

## Reference Definitions

**Vector spaces:** A *vector space*  $V$  is a set of elements that is closed under vector addition and scalar multiplication and contains a zero vector.

That is, if you add two vectors in  $V$ , your resulting vector will still be in  $V$ . If you multiply a vector in  $V$  by a scalar, your resulting vector will still be in  $V$ .

**Subspaces:** A subset  $W$  of a *vector space*  $V$  is a *subspace* of  $V$  if the above three conditions (closure under vector addition and scalar multiplication and existence of a zero vector) hold for the elements in the subspace  $W$ .

The vector spaces we will work with most commonly are  $\mathbb{R}^n$  and  $\mathbb{C}^n$  as well as their subspaces.

**Basis:** A *basis* for a vector space or subspace is an *ordered set of linearly independent vectors* that *spans the vector space or subspace*.

Therefore, if we want to check whether a set of vectors  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k\}$  forms a basis for a vector space  $V$ , we check for two important properties:

- (a)  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k\}$  is linearly independent.
- (b)  $\text{span}\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k\} = V$

As we move along, we'll learn how to identify and construct a basis, and we'll also learn some interesting properties of bases.

**Dimension:** The *dimension* of a vector space is the *minimum number* of vectors needed to span the entire vector space. That is, the dimension of a vector space equals the number of vectors in a basis for this vector space.

### 1. Identifying a Basis

Does each of these sets of vectors describe a basis for some vector space?

$$V_1 = \left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \right\} \quad V_2 = \left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right\} \quad V_3 = \left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \right\}$$

**Answer:**

- Yes, the vectors are linearly independent, so they are a basis for some 2-dimensional subspace.

- Yes, the vectors are linearly independent and will form a basis for  $\mathbb{R}^3$ .
- No,  $\vec{v}_2 + \vec{v}_3 = \vec{v}_1$ , so the vectors are linearly dependent.

## 2. Constructing a Basis

Let's consider a subspace of  $\mathbb{R}^3$ ,  $V$ , that has the following property: for every vector in  $V$ , the first entry is equal to two times the sum of the second and third entries. That is, if  $\begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix} \in V$ ,  $a_1 = 2(a_2 + a_3)$ .

Find a basis for  $V$ . What is the dimension of  $V$ ?

**Answer:**

Any vector  $\vec{v}$  in  $V$  is going to look as follows:

$$\vec{v} = \begin{bmatrix} 2(a_2 + a_3) \\ a_2 \\ a_3 \end{bmatrix} = a_2 \begin{bmatrix} 2 \\ 1 \\ 0 \end{bmatrix} + a_3 \begin{bmatrix} 2 \\ 0 \\ 1 \end{bmatrix}$$

Now, we consider the set of vectors  $\mathcal{B} = \left\{ \begin{bmatrix} 2 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 1 \end{bmatrix} \right\}$ . The vectors are linearly independent. Furthermore,

from the above equation, any vector  $\vec{v} \in V$  can be expressed as a linear combination of the vectors in  $\mathcal{B}$  (the corresponding coefficients are  $a_2$  and  $a_3$ ). This means that  $V = \text{span}\{\mathcal{B}\}$ .

Therefore,

$$\mathcal{B} = \left\{ \begin{bmatrix} 2 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 1 \end{bmatrix} \right\}$$

forms a basis for  $V$ .

$\dim(\mathcal{B}) = 2$  (there are two vectors in  $\mathcal{B}$ ), so the dimension of  $V$  is 2.

## 3. Exploring Dimension, Linear Independence, and Basis

In this problem, we are going to talk about the connections between several concepts we have learned about in linear algebra – linear independence, dimension of a vector space/subspace, and basis.

Let's consider the vector space  $\mathbb{R}^m$  and a set of  $n$  vectors  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  in  $\mathbb{R}^m$ .

- (a) For the first part of the problem, let  $m > n$ . Can  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  form a basis for  $\mathbb{R}^m$ ? Why/why not? What conditions would we need?

**Answer:**

No,  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  cannot form a basis for  $\mathbb{R}^m$ . The dimension of  $\mathbb{R}^m$  is  $m$ , so you would need  $m$  linearly independent vectors to describe the vector space. Since  $n < m$ , this is not possible.

- (b) Let  $m = n$ . Can  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  form a basis for  $\mathbb{R}^m$ ? Why/why not? What conditions would we need?

**Answer:**

Yes, this is possible. The only condition we need is that  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  is linearly independent. If the vectors are linearly independent, since there are  $m$  of them, they will span  $\mathbb{R}^m$ .

(c) Now, let  $m < n$ . Can  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  form a basis for  $\mathbb{R}^m$ ? What vector space could they form a basis for?

*Hint:* Think about whether the vectors can be linearly independent.

**Answer:**

No,  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  cannot form a basis for  $\mathbb{R}^m$ .  $\mathbb{R}^m$  will be spanned by  $m$  linearly independent vectors. Any additional vectors in  $\mathbb{R}^m$  must already exist in the span of the previous vectors, and are therefore linearly dependent. Since  $n > m$ , some of the vectors have to be linearly dependent, so they cannot form a basis.

The two regimes—one where  $n > m$  and one where  $n < m$ —give rise to two different classes of interesting problems. You might learn more about them in upper division courses!

#### 4. Identifying a Subspace: Proof

Is the set

$$V = \left\{ \vec{v} \mid \vec{v} = c \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + d \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \text{ where } c, d \in \mathbb{R} \right\}$$

a subspace of  $\mathbb{R}^3$ ? Why/why not?

**Answer:**

Yes,  $V$  is a subspace of  $\mathbb{R}^3$ . We will *prove this* by using the definition of a subspace.

First of all, note that  $V$  is a subset of  $\mathbb{R}^3$  – all elements in  $V$  are of the form  $\begin{bmatrix} c+d \\ c \\ c+d \end{bmatrix}$ , which is a 3-dimensional real vector.

Now, consider two elements  $\vec{v}_1, \vec{v}_2 \in V$  and  $\alpha \in \mathbb{R}$ .

This means that there exists  $c_1, d_1 \in \mathbb{R}$ , such that  $\vec{v}_1 = c_1 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + d_1 \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$ . Similarly, there exists  $c_2, d_2 \in \mathbb{R}$ ,

such that  $\vec{v}_2 = c_2 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + d_2 \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$ .

Now, we can see that

$$\vec{v}_1 + \vec{v}_2 = (c_1 + c_2) \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + (d_1 + d_2) \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix},$$

so  $\vec{v}_1 + \vec{v}_2 \in V$ .

Also,

$$\alpha \vec{v}_1 = (\alpha c_1) \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + (\alpha d_1) \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix},$$

so  $\alpha \vec{v}_1 \in V$ .

We have shown both of the no escape (closure) properties, so  $V$  is a subspace of  $\mathbb{R}^3$ .