## EECS 16A Designing Information Devices and Systems I Spring 2021 Lecture Notes Note 17B

## This handout outlines a detailed algorithmic procedure that solves two-phase switch capacitor circuit problems.

Goal: Find the voltage of all floating nodes in a 2-phase switched capacitor circuit at the end of phase 2.
Main Principle: Charge Conservation
Useful Definition: A floating node is a node out of or into which no charge can flow. You can identify those nodes as the nodes connected only to capacitor plates, or, as you'll see later in the course, op-amp inputs or comparator inputs.
Notation: In each phase, the circuit has a different configuration by opening/closing different switches. In the circuit diagram, a switch labeled $\phi_{1}$ will be closed in phase 1 and open in phase 2, and a switch labeled $\phi_{2}$ will be open in phase 1 and closed in phase 2 . Generally, a switch labeled $\phi_{i}(i=1,2,3, \ldots)$ will be closed in phase $i$ and open in all other phases.

We present this algorithm by applying it to two examples. Note, that this method can be extended to circuits with more than 2 phases.

Example 1: For the switch capacitor circuit below, calculate the value of all node voltages at the end phase 2 , as a function of the voltage source $V_{s}$ and the capacitors $C_{1}, C_{2}$.


Step 1: Label the voltages across all the capacitors. Choose whichever direction (polarity) you want for each capacitor - this means you can mark any one of the plates with the " + " sign, and then you can mark the other plate with the "-" sign. Just make sure you stay consistent with this polarity across phases.


Step 2: Draw the equivalent circuit during both phases. For this problem, phase 1: $\phi_{1}$ closed, $\phi_{2}$ open, and phase 2: $\phi_{1}$ open, $\phi_{2}$ closed. Also, label all node voltages on the circuit for both phases. No need to try and maintain the same names, since certain nodes of the phase 1 circuit might be merged or split in phase 2 .

Phase 1:


Phase 2:


Step 3: Identify all "floating" nodes in your circuit during phase 2. A floating node is a node out of or into which no charge can flow. You can identify those nodes as the nodes connected only to capacitor plates, op-amp inputs or comparator inputs. These will be the nodes where we apply charge sharing.

In this case the only node that is floating during phase 2 is node $u_{3}$. (Node $u_{2}$ is connected to the voltage source, i.e. $u_{2}=V_{s}$, and the $3^{r d}$ node is the ground node).

Step 4: For steps 4-6 we will examine each phase 2 floating node individually. Pick a floating node from the ones you found in step 3 and identify all capacitor plates connected to that node during phase 2 . Then, calculate the charge on each of these plates in the steady state of phase 1.
To do so, identify all nodes in your circuit during phase 1 . Label all node voltages, and write the voltages across each capacitor as functions of node voltages (step 2 should help you with that). Do this according to the polarities you have selected. Then the charge is found as $Q=C V_{C}$ (where $V_{C}$ is the voltage across a capacitor).
Careful: The plate marked with the "-" sign will have $Q=-C V_{C}$ and the plate marked with the " + " sign will have $Q=C V_{C}$ stored onto them.
Careful: We assume here that you know all node voltages during phase 1. If you don't, before starting this procedure calculate the node voltages you need using one of the previously introduced circuit analysis techniques (most likely KVL will do the job).

Looking at our single floating node we can see that the " + " plates of $C_{1}$ and $C_{2}$ are connected to it during phase 2. Let's calculate the charge on these plates connected to the floating node $u_{3}$ during phase $\phi_{1}$, denoted
by $Q_{u_{3}}^{\phi_{1}}$.

$$
\begin{aligned}
Q_{u_{3}}^{\phi_{1}} & =V_{1}^{\phi_{1}} C_{1}+V_{2}^{\phi_{1}} C_{2} \\
& =\left(V_{s}-0\right) C_{1}+0 \\
& =V_{s} C_{1}
\end{aligned}
$$

Step 5: Find the total charge on each of the floating nodes in the steady state of phase 2 as a function of node voltages. Use the same process as in Step 4, but this time using the node voltages during phase 2 to write the voltages across each capacitor. Make sure you kept the polarity same and pay attention to the sign of each plate.

$$
\begin{aligned}
Q_{u_{3}}^{\phi_{2}} & =V_{1}^{\phi_{2}} C_{1}+V_{2}^{\phi_{2}} C_{2} \\
& =\left(u_{3}-u_{2}\right) C_{1}+\left(u_{3}-0\right) C_{2} \\
& =\left(u_{3}-V_{s}\right) C_{1}+u_{3} C_{2}
\end{aligned}
$$

Step 6: The charge in the steady state of phase 1 we calculated in Step 4, $Q_{u_{3}}^{\phi_{1}}$, is the initial charge of phase 2. Charge must be conserved at a floating node, so the steady state charge of phase $2, Q_{u_{3}}^{\phi_{2}}$, should equal the initial charge $Q_{u_{3}}^{\phi_{1}}$. We can then write in terms of the unknown(s).

$$
\begin{aligned}
Q_{u_{3}}^{\phi_{1}} & =Q_{u_{3}}^{\phi_{2}} \\
V_{s} C_{1} & =\left(u_{3}-V_{s}\right) C_{1}+\left(u_{3}-0\right) C_{2} \\
u_{3} & =\frac{2 C_{1}}{C_{1}+C_{2}} V_{s}
\end{aligned}
$$

Step 7: Repeat steps 4-6 for every floating node. This will give you one equation per floating node (i.e. if you have $m$ floating nodes you will have $m$ equations). You can then solve the system of equations to find the node voltages during phase 2 (unknowns). It should have a unique solution!

In this problem we did not go through step 7 since we only had one floating node during phase 2 . This means we have only one unknown node voltage $\left(u_{3}\right)$ for which we solved using our single equation from Step 6. We will be using step 7 in our second example!

Example 2: Find the voltage $V_{\text {out }}$ across capacitor $C_{x}$ at the end of phase 2, as a function of $C, C_{x}$, and $V_{s}$. Assume that $C_{1}=C_{2}=C$.


Step 1: Capacitor voltage labelling.


Step 2: Redrawing the circuit during both phases.
Phase 1:


Phase 2:


Step 3: Identify all floating nodes during phase 2.
In this problem the floating nodes are $u_{2}$ and $u_{3}$ since no current is flowing into or out of them (they are only connected to capacitor plates).

Step 4: Calculate the total charge accumulated on phase 2 floating nodes during phase 1.
Let's look at $u_{2}$ first: "-" plate of $C_{1}$ and " + " plate of $C_{2}$ are connected to that node during phase 2 . Calculating the charge stored in them during phase 1 we get:

$$
\begin{aligned}
Q_{u_{2}}^{\phi_{1}} & =-V_{1}^{\phi_{1}} C_{1}+V_{2}^{\phi_{1}} C_{2} \\
& =-\left(u_{1}-0\right) C_{1}+\left(u_{1}-0\right) C_{2} \\
& =-u_{1} C_{1}+u_{1} C_{2} \\
& =-V_{s} C_{1}+V_{s} C_{2} \\
& =0
\end{aligned}
$$

Where in the last step we used that $C_{1}=C_{2}=C$.
Step 5: Calculate the total charge accumulated on phase 2 floating nodes during phase 2 as a function of node voltages.

$$
\begin{aligned}
Q_{u_{2}}^{\phi_{2}} & =-V_{1}^{\phi_{2}} C_{1}+V_{2}^{\phi_{2}} C_{2} \\
& =-\left(0-u_{2}\right) C_{1}+\left(u_{2}-u_{3}\right) C_{2} \\
& =\left(2 u_{2}-u_{3}\right) C
\end{aligned}
$$

Step 6: Equate charge calculated during phases 1 and 2.

$$
\begin{gather*}
Q_{u_{2}}^{\phi_{1}}=Q_{u_{2}}^{\phi_{2}} \\
0=\left(2 u_{2}-u_{3}\right) C_{2} \\
u_{2}=\frac{u_{3}}{2} \tag{1}
\end{gather*}
$$

Step 7: Repeat steps 4-6 for every floating node.
Let's repeat Steps 4-6 for node $u_{3}$ :
Step 4:
$u_{3}$ is connected to the "-" plate of $C_{2}$ and " + " plate of $C_{x}$. Charge during phase 1:

$$
\begin{aligned}
Q_{u_{3}}^{\phi_{1}} & =-V_{2}^{\phi_{1}} C_{2}+V_{x}^{\phi_{1}} C_{x} \\
& \left.=-\left(u_{1}-0\right) C_{2}+(0-0) C_{x}\right) \\
& =-u_{1} C_{2} \\
& =-V_{s} C
\end{aligned}
$$

Step 5: Charge during phase 2:

$$
\begin{aligned}
Q_{u_{3}}^{\phi_{2}} & =-V_{2}^{\phi_{2}} C_{2}+V_{x}^{\phi_{2}} C_{x} \\
& \left.=-\left(u_{2}-u_{3}\right) C_{2}+\left(u_{3}-0\right) C_{x}\right) \\
& =-u_{2} C_{2}+u_{3}\left(C_{x}-C_{2}\right)
\end{aligned}
$$

Step 6: Equate the charge from steps 4 and 5:

$$
\begin{gather*}
Q_{u_{3}}^{\phi_{1}}=Q_{u_{3}}^{\phi_{2}} \\
-V_{s} C=-u_{2} C+u_{3}\left(C+C_{x}\right) \tag{2}
\end{gather*}
$$

Now we have two unknowns, $u_{2}$ and $u_{3}$, and two equations, Eq. (1) and (2). Finally, solve the system of equations (1), (2): Plugging Eq. (1) into Eq. (2) we get:

$$
\begin{aligned}
-V_{s} C & =-\frac{u_{3}}{2} C+u_{3}\left(C+C_{x}\right) \\
u_{3} & =-\frac{C}{\frac{C}{2}+C_{x}} V_{s}=-\frac{2 V_{s}}{1+\frac{2 C_{x}}{C}}
\end{aligned}
$$

Plugging this solution for $u_{3}$ into Eq. (1), we get:

$$
u_{2}=\frac{u_{3}}{2}=-\frac{V_{s}}{1+\frac{2 C_{x}}{C}}
$$

From our circuit diagram, $V_{\text {out }}=u_{3}$.

## Important Notes:

1. A node that is floating during phase 2 is not necessarily floating during phase 1 . In fact, it could have been two separate nodes during phase 1 depending on how your switches are configured. We only care about the floating nodes during phase 2 since those are the nodes with unknown voltages.
What about floating nodes during phase 1? You should be able to calculate the voltage of these nodes based on the initial condition of the circuit and the circuit techniques that you have learned so far (most likely KVL).
2. When handling charge sharing problems you should avoid using any parallel or series capacitance formulae that you have learned. Any simplification of the circuit might lead to mistakes since the circuit in different phases is configured differently and some capacitors placed in series during one phase may be in parallel or even not connected at all in another phase!
