)$ .

If  $g(x)$  is orthogonal to  $f(x)$ , which is possible equation for  $g(x)$ ?



- i.  $-x^2 + 2x - 1$
- ii.  $x^2 + x - 1$
- iii.  $x - 1$
- iv.  $x$

**Alternate version:**



- i.  $2x^2 - 5x - 2$
- ii.  $5x + 2$
- iii.  $-x^2 + 2x - 1$
- iv.  $-x + 1$

**Solution:** Orthogonality means the inner product is zero, namely  $\langle f(x), g(x) \rangle = 0$ .

- i.  $\langle f(x), g(x) \rangle = 1 \times (-1) + 0 \times 0 + 1 \times (-1) = -2$ .
- ii.  $\langle f(x), g(x) \rangle = 1 \times (-1) + 0 \times 1 + 1 \times 5 = 4$ .
- iii.  $\langle f(x), g(x) \rangle = 1 \times (-1) + 0 \times 0 + 1 \times 1 = 0$ .  $g(x)$  is orthogonal to  $f(x)$ .
- iv.  $\langle f(x), g(x) \rangle = 1 \times 0 + 0 \times 1 + 1 \times 2 = 2$ .

Using a similar procedure,  $g(x) = -x + 1$  for the alternate version.

### 13. Satellite Codes

(a) Which of the following vectors is best suited as a satellite code?

$$\vec{v}_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}, \vec{v}_2 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ -1 \\ 1 \end{bmatrix}, \vec{v}_3 = \begin{bmatrix} 1 \\ -1 \\ 1 \\ -1 \\ 1 \end{bmatrix}$$

**Solution:** A satellite code should have a very small autocorrelation at any time shift other than 0.

$$\vec{v}_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \text{ has an autocorrelation at all times of } \langle \vec{v}_1, \vec{v}_1 \rangle = 1 \times 1 + 1 \times 1 + 1 \times 1 + 1 \times 1 + 1 \times 1 = 5.$$

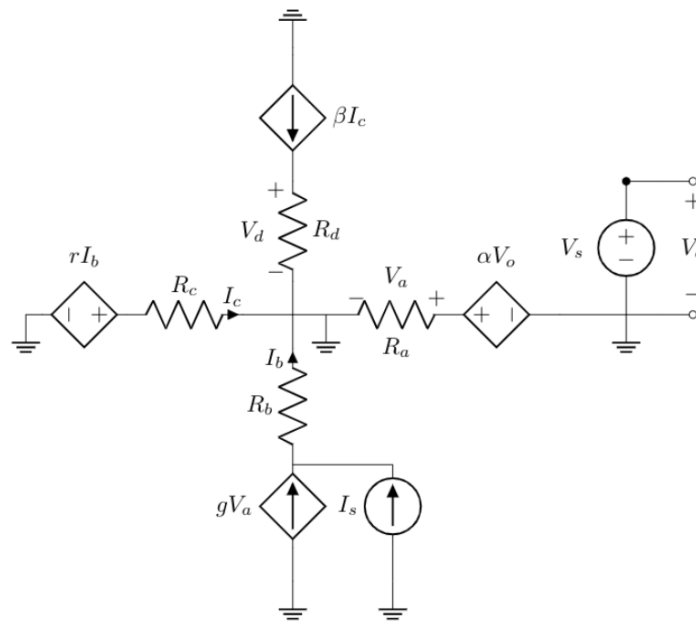
This means that there is not any discrimination between time shifts. This property makes this vector a poor code.

$\langle \vec{v}_2, \vec{v}_2 \rangle = 1 \times 1 + 1 \times 1 + 1 \times 1 + (-1) \times (-1) + 1 \times 1 = 5$  at shift 0, but yields 1 at all other shifts, which makes this vector a good code.

$\langle \vec{v}_3, \vec{v}_3 \rangle = 1 \times 1 + (-1) \times (-1) + 1 \times 1 + (-1) \times (-1) + 1 \times 1 = 5$  at shift 0, but yields -3 at time shifts 1 and 4, which makes this vector a poor code.

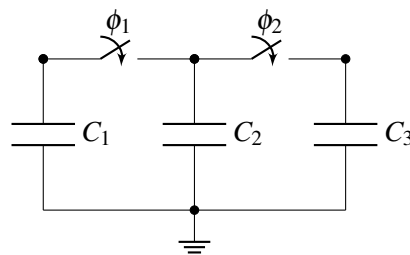
### 14. Superposition Fun

(a) Suppose we want to solve this circuit using superposition. If we want to start solving by leaving  $V_s$  on, how many other sources do we need to null out?



**Solution:** One. This circuit only has two independent sources, so if we leave  $V_s$  on, we only need to null out  $I_s$

### 15. Charge Sharing Cycles



The circuit begins in phase 1 (all switches open). During phase 1, the  $\phi_1$  switch is closed and the  $\phi_2$  switch is open. During phase 2, the  $\phi_2$  switch is closed and the  $\phi_1$  switch is open. The circuit moves from phase 0 to phase 1 to phase 2 and then back to phase 0 (defined as a cycle). Let  $t$  indicate the number of cycles completed and  $\vec{x}[t] = [Q_{C_1}^{(t)} Q_{C_2}^{(t)} Q_{C_3}^{(t)}]^T$  indicate the distribution of charge across the three capacitors after  $t$  cycles have occurred. Let  $\vec{x}[0] = [1 \ 0 \ 0]^T$ . You are given  $C_1 = C_2 = C_3$ .

(a) Find  $\vec{x}[1]$ , the distribution of charges after phase 1 and phase 2 have been completed once.

**Solution:**

$\phi_0$  to  $\phi_1$  transition:

When the  $\phi_1$  switch closes, the initial 1 Coulomb on  $C_1$  splits evenly across  $C_1$  and  $C_2$ . Thus  $C_1$  and

$C_2$  will each have  $\frac{1}{2}$  Coulombs of charge. This transition can be modeled by  $T_{\phi_1} = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$ .

$\phi_1$  to  $\phi_2$  transition:

When the  $\phi_1$  switch opens and the  $\phi_2$  switch closes, the  $\frac{1}{2}$  Coulomb on  $C_2$ , splits evenly across  $C_2$  and  $C_3$ . Thus  $C_2$  and  $C_3$  will each have  $1/4$  Coulomb of charge. This transition can be modeled by

$$T_{\phi_2} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{bmatrix}.$$

$\phi_2$  to  $\phi_0$  transition:

When  $\phi_2$  opens, the distribution of charge won't change.

Result

$$\text{Therefore } \vec{x}[1] = \left[ \frac{1}{2} \ \frac{1}{4} \ \frac{1}{4} \right]^T$$

- (b) Find a matrix  $T$  such that  $T\vec{x}[t] = \vec{x}[t+1]$ .

**Solution:** The transition for a complete cycle is represented by the transition from  $\phi_0$  to  $\phi_1$  and from  $\phi_1$  to  $\phi_2$ .

$$\text{Thus } T = T_{\phi_2} T_{\phi_1} = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{1}{2} \end{bmatrix} = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{2} \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{2} \end{bmatrix}$$

- (c) Find  $\lim_{t \rightarrow \infty} \vec{x}[t]$ .

**Solution:** After the  $\phi_0$  to  $\phi_1$  transition, the charge is distributed equally across  $C_1$  and  $C_2$ . After the  $\phi_1$  to  $\phi_2$  transition, the charge is distributed equally across  $C_2$  and  $C_3$ . Intuitively this means that the charge will be uniformly distributed across the three capacitors after many cycles. Therefore

$$\lim_{t \rightarrow \infty} \vec{x}[t] = \left[ \frac{1}{3} \ \frac{1}{3} \ \frac{1}{3} \right]^T$$

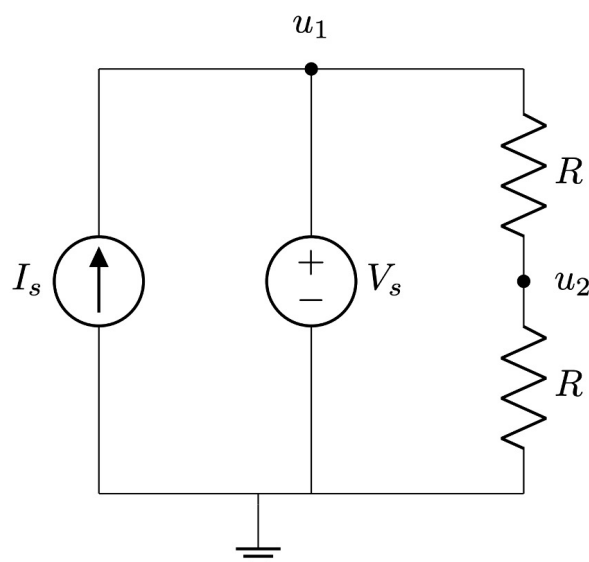
Alternate solution:

Note that  $T$  is a conservative transition matrix, thus an eigenvalue of  $\lambda = 1$  exists. Therefore the initial distribution will converge to the steady state defined by the eigenvector associated with  $\lambda = 1$ :

$$\vec{v}_{\lambda=1} = \left[ \frac{1}{3} \ \frac{1}{3} \ \frac{1}{3} \right]^T$$

## 16. Linear Algebra Circuit (22 points)

- (a) Consider the following circuit (4 points):



Let  $\vec{x} = [V_s \ I_s]^T$ , and let  $\vec{u} = [u_1 \ u_2]^T$ . Construct  $A$  such that  $A\vec{x} = \vec{u}$ , choose the most correct statement: For a given  $\vec{u} = \vec{u}_0$ , the matrix equation  $A\vec{x} = \vec{u}_0$ :

Options:

- 1) can have a single solution or no solution;
- 2) always has a single solution;
- 3) always has no solution;
- 4) always has infinite solutions;
- 5) can have infinite solutions or no solutions;

**Solution:** As shown in the circuit above,  $u_1$  is directly connected to the voltage source  $V_s$ , then, we will have  $u_1 = V_s$ , and  $u_2 = \frac{V_s}{2}$  as a voltage divider. Therefore, we can formulate the matrix equation as:

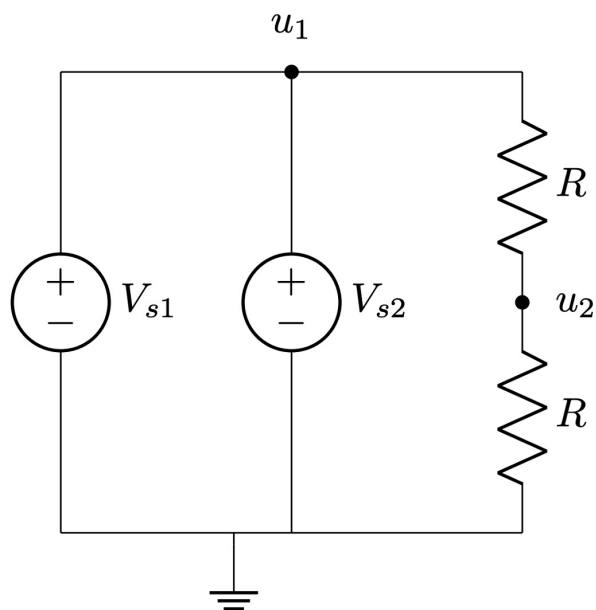
$$\begin{bmatrix} 1 & 0 \\ \frac{1}{2} & 0 \end{bmatrix} \begin{bmatrix} V_s \\ I_s \end{bmatrix} = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}.$$

Solving it by Gaussian Elimination, we have:

$$\left( \begin{array}{cc|c} 1 & 0 & u_1 \\ \frac{1}{2} & 0 & u_2 \end{array} \right) \implies \left( \begin{array}{cc|c} 1 & 0 & u_1 \\ 0 & 0 & u_2 - \frac{u_1}{2} \end{array} \right).$$

If  $u_1 = 2u_2$ , the equation has infinite solutions, otherwise if  $u_1 \neq 2u_2$ , there will be no solutions. 5) is the correct answer.

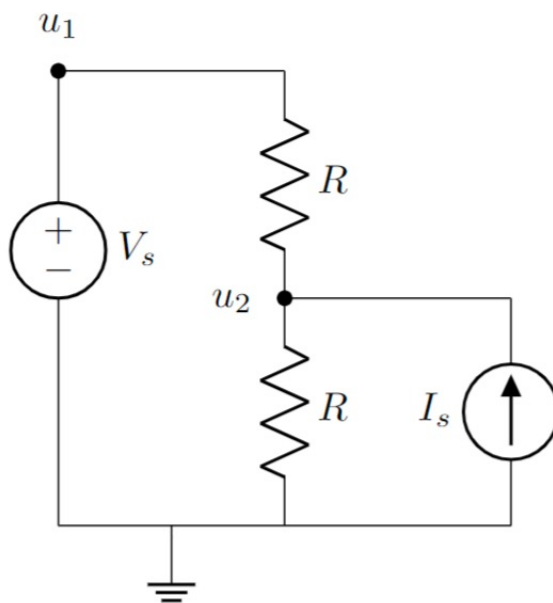
(b) Consider the following circuit (2 points):



Let  $\vec{x} = [V_{s1} \ V_{s2}]^T$ , and let  $\vec{u} = [u_1 \ u_2]^T$ . Construct  $A$  such that  $A\vec{x} = \vec{u}$ . For a given  $\vec{u} = \vec{u}_0$ , the matrix equation  $A\vec{x} = \vec{u}_0$  has a solution for the vector  $\vec{x}$ , then the solution is in the span of  $[a \ 0.5]$ , where  $a = ?$ .

**Solution:** Note that in the circuit above, two voltage sources  $V_{s1}$  and  $V_{s2}$  are in parallel. For an ideal circuit, this can only happen when  $V_{s1} = V_{s2}$ . Therefore, the solution is in the span of  $[0.5 \ 0.5]^T$ , and  $a = 0.5$ .

(c) Consider the following circuit (6 points):



Let  $\vec{x} = [V_s \ I_s]^T$ , and let  $\vec{u} = [u_1 \ u_2]^T$ . Let  $\vec{u} = \vec{u}_0$  and construct  $A$  such that  $A\vec{x} = \vec{u}_0$ . Choose the correct statements about:

1)  $A$ :

- i. Matrix  $A$  is invertible;
- ii. Matrix  $A$  is not invertible;
- iii. Not possible to determine if  $A$  is invertible.

2)  $u_1$ :

- i.  $u_1$  depends only on  $V_s$ ;
- ii.  $u_1$  depends only on  $I_s$ ;
- iii. Neither of the other choices;

3)  $u_2$ :

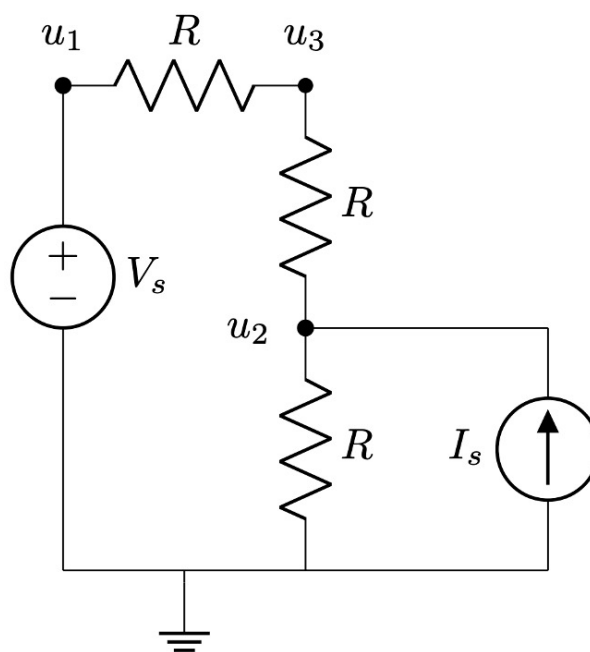
- i.  $u_2$  depends only on  $V_s$ ;
- ii.  $u_2$  depends only on  $I_s$ ;
- iii. Neither of the other choices;

**Solution:** Here, we use superposition to solve this circuit. Step 1: zero out  $I_s$  (make it open circuit), we have  $u_{1,V_s} = V_s$  and  $u_{2,V_s} = \frac{V_s}{2}$  as a voltage divider. Step 2: zero out  $V_s$  (short it), we have  $u_{1,I_s} = 0$  and  $u_{2,I_s} = \frac{I_s R}{2}$ . Using superposition, we have  $u_1 = u_{1,V_s} + u_{1,I_s} = V_s$  and  $u_2 = u_{2,V_s} + u_{2,I_s} = \frac{V_s + I_s R}{2}$ . Therefore, we can formulate the matrix equation as:

$$\begin{bmatrix} 1 & 0 \\ \frac{1}{2} & \frac{R}{2} \end{bmatrix} \begin{bmatrix} V_s \\ I_s \end{bmatrix} = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}.$$

Since  $\det(A) = \frac{R}{2} \neq 0$ ,  $A$  is invertible.  $u_1$  depends only on  $V_s$  and  $u_2$  depends on both  $V_s$  and  $I_s$ . The correct answer will then be 1)i, 2)i, 3)iii.

(d) Consider the following circuit (10 points):



Let  $\vec{x} = [V_s \ I_s]^T$ , and let  $\vec{u} = [u_1 \ u_2 \ u_3]^T$ . Assume that all measurements of the node voltages are noisy with some small error. Given  $R = 1\Omega$ ,  $\vec{u} = \vec{u}_0 = [3 \ 2 \ 2]^T$ . We can construct  $A = \begin{bmatrix} 1 & 0 \\ \frac{1}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{1}{3} \end{bmatrix}$  such that  $A\vec{x} = \vec{u}_0$ .



What is the best approximation for  $V_s$  and  $I_s$  in volts and amperes, respectively?

**Solution:** Here, we also show how to construct matrix  $A$ . We use superposition to solve this circuit. Step 1: zero out  $I_s$  (make it open circuit), we have  $u_1 = V_s$ ,  $u_2 = \frac{V_s}{3}$ , and  $u_3 = \frac{2V_s}{3}$ . Step 2: zero out the voltage source (short it), we have  $u_1 = 0$ ,  $u_2 = \frac{2I_s R}{3}$ , and  $u_3 = \frac{u_1 + u_2}{2} = \frac{I_s R}{3}$ . Using superposition, we have  $u_1 = u_{1,V_s} + u_{1,I_s} = V_s$ ,  $u_2 = u_{2,V_s} + u_{2,I_s} = \frac{V_s + 2I_s R}{3}$ , and  $u_3 = u_{3,V_s} + u_{3,I_s} = \frac{2V_s + I_s R}{3}$ . Given  $R = 1\Omega$ , we can formulate the matrix equation as:

$$\begin{bmatrix} 1 & 0 \\ \frac{1}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{1}{3} \end{bmatrix} \begin{bmatrix} V_s \\ I_s \end{bmatrix} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = \begin{bmatrix} 3 \\ 2 \\ 2 \end{bmatrix},$$

where  $A = \begin{bmatrix} 1 & 0 \\ \frac{1}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{1}{3} \end{bmatrix}$ , and  $A\vec{x} = \vec{u}_0$ . Since we have more equations than unknowns, we use Least Square to solve it and we have:

$$\vec{x} = (A^T A)^{-1} A^T \vec{u}_0 = \begin{bmatrix} \frac{17}{6} \\ \frac{4}{3} \end{bmatrix}.$$

Some intermediate results:  $A^T A = \begin{bmatrix} \frac{14}{9} & \frac{4}{9} \\ \frac{4}{9} & \frac{5}{9} \end{bmatrix}$ ,  $(A^T A)^{-1} = \begin{bmatrix} \frac{5}{6} & -\frac{2}{3} \\ -\frac{2}{3} & \frac{7}{3} \end{bmatrix}$ ,  $A^T \vec{u}_0 = \begin{bmatrix} 5 \\ 2 \end{bmatrix}$ ,  $(A^T A)^{-1} A^T \vec{u}_0 = \begin{bmatrix} \frac{17}{6} \\ \frac{4}{3} \end{bmatrix}$ . Therefore, we have  $V_s = \frac{17}{6}$  and  $I_s = \frac{4}{3}$ .